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## 2 The Genetic Inactivation of the Vomero-Nasal Organ in Primates 3 Allows the Evolution of Same-Sex Sexual Behavior But Does Not 4 Explain Homosexual Orientation in Humans

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**AQ1** A variety of mammals, including primates, communicate through pheromones, which are volatile chemical signals produced by glands and detected through the vomero-nasal organ (VNO). This manner of communication is effective and fundamental for eliciting innate responses to locate

**AQ2** sexual partners and inducing sexual behavior. Pfau, Jordan, and Breedlove (2019) hypothesized that progressive degeneration of a single-gene coding for pheromones receptors in the VNO of mammals may have triggered a cascade of functional and behavioral consequences that facilitated the development of new modes of sexual communication, including same-sex sexual behavior in primates and humans.

**AQ3** This hypothesis is compelling, testable, and heuristic.

In their Target Article, Pfau et al. (2019) suggest that, during primate evolution, inactivation of the transient receptor potential cation channel 2 (TRPC2) gene caused a shift from strictly pheromonal-driven sexual behavior toward a more flexible sexual response that allowed for occasional same-sex sexual behavior. In other words, a more flexible sexual response to different and varied stimuli might have allowed the use of sex, including same-sex sexual activity, in both sexes, in contexts beyond reproduction such as dominance displays, reconciliation, and appeasement (de Waal, 1989).

The main evidence in support of Pfau et al.'s (2019) hypothesis comes from knock-out (KO) TRPC2 mice. Pfau et al. found that this experimental strain of KO mice exhibits delay development and altered intraspecific interactions such as sex discrimination and male–male aggression (Leypold

et al., 2002; Stowers et al., 2002). Most importantly, compared to wild mice strains, adult male and female KO TRPC2 mice were observed to engage in unprecedented levels of same-sex sexual behavior including mounting and pelvic thrusting.

Comparing KO TRPC2 mice and Old World monkeys, Pfau et al. (2019) furnish evidence that catarrhine primates, which lack a VNO, also have a nonfunctional TRPC2 gene. They argued that like KO TRPC2 mice, catarrhine primates exhibit reduced aggressions and delayed development. Based on these comparisons, they proposed that this TRPC2 single-gene inactivation might explain not only the cause of same-sex sexual behavior in nonhuman primates, but also the cause of sexual orientation in humans. Further, they proposed that the inactivation of the TRPC2 gene promoted a series of behavioral and social transformation that are common in domesticated animals and self-domesticated humans (Hare, Wobber, & Wrangham, 2012).

The primate data do not fit Pfau et al.'s (2019) hypothesis perfectly, however, and as the authors admit, there are some prosimians (e.g., brown lemurs, *Lemur fulvus*, and sifakas, *Propithecus verreauxi*; Bagemihl, 1999), as well as some New World monkeys (e.g., common marmoset, *Callitrix jacchus*, and Geoffroy's tamarin, *Saguinus geoffroyi*) that have intact TRPC2 genes and show same-sex sexual behavior (e.g., Manson, Perry, & Parish, 1997; Rothe, 1975). Conversely, there are a number of Old World monkeys such as gibbons and olive colobus (*Procolobus verus*) that lack a functional TRPC2 gene, but have never been shown, in field observations, to exhibit same-sex sexual behavior in either sexes. However, these are minor exceptions, and in general Pfau et al.'s hypothesis is supported by the primate data.

Pfau et al.'s (2019) hypothesis could also be tested in other mammals known to have same-sex sexual behavior. For example, dogs (*Canis* spp.) are known to have same-sex sexual behavior both in females (Beach, Rogers, & LeBoeuf, 1968) and in males (Dagg, 1984), and at the same time they

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73 are macromammals with a keen olfaction. Other possible ani- 126  
 74 mal models include domesticated cattle and wild bison, since 127  
 75 same-sex sexual behavior has been extensively reported for 128  
 76 both (Jeziński, Kozirowski, Goszczyński, & Sieradzka, 129  
 77 1989; Lott, 1983). What about their vomero-nasal receptor 130  
 78 activity? Contrary to my expectation, dogs and cattle have a 131  
 79 markedly degenerated VNO, and most of the genes coding for 132  
 80 receptors in the VNO have completely degenerated and are 133  
 81 inactive, while their keen olfaction is due only to the primary 134  
 82 olfactory epithelium (Young & Trask, 2007). This evidence 135  
 83 from domestic mammals is, thus, consistent with the hypoth- 136  
 84 esis that a relation exists between vomero-nasal pheromone 137  
 85 receptor activity and same-sex sexual behavior. However, 138  
 86 there is evidence suggesting that in cattle the TRPC2 gene 139  
 87 is present and active contrary to Old World monkeys (Grus, 140  
 88 Shi, Zhang, & Zhang, 2005).

89 Research on the genes implicated in pheromone detec- 141  
 90 tion and the evolution of the VNO of mammals (Moriya-Ito, 142  
 91 Hayakawa, Suzuki, Hagino-Yamagishi, & Nikaido, 2018) 143  
 92 suggests that there are a multitude of genes classified in two 144  
 93 super-families, which are expressed in the VNO, and some 145  
 94 of them in the main olfactory epithelium as well. Both the 146  
 95 vomero-nasal receptor genes type-1 (V1Rs) with single exon 147  
 96 and type-2 (V2Rs) with multiple exons are seven-transmem- 148  
 97 brane G protein-coupled receptors (Nei, Niimura, & Nozawa, 149  
 98 2008). Both vomero-nasal receptor families have closely 150  
 99 related homologs in the vertebrate taste system: V1Rs are 151  
 100 closely related to T2R bitter taste receptors (Chandrashekar 152  
 101 et al., 2000), and V2Rs are closely related to T1R sweet and 153  
 102 umami taste receptors (Hoon et al., 1999).

103 Grus, Shi, Zhang, and Zhang (2005) found that these 154  
 104 genes originated in fishes, are extremely variable among 155  
 105 mammals, and evolved through duplication, deletion, and 156  
 106 inactivation. Vomero-nasal receptor coding genes belong to 157  
 107 the super-family of genes with the highest numerical vari- 158  
 108 ability across species. The proportion of intact V1Rs relates 159  
 109 to multiple aspects of VNO anatomy, including its relative 160  
 110 size (Garrett & Steiper, 2014). The large variation of V1Rs in 161  
 111 mammals may be an adaptation to a broad range of environ- 162  
 112 ments, and the comparison of V1Rs repertoires is critical for 163  
 113 inferring the importance of the VNO to each species (Garrett 164  
 114 & Steiper, 2014).

115 Moriya-ito et al. (2018) reconstructed the phylogeny of 165  
 116 V1R genes in primates, almost all code for receptor proteins 166  
 117 that are present in the VNO. Moriya-ito et al. suggested 167  
 118 that, in general, V1Rs underwent positive selection, grew 168  
 119 in number, and are expressed in the VNO of prosimians. 169  
 120 This correlates with the socioecology of many prosimians, 170  
 121 which are nocturnal, solitary, and communicate extensively 171  
 122 with pheromones, including for mating (Dixon, 1995). It 172  
 123 has been also found that in Old and New World primates 173  
 124 V1Rs show a generalized trend toward degeneration (Grus 174  
 125 et al., 2005; Yodler & Larsen, 2014) and this regressive 175

126 selection happened not only in primates, but also in whales 127  
 128 and bats (Grus et al., 2005). Recently, Yoder and Larsen 129  
 130 (2014) showed a reduction in the number of intact V1Rs 131  
 132 in anthropoids for which the VNO was reduced or vestigial 133  
 134 (Smith et al., 2002, 2011), which suggested a correlation 134  
 135 between the progressive inactivation of V1Rs and reduc- 135  
 136 tion of the VNO. Young and Trask (2007) also found that 136  
 137 V2Rs families have completely degenerated in humans, 137  
 138 chimpanzees, macaques, cattle, and dogs. Each now pos- 138  
 139 sesses 9–20 pseudogenes, but no intact V2Rs. 139

140 Rather than hypothesizing a single-gene inactivation as in 140  
 141 Pfau et al.'s (2019) Target Article, is it possible that general 141  
 142 regression of vomero-nasal functions is causally associated 142  
 143 with release from strict sexual response to pheromones and, 143  
 144 by extension, an increase in same-sex sexual behavior? It is 144  
 145 noteworthy that visual sexual signals, like the genital skin 145  
 146 swellings, are very widespread among Old World monkeys 146  
 147 and apes, but not in prosimians and New World monkeys 147  
 148 (Dixon, 1983). It has been proposed that sexual swelling 148  
 149 might visually signal receptivity in widely dispersed social 149  
 150 animals like chimpanzee, macaques, and baboons, but could 150  
 151 also be interpreted as evidence of the substitution of phero- 151  
 152 mone signals with visual ones. 152

153 Now we come to my main concern with the argument for- 153  
 154 warded by Pfau et al. (2019): TRPC2 inactivation seems like 154  
 155 just one of the many genes involved in pheromone commu- 155  
 156 nication in the VNO, which underwent regression partly in 156  
 157 New World monkeys and completely in Old World monkeys, 157  
 158 as in other diurnal mammals. Why, then, suggest a specific 158  
 159 gene, TRPC2, as the driver of the whole behavioral transfor- 159  
 160 mation? Instead, why not hypothesize that these behavioral 160  
 161 transformations resulted from the combined inactivation 161  
 162 and degeneration of a variety of genes involved in phero- 162  
 163 mone detection and in the development and function of the 163  
 164 VNO. It could be argued, alternatively to Pfau et al.'s Target 164  
 165 Article, that the general regression of these genes allowed 165  
 166 the progressive shift from chemical communication toward 166  
 167 visual communication, including same-sex sexual behavior 167  
 168 as seems to have happen in New and Old World primates, 168  
 169 but also in sea mammals, bats, cattle, and dogs (Young & 169  
 170 Trask, 2007).

171 The only evidence reported in Pfau et al.'s (2019) Tar- 171  
 172 get Article against this more general hypothesis is that in 172  
 173  $G\alpha i2$  KO mice inactivation of this g-protein disrupts aggres- 173  
 174 sion, but sexual behavior is not affected (Norlin, Gussing, 174  
 175 & Berghard, 2003). Disruption of  $G\alpha o$ , another g-protein 175  
 176 crucial for VNO function, also reduces aggression without 176  
 177 impacting sexual behavior (Chamero et al., 2011). Norlin 177  
 178 et al. (2003) reported unaltered sexual partner preference 178  
 179 in their  $G\alpha i2$  KO mice, but Chamero et al. (2011) do not 179  
 180 report on any sexual behavior, so we do not know whether 180  
 181 any sexual changes occurred in their  $G\alpha o$  KO mice. Apart 181  
 182 from these cases, were KO mice ever produced for all other 182

179 V1Rs and V2Rs that are expressed in the VNO of mammals?  
180 What modification in sexuality might such mice show?

181 Awaiting further evidence, my interpretation, at present, is  
182 that circumstantial data best fit the more general hypothesis  
183 for a global reduction of gene activity coding in the VNO.  
184 We can imagine that, whenever chemical reception and com-  
185 munication receded in favor of the visual communication,  
186 selection pressures change. This might have begun when  
187 ancestral primates invaded a diurnal niche, increased in social  
188 complexity, developed trichromatic vision, and adopted the  
189 use body signals (e.g., genital swelling), thereby eliciting  
190 the evolution of sexual, rather than chemical, communica-  
191 tion signals (Moriya-Ito et al., 2018). Diurnal vision might  
192 have enhanced brain size and reduced splanchno-cranial size  
193 (Camperio Ciani 1989), thus reinforcing reduction in VNO  
194 size. Once sexual behavior was released from the limitation  
195 of chemical activation, then sexuality could become much  
196 more flexible and could be used by ancestral primates for  
197 social communication in a variety of contexts. In extant pri-  
198 mates, same-sex sexual behavior might be used to modulate  
199 aggression, reconcile conflicts, reinforce dominance rank,  
200 and reduce social tension, thereby enriching social complex-  
201 ity. The extreme example is the complex use of sexuality by  
202 our closest relative the bonobo (*Pan paniscus*), in which sex  
203 is used in the largest variety of social contexts, compared to  
204 all other primates (Manson, Perry, & Parish, 1997).

205 If my interpretation of the evidence is correct, no single  
206 gene, such as TRPC2, but rather a whole set of genes (V1Rs,  
207 and possibly V2Rs) lost importance. All those genes were  
208 implicated, in one way or another, with pheromones detection  
209 and communication in the VNO. When the VNO becomes  
210 less important for sociosexual communication, its associated  
211 genes become less useful and they experience more relaxed  
212 selection pressure. The loss of selection pressure allows  
213 for mutations to arise, such as stop codons, and generates  
214 inactive pseudogenes (Moriya-ito et al., 2018). A relevant  
215 exception pertains to those genes that shift function from  
216 pheromones detection to oxygen detection. These genes are  
217 maintained under stabilizing selective pressure, thus preserv-  
218 ing their functionality (Niimura, Matsui, & Touhara, 2014).  
219 In sum, I would like to see stronger evidence that the loss of  
220 a functional TRPC2 gene played an exclusive role in evolu-  
221 tion of same-sex sexuality, as opposed to a general evolu-  
222 tionary transformation in mammals—not just primates—of  
223 many vomero-nasal receptor genes, toward amplification or  
224 degeneration.

225 A final critique of the Target Article, but one that is no less  
226 relevant, is that Pfau et al.'s (2019) hypothesis does not apply  
227 to human homosexuality. Most Old World primates have been  
228 observed to engage in same-sex sexual behavior. Very occa-  
229 sionally this involves some homosexual partner preference  
230 (Vasey, 2002), but never exclusive homosexual orientation  
231 as in humans. In humans, same-sex-sexual behavior lost its

social communication function almost completely to become  
a sexual orientation.

232 I am skeptical that the olfactory and pheromonal processes  
233 posited by Pfau et al. (2019) caused a homosexual “orien-  
234 tation” in humans. The evolutionary dilemma of human  
235 homosexual orientation has little to do with same-sex sex-  
236 ual behavior, which is only one ingredient of the phenotype.  
237 Homosexuality in humans is characterized by a novel and  
238 specific phenotype: an individual exclusively attracted sexu-  
239 ally and romantically to same-sex individuals. This is the  
240 evolutionary dilemma, exclusive same-sex sexual attraction,  
241 which inhibits reproduction and reduces fertility. How could  
242 such a phenotype evolve and how could it be maintained in  
243 the population at a constant, albeit low, frequency? If this  
244 phenotype has a genetic basis, then it should become extinct  
245 rapidly, which we know does not happen (Camperio Ciani,  
246 Battaglia, & Zanzotto, 2015). What are the fitness advantages  
247 of exclusive same-sex sexual behavior in our species? Occa-  
248 sional same-sex sexual behavior does not exclude heterosex-  
249 ual sex and reproduction. On the contrary, it might provide  
250 a selective benefit to individuals, in reducing aggressiveness  
251 by using sexual pleasure to facilitate appeasement, but even if  
252 this is true, it is generally not the case in humans. In animals,  
253 same-sex sexual behavior enriches communication, sociality,  
254 and ultimately benefits individuals, so there is no evolution-  
255 ary dilemma here. This is the ultimate reason that same-sex  
256 sexual behavior evolved in many social organisms (Bagemihl,  
257 1999). That said, these social uses of same-sex sexual behav-  
258 ior do not produce exclusive homosexuality.

259 Pfau et al., in the Target Article, contend that the absence  
260 of reports on possible homosexual orientation in primates,  
261 including bonobos, might be ascribed to the possibility  
262 that researchers not have detected it yet. They suggest that  
263 the absence of evidence is not evidence of absence, but  
264 in this case, it is improbable. Vasey (2002) reported fac-  
265 ultative (i.e., nonexclusive) homosexual preference for a  
266 few animals, including the domestic rams and few other  
267 ungulates, as well as female Japanese macaque (*Macaca  
268 fuscata*). These females occasionally show a preference  
269 for same-sex sexual partners over opposite-sex alterna-  
270 tives, but nevertheless they mate heterosexually and they  
271 all reproduce, according to my direct experience (Camperio  
272 Ciani, 1997; Corradino, 1990). Moreover, most primatolo-  
273 gist will acknowledge that primates are difficult to locate  
274 in the wild, especially forest dwelling ones; however, once  
275 located, sexual behavior becomes overt (both visually and  
276 vocally) and very conspicuous in most species, if not all.  
277 Sexual interactions in wild primates are much easier to  
278 observe than in our species. Infinite hours of observation,  
279 including in the wild, focusing on sexual behavior in males  
280 and females have been undertaken by ethologists. With  
281 such a large sample, it would have been easy to locate indi-  
282 viduals that engage in exclusive same-sex sexual behavior,  
283  
284

285 but no one has. I have been observing several species of  
 286 macaques in North Africa and South-East Asia and com-  
 287 monly observe same-sex behavior among both females and  
 288 males, but never once have I observed a single-subject mat-  
 289 ing exclusively with same-sex partners (Camperio Ciani  
 290 1986; Camperio Ciani, Mouna, & Arhou, 2000; Camperio  
 291 Ciani et al., 2005). There is only one species in which some  
 292 males exhibit a homosexual orientation, but this is in sheep,  
 293 not in primates, and is restricted to domesticates, that have  
 294 been artificially selected. Domestic rams can thus furnish  
 295 information on the neurophysiology and endocrinology of  
 296 homosexuality, but they cannot furnish information about  
 297 how natural selection might have produced such a pheno-  
 298 type (Roselli, Larkin, Schrunk, & Stormshak, 2004).

299 Pfau et al. (2019) suggested that if homosexual individu-  
 300 als occur in small groups, as might be the case for many  
 301 primates, there might be no possibility of finding a homo-  
 302 sexual partner with the same orientation. This, they suggest,  
 303 might help account for the lack of observations of exclusive  
 304 same-sex sexual partner preference in primates. This specula-  
 305 tion is untenable. First, many primates, including baboons,  
 306 macaques, and vervets, live in large multi-male multi-female  
 307 groups. If occasional same-sex behavior is already present  
 308 within a species—as is the case for many primates—an exclu-  
 309 sively homosexual individual, should one exist, could find  
 310 several same-sex partners with whom they could engage in  
 311 sex, even if those partners were not exclusively homosexual  
 312 themselves. This in fact happens also in our own species,  
 313 where exclusive homosexual individuals can find occasional  
 314 partners who are heterosexual (Whitam, 1992).

315 In conclusion, the hypothesis that the decline of phero-  
 316 monal communication allowed for the evolution of social  
 317 complexity, including same-sex sexuality, is compelling. In  
 318 my view, the idea that a single gene, and not a whole set of  
 319 genes, promoted this shift needs further testing. Regardless,  
 320 Pfau et al.'s (2019) hypothesis while heuristic for the evolu-  
 321 tion of same-sex sexuality in nonhuman primates, fall short  
 322 of explaining the evolution of an exclusively homosexual  
 323 phenotype as seen in humans.

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