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Authors: Pinar Soysal, Ahmet Turan Isik, Andre F. Carvalho, Brisa S. Fernandes, Marco Solmi, Patricia Schofield, Nicola Veronese, Brendon Stubbs



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OXIDATIVE STRESS AND FRAILTY: A SYSTEMATIC REVIEW AND BEST EVIDENCE SYNTHESIS

Running title: oxidative stress and frailty

Pinar Soysal¹, Ahmet Turan Isik², Andre F Carvalho³, Brisa S Fernandes ^{4,5}, Marco Solmi^{6,7,8}, Patricia Schofield⁹, Nicola Veronese^{8,10}, Brendon Stubbs ^{9,11-12}

¹ Kayseri Education and Research Hospital, Geriatric Center, Kayseri, Turkey

² Center for Aging Brain and Dementia, Department of Geriatric Medicine, Dokuz Eylul University, Faculty of Medicine, Izmir, Turkey

³ Department of Clinical Medicine and Translational Psychiatry Research Group, Faculty of Medicine, Federal University of Ceará, Fortaleza, CE, Brazil

⁴ Deakin University, IMPACT Strategic Research Centre, School of Medicine, Geelong, Australia

⁵ Laboratory of Calcium Binding Proteins in the Central Nervous System, Department of Biochemistry, Federal University of Rio Grande do Sul, Porto Alegre, Brazil

⁶ Department of Neurosciences, University of Padova, Padova, Italy.

⁷ National Health Care System, Padova Local Unit ULSS 17, Italy.

⁸ Institute for clinical Research and Education in Medicine, I.R.E.M. Padua, Italy

⁹ Health, Social Care and Education, Anglia Ruskin University, Chelmsford, UK

¹⁰Geriatrics Division, Department of Medicine-DIMED, University of Padova, Italy; Institute of clinical Research and Education in Medicine (IREM), Padova, Italy

¹² Physiotherapy Department, South London and Maudsley NHS Foundation Trust, Denmark Hill, London SE5 8AZ, United Kingdom;

¹³Health Service and Population Research Department, Institute of Psychiatry, Psychology and Neuroscience, King's College London, De Crespigny Park, London, Box SE5 8AF, United Kingdom

Corresponding Author: Brendon Stubbs, PhD

Physiotherapy Department, South London and Maudsley NHS Foundation Trust, Denmark Hill, London SE5 8AZ, United Kingdom; United Kingdom

Brendon.stubbs@kcl.ac.uk

Highlights

- There is a lack of clarity around the relationship between oxidative stress and frailty.
- Our review provides some cross sectional evidence of increased oxidative stress among frail older people.
- There is some preliminary evidence of lower anti-oxidant parameters (vitamin C, E, α -tocopherol, biological anti-oxidant potential, total thiol levels) in frailty.

ABSTRACT (250/250)

Objective: Oxidative stress (OS) is associated with accelerated aging. Previous studies have suggested a possible relationship between OS and frailty but this association remains unclear. We conducted a systematic review to investigate potential interactions between OS and frailty.

Methods: A systematic literature search of original reports providing data on 'OS and antioxidant' parameters and frailty was carried out across major electronic databases from inception until May 2016. Cross-sectional/case control and longitudinal studies reporting data on the association between frailty and anti-oxidants-OS biomarkers were considered for inclusion. Results were summarized with a best-evidence based synthesis.

Results: From 1,856 hits, 8 studies (cross-sectional/case control) were included (N=6,349; mean age of 75 $\pm$ 12 years; 56.4% females). Overall, there were 588 (=9.3%) frail, 3,036 pre-frail (=47.8%), 40 (=0.6%) pre-frail/robust, and 2,685 (=42.3%) robust subjects. Six cross-sectional/case control studies demonstrated that frailty was associated with an increase in peripheral OS biomarkers including lipoprotein phospholipase A2 (studies=1), isoprostanes (studies=2), Malonaldehyde (studies=2), 8-hydroxy-20-deoxyguanosine (studies=2), derivate of reactive oxygen metabolites (studies=2), oxidized Glutathione/ Glutathione (studies=1), 4-hydroxy-2,3-nonenal (studies=1), and protein carbonylation levels (study=1). In addition, preliminary evidence points to lower anti-oxidant parameters (vitamin C, E, α -tocopherol, biological anti-oxidant potential, total thiol levels) in frailty.

Conclusion: Frailty and pre-frailty appear to be associated with higher OS and possibly lower anti-oxidant parameters. However, due to the cross sectional design, it is not possible to disentangle the directionality of the relationships observed. Thus, future high quality and in particular longitudinal research is required to confirm/refute these relationships and to further elucidate pathophysiological mechanisms.

INTRODUCTION

Whilst the trajectory of aging can differ among older people (1), there is general agreement that aging is associated with an accumulation of cellular damage (2). The pathophysiological changes associated with aging can lead to mitochondrial dysfunction, failure of tissue repair mechanisms, accelerated cellular senescence, in addition to a reduction in tissue homeostasis, which may increase the risk of organ failure and mortality (3). One of the most important factors that may increase cellular aging is oxidative stress (OS) (4). During the aging process the balance between the cellular antioxidant defence system and toxic effects due to OS may deteriorate (5). Studies have reported that reactive oxygen species (ROS) could play a pivotal role in aging, and also in several age-related diseases such as sarcopenia, cerebrovascular, and neurodegenerative diseases (5).

In recent years, an accumulating body of evidence has suggested that OS and inflammatory changes might play a role in the development of frailty, which is another common age-related clinical syndrome (6,7). Frailty is defined as “a state of increased vulnerability to stressors that results from decreased physiologic reserve in multiple organ systems causing limited capacity to maintain homeostasis” (8). Frailty is associated with several deleterious outcomes, including a higher rate of hospitalization, depression, falls, disability and mortality in older adults (9). The main features of frailty traditionally include low physical activity levels, slowness in motor performance, and weakness, which may occur due to a loss of

skeletal muscle mass and functioning (8-10). Furthermore, OS might lead to an activation of apoptotic pathways leading to cellular damage, aberrations in the expression of many transcription factors responsible for shifting protein synthesis to protein degradation, a decline in mitochondrial function, and an impairment of repair mechanisms (2, 11). These interacting pathways may contribute to the detrimental effects of OS on muscles, bones, and the immune system (12). Loss of muscle mass and strength may reduce physical activity and thereby contribute to frailty (13).

A number of previous individual studies have suggested a possible relationship between OS and frailty (14,15), but this relationship remains unclear. Therefore, we conducted a systematic review to determine the potential association between OS biomarkers and frailty. Our priori hypothesis was that frailty could be associated with higher peripheral levels of reactive oxygen species biomarkers and with lower levels of antioxidants, resulting in increased OS.

MATERIALS AND METHODS

This systematic review was conducted following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (16). An a priori unpublished protocol was followed (17).

Search Strategy

Two investigators (PS, BS) independently conducted an electronic literature search using EMBASE, PubMed and Scopus with no language restrictions. The databases were searched from inception until 05/01/2016 for studies investigating the relationship between OS and frailty. Any inconsistencies were resolved by consensus with a third author (NV). The search terms used in Pubmed were (frailty OR frail) AND (oxidative stress OR oxidative injury OR

oxidative damage OR anti-oxidants OR Reactive oxygen species OR Free radical OR redox balance). Conference abstracts were also considered.

Study selection

Included studies were those that (1) reported on peripheral levels of OS related biomarkers (reactive oxygen species related and anti-oxidants parameters) as a function of frailty status; (2) used a validated and standardized method for assessing frailty (e.g. those suggested by Fried et al.) (18); and (3) included a control group (pre-frail and robust as separate entities or together). Studies were excluded if they (1) did not use clear diagnostic criteria for frailty or used only one item for its diagnosis (e.g. low gait speed), (2) animal or in vitro research, (3) case reports, (4) did not measure or did not report serum OS biomarkers levels or anti-oxidant parameters.

Data extraction

Two authors (BS, PS) independently extracted data from the selected studies in a standardized Microsoft Excel spreadsheet. Any disagreement was resolved through discussion with a third author (NV). The following information was extracted: i) characteristics of the study population (e.g. sample size, demographics, country in which the study was performed); ii) setting in which the study was performed; iii) diagnostic criteria for frailty; iv) OS parameters assessed with corresponding assays; v) demographic characteristics (mean age and percentage of women) and mean body mass index (BMI) according to frailty status.

Methodological quality assessment

Study quality was assessed by two investigators (PS, BS), whilst a third reviewer was available for mediation (NV). For cross-sectional studies, the Newcastle-Ottawa Scale (NOS) was used to assess study quality. The NOS assigns a maximum of 9 points based on three quality parameters: selection, comparability, and outcome (19).

Statistical Analysis

Due to the paucity and heterogeneity in outcomes and study samples, the findings of this review are summarized in a best evidence synthesis.

RESULTS

The search identified 1250 potentially eligible studies. After excluding 1221 manuscripts through title/abstract screening, 29 full-text articles were examined and 8 studies (14,15,20-25) were included in this systematic review (**Figure 1**).

Study and Participant Characteristics

Studies and participant characteristics are summarized in **Table 1**. The 8 studies (14,15,20-25) included a total of 6,349 participants with a mean age of 75 ± 12 years and mainly women (=56.4%). Overall, there were 588 (=9.3%) frail, 3,036 pre-frail (=47.8%) and 2,685 (=42.3%) robust, and 40 (=0.6%) pre-frail/robust subjects. All included studies were a cross-sectional/case-control design.

All included studies defined frailty using a modified version of Fried et al. (18) except for one study (22) in which the Rothman criteria (26) was used as a definition of frailty.

The majority of the studies was conducted among community-dwelling older adults (4 studies; =57.1%) and in Europe (n=4), followed by Asia (n=2) and America (n=2) (**Table 1**).

The quality of the studies, assessed through NOS, was generally good with a median score of 7 (range: 6-9) (**Supplementary Table 1**).

Frail participants were older (76.7 ± 9.8 years), more frequently females ($=61.7\%$) and exhibited higher BMI ($=28.8 \pm 6.7$ kg/m²) than pre-frail (73.1 ± 9.1 years; % of females: 56.6; BMI: 27.3 ± 7.3 kg/m²) and robust (68.9 ± 7.8 years; % of females: 49.2; BMI: 26.5 ± 8.1 kg/m²) elders (**Table 1**).

Association Between OS Biomarkers And Frailty

As shown in **Table 2**, seven studies (14,15,21,22-25) reported data for peripheral OS biomarkers. Only one study did not find any significant difference between frail and robust groups regarding paraoxonase-1 (PON-1) and Malonaldehyde (MDA) levels ($p>0.05$) (22), but another study found higher MDA levels among frail elders ($p<0.01$) (24). All included studies, except one (22), reported that higher OS biomarkers were significantly associated with frailty (14,15,21,23-25). Oxidative stress biomarkers assayed across studies included lipoprotein phospholipase A2 (LpPLA2), isoprostanes, MDA, 4-hydroxy-2,3-nonenal (HNE), 8-hydroxy-20-deoxyguanosine, derivate of reactive oxygen metabolites (d-ROM), Oxidized Glutathione/ Glutathione (GSSG/GSH), and protein carbonylation levels ($p<0.05$). The derivate of reactive oxygen metabolites (d-ROM) was evaluated in two studies, d-ROM levels were higher in frail/prefrail compare the robust elderly groups ($p<0.01$) (14, 25). Furthermore, levels of isoprostanes were increased in both frail and pre-frail elders in other two studies ($p<0.05$) (21,25). Finally, higher levels of 8-hydroxy-deoxyguanosine (8-OHdG), which were measured in urine in one and in blood in another study, were associated with frail/pre-frail status ($p<0.05$) (15,25) (**Table 2**).

One study reported a statistically higher levels of OS among people with frailty versus people without frailty ($p<0.01$), but precise values are not reported in the paper (23).

Association Between Anti-Oxidants Parameters and Frailty

As shown in **Table 3**, four studies (14,20,22,25) reported data regarding anti-oxidant parameters. One study reported that levels of vitamin E decreased gradually from robust to frail subjects ($p < 0.05$) (20). Another study did not find any significant difference between frail and pre-frail/ robust groups in α -tocopherol and vitamin C serum levels (22) ($p > 0.05$). Two studies evaluated biological anti-oxidant potential (BAP) (14,25). One found that BAP levels were significantly lower in the frail participants compared to those who were pre-frail or robust ($p < 0.05$) (25). However, another study did not find any statistical significant difference for BAP between those with frailty and people who were robust ($p > 0.05$) (14). In one study, a significant association with frailty was observed for Total Thiol Levels (TTL) ($p < 0.0001$) (14).

DISCUSSION:

In this systematic review, including eight studies and a total of 6,349 participants, we summarized the current evidence regarding the relationship between frailty and OS parameters. Overall, available data suggest that in cross-sectional studies, there is evidence of higher levels of peripheral OS biomarkers and lower anti-oxidant parameters among frail older adults. One study did not find any such relationship and the reasons for may be due to the inclusion of pre-frail participants in the comparison group and that this study had a small sample size (22).

Aging is influenced by multiple factors, including OS, inflammation, glycation, telomere shortening, mutations, degradation of proteins and enzymes, and a progressive damage of the structure and function of biomolecules, cells, and organs (27). The deteriorations related to OS rank first among causes of aging (4), and may lead to development of age-related diseases, such as macular degeneration, sarcopenia, cerebrovascular diseases, Alzheimer's disease

(AD), Parkinson's disease, cancer, and consequently mortality (5,28), although the direction of this relationship is motive of debate. Furthermore, anti-oxidants have been proposed as novel therapeutic targets for several aging-associated diseases, due in part to their cardio-protective, chemotherapeutic, and neuroprotective effects (29). Nowadays, effects of both OS and anti-oxidant parameters on the initiation and progression of age-related diseases remain unclear; however, clinical and experimental studies have intensively investigated this topic (4,5,27-29).

In recent years it is thought that OS also may be associated with frailty, which develops slowly in a stepwise process, and may be precipitated by acute events (30), but the relationship between OS and frailty is complex since both linearly increase with advancing age. Both higher OS in the periphery and frailty are accompanied by several negative outcomes, such as higher mortality and multi-morbidity (9,28). Our systematic review suggests that frailty is associated with higher OS and lower anti-oxidant peripheral parameters. The relationship between OS and frailty could be explained by several hypotheses. First, OS may lead to musculoskeletal system damage due to the fact that OS increase intracellular calcium promoting proteasomal activity and accelerating muscle breakdown, and ROS may trigger the apoptosis of murine skeletal muscle, and decrease myoblast proliferation (12,31). These factors may contribute to a decline in muscle function and strength. Loss of muscle mass and strength can directly reduce physical activity levels. Low physical activity is one of the hallmarks of frailty (18), and has been consistently reported to significantly increase OS in both animal models and humans (13,32). Therefore, a vicious circle may occur between OS and frailty. Second, OS may also contribute to immune activation through generating oxidized cellular components (15). Frail people have a significant reduction in the function of the innate immune system, T-cell activity, the

production of antibodies, and an increase in mitochondrial activity by means of OS products (7,33). In addition, predominantly inflammatory cytokines may influence frailty status either directly by promoting protein degradation, or indirectly by affecting important metabolic pathways (34). Third, frail and pre-frail participants have a higher prevalence of various medical disorders, including cardiovascular diseases, stroke, dementia and diabetes mellitus, all of which are both strongly associated with frailty and could also increase the generation of OS products (5,28). These chronic diseases, arising mainly in later life, may increase resting metabolic rate in order to maintain cellular homeostasis, which drive the generation of ROS by dysregulated mitochondria, ultimately leading to homeostatic deregulation and then loss of muscle strength (14). Finally, frail and pre-frail people are generally more obese than robust participants and obesity is highly associated with systemic OS (35). This hypothesis is also consistent with the fact that an increase in adiposity may affect muscle mass and walking ability among frail subjects (36). Clearly, future longitudinal work is required to disentangle the relationships we observed and elucidate potential pathophysiological mechanisms.

Putative beneficial effects of antioxidants on frailty are not surprising when the aforementioned mechanisms are considered. Previous studies reported that there is a strong correlation of plasma concentrations of antioxidants and physical performance and strength among the elderly (37), and that the supplementation of antioxidants may improve muscle mass, grip strength, and mitochondrial dynamics in skeletal systems (38). Moreover, elderly people with lower peripheral antioxidant levels are more vulnerable to disability and mortality over a 5-year follow-up period (39). The findings of our systematic review may provide a further impetus to test antioxidants as novel treatment targets to prevent or manage frailty.

Although this study has expanded the knowledge on this topic, it has a number of limitations, which are reflected by the available literature. First, it should be kept noted that OS may increase exponentially during the aging process and since the data in the current study are cross sectional, no certainty can be made regarding the direction of the relationships observed. However, we attempted to try and disentangle the relationship of OS and frailty by stratifying the results according to frailty status (frail, pre frail and robust). Another limitation is that due to the heterogeneity of the studies included, a meta-analysis could not be performed. Moreover, some potential confounders, such as medical comorbidities, and drugs, which may effect on frailty, could not be systematically considered. In addition, the relationship between OS and frailty may be bidirectional, thus necessitating future longitudinal research to disentangle the directionality of the relationships observed. Another limitation is that most studies defined frailty according to Fried et al. which focusses on physical frailty, and does not take into consideration other relevant features (e.g., cognitive frailty).

In conclusion, our review suggest there is some suggestive evidence that frailty and pre-frailty are associated with higher peripheral OS and lower anti-oxidant parameters. However, future high-quality prospective research is warranted to confirm these findings.

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Author roles

PS, BS and NV conceived the study, conducted the searches and wrote the manuscript.

All co-authors provided critical comments and approved the final version.

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Table 1. Descriptive findings of the studies included

Author,year (Country)	Setting	Participants	Mean age	Mean BMI	% Female	Diagnostic criteria for frailty	OS parameters assessed	Methods of measurement	NOS Score Quality
Ble, 2006 (Italy) (20)	Community	Frail:54 Pre-frail:313 Robust:460	NA	NA	NA	Fried	Plasma vitamin E	High-performance liquid chromatography	7
Liu,2016 (USA) (21)	Community	Frail:142 Pre-frail:864 Robust:913	77±6 72±7 69± 6	29.6 ± 7.0 28.8 ± 5.3 27.3 ± 4.5	54 57 51	Fried	LpPLA2, isoprostanes	ELISA	9
Goulet,2009 (Canada) (22)	Community and Inpatients	Frail: 33 Pre-frail/ Robust: 21	82 ± 5 79± 5	27.8 ± 3.8 27.1 ± 1.2	73.0 52.3	Rothman criteria	Malondialdehyde, Paraoxonase-1, antioxidant capacity (vitamin C, E)	Spectrophotometer/ fluorimetric-liquid chromatographic	7
Serviddio, 2009 (Italy) (23)	Outpatients	Frail:43 Prefrail/Robus t:19	NA	NA	NA	Fried	Glutathione, Oxidized Glutathione, Malonaldehyde, 4- hydroxy-2,3-nonenal	Western Blot	8
Wu,2009 (Taiwan) (15)	Community and Outpatients	Frail:21 Pre-frail:56 Robust:13	79.9 ± 5.8 76.8 ±5.8 73.1 ±5.3	NA	NA	Fried	8-hydroxy-20- deoxyguanosine	ELISA	6
Saum, 2015 (Germany) (14)	Community	Frail:210 Pre-frail:1463 Robust:845	73.7 ± 6.0 70.3 ± 6.2 67.8 ± 5.8	30.2 ± 6.2 28.7 ± 4.7 28.3 ± 4.4	64.8 56.1 43.4	Fried	derivate of reactive oxygen metabolites, biological anti- oxidant potential, total thiol levels	autoanalyzer	9
Ingle's, 2014 (Spain) (24)	Community	Frail:51 Pre-frail:278 Robust:410	78.8 ±6.0 73.8 ±4.7 72.4 ±4.2	30.0 ±5.5 29.5 ±4.9 29.7 ± 5.0	66.7 57.5 57.8	Fried	MDA and protein carbonylation	High-Performance Liquid Chromatography,	7

								Western Blotting	
Namioka, 2016 (Japan) (25)	Outpatients	Frail:34 Pre-frail:62 Robust:44	82.3±6.1 80.5±4.9 78.2±6.0	23.5 ±3.8 22.3±3.1 22.7±3.0	67.6 64.5 43.1	Fried	Diacron reactive oxygen metabolite, 8-Hydroxy-2 - deoxyguanosine, 8-isoprostane, biological anti-oxidant potential	ELISA, high-performance liquid chromatography	9
	4: community-dwelling; 2: Outpatients; 1: both community-dwelling and inpatients; 2: both community and Outpatients	Frail: 588 Pre-frail: 3036 Robust: 2685 Pre-frail/robust:40 Total: 6349	Frail: 76.7 ± 9.8 Pre-frail: 73.1 ± 9.1 Robust: 68.9 ± 7.8 Pre-frail/Robust: 79± 5	Frail: 28.8 ± 6.7 Pre-frail: 27.3 ± 7.3 Robust: 26.5 ± 8.1 Pre-frail/Robust: 27.1 ± 1.2	61.7 56.6 49.2	7: Fried's criteria 1: Rothman			Median :7 (6-9)

BMI: Body Mass Index; **ELISA:** Enzyme-Linked Immuno Sorbent Assay; **LpPLA2:** lipoprotein-associated phospholipase A2; **MDA:** Malonaldehyde; **NA:** Not applicable, **NOS=** Newcastle Ottawa Scale

Table 2. Findings of the studies investigating oxidative biomarkers.

Study	Oxidative biomarkers	Robust	Pre-frail	Frail
Liu (21)	LpPLA2 mass (ng/mL)	199 (168,228) [‡]	199 (172,229) [‡] *	210 (183,237) [‡] *
	LpPLA2 activity (nm/mL/min)	139 (119,166) [‡]	137 (115,160) [‡] *	139 (119,166) [‡] *
	Isoprostanes (mg/L)	9.5 (7.1,12.8) [‡]	10.2 (7.60,14.30) [‡] *	11.5 (8.50,15.40) [‡] *
Goulet (22)	PON-1 (μmol/L)	1.64 ± 1.04 (robust+pre-frail)	NA	FL: 1.33 ± 1.01 FO: 0.91 ± 0.91
	MDA (μmol/L)	1.29 ± 0.41 (robust+pre-frail)	NA	FL: 1.17 ± 0.36 FO: 1.12 ± 0.31
Wu (15)	8-hydroxy-20-deoxyguanosine (mg/L)	1.0 (0.5–5.3)~	2.3 (0.5–8.1)~	2.5 (1.5–6.2)~ *
Saum (14)	d-ROM (U.CARR)	339.6 (296.8 – 385.4)~	354.8 (310.3 – 398.3)~	371.6 (318.5 – 420.5)~ **
Ingle's (24)	MDA (μM)	2.11 ± 1.80	2.43 ± 2.26	3.28 ± 2.45*
	Protein carbonylation levels (arbitrary units)	64.36 ± 14.29	75.01 ± 15.51*	77.60 ± 15.60*
Namioka (25)	Urine 8-OHdG (ng/mg Cre)	3.90 ± 1.67	5.44 ± 2.70**	5.39 ± 2.23**
	8-isoprostane (pg/mg Cre)	235 ± 98	305 ± 126*	342 ± 175***
	Plasma dROM (U.CARR)	418 ± 65	450 ± 70*	485 ± 86***

[‡]median (25th percentile, 75th percentile) ~median (range)

*** for p-value <0.0001, ** for p<0.001 and * for p-value <0.05 compared with robust participants.

d-ROM: derivate of reactive oxygen metabolites; **FL:** Frail Lean; **FO:** Frail obese; **HNE:** 4-hydroxy-2,3-nonenal; **Isoprostanes:** 8-epi-FGFα isoprostanes; **LpPLA2:** lipoprotein phospholipase A2; **MDA:** Malonaldehyde; **NA:** Not Applicable; **PON-1:** paraoxonase-1

Table 3. Findings of the studies investigating anti-oxidants parameters.

Study	Anti-oxidant Parameters	Robust	Pre-frail	Frail
Ble (20)	Plasma vitamin E $\mu\text{mol/L}$	26.7	28.6	29.6*
Goulet (22)	vitamin C ($\mu\text{mol L}^{-1}$)	36.4 $\pm$ 20.5 (robust+pre-frail)	NA	43.8 $\pm$ 28.8
	α -Tocopherol ($\mu\text{mol L}^{-1}$)	17.8 $\pm$ 13.4 (robust+pre-frail)	NA	FL: 20.2 $\pm$ 16.9 FO: 20.8 $\pm$ 16.6
Saum (14)	BAP ($\mu\text{mol/L}$)	2,567.0~ (2,454.6 – 2,684.3)	2,573.8~ (2,448.9 – 2698.9)	2,609.7~ (2,449.3 – 2,728.8)
	TTL ($\mu\text{mol/L}$)	342.1~ (294.0 – 386.9)	327.6~ (277.2 – 377.9)	302.9~ ** (255.8 – 355.5)
Namioka, 2016 (25)	BAP($\mu\text{mol/L}$)	2,599$\pm$ 627	2,501$\pm$586	2,390$\pm$ 680 *

~ median (range)

*** for p-value <0.0001, ** for p<0.001 and * for p-value <0.05 compared with robust;

BAP: Biological Anti-Oxidant Potential; **FL:** Frail Lean; **FO:** Frail obese; **TTL:** Total Thiol Levels