

Displacement residuals reveal landslide regime shifts



Abstract Despite significant progress in the development of advanced technologies for detecting and monitoring unstable slopes, accurately predicting catastrophic landslides remains a challenge. To tackle this challenge, our research integrates advanced prediction models and granular systems theory to provide insights into regime shifts within slow-moving deep-seated landslide dynamics. Our approach is designed to discern exceptional departures from historical landslide dynamics. The approach leverages the “group dynamics,” crucial for identifying precursory failure indicators, according to the generic dynamics of the precursory failure regime in granular systems. We select three different monitored slow-moving landslides as test cases. We employ an error correction cointegration vector autoregression model together with an exogenous regressor to encode historical spatiotemporal landslide dynamics and predict displacement at multiple locations by considering the historical landslide motion and relationship with external triggers. Displacement residuals are obtained by computing the difference between predicted and measured displacement for a given historical calibration time window. Threshold values for the displacement residuals are determined by analyzing the historical distribution of these residuals. Lastly, persistence in time of the threshold exceedance and the number of monitoring points that exceed the threshold at the same time are considered to encode the group dynamics. This approach offers several advantages, including the effective identification of critical regime shifts, adaptability, and transferability, and it introduces regime shift information into local landslide early warning systems. This approach can enhance confidence in the resultant alert, particularly when integrated with conventional alert systems, thereby improving the reliability of landslide warning systems.

Keywords Landslide hazard · Early warning · Alert systems · Autoregressive models · Regime shifts

Introduction

Landslides are a common worldwide geohazard responsible for many casualties and economic losses each year (Froude and Petley 2018). Although real-time monitoring of catastrophic landslides poses challenges, studying non-catastrophic, slow-moving landslides offers a valuable opportunity. These landslides exhibit downslope movement over extended periods, ranging from months to centuries, with rates spanning from millimeters to several meters per year (Lacroix et al. 2020). While slow-moving landslides typically do not result in fatalities, the potential for fast-moving debris flows originating within the slow-moving mass presents a threat to large areas (Palmer 2017). Additionally, slow-moving landslides can undergo rapid acceleration, leading to catastrophic failures and

widespread devastation. Notably, retrospective analysis has identified slow ground motions as precursory signals before catastrophic landslides occur. Consequently, the early detection of landslides before catastrophic events is a crucial focus in current research (Lacroix et al. 2020).

Landslide risk reduction employs various methods, broadly categorized into two approaches: structural measures that actively reduce landslide occurrence likelihood or engineering measures that enhance the resilience of at-risk elements, alongside non-structural strategies. Within the latter, landslide early warning systems (LEWS) have gained prominence globally for several reasons. They offer economic and environmental advantages over structural alternatives, utilize advancing monitoring technologies, and rely on reliable databases for model calibration (Intrieri et al. 2012; Pecoraro et al. 2019). The goal of LEWS is to decrease the risk of loss of life and other adverse impacts by providing timely information to individuals, communities, and organizations threatened by landslides, allowing them to prepare and take appropriate action.

LEWS can be deployed at two scales: local (Lo-LEWS) for addressing individual slope-level landslides (Dick et al. 2015) and territorial for regional-scale coverage (Segoni et al. 2014). Early warning systems are thought to be cost-effective in terms of risk reduction and damage mitigation (Intrieri et al. 2012). These systems include monitoring, forecasting, warning, and response tasks. To establish effective Lo-LEWS for large and complex landslides, it is essential to identify specific threshold values for key indicators. These indicators often include displacement, displacement rate, rainfall (such as intensity and duration), and pore water pressure or piezometric level (Crosta et al. 2017). These threshold values serve as critical benchmarks for triggering warning signals and informing decision-making processes to mitigate potential risks and damages associated with landslides. The most common approach to Lo-LEWS is the use of velocity thresholds, which are typically derived from historical data and reflect the displacement rates that have resulted in the past (Crosta and Agliardi 2002). A comprehensive study by Pecoraro et al. (2019), after analyzing 29 Lo-LEWS, highlighted that the warning models predominantly focus on displacement metrics, such as movement rate, velocity, and acceleration, due to their direct correlation with landslide activity (Festa et al. 2023). Meteorological factors are also considered in the models due to the prevalence of weather-induced landslides. Most systems also monitor parameters not directly included in the warning models, reflecting a proactive approach by managers to continuously assess and potentially update the adopted warning model over time.

The approaches based on displacement (and velocity) are grounded in the recognition that over an extended timeframe, observed slope displacements often conform to a standard creep

curve. In this context, escalating displacements serve as a precursor indicating imminent failure (Fukuzono 1985; Kawamura 1985; Voight 1988; Zvelebil and Moser 2001). More recently, research has focused on the improvement of existing methods to pinpoint the precise time of failure (Segalini et al. 2018; Ju et al. 2020; Leinauer et al. 2023), yet an uncertainty persists regarding the appropriate timing for applying these methods. There remains a challenge in determining when to deploy such techniques, as they might be employed even when failure is not imminent, rendering them ineffective in such instances (Catani and Segoni 2022).

Despite significant progress in the past decades in the development and deployment of advanced monitoring technologies for detecting unstable slope movements (Monserrat et al. 2014; Crosta et al. 2017), the prediction of catastrophic landslides remains limited. Therefore, there is an essential requirement for real-time forecasting of catastrophic landslides. This would enable the prompt issuance of relevant warnings to effectively mitigate associated risks.

In this research, we propose a Landslide Regime Shift Detector (LRSD), which integrates advanced prediction models to provide insights into regime shifts within slow-moving landslide bodies. The error correction cointegration vector autoregression (ECCVAR) was adopted to fit the spatiotemporal dependencies of the landslide displacement data and predict displacements using external triggers (exogenous variables). Given the hypothesized ECCVAR cannot fully capture the stronger and unexpected changes in the landslide trend, the displacement residuals were employed to detect sudden substantial alterations in the landslide behavior, which might lead to a catastrophic failure. Lastly, persistence in time of the threshold exceedance and the number of monitoring points that exceed the threshold at the same time are considered to encode the “group dynamics,” crucial for identifying pre-failure indications, according to the generic dynamics of the precursory failure regime in granular systems.

Case studies

Three different study cases are used in the research to evaluate the proposed LRSD. The Sant’Andrea landslide is located in the Dolomites, an area of the Southern-Eastern Italian Alps, in the Province of Belluno (Veneto Region, NE Italy), in Perarolo di Cadore Municipality. The Pomarico landslide is located in the Basilicata Region, Southern Italy. The Veslemannen landslide is located in Romsdalen, Western Norway.

Sant’Andrea landslide

The Sant’Andrea landslide, located in the Dolomites area of the Southern-Eastern Italian Alps, affects the left-hand slope of the valley overlooking the Boite River, just upstream of the Perarolo Village (see Fig. 1). The position of the landslide is particularly hazardous for the inhabitants of the area, as a potential collapse of the unstable mass could lead to temporary damming on the Boite River, causing downstream flooding. The geological characteristics of the landslide area have been extensively studied over several years through various surveys that combined on-site investigations, geological and geotechnical analyses, and spatially distributed information on lithological units. The Sant’Andrea landslide is composed of a 30-m-thick deposit of clay-calcareous debris, consisting of heterogeneous materials with varying grain sizes and geotechnical characteristics, sliding across the weathered part of the bedrock made up of a dolomitic lithology and folded layers rich in anhydrides and gypsum.

The Sant’Andrea landslide exhibits a complex behavior characterized by phases of slow and fast displacements, with the latter triggered primarily by prolonged and intense rainfall events. The geological and hydrogeological setting of the unstable slope was characterized through several surveys, which provided valuable information on the distribution of lithological units and aided in the interpretation of its behavior. Two groundwater flow systems

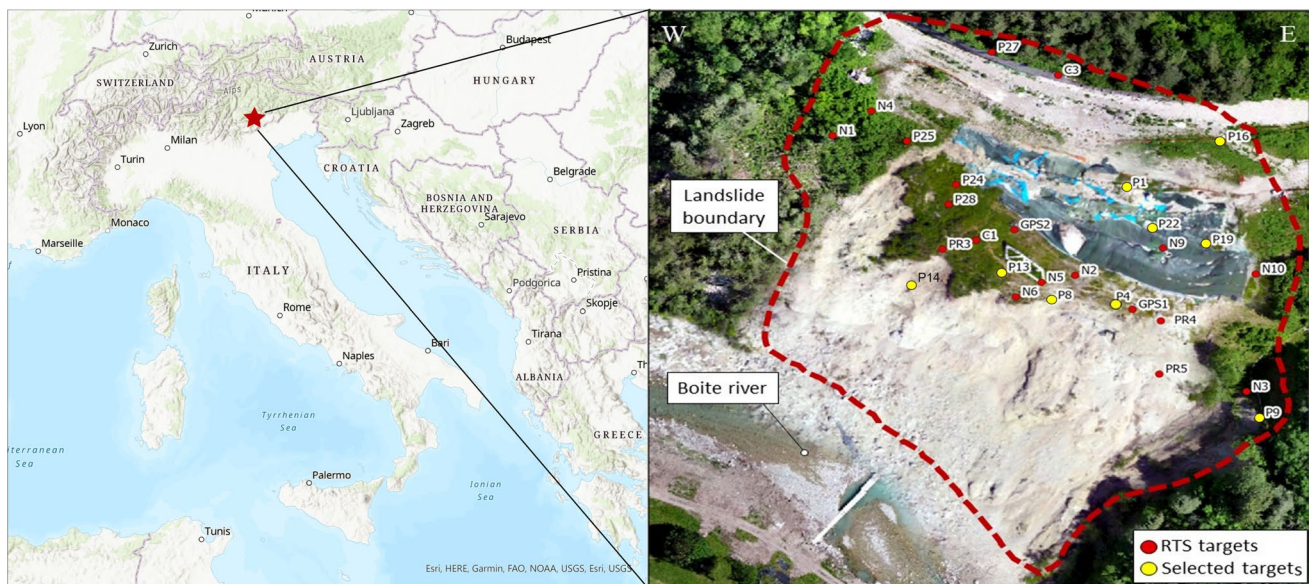


Fig. 1 Sant’Andrea landslide site. In the orthophoto, the targets of the topographic monitoring system and the boundary of the unstable area are shown

were identified within the landslide: a shallow one in the upper layers of the debris deposits and a deep one involving the upper part of the bedrock, mainly composed of altered and fractured gypsum. Water plays a crucial role in triggering slope instability, both through the acceleration of displacements during rainfall events and the slow displacements induced by the active and deep circulation of water from the upper part of the slope in dry periods. The physical and chemical interaction between water and gypsum components of the upper part of the bedrock and the surficial debris layers influences the mechanical properties of the rock mass, with hydration processes causing plastic rheology of the weak gypsum lithology and the development of karst cavities and microcracks (Brezzi et al. 2021).

The Sant'Andrea landslide has been monitored since 2013 using a topographic system consisting of a robotic total station (RTS) and reflective targets installed on the unstable slope. For this study, the P1, P4, P8, P9, P13, P14, P16, P19, and P22 targets were chosen since active monitoring points from 2013 to a minor landslide failure occurred on June 9, 2021, in the area on which P14 was located. Six of them (P4, P8, P13, P14, P19, and P22) are located in an area affected by significant displacements, while the remaining three are located in stable areas.

The rain gauge is located approximately 500 m from the landslide. Figure 2 shows the measured cumulative displacement of the modeled monitoring points, along with hourly rainfall measured by a nearby rain gauge.

Pomarico landslide

The village of Pomarico is situated atop a narrow ridge at approximately 450 m above sea level. In January 2019, Pomarico's southwestern urban area experienced a significant mass movement triggered by prolonged rains (see Fig. 3). This event led to the collapse of a bulkhead supporting the main town road, resulting in the

destruction and damage to private and commercial buildings, as well as widespread evacuation. The slope in this area is marked by various landforms related to old landslides, including scarps and depressions, covered partially with sandy-clayey debris containing dislodged blocks. Both sides of the slope adjacent to the investigated landslide feature ancient and recent landslide bodies. The geomorphological features indicate the reactivation of past landslide events, including those from 1959 and 1960, involving roto-translational sliding movements and earthflows. The January 24–25, 2019 rainfall event significantly altered water content and geomechanical conditions within the slope. This led to the activation of an earthflow on January 25, which in turn triggered retrogressive roto-translational sliding on January 29 (Doglioni et al. 2020). The latter was initially blocked by a bulkhead, but its deformation led to the slope's decompression and the progression of the roto-translational slide. The geological composition of the Pomarico southwestern slope is characterized by sandy and clayey deposits. The slope is covered by debris that incorporates tilted blocks of sandy-arenaceous layers, contributing to its complex nature. These deposits play a crucial role in the susceptibility to landslides, with variations in water content and geomechanical conditions significantly influencing slope stability. Additionally, the presence of ancient and recent landslide bodies further contributes to the complex behavior of the slope (Perrone et al. 2021). The combination of these geological features and material properties along with rainfall has a direct impact on the initiation and progression of mass movements in the area.

The Pomarico landslide has been monitored since 2019 using a ground-based synthetic aperture radar (GB-SAR). For this study, all 33 monitoring points were chosen. Ten of them (17, 18, 19, 20, 21, 23, 30, 31, 32, 33) are located in areas affected by significant displacements, while the remaining are located in relatively stable areas. The rain gauge is located approximately 100 m from the landslide. Figure 4 shows the cumulative displacement of the monitoring points along with the rainfall measured by a nearby rain gauge.

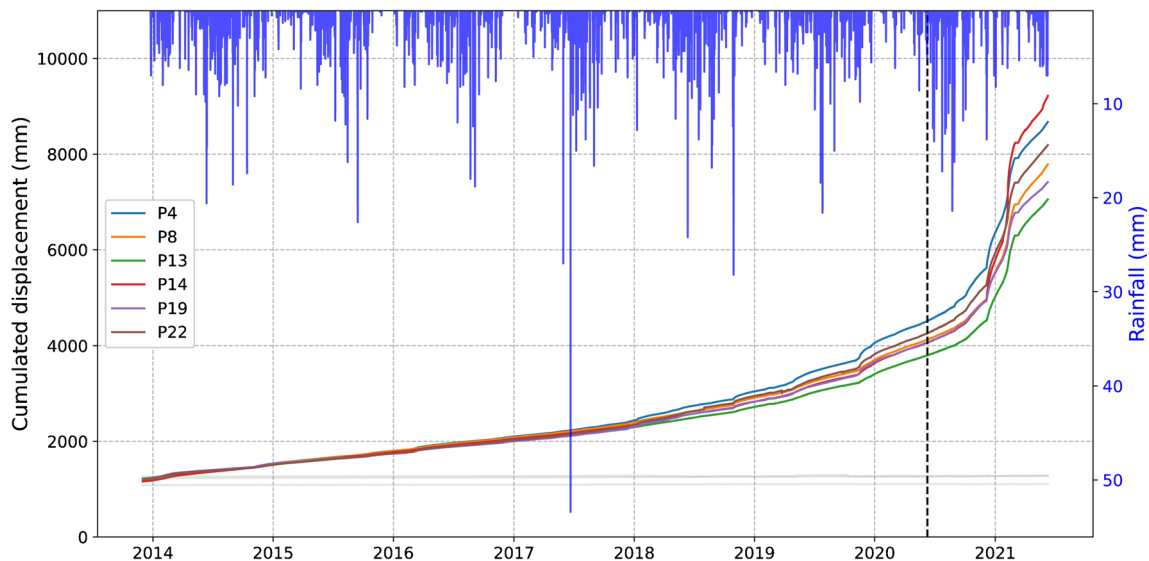


Fig. 2 Measured cumulated displacement of the modeled nine monitoring points along with the rainfall in the Sant'Andrea landslide. All the time series have an hourly frequency. The three gray time series are the three stable points while the vertical black line divides the calibration set (left) and the test set (right)



Fig. 3 Pomarico landslide site. Adopted from Perrone et al. (2021)

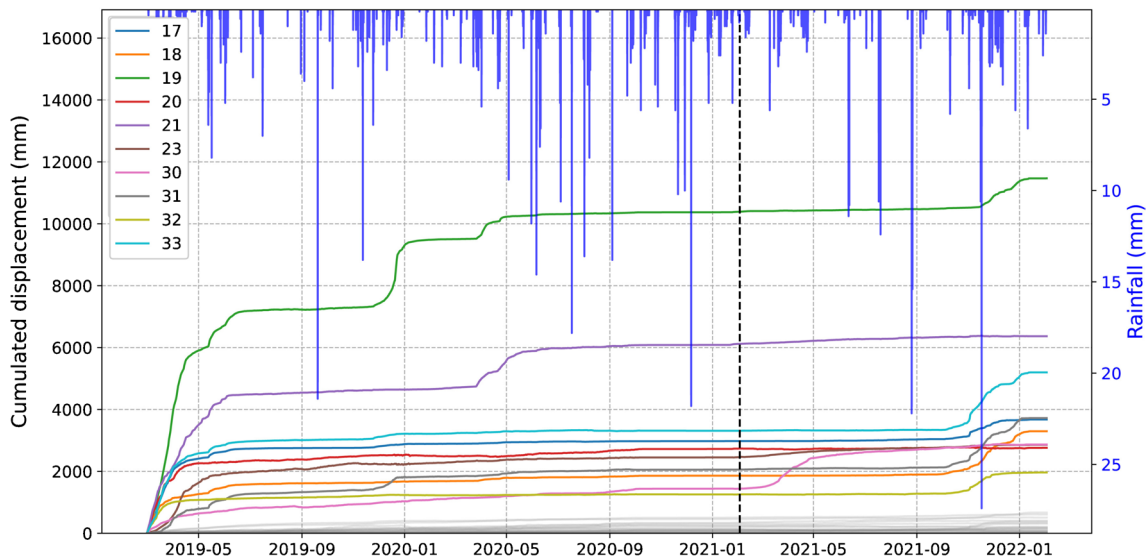


Fig. 4 Measured cumulated displacement of the modeled 33 monitoring points along with the rainfall for the Pomarico landslide. All the time series have an hourly frequency. The 23 Gy time series are the stable points while the vertical black line divides the calibration set (left) and the test set (right)

Veslemannen landslide

The Veslemannen unstable rock slope was located in Romsdalen, Rauma Municipality, Western Norway. Romsdalen is a 30-km-long U-shaped glacial valley carved into the crystalline basement of the Western Gneiss Region (Fig. 5), which underwent metamorphism during the Caledonian orogeny (Saintot et al. 2012). The ice sheet in the area began to thin around 15–13 thousand years ago, with a valley glacier extending to the fjord during the Younger Dryas period. The Mannen unstable rock slope, where Veslemannen was located, consists of highly folded and deformed high-grade metamorphic rocks. These rocks exhibit gravitational fracture opening along an

east–west sub-vertical foliation and nearly north–south fractures. Different mechanisms of deformation were proposed for Mannen, including translational sliding and wedge failure with steps along the sliding surface. The structural and topographic conditions in the area make it prone to slope collapses, and Romsdalen Valley has a high density of post-glacial rock slope failures in Norway. The slope angle of Veslemannen ranged from 45 to 50°, with a steeper frontal part at 70° (Hilger et al. 2018). The upper part was highly fractured with loose blocks, while the middle part contained crushed rock. The lower part, or toe area, consisted of more intact rock and included a pinnacle known as “Spiret” or “the Tower,” which was considered a key block stabilizing the upper part of the

slope. The rockslide upper boundary was located at 1220 m above sea level, marked by a snow-filled open fracture. Most of the movement and the final failure of Veslemannen occurred along one of the parallel transverse fractures below the back fracture. The estimated volume of Veslemannen was approximately 120,000–180,000 m³, but the failure in September 2019 involved only 54,000 m³.

The rockslide was continuously monitored with real-time instrumentation and monitoring initiated in 2009. Various instruments, including GNSS antennas, lasers, extensometers, tiltmeters,

borehole instrumentations, web cameras, a meteorological station, and ground-based interferometric radar systems, were used. For the validation of the proposed LRSD, we use the high-frequency GB-SAR monitoring system. The rain gauge is located approximately 150 m from the landslide. The radar was continuously in place from October 6, 2014, (Fig. 6) from the valley floor at Lyngheim. Seven out of the eight monitoring points are located in the failed area. For further information about the rockslide, please refer to Kristensen et al. (2021).



Fig. 5 Veslemannen rockslide site. Photo from Kristensen et al. (2021)

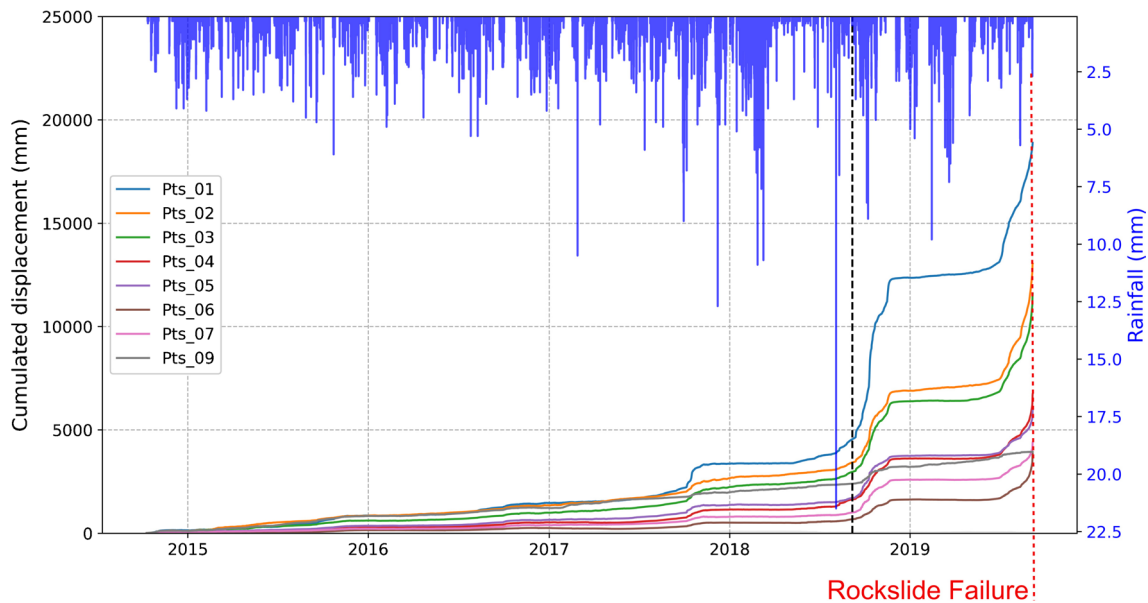


Fig. 6 Measured cumulated displacement of the modeled nine monitoring points along with the rainfall. All the time series have an hourly frequency. The gray time series is the stable point while the vertical black line divides the calibration set (left) and the test set (right). The failure occurred at the end of the plotted series

Methodology

The LRSD proceeds in a sequence of two phases: calibration and deployment. The LRSD calibration phase offers an objective method to determine a critical residual displacement r^* for each monitoring point, based on its historical dynamics. This phase involves a 4-step procedure, repeated hourly over a specified historical period, to identify r^* . The LRSD deployment phase utilizes a 4-step procedure to assess the latest hour's landslide dynamics across the entire monitoring domain, to determine whether an alert is warranted. The calibration phase is designed to deliver an r^* value for each monitoring point, which corresponds to the CDF = 0.999 of the mean of the residuals (\bar{r}) computed over 24 h

of prediction, by shifting the training/prediction window forward each hour over the calibration window (see Fig. 7). In deployment, the procedure is repeated and each \bar{r} compared against r^* . Finally, group dynamics are included by considering the persistence in time of the threshold exceedance and the number of monitoring points that exceed the threshold at the same time (see Fig. S10).

Data-driven landslide displacement modeling

The nonlinear nature of landslide motion, which is affected by various external variables, especially rainfall and reservoir water level changes, makes predicting landslide behavior complex.

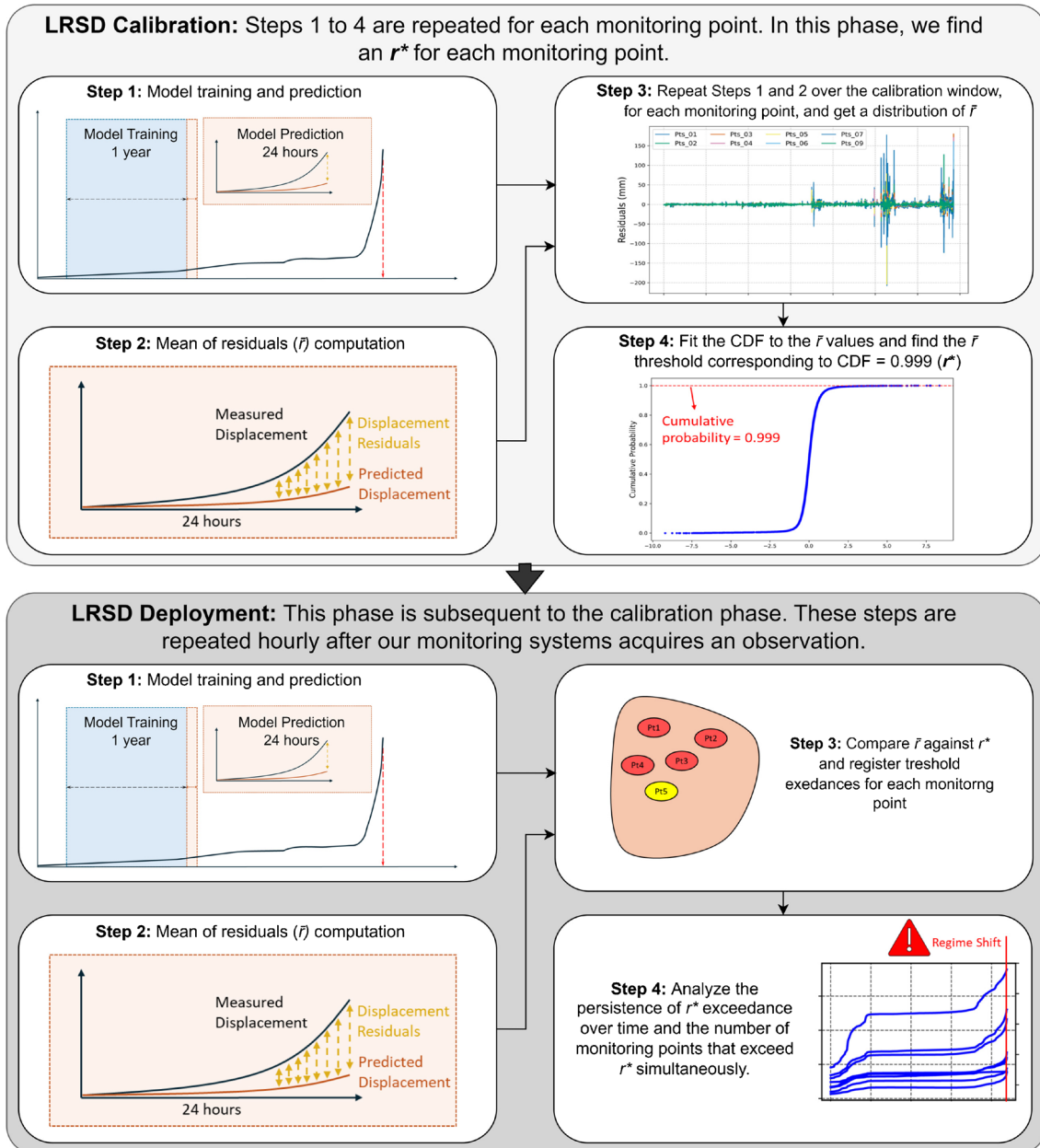


Fig. 7 Scheme of the calibrations and deployment phases of the landslide regime shift detector. The displacement residuals in the prediction horizon quantify the deviation of recent dynamics away from prior dynamics, as training-prediction window rolls forward every hour

Recent advancements in landslide displacement prediction have demonstrated the efficacy of artificial intelligence (AI), specifically machine learning (ML) and deep learning (DL) techniques, which can accurately predict future velocity by taking contributing factors into account in addition to landslide displacement data (Gao et al. 2022; Nava et al. 2023; Zhang et al. 2022). However, fine-tuning the parameters of these models to achieve the best performance often involves multiple iterations and extensive computational resources. This can hinder their real-time applicability, particularly in scenarios where rapid decision-making is crucial. Additionally, the static nature of these models poses limitations in adapting to dynamic changes in landslide behavior (Nava et al. 2023). Landslide patterns and environmental conditions can evolve due to various factors, such as changing weather patterns, human activities, and, above all, geomechanical changes within the landslide body (Marmoni et al. 2023; Petley and Allison 1997; Ye et al. 2022). Traditional forecasting models, once trained and optimized, do not readily accommodate these dynamic changes without undergoing a retraining process. This lack of adaptability becomes particularly relevant in the context of landslides, where timely and accurate predictions are vital for risk management and early warning systems (Intrieri et al. 2013). Landslide behavior can exhibit regime shifts or sudden anomalies that may not be adequately captured by a static model. Currently, statistical vector autoregressive (VAR) time series models are emerging as viable solutions to overcome these limitations (Wang et al. 2020). These models have demonstrated effectiveness in predicting landslide displacement and providing early warnings. For instance, Qian et al. (2021) tackled the nonstationary and nonlinear characteristics of landslides by employing error correction cointegration (ECC) and vector autoregression (VAR) techniques to manage nonstationarity. Similarly, Zheng et al. (2023) used ECC-VAR while also considering the nonlinear trend present in the high-dimensional vector time series data. The integration of these models in an augmented intelligence platform for what-if scenario analytics in Tordesillas et al. (2024) demonstrates its promise for near real-time decision support in early warning and risk mitigation of catastrophic slope failure.

For this research, the data-driven ECC-VAR is designed to “learn” and adapt to the changing ground conditions. This is achieved by incorporating historical displacement data, consisting of displacement time series across multiple locations, coupled with an important exogenous variable—rainfall, which has been identified as the primary factor triggering landslide accelerations in the considered cases. The ECC-VAR is used to forecast the displacement of all monitoring points of the landslides simultaneously. The ECC-VAR is a multivariate time series model that allows for the analysis of the long-term relationships among variables (Wang et al. 2020; Qian et al. 2021).

$$\Delta y_t = \underbrace{\alpha(\beta' \eta') \begin{pmatrix} y_t - 1 \\ D_{t-1}^{co} \end{pmatrix}}_{\text{Error correction}} + \underbrace{\Gamma_1 \Delta y_{t-1} + \dots + \Gamma_{p-1} \Delta y_{t-p+1}}_{\text{Lagged endogenous}} + \underbrace{Y_1 \Delta x_{t-1} + \dots + Y_{p-1} \Delta x_{t-p+1}}_{\text{Lagged exogenous}} + \underbrace{u_t}_{\text{Error}} \quad (1)$$

where y_t is the $N \times 1$ displacement at time t over N locations and $t = (w-1)s + 1, (w-1)s + 2, \dots, (w-1)s + T$, with $w = 1, 2, \dots$ referring to the w -th window of training data, s being the size of each testing data window, and T being the size of each training

data window. Basically, we fit model (1) to each window w of training data sequentially and then compute the predictions of $y_{(w-1)s+T+1}, \dots, y_{ws+T}$, denoted as $\hat{y}_{(w-1)s+T+1}, \dots, \hat{y}_{ws+T}$ for the w -th testing window, using the fitted model (1) based on the window w of training data. After the sequential trainings of model (1) and the subsequent predictions for the associated testing windows, we will be able to compute the residuals between the y_t observations and their respective predictions. Comparing the empirical CDF of the residuals against the expected CDF of residuals under model (1) enables us to see when and where y_t enters a tertiary failure regime. This procedure is different from that of Wang et al. (2020) and Qian et al. (2021) where the y_t observations in testing windows, presumably not observed yet, are not used in forecasting. Also, note that

$$\Delta y_t = y_t - y_{t-1}$$

Δx_t is an exogenous scalar variable at time t .

D_{t-1}^{co} is the function of t specified by n , ci , and li .

$D_{t-1}^{co} = 1$ if deterministic = ci .

$D_{t-1}^{co} = t - 1$ if deterministic = li .

$D_{t-1}^{co} = 0$ if deterministic = n .

Γ_j is $N \times N$ matrix giving the impact of Δy_{t-j} on $\Delta y_t, j = 1, 2, \dots, p-1$; estimated by the general least squares (GLS) method.

Y_j is $N \times N$ matrix giving the impact of Δx_{t-j} on $\Delta y_t, j = 1, 2, \dots, p-1$, estimated by the GLS method.

α is the adjacent matrix, estimated by the GLS method.

β' is the cointegration matrix, estimated by the GLS method.

η' is the deterministic trend, estimated by the GLS method.

The implementation of the ECC-VAR is carried out using the Python programming language, specifically leveraging the *statsmodels* (<https://www.statsmodels.org>) library for analysis (Lütkepohl 2005). The calibration of the model is performed on a dataset comprising historical displacement data and corresponding rainfall data, both of which are collected at an hourly frequency. To ensure the model's accuracy, an hourly forward validation technique is employed, allowing for rigorous validation. In this process, the model undergoes training, prediction (24 h ahead), and validation steps, which are repeated for each hour within the calibration phase. The efficient processing time of less than 1 min enables this repetitive procedure to be completed swiftly. One notable feature of the prediction scheme is that the training set maintains a fixed-length training window that advances with time. As new data becomes available, the oldest data points are removed, ensuring that the model consistently learns from the most recent landslide states. This adaptability enables the model to adjust to any potential changes in landslide behavior, such as a regime shift to tertiary creep.

The use of the ECC-VAR in this study allows for the simultaneous prediction of the displacement of multiple points on the landslide, which is important for the development of an effective alert

analysis on the best rainfall shifts has been conducted, as well as an accurate tuning of the model parameters, to obtain the best possible prediction by the model. The ECC-VAR has undergone rigorous optimization and tuning using the calibration sets to ensure accurate prediction of rainfall-triggered accelerations. It is crucial to note that the ECC-VAR does not inherently impose restrictions when predicting specific landslide velocities. The training length was established at 1 year since extending it did not yield discernible enhancements in model performance. Conversely, reducing the duration would compromise the identification of crucial triggers responsible for landslide accelerations. Regarding the prediction window, a period of 24 h was chosen to account for daily seasonality systematically. This choice also facilitates the extraction of a stable average, essential for precise displacement residual calculations in subsequent analyses.

We optimize the hyperparameters by ablation, comparing the scores yielded by the forward validation over the calibration window, time lag ($p = 2, 4, 6, 8, 10, 12, 14, 16, 18, 20, 24$), and deterministic terms (no deterministic terms, constant term outside the cointegration relation, constant term within the cointegration relation, linear trend outside the cointegration relation, linear trend within the cointegration relation, and all the possible combinations of the above). The model parameters are estimated through the maximum likelihood estimation method. The time lag is the number of lagged differences to include in the model. This parameter determines the order of the autoregressive (AR) process for differenced data. In an ECC-VAR, differenced variables are used to capture the short-term dynamics of the system due to they being stationary more likely. The D_{t-1}^c variable in the error correction term incorporates a deterministic effect into the model. And the other part of the error correction term is to turn the nonstationary or trend-like patterns in endogenous (displacement) time series data into a stationary one. The cointegration rank is re-determined iteratively before every model training, by using the `select_coint_rank` function built within the `statsmodels` library, specifically for ECC-VARs. Table 1 shows the optimal parameters for each study case, along with the number of monitoring points and the number of observations.

The data used for the calibration are the ones included in the calibration set (refer to Figs. 2, 4, and 6). Over time, when the system encounters an unexpected (explosive) acceleration in landslide movement, it tends to underestimate the displacement initially. Subsequently, when this acceleration data is incorporated into the system's training set, the model adjusts to the evolving trend of the landslide. As a result, it tends to overestimate the displacement when the landslide's velocity decreases following the initial acceleration. This indicates a lack of the capability of the ECC-VAR model to characterize explosive nonstationarity and nonlinear effects

from the exogenous variables. It is beyond the scope of this paper to develop a statistical model more advanced than the ECC-VAR to possess this capability. Rather, we will exploit this lack of capability to develop a residual-based landslide alert system in the following subsections (Gouriéroux and Zakoïan 2017; Phillips and Shi 2020; Blasques et al. 2022; Phillips et al. 2011).

Residual-based landslide alert system

The proposed early warning service relies on the residual values computed between the predicted and measured displacement of each point individually, and it is built upon the method proposed by Jiang and Chen (2022). Specifically, the mean of the residuals is computed for each predicted 24-h period. In other words, the difference between the predicted and observed values of displacement for each 24 h is averaged to obtain the residual displacement. The early warning system then employs a kernel smoothing method to estimate the probability distribution underpinning these residuals. The estimate is nonparametric and non-normal and is named kernel density estimator (KDE) (Chen 2017). The proposed alert system uses KDE to compute the cumulative distribution function (CDF) value of the residual at each monitoring point for each given time period. The CDF value at a monitoring point exceeding a critical threshold, e.g., 0.999, is an indication of that point being at risk of failure. It is shown that KDE can better fit the residuals resulting in a small number of false alerts (Jiang and Chen 2022). Two different strategies to define the critical threshold were tested. The first consists of fitting the residual distribution and comparing the corresponding residual value to the 0.999 thresholds of CDF every hour. The first workflow reduces the number of alarms consistently. However, the sensitivity of the threshold decreases sensibly after extreme residual values, as one extreme residual value might set a too high threshold. The consequence is that no alert is sent after relatively big residual values. Therefore, a second strategy (more conservative) which considers the historical residual distribution is adopted to set the thresholds which will be the same for all the testing sets (1 year in each case). We consider the 0.999 CDF value as the threshold to exceed (> 0.999) to trigger an alert since we expect the most critical instances to be the ones in which our model heavily underestimates the landslide displacement (and not < 0.001 since we do not consider critical the case in which the prediction overestimates the displacement). These values are commonly used in the literature (Jiang and Chen 2022). The corresponding threshold value in the residuals is calculated based on the distribution of the residuals for the entire calibration window for each one of the monitoring points located in the unstable area (the moving ones),

Table 1 Characteristics of the data series and optimal parameters for the ECC-VAR, for the three modeled study cases

Study case	Number of monitoring points (moving) (failure)	Number of observations (frequency)	Deterministic term	Time lag
Sant'Andrea	9 (6)(1)	66,010 (1 h)	n	6
Pomarico	33 (10)(0)	25,675 (1 h)	ci	10
Veslemannen	8 (7)(7)	43,090 (1 h)	li	4

separately. This means that we will end up with a different residual threshold for each one of the moving monitoring points.

To encode group dynamics, which are crucial for identifying precursory failure indicators according to the generic dynamics of the precursory failure regime (PFR) in granular systems, two factors have been introduced: persistence in time (PiT) and the number of points (NP) that exceed the threshold. These factors influence the resulting alerts delivered, as illustrated in Fig. S10. The relationship between PiT, NP, and the PFR is further discussed in the “Connections with the generic dynamics of the precursory failure regime in granular systems” section.

Results

We present results for both the model prediction outcomes and the final alert service for three different study cases. Results for each case study are displayed separately, thus providing valuable information about the performance and effectiveness of the implemented methods in the context of landslide prediction and early warning for each test site. The procedure is developed using the Python programming language. All experiments were conducted on a computer operating on the Windows system, equipped with a 3rd Gen Ryzen Threadripper 3990X CPU.

Sant' Andrea landslide

From June 9, 2020, to June 9, 2021, the landslide behavior changed substantially, showing sudden strong accelerations, and an overall increase in the displacement rate (Fig. 2). This referenced year is used to assess the performance of the LRSD since we specifically aim to evaluate and analyze the behavior of the proposed service as well in landslides with strong dynamic behavior. The frequency of the time series analyzed is hourly, meaning that the test set is composed of 8760 measurements while the calibration set of 57,177. After the model is trained, it is used to predict the displacement of the nine monitoring points on the landslide for 24 h (of which six are consistently moving and three are in stable areas), considering the measured values of the exogenous variable, rainfall, for the entire prediction window. The predicted displacement values can then be compared to the actual displacement values to assess the accuracy of the model. In the reference year (June 9, 2020, to June 9, 2021), it is evident how the residuals become unstable, especially for P14, which fails at the end of the plotted data (see Fig. 2). In this case, the ECC-VAR yielded optimal results with the following parameter settings: a detrending order of 0, lagged values of 6, and no deterministic terms (“ μ ”). By excluding deterministic terms, the model assumes that the displacement behavior is solely determined by the historical relationships captured by the error correction mechanism. This implies that any other external or fixed effects that might affect the variables need not be considered or accounted for in the model, within the displacement data. This assumption is not true for the exogenous rainfall. The RMSE of the refined model across both the calibration and test sets amounts to 5.03 mm. This relatively high value is notably attributed to the landslide events observed in the past year (see Fig. 2). Ablation analysis focusing on the external variable revealed that the most optimal outcomes (lowest RMSE) are obtained when considering rainfall from the current day up to 18 days prior.

We fit the distribution of displacement residuals leading up to June 9, 2021. Notably, during the evaluation year, P14 demonstrates recurrent instability (see Fig. S7). This instability continues throughout the entire year, resulting in a total of 380 instances where the threshold is exceeded. However, in the case of the Sant'Andrea landslide, the volume related to a single monitoring point does not consist of a dangerous situation for the Perarolo Village. Hence, we aim to prevent the system from issuing an alert in such cases, as the regime shift is localized and does not reflect the behavior of the entire landslide mass. Figure 8 shows the effectiveness of considering the proposed combination between PiT and NP. The number of alerts significantly decreases, limiting them to the two most critical instances. It is not difficult to notice how the number of delivered alerts becomes acceptable after selecting NP = 4 monitoring points altogether exceeding the threshold for PiT = 3.

Pomarico landslide

The behavior of the Pomarico landslide remains relatively consistent between the calibration and test sets, unlike the observed variability in the Sant'Andrea landslide. In this scenario, we aim to assess the effectiveness of the proposed LRSD when the landslide's behavior remains relatively stable. After the model is trained, it is used to predict the displacement of the 33 monitoring points on the landslide (of which nine are consistently moving and 24 are relatively stable), considering the measured values of the exogenous variable, rainfall, for the entire prediction window. In this case, the calibration window is composed of 16,915 observations while the test set of 8760 (see Fig. 4). The ECC-VAR yielded optimal results with the following parameter settings: a detrending order of 0, lagged values of 10, and “ ci ” as a deterministic term. This means that the displacement has a linear trend. The combined RMSE of the refined model across both the calibration and test sets amounts to 0.12 mm. Ablation analysis focusing on the external variable revealed that the most optimal outcomes are obtained when considering rainfall from the current day up to 72 days prior (with a 3-day shift interval).

We fit the distribution of displacement residuals leading up to February 02, 2021 (Fig. S2). In this case, the residuals remain relatively stable for all the considered time series. From September 2020 to January 2021, the magnitude of the residuals strongly decreased given some problems with the monitoring instrumentation. Just toward the end of the series, we can see some increase in residual values, which become particularly unstable for monitoring point 33. This forms the core of our approach to trigger alerts in the year that follows. For the two critical accelerations, all six monitoring points consistently exceed the predefined thresholds. As in the case of the Sant'Andrea landslide, specific points sporadically surpass the established thresholds (Fig. S8). We observe a noteworthy reduction in the frequency of alerts as we extend the time intervals beyond a single hour (see Fig. S5). The marked decline in alert occurrences as we lengthen the time intervals implies that many alerts do not signify enduring patterns but rather fleeting and sporadic (noisy) events. Figure 9 shows the effectiveness of the proposed combination between PT and NP, which can reduce the number of alerts, restricting them in correspondence with the most critical instances.

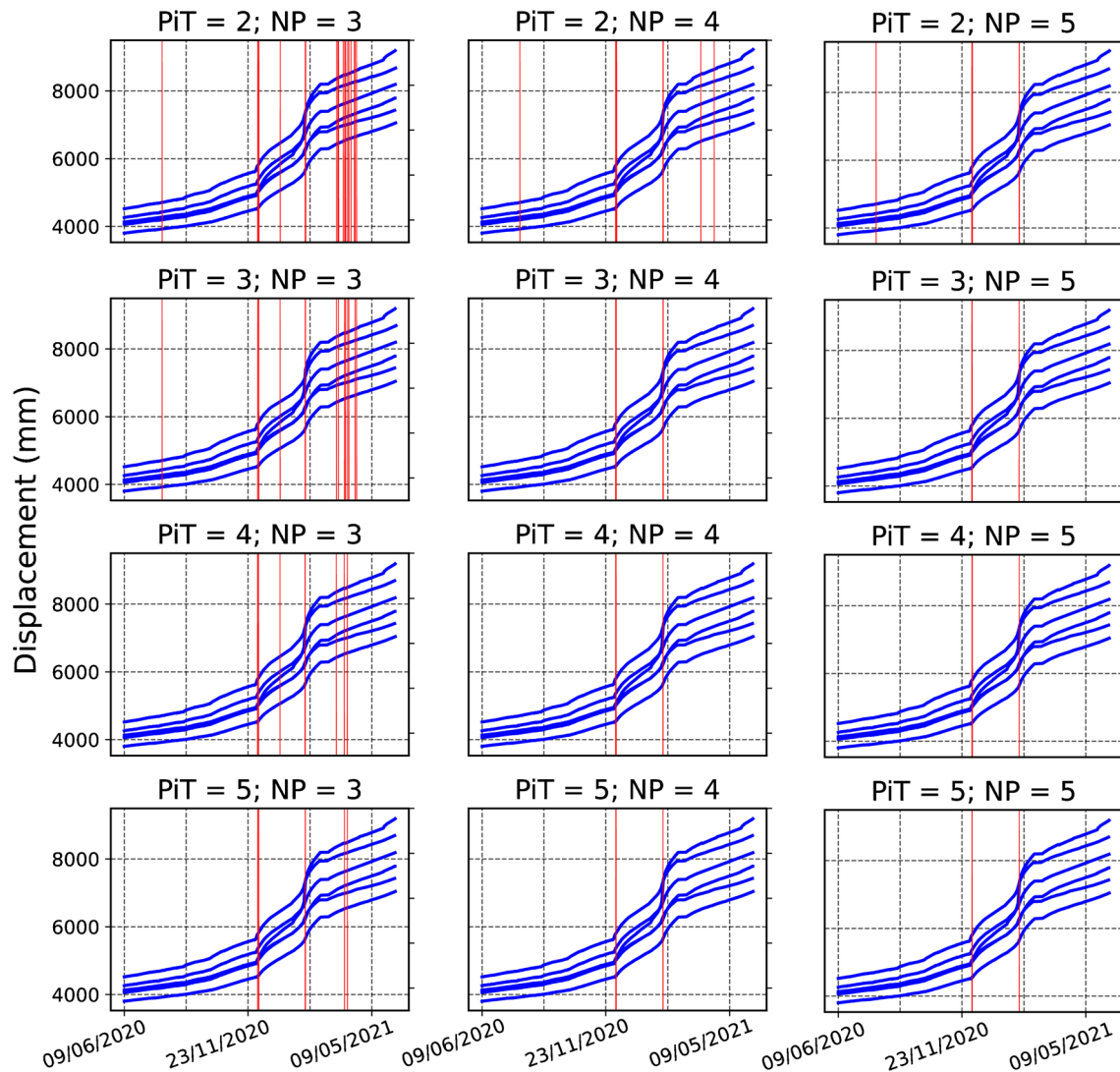


Fig. 8 Simulation of deployment of LRSD for the unseen test year in the Perarolo landslide. Alerts (red) are delivered using 2, 3, 4, and 5 as PiT and 3, 4, and 5 as the number of points that exceed the threshold simultaneously. The measured cumulative displacement of the six points located in the unstable area is shown in blue

Veslemannen landslide

In the last year, the landslide behavior changed substantially showing two sudden strong accelerations and an overall increase in the displacement rate (see Fig. 6). At the end of the measured displacement, at 20:58 on September 5, 2019, the rock mass failed. This last year is used as a test for the LRSD since we want to evaluate and analyze the behavior of the proposed service as well in landslides with strong dynamic behavior and failure. The frequency of the time series analyzed is hourly, meaning that the test set is composed of 8760 observations, while the calibration set is composed of 34,330 observations. As emphasized by Kristensen et al. (2021) the accelerations of the Veslemannen rockslide are, in part, initiated by the melting snow. Nevertheless, we exclude its modeling in this study to maintain the consistency of the data series frequency. It is worth noting that our system is designed to function

effectively even when not all covariates are accessible or highly correlated with the landslide motion, as in this instance. After the model is trained, it is used to predict the displacement of the nine monitoring points on the landslide for 24 h (of which eight are consistently moving and one is stable), considering the measured values of the exogenous variable, rainfall, for the entire prediction window. The predicted displacement values can then be compared to the actual displacement values to assess the accuracy of the model. Similarly, to the Sant'Andrea study case, it is evident how the residuals increase in magnitude, especially in correspondence between the two major test year accelerations (see Fig. 10). The ECC-VAR yielded optimal results with the following parameter settings: a detrending order of 0, lagged values of 4, and “*li*” as a deterministic trend. This means that the displacement has a quadratic trend. The combined RMSE of the refined model across both the calibration and test sets amounts to 4.41 mm. Ablation analysis

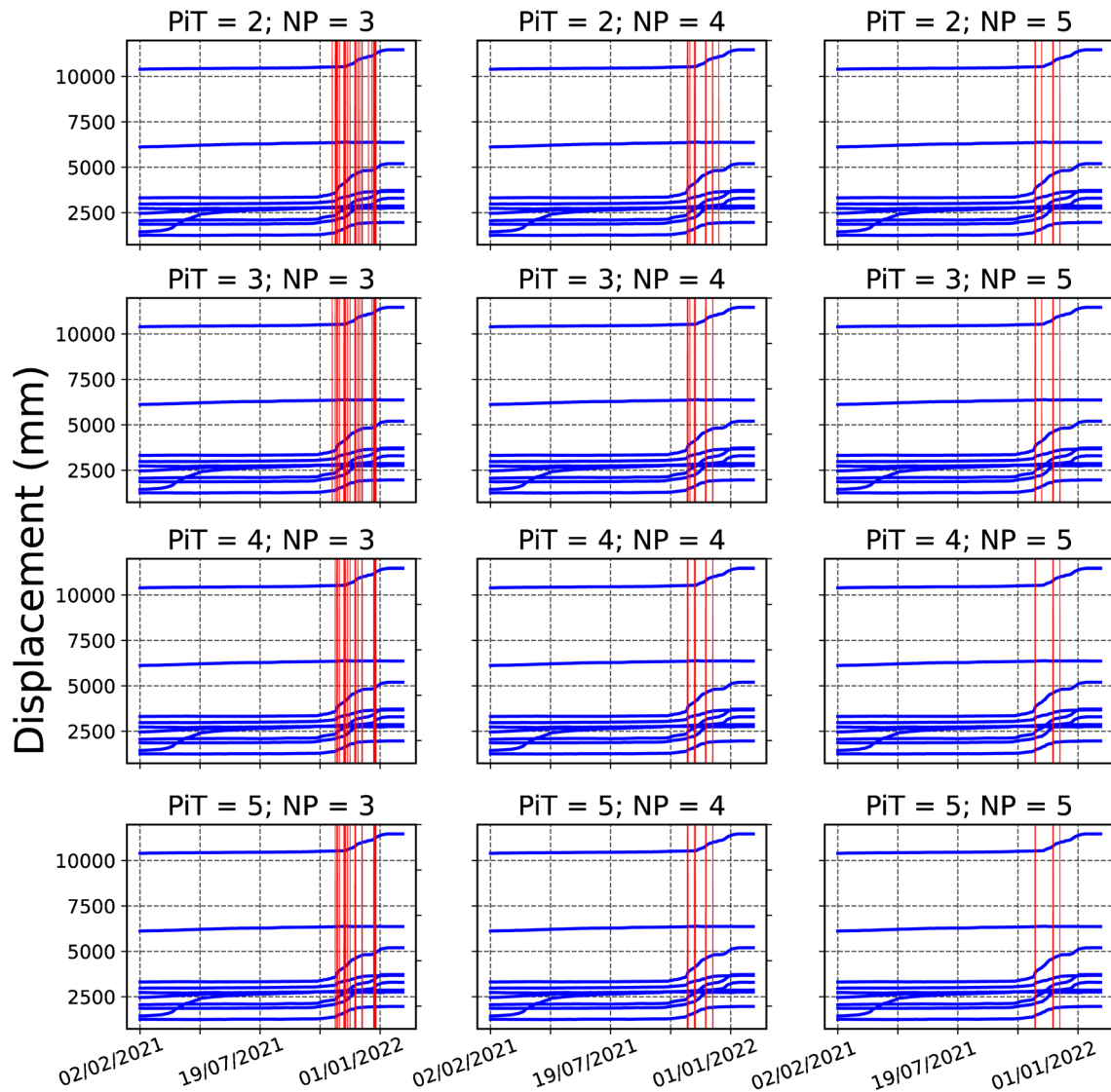


Fig. 9 Simulation of deployment of LRSd for the unseen test year in the Pomarico landslide. Alerts (red) are delivered using 2, 3, 4, and 5 as PiT and 3, 4, and 5 as the number of points that exceed the threshold simultaneously. The measured cumulative displacement of the 10 points located in the unstable area is shown in blue

focusing on the external variable revealed that the most optimal outcomes are obtained when considering rainfall from the current day up to 27 days prior (with a 3-day shift interval). Nonetheless, in this scenario, the impact of changes in rainfall patterns on model performance exhibits some ambiguity. This ambiguity arises from the substantial influence of snowmelt on landslide accelerations. Consequently, the relationship between rainfall and displacement exhibits pronounced nonlinearity in certain instances. Nevertheless, we opted not to incorporate snowmelt into our model, as we placed a higher priority on maintaining the hourly time series frequency. In essence, modeling snowmelt would have necessitated transforming the data into daily intervals.

We fit the distribution of residuals leading up to September 06, 2018. As anticipated, the threshold levels are surpassed at the onset of exceptional sudden accelerations. The end of the presented

displacement time series aligns with the instance of the rockslide failure. Monitoring point o1, responsible for measuring the highest overall displacement, does not breach the threshold before the failure event. Conversely, the remaining monitoring points situated within the area of failure promptly exceed the defined threshold. A significant decrease in alert frequency is evident when extending PiT beyond a single hour. A substantial portion of alerts occur intermittently rather than regularly (see Fig. S9). Once again, the pronounced decrease in alert occurrences with longer time intervals suggests that many alerts do not represent persistent patterns but, instead, transient and irregular events (see Fig. S6). Certain monitoring locations display numerous incorrect alerts scattered throughout the entire testing dataset with a concentration in the latter half of the year, particularly in 31, 32, and 33. However, the alerts are located specifically in correspondence with landslide

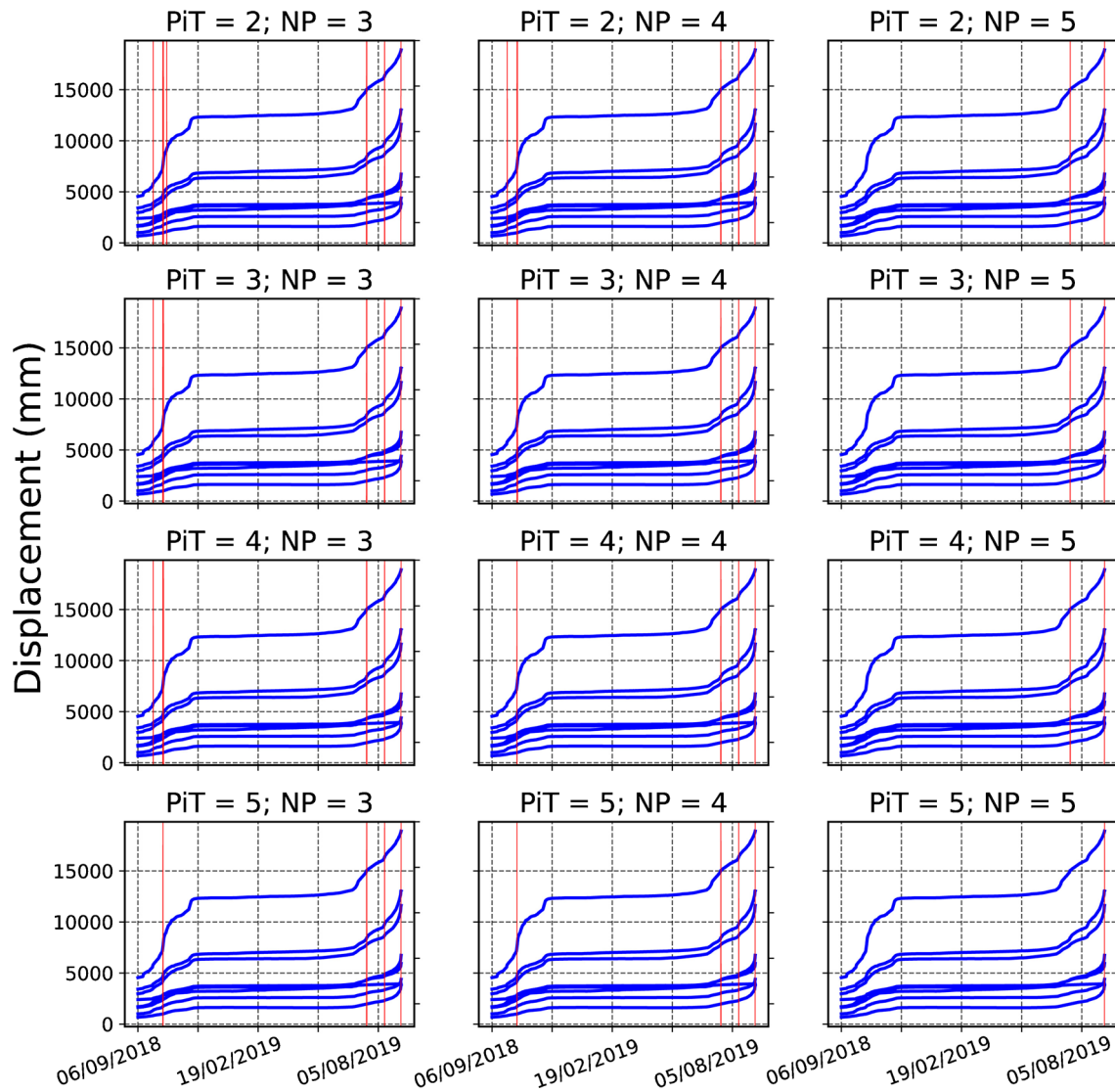


Fig. 10 Simulation of deployment of LRSd for the unseen test year in the Veslemannen landslide. Triggered alerts (red) using 2, 3, 4, and 5 as PiT and 3, 4, and 5 as the number of points (NP) that exceeded the threshold simultaneously. The measured cumulative displacement of the eight points located in the unstable area is shown in blue

accelerations. Figure 10 shows the effectiveness of the proposed combination between PiT and NP , which can reduce the number of alerts, restricting them in correspondence with the most critical instances, and right before failure.

Discussion

The proposed alert system is designed to capture the positive deviation from a given displacement regime to be coupled with classic warning systems such as displacement velocity thresholds and incorporate the results into operational Lo-LEWS, to increase their redundancy and confidence in the resultant alert. The system assumes that the strong changes in the collective landslide behavior are the most critical instances. This means that if the landslide accelerates and the prediction model can accurately predict the exact values of displacement at the same time and location, the alert

is not sent. When the model can correctly predict the acceleration, it means that the spatial relationship among the displacements measured at all monitoring points and their temporal relationship with rainfall remain invariant, for instance, in comparison with their historical records. On the other hand, a small acceleration that is not correctly predicted is interpreted as a change in landslide behavior. Of course, as the approximation of the model is closer to reality, the above-mentioned assumption can be considered correct. Any acceleration that is triggered by, e.g., seismic or human activities, will be considered as a deviation from the historical landslide regime. However, not every deviation from the modeled landslide regime would trigger an alert, since the threshold in the CDF can exclude most of the common residual values. Furthermore, we consider it critical just when the model under-predicts the displacement and not vice versa. We also remind that the ECC-VAR is only able to

capture linear relationships between the variables included in the modeling. However, it considers both spatial and transient nonstationary temporal dependencies between the variables and, hence, the spatiotemporal relationship part of the stochastic phenomena.

Connections with the generic dynamics of the precursory failure regime in granular systems

Self-organized pattern formation (or collective motion) is a defining property of complex systems with a proven capacity to predict emergent behavior near critical transitions (Moon and Lu 2015; Scheffer et al. 2009). This is particularly true for granular bodies in the stages leading up to catastrophic failure. In this context, comprehensive sampling plays a vital role in uncovering the unique dynamics of this precursory failure regime (PFR). Be it in a small-scale controlled laboratory sample or a large mountain slope, a specific dynamical signature in the form of a clustering pattern manifests in the observed motions in PFR (Tordesillas et al. 2021, 2018; Zhou et al. 2022). In the absence of mitigative factors, a comprehensive characterization of this kinematic pattern has shown that as failure draws near, the stronger the pattern becomes, and the more it persists in space and time. Of potential broad utility for landslide, early warning is that this pattern and its spatiotemporal dynamics can be detected in the early stages of the precursory failure regime, well before the collapse in both engineered and natural slopes (Tordesillas et al. 2021, 2018; Zhou et al. 2022). That said, numerous monitoring points are needed to identify and track kinematic clustering. Having only a limited number of data series covering a monitoring area could make it challenging, or even impossible, to discern this pattern and its dynamics from the continuous monitoring data, unless relevant features that encode it can be found in the data and exploited. In the proposed LRSD, the persistence in time (PiT) and the number of points (NP) that exceed the threshold are two such features. As shown earlier, their incorporation into LRSD can englobe the dynamical signature that manifests in the observed motions in PFR. The practical outcome is the reduction of the number of false alerts.

Comparison of LRSD with existing alert systems

In the considered landslides, there are established alert systems currently in operation. For the Pomarico landslide, the system comprises three velocity thresholds (set at 100, 200, and 300 mm/day for the main landslide body). These thresholds correspond to three distinct alert levels: *attention*, *pre-alarm*, and *alert* (Centro Protezione Civile Università degli Studi di Firenze, 2021). Within this system, only one *attention* alert is generated for the test year, with no *pre-alarm* or *alert* notifications issued. Interestingly, monitoring point 33 is the only one exceeding the threshold. This is exceeded at the time when the first alert is delivered by the proposed LRSD. Overall, the alerts produced by the existing system are fewer in number compared to those generated by the LRSD. Hence, in the context of the Pomarico landslide, it can be observed that the existing alert system exhibits lower sensitivity compared to the LRSD. The system in place for the Sant'Andrea landslide instead is slightly more complex. Two levels of *pre-alert* and one of *alert* can be delivered using average daily velocity thresholds, rainfall thresholds, and

antecedent rainfall (Department of Geosciences 2018). Here, during the test year, the population has been evacuated from the village twice. The first time was on Monday, December 7, 2020 (https://www.amicodelpopolo.it/2020/12/07/perarolo-in-corso-levacuazione-delle-case-sotto-la-frana-della-busa-del-cristo/?doing_wp_cron=1697205920.0318601131439208984375), while the second time was on Monday, February 8, 2021 (<https://www.newsinqiota.it/la-frana-si-muove-ancora-evacuate-tre-famiglie-a-perarolo/>). In both cases, the proposed alert system promptly delivers alerts. In the first case, the alert is triggered on Sunday, December 6 (1 day before), with a few hours of variability depending on the PiT and NP considered. In the second case, the proposed LRSD delivers alerts in the morning of Monday, starting from 08:00 a.m. for PiT = 1 to 01:00 p.m. when considering 5 h of temporal persistence. In the Veslemann rockslope study case, velocity threshold values for hazard levels were increased annually. Despite these adjustments, there were 16 evacuations in 2018 and 2019 due to rising velocities (Kristensen et al. 2021). In Fig. 10, the proposed method visibly results in a notable decrease in the number of alerts. When both PiT and NP are set to 5, one alert is issued shortly before the occurrence of the failure, and no false alarms are displayed for the test set. However, in this case, the alert is sent at 8:00 p.m., just 1 h before the failure. Nevertheless, as previously discussed, a shorter PiT allows for the alert to be delivered with a more substantial lead time. Our work shares a conceptual similarity with the approach introduced by Bernardie et al. in 2015, wherein a specific set of models is assumed to perfectly fit the normal landslide regime, and statistical methods are employed to establish thresholds based on the RMSE. Nonetheless, as their research primarily centers on forecasting rainfall-triggered accelerations, our approach consistently deviates from this emphasis. LRSD stands out by incorporating spatiotemporal relationships in the modeling phase and demonstrating adaptability across various study cases, showcasing its transferability. Notably, we go beyond by considering group dynamics, a fundamental aspect of the failure precursory theory. Additionally, we utilize raw historical residuals instead of RMSE, enabling us to distinguish between positive and negative deviations. This nuanced approach enhances the precision and depth of our analysis. However, aligned with their discoveries, our approach similarly demands substantial time series data, incorporating both displacement and meteorological parameters. For precise predictions, particularly for early warning applications, a continuous and systematically updated flow of data is imperative, coupled with efforts to minimize uncertainties.

Operationality of the proposed alert framework

The design of the proposed alert system strictly considers its applicability in a real case: recall graphical abstract. One of the first requirements for operational alert systems is indeed the velocity with which the alert is sent. As described above, the ECC-VAR can be trained and yield predictions within less than a second for all the monitoring points included in the modeling, with some variability depending upon the number of variables involved. Furthermore, the prediction framework can be adapted to the specific characteristics of the study case. After the parameters of the model are optimized and the residual thresholds, PiT, and NP are defined, the potential alert can be sent within a few seconds (again, with some degree of variability depending on the number of variables

involved in the modeling). Other fundamental requirements of a reliable alert system are adaptability and transferability. Based on the case site, several features of the proposed framework can be modified and adapted. For instance, any trigger that can influence the landslide displacement can be included, such as seismic activity, temperature, or changes in the water level of the reservoir, if the landslide is located in an artificial reservoir context. Moreover, the method can be applied to different monitoring systems, as long as they can measure the landslide displacement with a constant temporal frequency. In this research, we show results for GB-SAR and RTS. Time series with higher (and lower) monitoring frequency can be used since the training and predicting window can be adapted as well. Moreover, PiT can be adapted to the specific necessity of the landslide case. If the tradeoff between false positives and scruples is strongly unbalanced toward the scruple, a small number of PiT can be used, and vice versa. Widening the PiT window will reduce the number of alarms, but it will increase the delay between the start of the anomalous acceleration and the triggering of the alert. Similarly, the NP can be adapted to the specific problem. If the volume related to a few monitoring points is dangerous, a small number of NP can be used and vice versa. Specific combinations of the monitoring points related to different predefined cinematic areas can be separately selected as well. Different levels of alerts can also be applied by considering a different number of points per alert, with a corresponding different degree of alert. Certainly, while directly incorporating geological and geotechnical characteristics of landslides into the model may present challenges, a viable solution lies in post-processing. This allows us to devise a system that indirectly integrates specific landslide features, such as predefined kinematic areas.

Certainly, every method comes with its limitations, and this is no exception. When the calibration set includes extreme residual values, the CDF changes drastically. As a consequence, the residual threshold risks are set too high, and very few alerts are sent afterward. Another crucial factor is the dependency on both the accuracy of model predictions and, of course, the quality of measured data. Variations in data quality, such as noise or missing data, introduce uncertainties that can impact the LRSD's accuracy. Moreover, the correlation between the accuracy of the proposed method and the number of monitoring points, as well as the time interval, is subject to variation depending on the specific characteristics of each landslide case. It is worth noting that an increased abundance of monitoring points and shorter time intervals undoubtedly enhances the accuracy and reliability of the approach since the noise effect could be further reduced and the redundancy of the system further increased. When addressing noisy data to minimize false alerts, fine-tuning the PiT parameter assumes critical importance. Nevertheless, as previously indicated, determining the ideal PiT settings is a case-by-case endeavor and demands a thorough assessment for each scenario. Generally, noisier data would necessitate higher values for both PiT and NP. However, higher PiT values introduce delays in the alerting process. Consequently, if we anticipate a failure with relatively brief precursory indicators, this could potentially impede timely detection. The focus of our paper revolves around modeling the displacement of the ground surface. However, we believe that extending this model to encompass subsurface displacement holds significant potential. Exploring the integration of subsurface and surface displacements in a unified

model could also be of great interest. Moreover, we expect that the addition of more information into the data set through an increase in the monitoring points can only enhance the quality of the resultant alert from LRSD.

For early warning purposes, we tested the method using hourly monitoring data, assuming that this data streams directly to the computing station with low latency (within minutes). Both displacement measurements and rainfall data must be acquired at the same frequency. LRSD consistently generated alerts within 3 s in all three cases, demonstrating its potential for timely early warning notifications. Therefore, the system can provide rapid alerts based on high-frequency, synchronized data inputs.

Moreover, LRSD could indeed be extended to GNSS and Satellite InSAR ground motion data. However, the frequency and temporal resolution of the data from these monitoring systems may vary. For example, InSAR data from Sentinel-1 has a revisit time of approximately 12 days at the moment, and the processing time is not in the order of minutes. As a result, in certain instances, we might not receive observations in time to detect the regime shift effectively. Another aspect to consider is the spatial resolution of the information. The spatial resolution of Satellite InSAR data can vary depending on the processing technique and data used, but it generally provides lower spatial density compared to ground-based InSAR (GB-InSAR) or robotic total station (RTS) systems. This lower spatial density might reduce the precision of the method for detecting relatively small failures, as having multiple points within the failed area increases the accuracy of the method. Adjustments and calibrations would be necessary to account for these differences in data characteristics when extending the method to these monitoring systems.

Lastly, the prediction model can be calibrated to encode the interactions between various external triggers and landslides, provided the triggers influence landslide movements. This means that factors such as ground shaking can also be included in the model for displacement prediction.

Conclusions

We propose a robust and adaptive method for local landslide alert generation. The approach integrates advanced prediction models to provide insights into regime shifts within slow-moving landslide bodies. Grounded in material science and complex system dynamics, the LRSD considers multiple displacement time series to encode the group dynamics, crucial for identifying pre-failure indications. The LRSD aims to improve conventional alert systems by detecting departures from historical dynamics, using anomalous accelerations as a key signal for alerts. Its adaptability to varying landslide contexts, rapid operational capabilities, and flexibility in adjusting key parameters enhance its potential for real-time applicability in diverse geo-settings. LRSD is intended for use in conjunction with current alert systems, like velocity thresholds. The concept is to maintain a high level of alerts through velocity thresholds for general safety, while LRSD aims to decrease the overall alert frequency. Instead, it provides heightened attention specifically for critical cases in which the system faces a regime shift. The LRSD informs you if a section or the whole system is undergoing changes in its dynamics, indicating a transition, though it does not necessarily imply an impending landslide failure. However, this additional

information is crucial for recognizing shifts in the system, which can potentially lead to failure.

Our results strongly suggest that incorporating LRSD into existing landslide early warning systems would greatly enhance their effectiveness.

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Declarations

Competing interests The authors declare no competing interests.

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