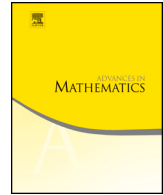




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The rigid Pham-Brieskorn threefolds

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ABSTRACT

We show that a 3-dimensional Pham-Brieskorn hypersurface $\{X_0^{a_0} + X_1^{a_1} + X_2^{a_2} + X_3^{a_3} = 0\}$ in \mathbb{A}^4 such that $\min\{a_0, a_1, a_2, a_3\} \geq 2$ and at most one element i of $\{0, 1, 2, 3\}$ satisfies $a_i = 2$ does not admit a non-trivial action of the additive group \mathbb{G}_a .

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0. Introduction

An affine variety X defined over a field \mathbf{k} of characteristic zero is called *rigid* if it does not admit a non-trivial action of the additive group $\mathbb{G}_{a,\mathbf{k}}$. Equivalently, if the coordinate ring $B = \Gamma(X, \mathcal{O}_X)$ does not admit a non-zero locally nilpotent \mathbf{k} -derivation, then B is called a *rigid ring*. In this article, we study the rigidity of a class of varieties called *affine*

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Pham-Brieskorn hypersurfaces. These varieties, denoted $X_{a_0, \dots, a_n} = \text{Spec}(B_{a_0, \dots, a_n})$ are defined by equations of the form $X_0^{a_0} + \dots + X_n^{a_n} = 0$ in $\mathbb{A}_{\mathbf{k}}^{n+1}$, where $n \geq 2$ and a_0, \dots, a_n are positive integers. If $a_i = 1$ for some $i \in \{0, \dots, n\}$ then X_{a_0, \dots, a_n} is isomorphic to $\mathbb{A}_{\mathbf{k}}^n$ which is clearly not rigid. If \mathbf{k} contains $\mathbb{Q}(i)$ and two of the a_i (say a_0 and a_1) equal 2, then X_{a_0, \dots, a_n} is isomorphic to the hypersurface $uv + X_2^{a_2} + \dots + X_n^{a_n} = 0$ in $\mathbb{A}_{\mathbf{k}}^{n+1}$. This hypersurface admits non-trivial $\mathbb{G}_{a, \mathbf{k}}$ -actions associated, for instance, to every locally nilpotent $\mathbf{k}[u]$ -derivation $\partial_i = u \frac{\partial}{\partial X_i} - a_i X_i^{a_i-1} \frac{\partial}{\partial v}$, $i = 2, \dots, n$, of its coordinate ring.¹ In light of these observations, the following conjecture was proposed in [20,31]:

Main Conjecture. *An affine Pham-Brieskorn hypersurface X_{a_0, \dots, a_n} over an algebraically closed field of characteristic zero is rigid if and only if $\min\{a_0, \dots, a_n\} \geq 2$ and at most one element i of $\{0, \dots, n\}$ satisfies $a_i = 2$.*

The conjecture was proved by Kaliman and Zaidenberg [31, Lemma 4] in the $n = 2$ case; despite several known partial results during the last decade (see in particular [17], [14], [10], [9], [8], [2], [33]) the conjecture remained open in dimension $n \geq 3$ (see [6, Conjecture 1.22]). In [4], the first author nearly completed the proof of the $n = 3$ case of the conjecture with the $(2, 3, 4, 12)$ and $(2, 3, 5, 30)$ cases remaining unsolved.

In this article, we prove the $(2, 3, 4, 12)$ and $(2, 3, 5, 30)$ cases, completing the $n = 3$ case of the conjecture. Our exposition also simplifies many of the results in [4] and reduces the problem (in all dimensions) to the cases where $\text{Proj } B_{a_0, \dots, a_n}$ is a well-formed Fano variety. In order to provide the reader with a self-contained proof of the $n = 3$ case of the Main Conjecture, we include all the necessary statements from [4] as well as the proofs of those results that demonstrate the main ideas.

Every affine Pham-Brieskorn hypersurface $X = X_{a_0, \dots, a_n}$ identifies with the affine cone over the weighted hypersurface \hat{X} defined by the weighted homogeneous equation $X_0^{a_0} + \dots + X_n^{a_n} = 0$ in the weighted projective space $\mathbb{P} = \mathbb{P}(w_0, \dots, w_n)$, where $w_i = \text{lcm}(a_0, \dots, a_n)/a_i$. We say that X is a *well-formed affine Pham-Brieskorn hypersurface* if \hat{X} is a well-formed weighted hypersurface in \mathbb{P} ; that is, if $\text{gcd}(w_0, \dots, w_{i-1}, \hat{w}_i, w_{i+1}, \dots, w_n) = 1$ for every $i = 0, \dots, n$ and $\text{codim}_{\hat{X}}(\hat{X} \cap \text{Sing}(\mathbb{P})) \geq 2$. Proposition 2.1.4 provides a convenient arithmetic characterization of these well-formed hypersurfaces: they are exactly those for which a_i divides $\text{lcm}(a_0, \dots, \hat{a}_i, \dots, a_n)$ for every $i = 0, \dots, n$.

The following is a reduction of the Main Conjecture to the special case of well-formed affine Pham-Brieskorn hypersurfaces and an induction on the dimension:

Reduction Theorem. [4, Theorem 1.3.12] *Let $n \geq 3$. The Main Conjecture holds in dimension n provided it holds both in dimension $n - 1$ and for well-formed affine Pham-Brieskorn hypersurfaces X_{a_0, \dots, a_n} of dimension n .*

¹ Note that the real Pham-Brieskorn surface $X_{2,2,2} = \text{Spec}(\mathbb{R}[X, Y, Z]/\langle X^2 + Y^2 + Z^2 \rangle)$ is rigid (see e.g. [18, Theorem 9.27]), so the condition that \mathbf{k} contains $\mathbb{Q}(i)$ cannot be relaxed.

In particular, since the Main Conjecture holds for $n = 2$, its verification in the case $n = 3$ is reduced to the case of well-formed affine Pham-Brieskorn threefolds. Building on this result, we complete the proof of the Main Conjecture in the $n = 3$ case, namely:

Main Theorem. *Let $X_{a_0, a_1, a_2, a_3} = \text{Spec}(B_{a_0, a_1, a_2, a_3})$ be an affine Pham-Brieskorn threefold hypersurface. If $\min\{a_0, a_1, a_2, a_3\} \geq 2$ and at most one element i of $\{0, 1, 2, 3\}$ satisfies $a_i = 2$, then X is rigid.*

Corollary. *The Main Conjecture is true for $n = 4$ if and only if it is true for well-formed affine Pham-Brieskorn fourfolds X_{a_0, \dots, a_4} .*

Let us briefly explain the scheme of the proof of the Main Theorem. Reducing to well-formed affine Pham-Brieskorn hypersurfaces $X = X_{a_0, a_1, a_2, a_3}$ with associated weighted hypersurfaces \hat{X} in $\mathbb{P}(w_0, w_1, w_2, w_3)$ leads us to consider the following two sub-classes:

- (1) those \hat{X} with pseudoeffective canonical divisor $K_{\hat{X}}$;
- (2) a finite list of cases for which \hat{X} is a del Pezzo surface with cyclic quotient singularities.

The rigidity of well-formed affine Pham-Brieskorn hypersurfaces X (of any dimension greater or equal to 2) for which $K_{\hat{X}}$ is pseudoeffective follows from Proposition 2.3.2 which shows that a normal projective variety with pseudoeffective \mathbb{Q} -Cartier canonical divisor and log-canonical singularities cannot contain a cylinder. In the second case, which contains for instance the affine cone $X_{3,3,3,3}$ over the Fermat cubic surface in \mathbb{P}^3 , it is known (by a general principle established by Kishimoto, Prokhorov and Zaidenberg in [30]) that the rigidity of X is equivalent to the non-existence of an anti-canonical polar cylinder in the del Pezzo surface \hat{X} (see Subsection 1.6 for the definition). Several cases in (2) turn out to be covered by a series of results on the classification of anti-canonical polar cylinders in del Pezzo surfaces with Du Val singularities due to Cheltsov, Park and Won [9]. We are eventually left with the study of the two cases $(a_0, a_1, a_2, a_3) \in \{(2, 3, 4, 12), (2, 3, 5, 30)\}$ for which the corresponding del Pezzo surfaces have cyclic quotient singularities that are not Du Val. In each of these two cases, we are able to extract from the specific geometry of the del Pezzo surface at hand the non-existence of anti-canonical polar cylinders. These two particular examples raise and motivate the general problem of finding methods to expand the classification given in [9] to include del Pezzo surfaces with klt singularities.

The article is organized as follows. Section 1 gathers the preliminary algebraic and geometric results required in Section 3. In particular, we include facts about locally nilpotent derivations, \mathbb{Q} -divisors, weighted hypersurfaces in weighted projective spaces, cylinders and polar cylinders. We also include some necessary results about singularities of log pairs and the birational geometry of singular del Pezzo surfaces. In Section 2, we establish the theorem reducing the Main Conjecture to the case of well-formed hyper-

surfaces and review the known relationship between the rigidity of the ring B_{a_0, \dots, a_n} and the non-existence of canonical and anti-canonical polar cylinders in $\text{Proj}(B_{a_0, \dots, a_n})$. We also prove rigidity of B_{a_0, \dots, a_n} when $\text{Proj} B_{a_0, \dots, a_n}$ has trivial or ample canonical divisor. Section 3 resolves the $n = 3$ case of the Main Conjecture. Finally, Section 4 gives some remarks on the Main Conjecture in higher dimensions.

0.1. Assumptions, conventions and notation

We assume the following throughout this article.

- All rings are commutative, associative, unital and have characteristic zero.
- We use the symbols $\mathbb{N}, \mathbb{N}^+, \mathbb{Z}$ to denote the sets of natural numbers, positive integers and integers respectively.
- We use the notation “ \subseteq ” and “ \supseteq ” for inclusion and containment and “ \subset ” and “ \supset ” for proper inclusion and proper containment.
- Given an \mathbb{N} -graded ring $B = \bigoplus_{i \in \mathbb{N}} B_i$, we denote the irrelevant ideal by B_+ .
- All varieties and schemes are assumed to be defined over an algebraically closed field \mathbf{k} of characteristic zero which we fix throughout, a *variety* being by convention an integral separated scheme of finite type. A *curve* is a one-dimensional variety. A *surface* is a two-dimensional variety. In particular, curves and surfaces are irreducible and reduced.
- Whenever we discuss *divisors* on a variety X , we assume implicitly that X is normal.
- The function field of a variety X is denoted by $K(X)$. The groups $\text{Div}(X)$ and $\text{CaDiv}(X)$ denote the groups of *Weil divisors* and *Cartier divisors* of X . The groups $\text{Cl}(X)$, $\text{CaCl}(X)$ and $\text{Pic}(X)$ respectively denote the *divisor class* and *Cartier class* and *Picard* groups of X . Since X is integral, $\text{CaCl}(X)$ is canonically isomorphic to the Picard group. Recall also that if X is regular, the natural map $\text{CaCl}(X) \rightarrow \text{Cl}(X)$ is an isomorphism.

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1. Preliminaries

1.1. Locally nilpotent derivations

1.1.1. Let B be a ring. A derivation $D : B \rightarrow B$ is *locally nilpotent* if for every $b \in B$ there exists some $n \in \mathbb{N}$ such that $D^n(b) = 0$. If B is a ring, the set of locally nilpotent derivations $D : B \rightarrow B$ is denoted $\text{LND}(B)$. If $\text{LND}(B) = \{0\}$, we say that B is *rigid*.

Given $D \in \text{LND}(B)$, an element $t \in B$ is called a *local slice* of D if $D(t) \neq 0$ and $D^2(t) = 0$. In particular, if $D(t) = 1$, then t is a *slice* of D . A derivation $D : B \rightarrow B$ is *reducible* if there exists some $b \in B$ such that $D(B) \subseteq \langle b \rangle \neq B$. If no such b exists, then D is *irreducible*.

Let $A \subseteq B$ be an inclusion of integral domains. Then, A is *factorially closed* in B if for all $x, y \in B \setminus \{0\}$, $xy \in A$ implies that $x, y \in A$.

We say that a ring B is *\mathbf{k} -affine* if it is finitely generated as a \mathbf{k} -algebra. We call B a *\mathbf{k} -domain* if it is a \mathbf{k} -algebra that is also an integral domain.

Definitions 1.1.2. [17, Section 2.3] Let B be a \mathbf{k} -domain. Given $b \in B \setminus \{0\}$ and $D \in \text{LND}(B)$, define $\text{deg}_D(b) = \max\{n \in \mathbb{N} : D^n(b) \neq 0\}$; define $\text{deg}_D(0) = -\infty$. It is well-known that the map $\text{deg}_D : B \rightarrow \mathbb{N} \cup \{-\infty\}$ is a degree function.

If B is not rigid, then given $f \in B$, the *absolute degree* of f is defined by

$$|f|_B = \min \{ \text{deg}_D(f) : D \in \text{LND}(B) \setminus \{0\} \}.$$

If B is rigid, define $|f|_B = -\infty$ if $f = 0$, and $|f|_B = \infty$ otherwise.

Theorem 1.1.3. Let B be an integral domain, let $D : B \rightarrow B$ be a derivation, and let $A = \ker(D)$. The following facts are well known. (Refer to [18] for instance.)

- (a) If D is locally nilpotent, then A is a factorially closed subring of B . Consequently, if D is locally nilpotent and \mathbf{k} is a field included in B , then D is a \mathbf{k} -derivation.
- (b) Assume that $\mathbb{Q} \subseteq B$. If $D \neq 0$ is locally nilpotent then D has a local slice $t \in B$. For any such t , if we define $s = D(t)$ then $B_s = A_s[t]$ is a polynomial ring in one variable over A_s . Consequently, $\text{trdeg}(B/A) = 1$ and $\text{Frac}(B) \supset \text{Frac}(A)$ is purely transcendental of transcendence degree one.
- (c) If $b \in B \setminus \{0\}$, then the derivation $bD : B \rightarrow B$ is locally nilpotent if and only if D is locally nilpotent and $b \in A$.
- (d) If $D \neq 0$ is locally nilpotent and B satisfies the ascending chain condition for principal ideals, then there exists an irreducible locally nilpotent derivation $\delta : B \rightarrow B$ such that $D = a\delta$ for some $a \in A$.

1.1.4. Let $B = \bigoplus_{n \in \mathbb{Z}} B_n$ be a \mathbb{Z} -graded ring. A derivation $D : B \rightarrow B$ is *homogeneous* if there exists an $h \in \mathbb{Z}$ such that $D(B_g) \subseteq B_{g+h}$ for all $g \in \mathbb{Z}$. If D is homogeneous and $D \neq 0$, then h is unique and we say that D is *homogeneous of degree h* . The zero derivation is said to be homogeneous of degree $-\infty$. The set of homogeneous locally nilpotent derivations of B is denoted $\text{HLND}(B)$.

A *graded subring* of B is a subring A of B satisfying $A = \bigoplus_{n \in \mathbb{Z}} (A \cap B_n)$. If A is a graded subring of B then A too is a \mathbb{Z} -graded ring. In particular, if $D \in \text{HLND}(B)$ then $\ker(D)$ is a \mathbb{Z} -graded subring of B .

Proposition 1.1.5. ([11, p.57]) *If B is a \mathbb{Z} -graded affine \mathbf{k} -domain, then $\text{LND}(B) \neq \{0\}$ if and only if $\text{HLND}(B) \neq \{0\}$.*

1.2. *The cotype of a tuple*

Definitions 1.2.1. ([2, Section 3.9]) Let $n \geq 2$ and $S = (b_0, \dots, b_n) \in \mathbb{Z}^{n+1}$.

- Define² $\text{gcd}(S) = \text{gcd}(b_0, \dots, b_n)$ and $\text{lcm}(S) = \text{lcm}(b_0, \dots, b_n)$.
- If $\text{gcd}(S) = 1$, we say that S is *normal*. If $S \neq (0, \dots, 0)$ and $d = \text{gcd}(S)$, then the tuple $S' = (\frac{b_0}{d}, \dots, \frac{b_n}{d})$ is normal, and is called the *normalization of S* .
- For each $j \in \{0, \dots, n\}$, define $S_j = (b_0, \dots, \widehat{b_j}, \dots, b_n)$.
- We define $\text{cotype}(S) = |\{i \in \{0, \dots, n\} : \text{lcm}(S_i) \neq \text{lcm}(S)\}| = |\{i \in \{0, \dots, n\} : b_i \nmid \text{lcm}(S_i)\}|$.

Note that $\text{cotype}(S) \in \{0, 1, \dots, n + 1\}$ and that, if S' is the normalization of S , then $\text{cotype}(S) = \text{cotype}(S')$.

Definition 1.2.2. Let $n \geq 2$.

- Given $S = (a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$ and $i \in \{0, \dots, n\}$, define $g_i(S) = \text{gcd}(a_i, \text{lcm}(S_i))$.
- Let $S = (a_0, \dots, a_n)$ and $S' = (a'_0, \dots, a'_n)$ be elements of $(\mathbb{N}^+)^{n+1}$ and let $i \in \{0, \dots, n\}$. We write $S \leq^i S'$ if and only if

$$S_i = S'_i \quad \text{and} \quad g_i(S') \mid a_i \mid a'_i.$$

We write $S <^i S'$ if and only if $S \leq^i S'$ and $S \neq S'$. Note that the relation \leq^i is a partial order on $(\mathbb{N}^+)^{n+1}$.

1.3. *\mathbb{Q} -divisors*

This section establishes the notation and collects some results we will use when discussing \mathbb{Q} -divisors. Whenever we discuss divisors and \mathbb{Q} -divisors on a variety X , we assume implicitly that X is normal.

Definitions 1.3.1. The group of \mathbb{Q} -divisors, denoted $\text{Div}(X, \mathbb{Q})$, is the group $\text{Div}(X) \otimes_{\mathbb{Z}} \mathbb{Q}$. A \mathbb{Q} -divisor D is written as $D = \sum_{i \in I} a_i Y_i$ where each Y_i is a prime divisor and $a_i \in \mathbb{Q}$. If $D = \sum_{i \in I} a_i Y_i$ is a \mathbb{Q} -divisor, then $\lfloor D \rfloor = \sum_{i \in I} \lfloor a_i \rfloor Y_i$. Two \mathbb{Q} -divisors D and D' are *linearly equivalent* (we write $D \sim D'$) if there exists $f \in K(X)^*$ such that $D - D'$ is a principal divisor. Two \mathbb{Q} -divisors are *\mathbb{Q} -linearly equivalent* (we write $D \sim_{\mathbb{Q}} D'$) if there exists some $n \in \mathbb{N}^+$ such that $nD - nD'$ is a principal divisor. A \mathbb{Q} -divisor $D = \sum_{i \in I} a_i Y_i$

² By convention, $\text{gcd}(S) \geq 0$ and $\text{lcm}(S) \geq 0$.

is *effective* if $a_i \geq 0$ for all i . It is *reduced* if for all $i \in I$, either $a_i = 1$ or $a_i = 0$. The *support* of a \mathbb{Q} -divisor $D = \sum_{i \in I} a_i Y_i$ is $\text{Supp}(D) = \bigcup_{a_i \neq 0} Y_i$.

A \mathbb{Q} -Cartier \mathbb{Q} -divisor is a \mathbb{Q} -divisor $D \in \text{Div}(X, \mathbb{Q})$ such that nD is a Cartier divisor for some $n \in \mathbb{N}^+$. A *Cartier divisor* is an integral \mathbb{Q} -Cartier \mathbb{Q} -divisor. A variety X is called *\mathbb{Q} -factorial* if every integral divisor on X is \mathbb{Q} -Cartier.

Given $D \in \text{Div}(X, \mathbb{Q})$, the sheaf $\mathcal{O}_X(D)$ of \mathcal{O}_X -modules is defined by stipulating that if U is a non-empty open subset of X then

$$\Gamma(U, \mathcal{O}_X(D)) = \{0\} \cup \{f \in K(X)^* : \text{div}_U(f) + D|_U \geq 0\}.$$

Note that if $D \in \text{Div}(X, \mathbb{Q})$, then by definition $\mathcal{O}_X(D) = \mathcal{O}_X(\lfloor D \rfloor)$.

Notation 1.3.2. A *canonical divisor* on a normal variety X of dimension n is any integral divisor K_X on X such that the restriction of the sheaf $\mathcal{O}_X(K_X)$ to the regular locus X_{reg} of X is isomorphic to the canonical sheaf $\omega_{X_{\text{reg}}} = \bigwedge^n \Omega_{X_{\text{reg}}/\mathbf{k}}$ of X_{reg} . When X is normal and Cohen-Macaulay, the dualizing and canonical sheaves of X (denoted ω_X° and ω_X respectively) are isomorphic and are also isomorphic to $\mathcal{O}_X(K_X)$ where K_X is any canonical divisor of X . (See [29, Remark 5.2].)

Definitions 1.3.3. A Cartier divisor D on a normal variety X is called *very ample* if $\mathcal{O}_X(D)$ is a very ample invertible sheaf; a \mathbb{Q} -divisor D on X is *ample* if there exists some $m \in \mathbb{N}^+$ such that mD is a very ample Cartier divisor.

Assume in addition that X is projective and let D be a \mathbb{Q} -Cartier \mathbb{Q} -divisor. Then, D is called *nef* if $D \cdot C \geq 0$ for every irreducible curve C in X . We say that D is *big* if $\text{Vol}_X(D) = \limsup_{m \rightarrow \infty} \frac{h^0(X, \mathcal{O}_X(mD))}{m^d/d!} > 0$ and that D is *pseudoeffective* if it lies in the closure of the cone of effective divisors inside the Néron-Severi space $\text{NS}(X) \otimes \mathbb{R}$. Note that an ample divisor is pseudoeffective.

Section 3 also requires the following well-known theorem.

Theorem 1.3.4 (Nakai-Moishezon). A \mathbb{Q} -Cartier divisor D on a normal projective surface S is ample if and only if $D \cdot C > 0$ for every irreducible curve $C \in \text{Div}(S)$.

1.4. Quasismooth weighted complete intersections

We collect some known results on quasismooth weighted complete intersections in weighted projective spaces. Although not strictly required, in order to be consistent with our definition of “variety” at the start of the article, we assume that the field \mathbf{k} below is algebraically closed.

1.4.1. Let $R = \mathbf{k}_{w_0, \dots, w_n}[X_0, \dots, X_n]$ denote the graded polynomial ring where $n \geq 1$ and $\deg(X_i) = w_i$ for each $i = 0, \dots, n$. The weighted projective space $\mathbb{P} =$

$\mathbb{P}(w_0, \dots, w_n) = \text{Proj}(R)$ is said to be *well-formed* if for each $i = 0, \dots, n$, we have $\text{gcd}(w_0, \dots, w_{i-1}, \hat{w}_i, w_{i+1}, \dots, w_n) = 1$. Every weighted projective space is a projective variety and is isomorphic to a well-formed weighted projective space. Every weighted projective space is normal and Cohen-Macaulay (see [1, Theorem 3.1A(c)]).

A *weighted projective variety* X is a closed subvariety of a weighted projective space. Whenever we write “the variety $X \subseteq \mathbb{P}$ ”, we mean that X is a closed subvariety of \mathbb{P} . Since $\mathbb{P}(w_0, \dots, w_n)$ is a projective variety, every weighted projective variety is also a projective variety. A weighted projective variety $X \subseteq \mathbb{P}$ is *well-formed* if both \mathbb{P} is well-formed and $\text{codim}_X(X \cap \text{Sing}(\mathbb{P})) \geq 2$.

Let I be a homogeneous prime ideal of the graded ring R and consider the closed subvariety $X_I = V_+(I)$ of $\mathbb{P} = \mathbb{P}(w_0, \dots, w_n) = \text{Proj}(R)$. Note that X is isomorphic to $\text{Proj}(R/I)$. If f_1, \dots, f_k are homogeneous elements of R , we abbreviate $X_{(f_1, \dots, f_k)}$ by X_{f_1, \dots, f_k} . If I is generated by a regular sequence (f_1, \dots, f_k) of homogeneous elements of S of respective degrees $d_i = \text{deg}(f_i)$ where $i = 1, \dots, k$, then X_I is called a *weighted complete intersection of multidegree* (d_1, \dots, d_k) . In particular, if $k = 1$, $f_1 = f$ and $d_1 = d$, we will say that $X_I = X_f$ is a *weighted hypersurface of degree* d . The closed subset $C_X = V(I) \subseteq \mathbb{A}^{n+1}$ is called the *affine cone over* X ; note that C_X passes through the origin of \mathbb{A}^{n+1} and $C_X \cong \text{Spec}(R/I)$ is an integral affine scheme. The variety X is called *quasismooth* if C_X is nonsingular away from the origin. When X is well-formed and quasismooth, we have $\text{Sing}(X) = X \cap \text{Sing}(\mathbb{P})$ (see [15, p.185 and Proposition 8]).

Assumption 1.4.2. From this point onward, whenever we consider a quasismooth weighted complete intersection $X \subset \mathbb{P}$, we assume that X is not contained in a hyperplane of \mathbb{P} .

1.4.3. We collect some known results on well-formed quasismooth weighted complete intersections. Recall [27, §5] that a variety X has *rational singularities* if for every resolution of singularities $f : \tilde{X} \rightarrow X$ one has $R^i f_* \mathcal{O}_{\tilde{X}} = 0$ for all $i > 0$. This condition is known to be equivalent to the property that X is Cohen-Macaulay and $f_* \omega_{\tilde{X}} = \omega_X$.

Let $\mathbb{P} = \mathbb{P}(w_0, \dots, w_n)$ be a well-formed weighted projective space. If $X \subseteq \mathbb{P}$ is a well-formed quasismooth weighted complete intersection then X has at most cyclic quotient [25, p.105] and hence rational singularities [26, Corollary 7.4.10]; it follows that X is Cohen-Macaulay. Since the quotient of a normal variety is normal, it follows that X is normal. Finally, X is \mathbb{Q} -factorial by [27, Proposition 5.15].

We recall that if $X \subseteq \mathbb{P}(w_0, \dots, w_n)$ is a weighted complete intersection of multidegree (d_1, \dots, d_k) , then the *amplitude* of X is denoted by $\alpha = \sum_{i=1}^k d_i - \sum_{i=0}^n w_n$. A key property of well-formed quasismooth weighted complete intersections is that the adjunction formula holds for them, in the following form:

Theorem 1.4.4. [16, Theorem 3.3.4] *Let $X \subseteq \mathbb{P}(w_0, \dots, w_n)$ be a well-formed quasismooth weighted complete intersection. Then $\omega_X \cong \omega_{\tilde{X}}^\circ \cong \mathcal{O}_X(\alpha)$ where α is the amplitude of X .*

1.5. Singularities of surfaces, log pairs and singular del Pezzo surfaces

We briefly recall some basic facts about singularities of log pairs. We refer the reader to [27, Chapter 2] for details.

Definitions 1.5.1. A *log pair* (S, D) consists of a normal variety S and an effective \mathbb{Q} -divisor $D = \sum_{i=1}^r a_i C_i$ such that the divisor $K_S + D$ is \mathbb{Q} -Cartier. Let $\pi : \tilde{S} \rightarrow S$ be a proper birational morphism. The morphism π is called a *log resolution* if \tilde{S} is smooth, the exceptional locus of π (denoted $\text{Ex}(\pi)$) is a divisor and $\text{Ex}(\pi) \cup \pi^{-1}(\text{Supp}(D))$ is an SNC divisor on \tilde{S} . Denoting by \tilde{C}_i the proper transform of C_i and by E_1, \dots, E_n the π -exceptional curves, we have

$$K_{\tilde{S}} + \sum_{i=1}^r a_i \tilde{C}_i \sim_{\mathbb{Q}} \pi^*(K_S + D) + \sum_{j=1}^n b_j E_j$$

for some rational numbers b_1, \dots, b_n .

A log pair (S, D) is called *canonical*, (resp. *purely log-terminal*), (resp. *log-canonical*) at a point p if $a_i \leq 1$ for every i such that $p \in C_i$ and for every log resolution $\pi : \tilde{S} \rightarrow S$ and every $j \in \{1, \dots, n\}$ such that $\pi(E_j) = p$, the coefficients b_j satisfy $b_j \geq 0$ (resp. $b_j > -1$), (resp. $b_j \geq -1$). Given a log resolution π , the coefficient b_j is called the *discrepancy* of the exceptional curve E_j .

The pair (S, D) is called *canonical*, (resp. *purely log-terminal*), (resp. *log-canonical*) if it is so at every point $p \in S$. A pair (S, D) is called *Kawamata log-terminal* (klt) if it is purely log-terminal and $[D] = 0$. A normal variety S is said to have *canonical* (resp. klt) singularities if the log pair $(S, 0)$ is canonical (resp. klt). These notions are known to be independent of the choice of log resolution and can therefore be verified on the minimal log resolution of the log pair (S, D) . See [28, Corollary 2.13].

In the special case where S is a surface, it is known that klt singularities are finite quotient singularities and that among these, canonical singularities are exactly the *Du Val ADE singularities*. We recall the notion of multiplicity of a \mathbb{Q} -divisor at a point p .

1.5.2. Given a point p on a \mathbb{Q} -factorial surface S , we define a \mathbb{Q} -linear map $\text{mult}_p : \text{Div}(S, \mathbb{Q}) \rightarrow \mathbb{Q}$ as follows.

- (a) Let C be an irreducible curve on S . We define $\text{mult}_p(C)$ to be equal to 0 if $p \notin C$, and to the multiplicity of p on C if $p \in C$. In the latter case, we mean the standard notion of multiplicity of a point on a curve, i.e., the multiplicity of the local ring of C at p .
- (b) Let $D = \sum_{i=1}^n a_i C_i$ be a \mathbb{Q} -divisor on S (where $a_1, \dots, a_n \in \mathbb{Q}$ and C_1, \dots, C_n are distinct irreducible curves). Define $\text{mult}_p(D) = \sum_{i=1}^n a_i \text{mult}_p(C_i)$, where $\text{mult}_p(C_i)$ is defined in (a).

Example 1.5.3. [24, p.286] Let S be a normal projective surface with k singular points P_1, \dots, P_k . Assume each P_i is a cyclic quotient singularity of type $\frac{1}{n_i}(1, 1)$ where $n_i \geq 2$ and let $\pi : \tilde{S} \rightarrow S$ be the minimal resolution of singularities of S . Then $E_i = \pi^{-1}(P_i)$ is isomorphic to \mathbb{P}^1 and has self-intersection number $-n_i$ in \tilde{S} . Moreover, in the ramification formula

$$K_{\tilde{S}} = \pi^*(K_S) + \sum_{i=1}^k b_i E_i$$

for π , $b_i = -1 + \frac{2}{n_i}$ for each $i = 1, \dots, k$. In particular, P_i is a klt singularity which is canonical if and only if $n_i = 2$.

Definition 1.5.4. A *del Pezzo surface* S is a normal projective surface over \mathbf{k} with at most quotient singularities such that $-K_S$ is an ample \mathbb{Q} -Cartier divisor. The *degree* of a del Pezzo surface is the self-intersection number of its canonical divisor.

We also require the following lemma, used in Section 3.2.5.

Lemma 1.5.5. ([6, Lemma A.3.]) *Let S be a normal surface with at most quotient singularities and let D be an effective non-zero \mathbb{Q} -divisor on S . Let p be a regular point of S . If (S, D) is not log-canonical at p , then $\text{mult}_p(D) > 1$.*

1.6. Cylinders and polar cylinders

Definition 1.6.1. A scheme U is a *cylinder* if $U \cong C \times \mathbb{A}^1$ for some affine scheme C . A scheme X *contains a cylinder* if there exists a non-empty open set $U \subseteq X$ such that U is a cylinder. Note that U is affine.

Definition 1.6.2. Let H be a \mathbb{Q} -divisor on a projective normal variety X over \mathbf{k} . Let $U \subseteq X$ be a cylinder of X . The cylinder $U \subseteq X$ is called *H -polar* if $U = X \setminus \text{Supp}(D)$ for some effective \mathbb{Q} -Cartier \mathbb{Q} -divisor $D \in \text{Div}(X, \mathbb{Q})$ such that $D \sim_{\mathbb{Q}} H$. In the special case where $H \sim -K_X$, any H -polar cylinder U is called an *anti-canonical polar cylinder*.

Remark 1.6.3. Let H and H' be \mathbb{Q} -divisors on a projective normal variety X . Assume that there exist $q, q' \in \mathbb{Q}^+$ such that $qH \sim q'H'$. Then a cylinder U is H -polar if and only if it is H' -polar.

Demazure’s construction and polar cylinders

Definition 1.6.4. Let $B = \bigoplus_{i \in \mathbb{N}} B_i$ be an \mathbb{N} -graded integral domain. An element $\xi \in \text{Frac } B$ is *homogeneous* if $\xi = \frac{a}{b}$ for some homogeneous elements $a, b \in B$ with $b \neq 0$. If ξ is homogeneous, then its *degree* is $\text{deg}(\xi) = \text{deg}(a) - \text{deg}(b)$.

The following is a special case of the Theorem below Section 3.5 in [13].

Theorem 1.6.5. *Let $B = \bigoplus_{n \in \mathbb{N}} B_n$ be an \mathbb{N} -graded normal domain that is finitely generated over \mathbf{k} and such that $e(B) = 1$ and $\text{ht}(B_+) > 1$. Let $X = \text{Proj } B$. Then, there exists a homogeneous element T of $\text{Frac } B$ of degree 1, and for each such T , there exists a unique \mathbb{Q} -divisor H of X such that $B_n = H^0(X, \mathcal{O}_X(nH))T^n$ for all $n \in \mathbb{N}$. Moreover, H is ample and $\mathcal{O}_X(n) \cong \mathcal{O}_X(nH)$ for all $n \in \mathbb{Z}$.*

Caution 1.6.6. In Theorem 1.6.5, nH is a \mathbb{Q} -divisor of X , so $\mathcal{O}_X(nH)$ is an abbreviation for $\mathcal{O}_X(\lfloor nH \rfloor)$ by definition. Thus, Theorem 1.6.5 asserts that $\mathcal{O}_X(n) \cong \mathcal{O}_X(\lfloor nH \rfloor)$ and $B_n = H^0(X, \mathcal{O}_X(\lfloor nH \rfloor))T^n$ for all $n \in \mathbb{N}$.

1.6.7. ([3, Sec. 5.4]) Let B be an \mathbb{N} -graded Noetherian normal domain such that the prime ideal $B_+ = \bigoplus_{i>0} B_i$ has height greater than 1. Let $\Omega = \text{Spec } B$ and $X = \text{Proj } B$. We shall now define a \mathbb{Q} -linear map $D \mapsto D^*$ from $\text{Div}(X, \mathbb{Q})$ to $\text{Div}(\Omega, \mathbb{Q})$.

Let $K(\Omega)$ and $K(X)$ be the function fields of Ω and X respectively. Let $X^{(1)}$ be the set of homogeneous prime ideals of B of height 1. Since $\text{ht } B_+ > 1$, $X^{(1)} = \{x \in X : \dim \mathcal{O}_{X,x} = 1\}$. For each $\mathfrak{p} \in X^{(1)}$, $B_{\mathfrak{p}} \supset B_{(\mathfrak{p})}$ is an extension of discrete valuations rings; let $e_{\mathfrak{p}}$ denote the ramification index of this extension. Then $e_{\mathfrak{p}} \in \mathbb{N} \setminus \{0\}$. If $v_{\mathfrak{p}}^X : K(X)^* \rightarrow \mathbb{Z}$ and $v_{\mathfrak{p}}^{\Omega} : K(\Omega)^* \rightarrow \mathbb{Z}$ denote the normalized³ valuations of $B_{(\mathfrak{p})}$ and $B_{\mathfrak{p}}$ respectively, then $v_{\mathfrak{p}}^{\Omega}(\xi) = e_{\mathfrak{p}}v_{\mathfrak{p}}^X(\xi)$ for all $\xi \in K(X)^*$. Let $C_{\mathfrak{p}}^X$ (resp. $C_{\mathfrak{p}}^{\Omega}$) denote the closure of $\{\mathfrak{p}\}$ in X (resp. in Ω). Then $C_{\mathfrak{p}}^X$ (resp. $C_{\mathfrak{p}}^{\Omega}$) is a prime divisor of X (resp. of Ω), and every prime divisor of X is a $C_{\mathfrak{p}}^X$ for some $\mathfrak{p} \in X^{(1)}$. We define $(C_{\mathfrak{p}}^X)^* = e_{\mathfrak{p}}C_{\mathfrak{p}}^{\Omega}$ for each $\mathfrak{p} \in X^{(1)}$, and extend linearly to a \mathbb{Q} -linear map $\text{Div}(X, \mathbb{Q}) \rightarrow \text{Div}(\Omega, \mathbb{Q})$, $D \mapsto D^*$. It can be verified that the linear map $D \mapsto D^*$ is injective and has the following two properties:

- (a) $(\text{div}_X(\xi))^* = \text{div}_{\Omega}(\xi)$ for all $\xi \in K(X)^*$;
- (b) if f is a nonzero homogeneous element of B and $D \in \text{Div}(X, \mathbb{Q})$ satisfies $D^* = \text{div}_{\Omega}(f)$, then $D \geq 0$ and $\text{Supp}(D) = V_+(f)$.

Lemma 1.6.8. *Let the assumptions and notation be as in Theorem 1.6.5.*

- (a) ([13, p.52]) *If T and H are as in Theorem 1.6.5 then $H^* = \text{div}_{\Omega}(T)$.*
- (b) ([3, Remark 5.10 (d)]) *Let T_1, T_2 be homogeneous elements of $\text{Frac } B$ of degree 1 and for $i = 1, 2$ let H_i be the \mathbb{Q} -divisor of X that corresponds to T_i as in Theorem 1.6.5. Then $T_1/T_2 \in K(X)^*$ and $\text{div}_X(T_1/T_2) = H_1 - H_2$. In particular, $H_1 \sim H_2$.*

The following is a special case of [3, Lemma 5.20(a)]. One direction was originally shown in [30, Remark 1.14]; we suspect both directions were likely known at the time.

³ The word “normalized” means that the maps $v_{\mathfrak{p}}^X$ and $v_{\mathfrak{p}}^{\Omega}$ are surjective.

Lemma 1.6.9. *Let the assumptions and notation be as in Theorem 1.6.5. Fix some choice of homogeneous $T \in \text{Frac } B$ of degree 1 as well as its corresponding \mathbb{Q} -divisor H . Then, a cylinder U of X is H -polar if and only if there exist $n \geq 1$ and $h \in B_n \setminus \{0\}$ such that $U = D_+(h)$.*

Cylinders and \mathbb{P}^1 -fibrations on normal projective surfaces

We now recall basic geometric consequences of the existence of cylinders on normal projective surfaces. See [6, Section 2.1].

Definition 1.6.10. A surjective morphism $\phi : V \rightarrow B$ between projective varieties V and B is called a \mathbb{P}^1 -fibration if a general closed fiber of ϕ is isomorphic to \mathbb{P}^1 .

1.6.11. ([6, p.49]) Assume throughout this subsection that $U \cong Z \times \mathbb{A}^1$ is a cylinder contained in a normal projective surface S (so Z is an affine smooth curve). Note that since U is smooth and affine and S is proper over \mathbf{k} , the $i = 0$ case of [22, Theorem 4.3] implies that $S \setminus U$ is connected. The projection $\text{pr}_Z : U \rightarrow Z$ extends to a rational map $\rho : S \dashrightarrow \bar{Z}$ where \bar{Z} is the smooth projective model of Z and the general fibers of ρ in the rational sense are the closures in S of the fibers of pr_Z . Since the fibers of pr_Z are isomorphic to \mathbb{A}^1 , their closures have a unique point at infinity. This implies that either $\rho : S \dashrightarrow \bar{Z}$ is an everywhere defined \mathbb{P}^1 -fibration having one of the irreducible components of $S \setminus U$ as a section or it is a strictly rational map with a unique proper base point on S , equal to the intersection of these closures. By resolving the singularities of S as well as, if any, the indeterminacy of the rational map ρ (by blowing-up its unique proper base point and then all the subsequent infinitely near points), we obtain the following commutative diagram where $\phi : W \rightarrow \bar{Z}$ is a \mathbb{P}^1 -fibration and W is smooth.

$$\begin{array}{ccc}
 U \cong \mathbb{A}^1 \times Z & \hookrightarrow & S & \xleftarrow{\alpha} & W \\
 \text{pr}_Z \downarrow & & \downarrow \rho & \swarrow \phi & \\
 Z & \hookrightarrow & \bar{Z} & &
 \end{array}$$

Let C_1, \dots, C_n be the irreducible curves in S such that $S \setminus U = \cup_{i=1}^n C_i$. Let E_1, \dots, E_r , denote the exceptional curves of α and let \tilde{C}_i denote the proper transform of C_i in W . Then exactly one curve among $\tilde{C}_1, \dots, \tilde{C}_n, E_1, \dots, E_r$ (say either \tilde{C}_1 or E_r) is a section of ϕ and all other curves \tilde{C}_i and E_j are contained in closed fibers of ϕ . Moreover, ρ is a morphism if and only if C_1 is a section of ρ .

The following lemma is almost identical to [9, Lemma 3.7] and the proof is essentially the same.

Lemma 1.6.12. *Let $D = \sum_{i=1}^n a_i C_i$ be an effective anti-canonical \mathbb{Q} -divisor on normal projective surface S with quotient singularities such that $U = X \setminus \text{Supp}(D)$ is a cylinder.*

Then the log pair (S, D) is not log-canonical. Moreover, if the map $\rho : S \dashrightarrow \bar{Z}$ as in 1.6.11 has a unique proper base point $s \in S$, then the log pair (S, D) is not log-canonical at s .

Proof. We use the notation of 1.6.11 throughout and assume further that $\alpha : W \rightarrow S$ is a resolution of singularities such that the union of the proper transform D_W of D on W and of the exceptional locus $E = \bigcup_{j=1}^r E_j$ is an SNC divisor on W . Since D is an effective anti-canonical \mathbb{Q} -divisor on S , we have $K_S + D \sim_{\mathbb{Q}} 0$, so the ramification formula for α reads

$$K_W + D_W \sim_{\mathbb{Q}} \alpha^*(K_S + D) + \sum_{j=1}^r b_j E_j \sim_{\mathbb{Q}} \sum_{j=1}^r b_j E_j.$$

If $\rho : S \rightarrow \bar{Z}$ is a morphism, hence a \mathbb{P}^1 -fibration, then there exists a unique component of D , say C_1 , whose proper transform \tilde{C}_1 in W is a section of ϕ and the proper transforms of all other irreducible components of D as well as the exceptional curves of α are contained in closed fibers of ϕ . For a general fiber L of ϕ , we obtain the equality

$$0 = \left(\sum_{j=1}^r b_j E_j\right) \cdot L = (K_W + D_W) \cdot L = -2 + a_1$$

which implies that $a_1 = 2$. Hence,

$$\text{for every point } p \text{ on } C_1, \text{ the log pair } (S, D) \text{ is not log-canonical at } p. \tag{1}$$

If ρ is not a morphism, then the irreducible components of D_W are contained in closed fibers of ϕ . Consequently, a general fiber $L \cong \mathbb{P}^1$ of $\phi : W \rightarrow \mathbb{P}^1$ is a (0) -curve that does not intersect D_W and (by 1.6.11) intersects exactly one of the curves E_j , say E_r , transversely at a unique point. We have

$$-2 = K_W \cdot L = (K_W + D_W) \cdot L = \left(\sum_{j=1}^r b_j E_j\right) \cdot L = b_r.$$

Thus, $b_r = -2 < -1$ which shows that the log pair (S, D) is not log-canonical at the point s . \square

The following classifies precisely which del Pezzo surfaces with at most Du Val singularities admit anti-canonical polar cylinders and is used in Section 3.

Theorem 1.6.13. ([9, Theorem 1.5]) *Let S be a del Pezzo surface of degree d with at most Du Val singularities. The surface S does not admit a $-K_S$ -polar cylinder if and only if one of the following holds:*

- (1) $d = 1$ and S allows only singular points of types A_1, A_2, A_3, D_4 if any;

- (2) $d = 2$ and S allows only singular points of type A_1 if any;
- (3) $d = 3$ and S allows no singular point.

2. Reductions of the conjecture

In this section, we show that Main Conjecture holds for all graded rings B_{a_0, \dots, a_n} provided that it holds for those whose associated quasismooth hypersurfaces

$$\text{Proj}(B_{a_0, \dots, a_n}) = \left\{ \sum_{i=0}^n X_i^{a_i} = 0 \right\} \subset \mathbb{P} = \mathbb{P}(w_0, \dots, w_n)$$

are well-formed. The proof builds in part on a characterization of these rings as being precisely those for which the $(n + 1)$ -tuple (a_0, \dots, a_n) has cotype 0. We then recall, following a general principle introduced in [30], the relationship between the rigidity of B_{a_0, \dots, a_n} and the non-existence of certain polar cylinders in $\text{Proj}(B_{a_0, \dots, a_n})$.

2.1. Reduction to well-formed hypersurfaces

2.1.1. Let $n \geq 2$ and let $S = (a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$. Let $f = X_0^{a_0} + \dots + X_n^{a_n} \in \mathbf{k}[X_0, \dots, X_n]$. Let $L = \text{lcm}(a_0, \dots, a_n)$, let $\text{deg}(X_i) = w_i = L/a_i$ for each $i \in \{0, 1, \dots, n\}$ and note that $\text{gcd}(w_0, \dots, w_n) = 1$. Then f is homogeneous of degree L , $B_{a_0, \dots, a_n} = \mathbf{k}_{w_0, \dots, w_n}[X_0, \dots, X_n]/\langle f \rangle$ is an \mathbb{N} -graded ring, and $\text{deg}(x_i) = w_i$ for every $i = 0, \dots, n$. Since B_{a_0, \dots, a_n} is regular in codimension one and Cohen-Macaulay (since it is a hypersurface), it follows from Serre’s Normality Criterion that B_{a_0, \dots, a_n} is normal. Consequently, the variety

$$X_f = \text{Proj}(\mathbf{k}_{w_0, \dots, w_n}[X_0, \dots, X_n]/\langle f \rangle) = \text{Proj}(B_{a_0, \dots, a_n})$$

is a normal quasismooth weighted projective hypersurface of degree L in the weighted projective space $\mathbb{P}(w_0, \dots, w_n)$.

Definition 2.1.2. ([3, Section 3]) Let $B = \bigoplus_{i \in \mathbb{Z}} B_i$ be a \mathbb{Z} -graded domain. The *saturation index* of B is defined as $e(B) = \text{gcd} \{ i \in \mathbb{Z} : B_i \neq 0 \}$. The graded ring B is *saturated in codimension 1* if $e(B/\mathfrak{p}) = e(B)$ for every homogeneous height one prime ideal \mathfrak{p} of B .

We make use of the following, which gives an algebraic characterization of well-formedness.

Proposition 2.1.3. [5, Proposition 3.5] Let $n \geq 2$, let $\mathbb{P} = \mathbb{P}(w_0, \dots, w_n)$ be a well-formed weighted projective space and let I be a homogeneous prime ideal of $R = \mathbf{k}_{w_0, \dots, w_n}[X_0, \dots, X_n]$ with $\text{ht } I < n$. Consider the graded ring $B = R/I$ and the closed subvariety $X = V_+(I)$ of \mathbb{P} . Then X is well-formed if and only if B is saturated in codimension 1.

Proposition 2.1.4. *Let $n \geq 2$. Let $f = X_0^{a_0} + \dots + X_n^{a_n} \in \mathbf{k}[X_0, \dots, X_n]$, let $B = B_{a_0, \dots, a_n}$ and let $X_f = \text{Proj } B$. The following are equivalent:*

- (a) $\text{cotype}(a_0, \dots, a_n) = 0$;
- (b) B is saturated in codimension 1;
- (c) X_f is quasi-smooth and well-formed.

Proof. The equivalence of (a) and (b) is given by Example 3.16 of [3]. Since X_f is always quasismooth, the equivalence of (b) and (c) follows from Proposition 2.1.3 by setting $I = \langle f \rangle$. \square

We will now show that if the Main Conjecture holds for rings B_{a_0, \dots, a_n} with $\text{cotype}(a_0, \dots, a_n) = 0$ then it holds in general.

Notation 2.1.5. Let B be a \mathbb{Z} -graded ring and let A be a graded subring of B . Define $I_A = \{i \in \mathbb{Z} : A_i \neq 0\}$ and define $\mathbb{Z}(A)$ to be the subgroup of \mathbb{Z} generated by I_A .

Definition 2.1.6. ([14, Definition 5.1, $G = \mathbb{Z}$]) Let B be a \mathbb{Z} -graded ring. A nonzero homogeneous element x of B is \mathbb{Z} -critical if there exists a graded subring $A \subset B$ such that $\mathbb{Z}(A) \neq \mathbb{Z}(B)$ and $B = A[x]$.

Lemma 2.1.7. ([14, Theorem 6.2, $G = \mathbb{Z}$]) Let B be a \mathbb{Z} -graded integral domain, and let $D \in \text{LND}(B)$ be homogeneous. For every \mathbb{Z} -critical element $x \in B$, $D^2(x) = 0$.

Lemma 2.1.8. ([17, Lemma 3.1]) Assume R is a \mathbf{k} -domain, $f \in R$, $n \geq 2$, and $f + Z^n$ is a prime element of $R[Z]$. If $|f|_R \leq 1$, then $B = R[Z]/\langle Z^n + f \rangle$ is not rigid.

Lemma 2.1.9. [4, Lemma 1.3.6] Let $n \geq 2$, let $S = (a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$, and consider

$$B_S = \mathbf{k}[X_0, \dots, X_n]/\langle X_0^{a_0} + \dots + X_n^{a_n} \rangle = \mathbf{k}[x_0, \dots, x_n].$$

Let $m \in \mathbb{N}^+$, let $S^m = (a_0, \dots, a_{n-1}, ma_n)$ and write

$$B_{S^m} = \mathbf{k}[Y_0, \dots, Y_n]/\langle Y_0^{a_0} + \dots + Y_{n-1}^{a_{n-1}} + Y_n^{ma_n} \rangle = \mathbf{k}[y_0, \dots, y_n].$$

Then there is a \mathbf{k} -isomorphism $B_S[Z]/\langle Z^m - x_n \rangle \cong B_{S^m}$ where Z is an indeterminate over B_S .

Proposition 2.1.10. Let $n \geq 2$ and suppose $(a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$ satisfies

- $a_n \nmid \text{lcm}(a_0, \dots, a_{n-1})$,
- there exists $m \in \mathbb{N}^+$ such that $B_{a_0, \dots, a_{n-1}, ma_n}$ is rigid.

Then $B_{a_0, \dots, a_{n-1}, a_n}$ is rigid.

Proof. Let $R = B_{a_0, \dots, a_n} = \mathbf{k}[x_0, \dots, x_n]$. Let $A = \mathbf{k}[x_0, \dots, x_{n-1}]$ and observe that $R = A[x_n]$. By 2.1.1, $\mathbb{Z}(R) = \mathbb{Z}$. Let $L' = \text{lcm}(a_0, \dots, a_{n-1})$, and let $L = \text{lcm}(a_n, L')$. Since by assumption $a_n \nmid L'$, $\mathbb{Z}(A) = (L/L')\mathbb{Z} \subset \mathbb{Z}$. It follows that x_n is a \mathbb{Z} -critical element of R . Let $m \in \mathbb{N}^+$ be such that $B_{a_0, \dots, a_{n-1}, ma_n}$ is rigid, let $R' = R[Z]/\langle Z^m - x_n \rangle$ and note that Lemma 2.1.9 implies that $R' \cong B_{a_0, \dots, a_{n-1}, ma_n}$.

Assume that R is not rigid. By Proposition 1.1.5, there exists $D \in \text{LND}(R)$ which is nonzero and homogeneous; since x_n is a \mathbb{Z} -critical element of R , Lemma 2.1.7 implies that $D^2(x_n) = 0$. In particular, $|x_n|_R \leq 1$. By Lemma 2.1.8, R' is not rigid, hence $B_{a_0, \dots, a_{n-1}, ma_n}$ is not rigid. This is a contradiction, so R is rigid. \square

Notation 2.1.11. Given $(a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$, let $L = \text{lcm}(a_0, \dots, a_n)$ and let $\alpha = L - \sum_{i=0}^n \frac{L}{a_i}$. Define the following sets

$$\begin{aligned} \Gamma_n &= \{ (a_0, \dots, a_n) : \min(a_0, \dots, a_n) > 1 \text{ and at most one } i \text{ satisfies } a_i = 2 \} \\ \Gamma_n^+ &= \{ (a_0, \dots, a_n) \in \Gamma_n : \text{cotype}(a_0, \dots, a_n) = 0 \text{ and } \alpha \geq 0 \} \\ \Gamma_n^- &= \{ (a_0, \dots, a_n) \in \Gamma_n : \text{cotype}(a_0, \dots, a_n) = 0 \text{ and } \alpha < 0 \}, \end{aligned}$$

and consider the statements:

$$\begin{aligned} P(n) &: B_{a_0, \dots, a_n} \text{ is rigid for all } (a_0, \dots, a_n) \in \Gamma_n ; \\ P(n, i) &: B_{a_0, \dots, a_n} \text{ is rigid for all } (a_0, \dots, a_n) \in \Gamma_n \text{ with } \text{cotype}(a_0, \dots, a_n) = i. \end{aligned}$$

The following appears in [2] with slightly different notation. For $S = (a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$, we define $B_S = B_{a_0, \dots, a_n}$.

Proposition 2.1.12. [2, Proposition 4.9 (a)] Let $n \geq 2$, let $S, S' \in (\mathbb{N}^+)^{n+1}$ and suppose $S' \leq^i S$ for some $i \in \{0, \dots, n\}$. If $B_{S'}$ is rigid then B_S is rigid.

Theorem 2.1.13. Let $n \geq 3$. If $P(n - 1)$ and $P(n, 0)$ hold, then $P(n)$ holds.

Proof. Suppose $S = (a_0, \dots, a_n) \in \Gamma_n$ and assume that $P(n - 1)$ and $P(n, 0)$ hold. We must show that B_S is rigid. If $\text{cotype}(S) = 0$ we are done, since $P(n, 0)$ holds by assumption. Assume henceforth that $\text{cotype}(S) \geq 1$.

Suppose $\text{cotype}(S) \geq 2$. By contradiction, assume that there exists $D \in \text{LND}(B_S) \setminus \{0\}$. Without loss of generality, by Proposition 1.1.5 together with Theorem 1.1.3 (d) we may assume D is homogeneous and irreducible. For each $i \in \{0, \dots, n\}$, let $w_i = \deg(x_i)$ be as in 2.1.1. Let $H_i = \langle w_0, \dots, w_{i-1}, \hat{w}_i, w_{i+1}, \dots, w_n \rangle \subseteq \mathbb{Z}$ and $S_i = (a_0, \dots, a_{i-1}, \hat{a}_i, a_{i+1}, \dots, a_n)$. Since $\text{cotype}(S) \geq 2$, there exist distinct $j, k \in \{0, \dots, n\}$ such that $H_j \subset \mathbb{Z}$ and $H_k \subset \mathbb{Z}$. By [14, Corollary 6.3 (b)], either $x_j \in \ker(D)$ or $x_k \in \ker(D)$. Without loss of generality, we may assume $j = n$ or $k = n$, so that

$x_n \in \ker(D)$. Then, since D is irreducible, D induces a nonzero locally nilpotent derivation on $B_S/\langle x_n \rangle \cong B_{S_n}$. But since $S \in \Gamma_n$, it follows that $S_n \in \Gamma_{n-1}$. This is a contradiction since $P(n-1)$ holds. So B_S is rigid when $\text{cotype}(S) \geq 2$.

Finally, assume $\text{cotype}(S) = 1$. Then, up to permuting the a_i , we may arrange that $a_n \nmid \text{lcm}(a_0, \dots, a_{n-1})$. Let $L = \text{lcm}(a_0, \dots, a_{n-1})$. By Proposition 2.1.10, it suffices to prove that $B_{a_0, \dots, a_{n-1}, a_n L}$ is rigid. We have $(a_0, \dots, a_{n-1}, L) <^n (a_0, \dots, a_{n-1}, a_n L)$ and $\text{cotype}(a_0, \dots, a_{n-1}, L) = 0$. Since $L > 2$, $(a_0, \dots, a_{n-1}, L) \in \Gamma_n$. By assumption, $P(n, 0)$ holds so $B_{a_0, \dots, a_{n-1}, L}$ is rigid and hence $B_{a_0, \dots, a_n L}$ is rigid by Proposition 2.1.12. \square

Combining Theorem 2.1.13 with the fact that the base case $P(2)$ holds by [31, Lemma 4], we derive the following corollary:

Corollary 2.1.14. *The Main Conjecture holds in dimension $n = 3$ if and only if it holds for well-formed Pham-Brieskorn threefolds X_{a_0, a_1, a_2, a_3} , that is, if and only if B_{a_0, a_1, a_2, a_3} is rigid for every $(a_0, a_1, a_2, a_3) \in \Gamma_3^+ \cup \Gamma_3^-$.*

Arguing by induction, Theorem 2.1.13 reduces the study of the Main Conjecture to the natural class of graded rings B_{a_0, \dots, a_n} where $(a_0, \dots, a_n) \in \Gamma_n$ for which the associated quasismooth hypersurface $\text{Proj}(B_{a_0, \dots, a_n})$ is well-formed, namely $(a_0, \dots, a_n) \in \Gamma_n^+ \cup \Gamma_n^-$. More formally, we obtain:

Corollary 2.1.15. *If $P(n, 0)$ is true for all $n \geq 3$ then the Main Conjecture holds.*

2.2. Reduction to the non-existence of polar cylinders

The following is special case of [3, Theorem 1.2], which in turn is a generalization of [30, Theorem 0.6].

Theorem 2.2.1. *Let $B = \bigoplus_{i \in \mathbb{N}} B_i$ be an \mathbb{N} -graded affine \mathbb{C} -domain such that the transcendence degree of B over B_0 is at least 2. The following are equivalent.*

- (a) *There exists $d \geq 1$ such that $B^{(d)}$ is not rigid.*
- (b) *There exists a homogeneous element $h \in B \setminus \{0\}$ of positive degree such that the open subset $D_+(h)$ of $\text{Proj } B$ is a cylinder.*

Moreover, if B is normal and is saturated in codimension 1 then the above conditions are equivalent to

- (c) *B is not rigid.*

Corollary 2.2.2. *Let $n \geq 2$ and suppose $\text{cotype}(a_0, \dots, a_n) = 0$. The following are equivalent:*

- B_{a_0, \dots, a_n} is rigid;
- $(B_{a_0, \dots, a_n})^{(d)}$ is rigid for all $d \in \mathbb{N}^+$.

Proof. It suffices to check that B_{a_0, \dots, a_n} satisfies the assumptions of Theorem 2.2.1. The only non-trivial things to check are normality and saturation in codimension 1. Normality is shown in 2.1.1 and Proposition 2.1.4 implies that B_{a_0, \dots, a_n} is saturated in codimension 1. \square

Remark 2.2.3. The assumption that $\text{cotype}(a_0, \dots, a_n) = 0$ is necessary for Corollary 2.2.2 to hold. We will see later that $B_{2,3,3,4}$ is rigid whereas $(B_{2,3,3,4})^{(2)} \cong B_{2,3,3,2}$ is not rigid (as discussed in the Introduction).

The following appears as Lemma 4.1.8 in [4]. The proof given here is simpler.

Lemma 2.2.4. *With the notation of 1.6.7, let $B = B_{a_0, \dots, a_n}$ and assume $\text{cotype}(a_0, \dots, a_n) = 0$. Let $\mathfrak{p}_i = \langle x_i \rangle \triangleleft B$. For each $i = 0, \dots, n$, $\mathfrak{p}_i \in X^{(1)}$ and $e_{\mathfrak{p}_i} = 1$.*

Proof. By Proposition 2.1.4, B is saturated in codimension 1. By [12, Corollary 9.4], $e_{\mathfrak{q}} = 1$ for all $\mathfrak{q} \in X^{(1)}$. In particular, the result is true when $\mathfrak{q} = \mathfrak{p}_i$. \square

Theorem 2.2.5. *Let $n \geq 2$ and consider $(a_0, \dots, a_n) \in (\mathbb{N}^+)^{n+1}$ of cotype 0. Let $B = B_{a_0, \dots, a_n}$, let $X = \text{Proj}(B)$, and let α be the amplitude of X . Then,*

- (a) $\omega_X \cong \mathcal{O}_X(\alpha)$.
- (b) *Let T be a homogeneous element of $\text{Frac } B$ of degree 1 and let H be the unique \mathbb{Q} -divisor of X determined by T as in Theorem 1.6.5. Then $H \in \text{Div}(X)$, $K_X \sim \alpha H$ and K_X is \mathbb{Q} -Cartier.*
- (c) *Assume that $\alpha \neq 0$ and define $s = \frac{\alpha}{|\alpha|} \in \{1, -1\}$. Then sK_X is ample and the following are equivalent:*
 - (i) B is not rigid;
 - (ii) for some $d \geq 1$, $B^{(d)}$ is not rigid;
 - (iii) there exists a homogeneous element $h \in B \setminus \{0\}$ of positive degree such that the open subset $D_+(h)$ of $\text{Proj } B$ is a cylinder;
 - (iv) there exists a (sK_X) -polar cylinder of X .

Proof. First, since $\text{cotype}(a_0, \dots, a_n) = 0$, X is a well-formed quasismooth weighted hypersurface (by Proposition 2.1.4) so (a) follows from Theorem 1.4.4.

We prove (b). By Lemma 1.6.8 (b), if assertion (b) is true for one particular choice of a homogeneous element T in $\text{Frac } B$ of degree 1, then it is true for every choice of such a T . As such, we assume henceforth that $T = \prod_{i=0}^n x_i^{b_i}$ where $b_0, \dots, b_n \in \mathbb{Z}$ are such that $\sum_{i=0}^n b_i \deg(x_i) = 1$. By Lemma 1.6.8 (a), $H^* = \text{div}_\Omega(T) = \sum_{i=0}^n b_i C_{\mathfrak{p}_i}^\Omega$. Letting $E = \sum_{i=0}^n b_i C_{\mathfrak{p}_i}^X \in \text{Div}(X)$ we obtain that $E^* = \sum_{i=0}^n b_i C_{\mathfrak{p}_i}^\Omega = \text{div}_\Omega(T) = H^*$ (since

by Lemma 2.2.4, $e_{p_i} = 1$ for all $i = 0, \dots, n$). Since $D \mapsto D^*$ is injective, we obtain that $E = H$, so $H \in \text{Div}(X)$. By (a) together with Theorem 1.6.5, $\mathcal{O}_X(K_X) \cong \omega_X \cong \mathcal{O}_X(\alpha) \cong \mathcal{O}_X(\alpha H)$ and so $K_X \sim \alpha H$. Finally, K_X is \mathbb{Q} -Cartier by 1.4.3, proving (b).

We prove (c). Let H be as in part (b). Since H is ample, rH is ample for every integer $r > 0$. Since $K_X \sim \alpha H$, $sK_X \sim |\alpha|H$ is ample. By Proposition 2.1.4, B is saturated in codimension 1. By Theorem 2.2.1, (i), (ii) and (iii) are equivalent. Assume (iii) holds, and let $h \in B \setminus \{0\}$ be a homogeneous element of positive degree such that $D_+(h) \subset X$ is a cylinder. By Lemma 1.6.9, $D_+(h)$ is H -polar and since $sK_X \sim |\alpha|H$ it is also (sK_X) -polar by Remark 1.6.3, so (iii) implies (iv). Conversely, assume (iv) holds. Let U be an (sK_X) -polar cylinder of X . Since $sK_X \sim |\alpha|H$, U is H -polar by Remark 1.6.3. By Lemma 1.6.9, (iii) holds and so (iv) implies (iii), proving (c). \square

Remark 2.2.6. We will see in Corollary 2.3.3 that when $\alpha > 0$, items (i)-(iv) in Theorem 2.2.5 (c) never hold.

2.3. The case of non-negative amplitude

In this section, we show that every ring B_{a_0, \dots, a_n} with $(a_0, \dots, a_n) \in \Gamma_n^+$ is rigid.

Remark 2.3.1. We recall that if Y is a nonsingular variety of dimension n and C is a nonsingular curve in Y , the adjunction formula gives the following isomorphism of sheaves on C :

$$\omega_C = \bigwedge^{n-1} \mathcal{N}_{C/Y} \otimes \omega_Y|_C.$$

It follows as a consequence that if Y is a nonsingular variety and $\phi : Y \rightarrow B$ is a \mathbb{P}^1 -fibration with general fiber L , then $K_Y \cdot L = -2$.

Proposition 2.3.2. *Let X be a normal projective variety with at most log-canonical singularities such that K_X is pseudoeffective and \mathbb{Q} -Cartier. Then X does not contain a cylinder.*

Proof. Assume X contains a cylinder $U \cong Z \times \mathbb{A}^1$ for some affine variety Z . Replacing Z by a suitable open smooth subvariety, we may assume without loss of generality that Z is smooth. Let \bar{Z} be a smooth completion of Z . The projection $\text{pr} : U \rightarrow Z$ induces a rational map $\rho : X \dashrightarrow \bar{Z}$. Let $\sigma : \tilde{X} \rightarrow X$ be a birational morphism that resolves the indeterminacy of the induced rational map $\rho : X \dashrightarrow \bar{Z}$, such that σ is also a log resolution of singularities of X . Then by construction of \tilde{X} , the birational map $\phi = \rho \circ \sigma : \tilde{X} \rightarrow \bar{Z}$ is a well-defined proper morphism. By generic smoothness applied to ϕ (Corollary 10.7 in [23]), there is a non-empty open set $V \subseteq \bar{Z}$ such that $\phi|_{\phi^{-1}(V)} : \phi^{-1}(V) \rightarrow V$ is a smooth morphism. Without loss of generality, we may assume $V \subseteq Z$. Since each fiber of $\phi|_{\phi^{-1}(V)}$ is regular of dimension 1 and contains an

affine line, it follows that $\phi^{-1}(V) \cong V \times \mathbb{P}^1$ and $\phi|_{\phi^{-1}(V)} : V \times \mathbb{P}^1 \rightarrow V$ is a trivial \mathbb{P}^1 -bundle extending the cylinder $V \times \mathbb{A}^1$. Let U' denote this smaller cylinder $V \times \mathbb{A}^1$.

Let $V \times \{\infty\} = \phi^{-1}(V) \setminus U' = (V \times \mathbb{P}^1) \setminus (V \times \mathbb{A}^1)$ and let Σ denote the closure of $V \times \{\infty\}$ in \tilde{X} ; note that Σ is a divisor of \tilde{X} contained in $\tilde{X} \setminus \sigma^{-1}(U')$. Consider the ramification formula for the log resolution σ

$$K_{\tilde{X}} = \sigma^*K_X + \sum_{i \in I} a_i E_i$$

where the E_i are the exceptional divisors of σ . For a general closed fiber L of $\phi : \tilde{X} \rightarrow \bar{Z}$ we have

$$-2 = K_{\tilde{X}} \cdot L = \sigma^*K_X \cdot L + \sum_{i \in I} a_i E_i \cdot L = K_X \cdot \sigma_*L + \sum_{i \in I} a_i E_i \cdot L, \tag{2}$$

the first equality by Remark 2.3.1, the second by observing that the projection formula (see Proposition 2.3(c) of [19]) still holds for \mathbb{Q} -Cartier divisors. Since X has log-canonical singularities, $a_i \geq -1$ for all $i \in I$ and since L is a general fiber we obtain that $E_i \cdot L \geq 0$ for all i and $E_i \cdot L > 0$ if and only if $E_i = \Sigma$ in which case $\Sigma \cdot L = 1$. Also, since $K_{\tilde{X}}$ is pseudoeffective and σ_*L is general and effective, $K_X \cdot \sigma_*L \geq 0$. It follows that the right hand side of (2) is at most -1 , a contradiction. We conclude that X cannot contain a cylinder. \square

Corollary 2.3.3. *Let $(a_0, \dots, a_n) \in \Gamma_n^+$ and let $B = B_{a_0, \dots, a_n}$. Then $B^{(d)}$ is rigid for every $d \geq 1$.*

Proof. Since $\text{cotype}(a_0, \dots, a_n) = 0$, Proposition 2.1.4 implies that $X = \text{Proj}(B)$ is a well-formed quasismooth weighted complete intersection. By 1.4.3, X is normal and has cyclic quotient singularities. Moreover, Theorem 2.2.5 (b) (using the notation of said theorem) implies that $H \in \text{Div}(X)$, H is ample, and $K_X = \alpha H$ where by assumption $\alpha \geq 0$. So, K_X is either trivial or ample and in particular is pseudoeffective. Proposition 2.3.2 then implies that X does not contain a cylinder and so Theorem 2.2.1 (d) implies $B^{(d)}$ is rigid for all $d \in \mathbb{N}^+$. \square

3. Proof of the Main Conjecture in dimension 3

First observe that by Corollary 2.1.14 together with the $n = 3$ case of Corollary 2.3.3, to prove the $n = 3$ case of the Main Conjecture, it suffices to show that B_{a_0, a_1, a_2, a_3} is rigid for all $(a_0, a_1, a_2, a_3) \in \Gamma_3^-$.

Proposition 3.0.1. *Let $(a_0, a_1, a_2, a_3) \in \Gamma_3^-$, let $B = B_{a_0, a_1, a_2, a_3}$ and let $X = \text{Proj} B$. Then the following hold:*

- (a) X is a del Pezzo surface.

(b) *There exists $d \in \mathbb{N}^+$ such that $B^{(d)}$ is not rigid if and only if X contains a $-K_X$ -polar cylinder.*

Proof. By Theorem 2.2.5 (c) and (b), $-K_X$ is an ample \mathbb{Q} -Cartier divisor. Since X has quotient singularities (by 1.4.3), X is a del Pezzo surface, proving (a). Part (b) follows from Theorem 2.2.5 (c). \square

3.1. The simpler cases

The following further reduces the proof of the $n = 3$ case of the Main Conjecture to eight specific cases:

Lemma 3.1.1. (*[4, Lemma 4.2.4]*) *Up to a permutation of a_0, a_1, a_2, a_3 , the set Γ_3^- consists of the following eight 4-tuples:*

- (2, 3, 3, 6)
- (2, 3, 6, 6)
- (2, 4, 4, 4)
- (3, 3, 3, 3)
- (3, 3, 4, 4)
- (3, 3, 5, 5)
- (2, 3, 4, 12)
- (2, 3, 5, 30)

3.1.2. (*[4, Sections 4.5-4.6]*) For each tuple $(a_0, a_1, a_2, a_3) \in \Gamma_3^-$, we have that $\text{Proj } B_{a_0, a_1, a_2, a_3}$ is a del Pezzo surface with quotient singularities. After determining the degrees of these del Pezzo surfaces and their singularity types, one can apply Theorem 1.6.13 to show that if (a_0, a_1, a_2, a_3) is one of $\{(2,3,3,6), (2,3,6,6), (2,4,4,4), (3,3,3,3)\}$, then $\text{Proj } B_{a_0, a_1, a_2, a_3}$ does not contain an anti-canonical polar cylinder. For $(a_0, a_1, a_2, a_3) \in \{(3, 3, 4, 4), (3, 3, 5, 5)\}$, Lemmas 4.1 and 5.1 in [7] imply that for every effective anti-canonical \mathbb{Q} -divisor D on X , the log pair (X, D) is log-canonical. Thus, by Lemma 1.6.12, X does not contain an anti-canonical polar cylinder. It then follows from Proposition 3.0.1 (b) that for each $(a_0, a_1, a_2, a_3) \in \Gamma_3^- \setminus \{(2, 3, 4, 12), (2, 3, 5, 30)\}$, $(B_{a_0, a_1, a_2, a_3})^{(d)}$ is rigid for every $d \geq 1$. Combining this analysis with Corollary 2.3.3, we obtain:

Corollary 3.1.3. *To finish the proof of the Main Conjecture in dimension 3, it suffices to prove that $B_{2,3,5,30}$ and $B_{2,3,4,12}$ are rigid.*

3.2. Rigidity of $B_{2,3,5,30}$

3.2.1. Let $B = B_{2,3,5,30}$ and let $S = \text{Proj } B \subset \mathbb{P}(15, 10, 6, 1)$. Consider the degree 1 homogeneous element $T = x_3 \in \text{Frac}(B)$. Then $\Delta = V_+(x_3) \in \text{Div}(S)$ is the unique \mathbb{Q} -

divisor which satisfies $B = \bigoplus_{n \in \mathbb{N}} B_n$ where $B_n = H^0(S, \mathcal{O}_S(n\Delta))T^n$ for all $n \in \mathbb{N}$ (as defined in Theorem 1.6.5). Considering Δ as a closed subvariety of S , we find $\Delta \cong \mathbb{P}^1$.

Since $\text{cotype}(2, 3, 5, 30) = 0$, Proposition 2.1.4 implies that S is a well-formed hypersurface. Since (by 1.4.3) S is normal and Cohen-Macaulay, we have $\omega_S \cong \omega_S^{\circ} \cong \mathcal{O}_S(-2) \cong \mathcal{O}_S(-2\Delta)$. It follows that 2Δ is an ample anti-canonical divisor of S and it can be checked that $(K_S)^2 = \frac{2}{15}$. In particular, S is a singular del Pezzo surface. Since S is well-formed, $\text{Sing}(S) = S \cap \text{Sing}(\mathbb{P}(15, 10, 6, 1)) = \{[0 : 1 : -1 : 0], [1 : 0 : -1 : 0], [1 : -1 : 0 : 0]\}$, and it can be checked that

- $[1 : -1 : 0 : 0]$ is a $\frac{1}{5}(1, 1)$ singularity,
- $[1 : 0 : -1 : 0]$ is a $\frac{1}{3}(1, 1)$ singularity,
- $[0 : 1 : -1 : 0]$ is a $\frac{1}{2}(1, 1)$ singularity.

Moreover, for each $P \in \text{Sing}(S)$, $\text{mult}_P(\Delta) = 1$.

3.2.2. For each $k \in \{2, 3, 5\}$, let P_k denote the $\frac{1}{k}(1, 1)$ singularity of S . Let $\sigma : \tilde{S} \rightarrow S$ be the minimal resolution of singularities of S and let \tilde{E}_k denote the exceptional curve lying over P_k . Example 1.5.3 shows that for each $k \in \{2, 3, 5\}$, $\tilde{E}_k \cong \mathbb{P}^1$ is a $(-k)$ -curve and

$$K_{\tilde{S}} = \sigma^* K_S - \frac{1}{3}\tilde{E}_3 - \frac{3}{5}\tilde{E}_5. \tag{3}$$

Let $\tilde{\Delta}$ denote the proper transform of Δ on \tilde{S} . Since $1 = \text{mult}_{P_2}(\Delta) = \text{mult}_{P_3}(\Delta) = \text{mult}_{P_5}(\Delta)$ we have $\sigma^*\Delta = \tilde{\Delta} + \frac{1}{2}\tilde{E}_2 + \frac{1}{3}\tilde{E}_3 + \frac{1}{5}\tilde{E}_5$. Since 2Δ is an anti-canonical divisor of S , we obtain using (3) that

$$2\tilde{\Delta} + \tilde{E}_2 + \tilde{E}_3 + \tilde{E}_5 \text{ is an anti-canonical divisor of } \tilde{S} \text{ and } K_{\tilde{S}}^2 = -2. \tag{4}$$

The support of $\sigma^*(\Delta)$ is given by the following weighted graph

$$\begin{array}{ccccc}
 & & (\tilde{E}_2, -2) & & \\
 & & | & & \\
 (\tilde{E}_3, -3) & - & (\tilde{\Delta}, -1) & - & (\tilde{E}_5, -5).
 \end{array}$$

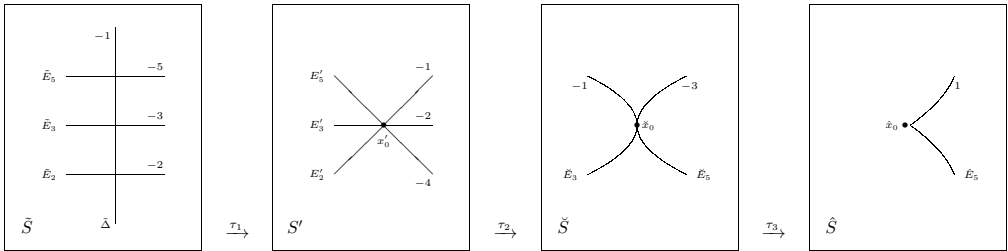
Auxiliary surfaces and birational morphisms

3.2.3. We now describe some birational morphisms from \tilde{S} . Note that S, \tilde{S} and σ are already defined, whereas the other surfaces and morphisms will be defined below.

$$\begin{array}{ccccccc}
 \tilde{S} & \xrightarrow{\tau_1} & S' & \xrightarrow{\tau_2} & \check{S} & \xrightarrow{\tau_3} & \hat{S} \\
 \sigma \downarrow & & & & & & \\
 S & & & & & &
 \end{array}$$

Define $\tau_1 : \tilde{S} \rightarrow S'$ to be the contraction of $\tilde{\Delta}$ onto the smooth point of S' which we denote by x'_0 . For each $i \in \{2, 3, 5\}$, let $E'_i = \tau_{1*}(\tilde{E}_i)$. The support of $\tau_{1*}(\sigma^*\Delta) = \frac{1}{2}E'_2 + \frac{1}{3}E'_3 + \frac{1}{5}E'_5$ is a union of a (-1) -curve, a (-2) -curve and a (-4) -curve intersecting at a single point.

Define $\tau_2 : S' \rightarrow \check{S}$ to be the contraction of E'_2 onto a smooth point of \check{S} which we denote by x_0 . Let $\check{E}_i = \tau_{2*}(E'_i)$ for each $i \in \{3, 5\}$. Then $\check{E}_3^2 = -1$, $\check{E}_5^2 = -3$ and \check{E}_3, \check{E}_5 are projective lines that intersect tangentially; in particular $\check{E}_3 \cdot \check{E}_5 = 2$. Define $\tau_3 : \check{S} \rightarrow \hat{S}$ to be the contraction of \check{E}_3 onto a smooth point of \hat{S} which we denote by \hat{x}_0 . Let $\hat{E}_5 = \tau_{3*}(\check{E}_5)$. Since $\check{E}_3 \cdot \check{E}_5 = 2$, $\text{mult}_{\hat{x}_0}(\hat{E}_5) = 2$ and $\hat{E}_5^2 = \check{E}_5^2 + (2)(2) = 1$. It follows that \hat{E}_5 is a singular projective curve containing an affine line, hence is a cuspidal curve with a cusp at \hat{x}_0 . In summary, we have the following diagrams representing the support of $\sigma^*(\Delta) \subset \tilde{S}$ and its image after contracting the (-1) -curves described above.



Proposition 3.2.4. *With the notation of 3.2.3,*

- (a) \hat{E}_5 is an ample anti-canonical divisor of \hat{S} ;
- (b) \hat{S} is a smooth del Pezzo surface of degree 1.

Proof. We prove (a). It follows from (4) and from the definitions of τ_1, τ_2, τ_3 that \hat{E}_5 is an anti-canonical divisor of \hat{S} . By Theorem 1.3.4, it suffices to show that for any curve $\hat{C} \subset \hat{S}$, $\hat{C} \cdot \hat{E}_5 > 0$. Observe that the birational map $S \dashrightarrow \hat{S}$ restricts to an isomorphism between $S \setminus \Delta$ and $\hat{S} \setminus \hat{E}_5$. If $\hat{C} = \hat{E}_5$, we are done since $\hat{E}_5^2 = 1$. If $\hat{C} \neq \hat{E}_5$, the proper transform $\tilde{C} \in \tilde{S}$ of $\hat{C} \in \hat{S}$ is equal to the proper transform of some irreducible curve C in S other than Δ . Since Δ is ample, $C \cdot \Delta > 0$ and so \tilde{C} intersects $\tilde{\Delta} \cup \tilde{E}_2 \cup \tilde{E}_3 \cup \tilde{E}_5$. This implies that \tilde{C} intersects \hat{E}_5 and so $\hat{C} \cdot \hat{E}_5 > 0$. This proves (a).

For (b), \hat{S} is smooth because τ_1, τ_2, τ_3 contract (-1) -curves on smooth surfaces. The other claims in (b) follow from part (a) and the fact that $\hat{E}_5^2 = 1$. \square

3.2.5. Exclusion of anti-canonical polar cylinders in Proj $B_{2,3,5,30}$

This section shows that $S = \text{Proj } B_{2,3,5,30}$ does not contain an anti-canonical polar cylinder.

Lemma 3.2.6. *Let D be an effective anti-canonical \mathbb{Q} -divisor on S such that the log pair (S, D) is not log-canonical at a point $p \in S$. Then*

- (a) $p \in \text{Supp}(\Delta)$
- (b) $\Delta \subseteq \text{Supp}(D)$.

Proof. For (a), assume that $p \notin \text{Supp}(\Delta)$. Then, by the discussion in 3.2.1, p is a regular point of S and so by Lemma 1.5.5 we have $\text{mult}_p(D) > 1$. Consider the complete linear system $\mathcal{M} = |-5K_S|$ on S . Since $-5K_S \sim 10\Delta$, the elements of $|-5K_S|$ have form $V_+(f)$ where $f = ax_1 + bx_2x_3^4 + cx_3^{10}$ is homogeneous of degree 10 and $[a : b : c] \in \mathbb{P}^2$. Let \mathcal{M}_p denote the subsystem of \mathcal{M} consisting of elements passing through p . Since the condition that a member of \mathcal{M} passes through p imposes one linear condition, the subsystem \mathcal{M}_p is one dimensional. Moreover, a general member of \mathcal{M}_p is irreducible and \mathcal{M}_p has no fixed components. Consequently, there exists an irreducible $M \in \mathcal{M}_p$ such that $\text{Supp}(M) \not\subseteq \text{Supp}(D)$. Since $\text{mult}_p(M) \geq 1$ (recalling from 3.2.1 that $K_S^2 = \frac{2}{15}$), we have

$$\frac{2}{3} = 5(-K_S)^2 = M \cdot D \geq \text{mult}_p(M) \cdot \text{mult}_p(D) > 1$$

which is impossible. We conclude that $p \in \text{Supp}(\Delta)$, proving (a).

We prove (b). Suppose Δ is not an irreducible element of $\text{Supp}(D)$. If p is a regular point of S , again Lemma 1.5.5 implies $\text{mult}_p(D) > 1$. Since $p \in \text{Supp}(\Delta)$, we obtain

$$\frac{1}{15} = D \cdot \Delta \geq \text{mult}_p(D) \cdot \text{mult}_p(\Delta) > 1$$

which is impossible, so p is a singular point of S . We have $p = P_k$ (as in 3.2.2) for some $k \in \{2, 3, 5\}$ and so p is of type $\frac{1}{k}(1, 1)$. Let $\mu : \bar{S} \rightarrow S$ denote the minimal resolution of the point p . The exceptional divisor \bar{E} is a projective line and $\bar{E}^2 = -k$. We have

- (i) $K_{\bar{S}} = \mu^*K_S - \frac{k-2}{k}\bar{E}$,
- (ii) $\mu^*\Delta = \bar{\Delta} + \frac{1}{k}\bar{E}$ where $\bar{\Delta}$ is the strict transform of Δ ,
- (iii) $\mu^*D = \bar{D} + \frac{1}{k}\text{mult}_p(D)\bar{E}$,

where claim (i) follows from Example 1.5.3, and (ii) and (iii) are because p is of type $\frac{1}{k}(1, 1)$. It follows that

$$\mu^*(K_S + D) = K_{\bar{S}} + \bar{D} + \frac{1}{k}(\text{mult}_p(D) + k - 2)\bar{E}.$$

Since (S, D) is not log-canonical at p , there exists a point $\bar{p} \in \bar{E}$ such that the log pair $(\bar{S}, \bar{D} + \frac{1}{k}(\text{mult}_p(D) + k - 2)\bar{E})$ is not log-canonical at \bar{p} . Since \bar{p} is a regular point of \bar{S} , Lemma 1.5.5 implies

$$1 < \text{mult}_{\bar{p}}(\bar{D} + \frac{1}{k}(\text{mult}_p(D) + k - 2)\bar{E}) = \text{mult}_{\bar{p}}(\bar{D}) + \frac{1}{k}(\text{mult}_p(D) + k - 2).$$

Since $\text{mult}_{\bar{p}}(\bar{D}) \leq \text{mult}_p(D)$, we find $\frac{k+1}{k} \text{mult}_p(D) > \frac{2}{k}$ and hence that $\text{mult}_p(D) > \frac{2}{k+1}$. Since Δ is not an irreducible component of $\text{Supp}(D)$, we have

$$\frac{1}{15} = \Delta \cdot D \geq (\Delta \cdot D)_p \geq \frac{1}{k} \text{mult}_p(D) > \frac{2}{k(k+1)} \geq \frac{1}{15}$$

since $k \in \{2, 3, 5\}$. This is clearly impossible, and proves (b). \square

Proposition 3.2.7. *The surface $S = \text{Proj } B_{2,3,5,30}$ does not contain an anti-canonical polar cylinder.*

Proof. Suppose D is an effective anti-canonical \mathbb{Q} -divisor such that $S \setminus \text{Supp}(D)$ a cylinder $U \cong \mathbb{A}^1 \times Z$ and let $\rho : S \dashrightarrow \mathbb{P}^1$ be the rational map induced by the projection $\text{pr}_Z : U \rightarrow Z$. By the discussion in 1.6.11, one of the following holds:

- (i) ρ is a \mathbb{P}^1 -fibration and D contains an irreducible component, say C_1 , which is a section of ρ ;
- (ii) ρ has a unique proper base point p on S .

In case (i), statement (1) in the proof of Lemma 1.6.12 implies that the log pair (S, D) is not log-canonical at a point of C_1 . In case (ii), by Lemma 1.6.12, the log pair (S, D) is not log-canonical at the base point p . In both cases (i) and (ii), Lemma 3.2.6 (b) implies that $\Delta \subseteq \text{Supp}(D)$. Consider the \mathbb{Q} -divisor $\tilde{D} = \sigma^*(D) + \frac{1}{3}\tilde{E}_3 + \frac{2}{5}\tilde{E}_5$. Since $P_2, P_3, P_5 \in \text{Supp}(\Delta)$, and since $\Delta \subseteq \text{Supp}(D)$, \tilde{D} contains $\tilde{E}_2, \tilde{E}_3, \tilde{E}_5$ and $\tilde{\Delta}$ in its support. Also, \tilde{D} is an anti-canonical \mathbb{Q} -divisor of \tilde{S} by (3). Recalling the notation of 3.2.3, let $\tau = \tau_3 \circ \tau_2 \circ \tau_1$. The contraction $\tau : \tilde{S} \rightarrow \hat{S}$ restricts to an isomorphism between $\sigma^{-1}(U) = \tilde{S} \setminus \text{Supp}(\tilde{D})$ and its image $\tau(\sigma^{-1}(U)) = \hat{S} \setminus \text{Supp}(\hat{D})$ where $\hat{D} = \tau_*(\tilde{D})$. Since \hat{D} is an effective anti-canonical \mathbb{Q} -divisor on \hat{S} , it follows that \hat{S} contains an anti-canonical polar cylinder. Since (by Proposition 3.2.4) \hat{S} is a smooth del Pezzo surface of degree 1, this contradicts Theorem 1.6.13. \square

3.3. Rigidity of $B_{2,3,4,12}$

3.3.1. Let $B = B_{2,3,4,12}$ and let $S = \text{Proj } B \subset \mathbb{P}(6, 4, 3, 1)$. Consider the degree 1 homogeneous element $T = x_3 \in \text{Frac}(B)$. Then $\Delta = V_+(x_3) \in \text{Div}(S)$ is the unique \mathbb{Q} -divisor which satisfies $B = \bigoplus_{n \in \mathbb{N}} B_n$ where $B_n = H^0(S, \mathcal{O}_S(n\Delta))T^n$ for all $n \in \mathbb{N}$ (as defined in Theorem 1.6.5). Considering Δ as a closed subvariety of S , we find $\Delta \cong \mathbb{P}^1$.

Since $\text{cotype}(2, 3, 4, 12) = 0$, Proposition 2.1.4 implies that S is a well-formed hypersurface. Since (by 1.4.3) S is normal and Cohen-Macaulay, we have $\omega_S \cong \omega_S^o \cong \mathcal{O}_S(-2) \cong \mathcal{O}_S(-2\Delta)$. It follows that 2Δ is an ample anti-canonical divisor of S and it can be checked that $(K_S)^2 = \frac{2}{3}$. In particular, S is a singular del Pezzo surface. Since S

is well-formed, $\text{Sing}(S) = S \cap \text{Sing}(\mathbb{P}(6, 4, 3, 1)) = \{[1 : -1 : 0 : 0], [1 : 0 : \zeta_8 : 0], [1 : 0 : \zeta_8^{-1} : 0]\}$ where ζ_8 is a primitive 8^{th} root of unity, and it can be checked that

- $[1 : -1 : 0 : 0]$ is a $\frac{1}{2}(1, 1)$ singularity,
- $[1 : 0 : \zeta_8 : 0]$ and $[1 : 0 : \zeta_8^{-1} : 0]$ are $\frac{1}{3}(1, 1)$ singularities.

Moreover, for each $P \in \text{Sing}(S)$, $\text{mult}_P(\Delta) = 1$.

3.3.2. Let P_2 denote the $\frac{1}{2}(1, 1)$ singularity of S and let P_{3+} and P_{3-} denote the $\frac{1}{3}(1, 1)$ singularities. Let $\sigma : \tilde{S} \rightarrow S$ be the minimal resolution of singularities of S and let $\tilde{E}_2, \tilde{E}_{3+}$ and \tilde{E}_{3-} denote the exceptional curves lying over P_2, P_{3+} and P_{3-} respectively. Example 1.5.3 shows that for each $k \in \{2, 3+, 3-\}$, $\tilde{E}_k \cong \mathbb{P}^1$, $\tilde{E}_2^2 = -2$, $\tilde{E}_{3+}^2 = \tilde{E}_{3-}^2 = -3$ and

$$K_{\tilde{S}} \sim \sigma^* K_S - \frac{1}{3}\tilde{E}_{3+} - \frac{1}{3}\tilde{E}_{3-}. \tag{5}$$

Let $\tilde{\Delta}$ denote the proper transform of Δ on \tilde{S} . Since $1 = \text{mult}_{P_2}(\Delta) = \text{mult}_{P_{3+}}(\Delta) = \text{mult}_{P_{3-}}(\Delta)$ we have $\sigma^* \Delta = \tilde{\Delta} + \frac{1}{2}\tilde{E}_2 + \frac{1}{3}\tilde{E}_{3+} + \frac{1}{3}\tilde{E}_{3-}$. Since 2Δ is an anti-canonical divisor of S , we obtain using (5) that

$$2\tilde{\Delta} + \tilde{E}_2 + \tilde{E}_{3+} + \tilde{E}_{3-} \text{ is an anti-canonical divisor of } \tilde{S} \text{ and } K_{\tilde{S}}^2 = 0. \tag{6}$$

The support of $\sigma^*(\Delta)$ is given by the following weighted graph

$$\begin{array}{ccccc} & & (\tilde{E}_2, -2) & & \\ & & | & & \\ (\tilde{E}_{3+}, -3) & - & (\tilde{\Delta}, -1) & - & (\tilde{E}_{3-}, -3). \end{array}$$

Auxiliary surfaces and birational morphisms

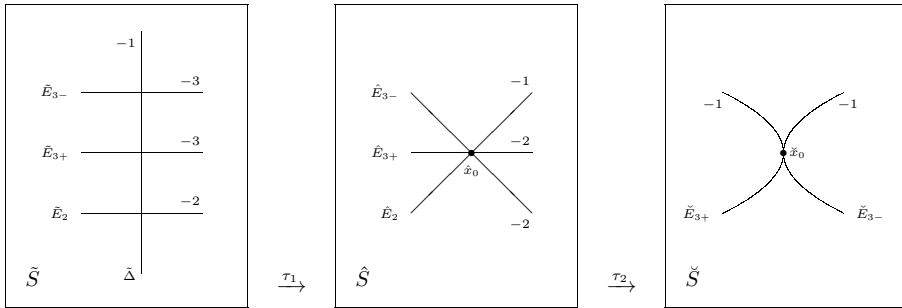
3.3.3. We describe some birational morphisms from \tilde{S} . Note that S, \tilde{S} and σ are already defined, whereas the other surfaces and morphisms will be defined below.

$$\begin{array}{ccccc} \tilde{S} & \xrightarrow{\tau_1} & \hat{S} & \xrightarrow{\tau_2} & \check{S} \\ \sigma \downarrow & & & & \\ S & & & & \end{array}$$

Let $\tau_1 : \tilde{S} \rightarrow \hat{S}$ be the contraction of $\tilde{\Delta}$ onto a smooth point \hat{x}_0 of \hat{S} . For each $i \in \{2, 3+, 3-\}$, let $\hat{E}_i = \tau_{1*}(\tilde{E}_i)$. The support of $\tau_{1*}(\sigma^* \Delta) = \hat{E}_2 + \hat{E}_{3+} + \hat{E}_{3-}$ is a union of a (-1) -curve, and two (-2) -curves all intersecting at \hat{x}_0 .

Let $\tau_2 : \hat{S} \rightarrow \check{S}$ denote the contraction of \hat{E}_2 onto a smooth point \check{x}_0 of \check{S} and for each $i \in \{3+, 3-\}$, let $\check{E}_i = \tau_{2*}(\hat{E}_i)$. Then \check{E}_{3+} and \check{E}_{3-} are (-1) -curves that intersect

tangentially at \check{x}_0 . In summary, we have the following diagrams representing the support of $\sigma^*(\Delta) \subset \check{S}$ and its image after contracting the (-1) -curves described above.



Lemma 3.3.4. *With notation as in 3.3.3, \check{S} is a smooth del Pezzo surface of degree 2.*

Proof. Observe that $\check{E}_{3+} + \check{E}_{3-}$ is an effective anti-canonical divisor on \check{E} satisfying $(\check{E}_{3+} + \check{E}_{3-})^2 = 2$. To prove $\check{E}_{3+} + \check{E}_{3-}$ is ample, by Theorem 1.3.4 it suffices to show that $\check{C} \cdot (\check{E}_{3+} + \check{E}_{3-}) > 0$ for every irreducible curve \check{C} in \check{S} . If $\check{C} \in \{\check{E}_{3+}, \check{E}_{3-}\}$, then $\check{C} \cdot (\check{E}_{3+} + \check{E}_{3-}) = 1$. Otherwise, since Δ is ample, \check{C} is the image of some curve intersecting $\sigma^{-1}(\Delta)$ and so $\check{C} \cdot (\check{E}_{3+} + \check{E}_{3-}) > 0$. \square

Exclusion of anti-canonical polar cylinders in Proj $B_{2,3,4,12}$

This subsection shows that $S = \text{Proj } B_{2,3,4,12}$ does not contain an anti-canonical polar cylinder.

Lemma 3.3.5. *With the notation of 3.3.1,*

- (a) *a member of the complete linear system $|3\Delta| \subset \text{Div}(S)$ other than 3Δ is an integral curve C on S of form $V_+(f)$ where $f = x_2 + \lambda x_3^3$ for some $\lambda \in \mathbb{C}$.*
- (b) *The intersection of $C \cap (S \setminus \Delta) \cong C \cap D_+(x_3)$ is isomorphic to $\text{Spec}(\mathbb{C}[X_0, X_1]/\langle X_0^2 + X_1^3 + \lambda^4 + 1 \rangle)$ which is nonsingular when $\lambda^4 \neq -1$ and is isomorphic to the cuspidal plane curve $V(X_0^2 + X_1^3) \subset \mathbb{A}^2$ when $\lambda^4 = -1$.*
- (c) *For every member $C \in |3\Delta|$ other than 3Δ , the log pair $(S, \frac{2}{3}C)$ is log-canonical at every point of $S \setminus \Delta$.*

Proof. Parts (a) and (b) are easy to verify. For (c), we note that since $S \setminus \Delta$ is regular, if $(S, \frac{2}{3}C)$ is not log-canonical at a point p , then p must be the unique singular point of C . Computing a log resolution of $(S, \frac{2}{3}C)$, we find three exceptional curves lying over p , whose discrepancies are $-\frac{1}{3}, 0$ and $-\frac{1}{3}$. \square

We state Lemma 2.2 in [9]. We note that while the authors assume that their surface S has at most Du Val singularities, both the statement and proof remain valid for normal projective surfaces with quotient singularities.

Lemma 3.3.6. ([9, Lemma 2.2]) *Let S be a normal projective surface with at most quotient singularities. Let $D = \sum_{i=1}^r a_i C_i$ where C_i is a prime divisor and $a_i > 0$ for all i and let $T = \sum_{i=1}^r b_i C_i$ where $b_i \geq 0$ for all i . Suppose furthermore that*

- $D \sim_{\mathbb{Q}} T$,
- D and T are distinct.

For every non-negative rational ϵ , set $D_\epsilon = (1 + \epsilon)D - \epsilon T$. Then

- (a) $D_\epsilon \sim_{\mathbb{Q}} D$ for every $\epsilon \geq 0$;
- (b) the set $\{ \epsilon \in \mathbb{Q}^+ : D_\epsilon \text{ is effective} \}$ attains its supremum, which we will denote by μ ;
- (c) there exists an irreducible component of $\text{Supp}(T)$ that is not contained in $\text{Supp}(D_\mu)$;
- (d) if the log pair (S, T) is log-canonical at a point p but (S, D) is not log-canonical at p , then (S, D_μ) is not log-canonical at p .

Lemma 3.3.7. [10, Lemma 3.4] *Let S be a smooth del Pezzo surface of degree 2 and let D be an effective \mathbb{Q} -divisor such that $D \sim_{\mathbb{Q}} -K_S$. Suppose that the log pair (S, D) is not log-canonical at p . Then*

- (a) there exists a unique divisor $C \in |-K_S|$ such that (S, C) is not log-canonical at p .
- (b) The support of D contains all the irreducible components of C where either
 - C is an irreducible rational curve with a cusp at p
 - $C = C_1 + C_2$ where C_1 and C_2 are (-1) -curves meeting tangentially at p .

Lemma 3.3.8. *Let D be an effective anti-canonical \mathbb{Q} -divisor on S such that the log pair (S, D) is not log-canonical at a point p . Then*

- (a) $p \in \Delta$,
- (b) $\Delta \subseteq \text{Supp}(D)$.

Proof. Assume $p \in S \setminus \Delta$. Since p is a regular point of S , Lemma 1.5.5 implies that $\text{mult}_p(D) > 1$. Observe that there exists a unique member $C_p \in |3\Delta|$ passing through p . If C_p is not contained in $\text{Supp}(D)$, then (recalling from 3.3.1 that $K_S^2 = \frac{2}{3}$)

$$1 = \frac{3}{2}(K_S)^2 = C_p \cdot D \geq \text{mult}_p(C_p) \text{mult}_p(D) > 1$$

which is impossible. It follows that

$$C_p \text{ is contained in } \text{Supp}(D). \tag{7}$$

Let $T = \frac{2}{3}C_p$ and note that $T \sim_{\mathbb{Q}} 2\Delta \sim_{\mathbb{Q}} D$. Since (S, T) is log canonical at p (by Lemma 3.3.5(c)) but (S, D) is not, we have in particular $D \neq T$. By Lemma 3.3.6, if we define $D_\epsilon = (1 + \epsilon)D - \epsilon T$ for $\epsilon \in \mathbb{Q}^+$, then the maximum element μ of $\{\epsilon \in \mathbb{Q}^+ : D_\epsilon \geq 0\}$ exists and $D_\mu \in \text{Div}(S, \mathbb{Q})$ satisfies:

$$D_\mu \geq 0, \quad D_\mu \sim_{\mathbb{Q}} -K_S, \quad (S, D_\mu) \text{ is not log canonical at } p, \quad C_p \not\subseteq \text{Supp}(D_\mu).$$

On the other hand, applying (7) to D_μ shows that $C_p \subseteq \text{Supp}(D_\mu)$. This contradiction proves (a).

We prove (b). If $\Delta \subseteq \text{Supp}(D)$, the proof is complete, so suppose Δ is not an irreducible component of $\text{Supp}(D)$. If p is a regular point of S , then $\text{mult}_p(D) > 1$ by Lemma 1.5.5 and by (a) we find

$$\frac{1}{3} = D \cdot \Delta \geq \text{mult}_p(D) \cdot \text{mult}_p(\Delta) > 1$$

which is impossible. Thus, $p \in \{P_2, P_{3+}, P_{3-}\}$ is a singular point of S of type $\frac{1}{k}(1, 1)$ where $k \in \{2, 3\}$. Let $\mu : \tilde{S} \rightarrow S$ denote the minimal resolution of the point p . The exceptional divisor \bar{E} is a projective line and $\bar{E}^2 = -k$. We have

- (i) $K_{\tilde{S}} = \mu^*K_S - \frac{k-2}{k}\bar{E}$,
- (ii) $\mu^*\Delta = \bar{\Delta} + \frac{1}{k}\bar{E}$ where $\bar{\Delta}$ is the strict transform of Δ ,
- (iii) $\mu^*D = \bar{D} + \frac{1}{k}\text{mult}_p(D)\bar{E}$.

Since (S, D) is not log-canonical at p , there exists a point $\bar{p} \in \bar{E}$ such that the log pair $(\tilde{S}, \bar{D} + \frac{1}{k}(\text{mult}_p(D) + k - 2)\bar{E})$ is not log-canonical at \bar{p} . Since \bar{p} is a regular point of \tilde{S} and of \bar{E} , it follows that

$$\begin{aligned} 1 &< \text{mult}_{\bar{p}}(\bar{D} + \frac{1}{k}(\text{mult}_p(D) + k - 2)\bar{E}) \\ &= \text{mult}_{\bar{p}}(\bar{D}) + \frac{1}{k}(\text{mult}_p(D) + k - 2) \\ &\leq \text{mult}_p D + \frac{1}{k}(\text{mult}_p(D) + k - 2) \end{aligned}$$

since $\text{mult}_{\bar{p}}(\bar{D}) \leq \text{mult}_p(D)$. We find $\frac{k+1}{k}\text{mult}_p(D) > \frac{2}{k}$ and hence that $\text{mult}_p(D) > \frac{2}{k+1}$. Since Δ is not an irreducible component of $\text{Supp}(D)$, we have

$$\frac{1}{3} = \Delta \cdot D \geq (\Delta \cdot D)_p \geq \frac{1}{k}\text{mult}_p(D) > \frac{2}{k(k+1)}$$

which implies that $k > 2$. Thus $k = 3$ and so $p \in \{P_{3+}, P_{3-}\}$.

Without loss of generality, we may assume $p = P_{3+}$. With the notation of 3.3.2, we have $-K_{\tilde{S}} \sim \sigma^*(K_S) + \frac{1}{3}\tilde{E}_{3+} + \frac{1}{3}\tilde{E}_{3-} \sim 2\tilde{\Delta} + \tilde{E}_2 + \tilde{E}_{3+} + \tilde{E}_{3-}$ where $\tilde{\Delta}$ is the

strict transform of Δ and $\tilde{\Delta}^2 = -1$. The divisor $\tilde{D} = \sigma_*(D) + \frac{1}{3}\tilde{E}_{3+} + \frac{1}{3}E_{3-}$ is an effective anti-canonical \mathbb{Q} -divisor on \tilde{S} and $\tilde{\Delta} \cdot \tilde{D} = \tilde{\Delta} \cdot (2\tilde{\Delta} + \tilde{E}_2 + \tilde{E}_{3+} + \tilde{E}_{3-}) = 1$. Since the log pair (S, D) is not log-canonical at P_{3+} , the log pair (\tilde{S}, \tilde{D}) is not log-canonical at some point $\tilde{p} \in \tilde{E}_{3+}$. Since $\Delta \not\subseteq \text{Supp}(D)$, $\tilde{\Delta} \not\subseteq \text{Supp}(\tilde{D})$. Also, since \tilde{S} is regular at every point of \tilde{E}_{3+} , we must have $\tilde{p} \in \tilde{E}_{3+} \setminus \tilde{\Delta}$ (otherwise we would have inequality $1 = (\tilde{\Delta} \cdot \tilde{D}) \geq (\tilde{\Delta} \cdot \tilde{D})_{\tilde{p}} \geq \text{mult}_{\tilde{p}} \tilde{D} > 1$). Let $\tau = \tau_2 \circ \tau_1 : \tilde{S} \rightarrow \check{S}$ denote the contraction of $\tilde{\Delta} \cup \tilde{E}_2$ onto the smooth point \check{x}_0 of the smooth degree 2 del Pezzo surface \check{S} (by Lemma 3.3.4). Then (by 3.3.3) $\check{E}_{3+} + \check{E}_{3-}$ is anti-canonical integral divisor on \check{S} consisting of two (-1) -curves intersecting tangentially at $\check{x}_0 \in \check{S}$ and $\check{D} = \tau_*\tilde{D}$ is an effective anti-canonical \mathbb{Q} -divisor on \check{S} containing \check{E}_{3+} and \check{E}_{3-} in its support. Since the log pair (\tilde{S}, \tilde{D}) is not log-canonical at the point $\tilde{p} \in \tilde{E}_{3+} \setminus \tilde{\Delta}$, the log pair (\check{S}, \check{D}) is not log-canonical at the point $\tau(\tilde{p}) \neq \check{x}_0$. Let $\check{p} = \tau(\tilde{p})$. By Lemma 3.3.7 (a), there exists a unique anti-canonical divisor \check{C} on \check{S} such that the log pair (\check{S}, \check{C}) is not log-canonical at \check{p} (in which case $\text{mult}_{\check{p}}(\check{C}) > 1$). By Lemma 3.3.7 (b), $\text{Supp}(\check{C}) \subseteq \text{Supp}(\check{D})$. Since \check{E}_{3+} is a (-1) -curve on \check{S} and \check{C} is an anti-canonical divisor on \check{S} , the adjunction formula implies $\check{E}_{3+} \cdot \check{C} = 1$. This implies that \check{C} contains \check{E}_{3+} in its support (otherwise we would obtain the impossible $1 = \check{E}_{3+} \cdot \check{C} \geq (\check{E}_{3+} \cdot \check{C})_{\check{p}} \geq \text{mult}_{\check{p}}(\check{C}) > 1$). Since \check{E}_{3+} does not have a cusp, Lemma 3.3.7 (b) gives that $\check{C} = \check{E}_{3+} + \check{C}'$ where \check{C}' is a (-1) -curve intersecting \check{E}_{3+} tangentially at \check{p} . Since $\check{p} \neq \check{x}_0$, we have $\check{C}' \neq \check{E}_{3-}$ and since \check{E}_{3-} is a (-1) -curve, we obtain $1 = \check{C} \cdot \check{E}_{3-} = (\check{E}_{3+} + \check{C}') \cdot \check{E}_{3-} = 2 + \check{C}' \cdot \check{E}_{3-} > 2$. This contradiction shows our initial assumption $\Delta \not\subseteq \text{Supp}(D)$ is impossible and completes the proof of (b). \square

Proposition 3.3.9. *The surface $S = \text{Proj}(B_{2,3,4,12})$ does not contain an anti-canonical polar cylinder.*

Proof. Suppose S contains an anti-canonical polar cylinder $U = S \setminus \text{Supp}(D)$. Let $\tilde{D} = \sigma^*(D) + \frac{1}{3}\tilde{E}_{3+} + \frac{1}{3}E_{3-}$ and observe that by (5), \tilde{D} is an anticanonical \mathbb{Q} -divisor of \tilde{S} . Since U is smooth, $P_2, P_{3+}, P_{3-} \in \text{Supp}(D)$ and so $\tilde{E}_2, \tilde{E}_{3+}, \tilde{E}_{3-}$ are contained in $\text{Supp}(\tilde{D})$. By Lemma 1.6.12, there exists a point $p \in S$ such that the log pair (S, D) is not log-canonical at p . By Lemma 3.3.8, $\Delta \subseteq \text{Supp}(D)$ and so $\tilde{\Delta}$ is also contained in $\text{Supp}(\tilde{D})$. Now $\sigma^{-1}(U) = \tilde{S} \setminus \text{Supp}(\sigma^*(D)) = \tilde{S} \setminus \text{Supp}(\tilde{D})$ is an anti-canonical polar cylinder in \tilde{S} . Let $\tau = \tau_2 \circ \tau_1 : \tilde{S} \rightarrow \check{S}$ be as in 3.3.3. Then $\check{S} \setminus \text{Supp}(\tau_*\tilde{D}) = \tau(\sigma^{-1}(U))$ is an anti-canonical polar cylinder in the smooth degree 2 del Pezzo surface \check{S} (by Lemma 3.3.4). This contradicts Theorem 1.6.13. \square

Corollary 3.3.10. *Let $(a_0, a_1, a_2, a_3) \in \{(2, 3, 5, 30), (2, 3, 4, 12)\}$ and let $B = B_{a_0, a_1, a_2, a_3}$. Then $B^{(d)}$ is rigid for all $d \in \mathbb{N}^+$.*

Proof. Let $X = \text{Proj} B$. By Propositions 3.2.7 and 3.3.9, X does not contain an anti-canonical polar cylinder, so by Theorem 3.0.1 (b), $B^{(d)}$ is rigid for all $d \in \mathbb{N}^+$. \square

We can finally prove the Main Theorem.

Main Theorem. *Let $n \geq 2$, and let $B_{a_0, a_1, a_2, a_3} = \mathbf{k}[X_0, X_1, X_2, X_3]/(X_0^{a_0} + X_1^{a_1} + X_2^{a_2} + X_3^{a_3})$ be a Pham-Brieskorn ring. If $\min\{a_0, a_1, a_2, a_3\} \geq 2$ and at most one element i of $\{0, 1, 2, 3\}$ satisfies $a_i = 2$, then B_{a_0, a_1, a_2, a_3} is rigid. Moreover, if $\text{cotype}(a_0, a_1, a_2, a_3) = 0$, then $(B_{a_0, a_1, a_2, a_3})^{(d)}$ is rigid for all $d \in \mathbb{N}^+$.*

Proof. The claim that B_{a_0, a_1, a_2, a_3} is rigid follows immediately from Corollary 3.1.3 and Corollary 3.3.10. The “moreover” follows from Corollary 2.2.2. \square

4. Remarks in higher dimensions

4.0.1. We conclude by offering some remarks on the Main Conjecture in higher dimensions (where $n > 3$). Recall that by Theorem 2.1.13, it suffices to prove the conjecture for the cases B_{a_0, \dots, a_n} where $\text{cotype}(a_0, \dots, a_n) = 0$, namely, the cases where $\text{Proj } B_{a_0, \dots, a_n}$ is a well-formed quasismooth weighted hypersurface. Note that the canonical sheaf and canonical divisor of $X = \text{Proj } B_{a_0, \dots, a_n}$ can still be computed using Theorems 1.4.4 and 2.2.5.

Lemma 4.0.2. *Let $n \geq 4$ and let $X = \text{Proj } B_{a_0, \dots, a_n}$. Then $\text{CaCl}(X) \cong \mathbb{Z}$.*

Proof. For every (b_0, \dots, b_n) , there exists some tuple (a_0, \dots, a_n) such that $\text{Proj}(B_{b_0, \dots, b_n}) \cong \text{Proj}(B_{a_0, \dots, a_n})$ where $\text{cotype}(a_0, \dots, a_n) = 0$. (See Lemma 3.1.14 (b) in [4].) So, we may assume that $\text{cotype}(a_0, \dots, a_n) = 0$. Since X is integral, $\text{CaCl}(X) \cong \text{Pic}(X) \cong \mathbb{Z}$ (the second isomorphism by Theorem 3.2.4 of [16] since $\dim(X) \geq 3$). \square

Proposition 4.0.3. *Let X be a projective normal \mathbb{Q} -factorial variety and suppose that $\text{CaCl}(X) \cong \mathbb{Z}$. Let H be any ample \mathbb{Q} -divisor on X . Then every cylinder U in X is an H -polar cylinder.*

Proof. Let U be a cylinder in X . Since U is affine, the inclusion $i : U \rightarrow X$ is an affine morphism. Corollary 21.12.7 of [21] implies that $U = X \setminus \text{Supp}(D)$ for some effective divisor $D \in \text{Div}(X)$. Since X is \mathbb{Q} -factorial, we may assume that D is Cartier. Let H be any ample \mathbb{Q} -divisor of X . We must show that U is H -polar.

If $D = 0$, then $\text{Supp}(D) = \emptyset$ and so $X = U$ is both affine and projective. This implies that X is a point, contradicting the assumption that $\text{CaCl}(X) \cong \mathbb{Z}$; it follows that $D \neq 0$. Since D is a nonzero effective divisor and $\text{Pic}(X) \cong \text{CaCl}(X) \cong \mathbb{Z}$, Example 1.2.4 of [32] implies that D is ample.

Choose $s \in \mathbb{N}^+$ such that sH is Cartier. Then both sH and D belong to $\text{CaDiv}(X)$. Since $\text{CaCl}(X) \cong \mathbb{Z}$, there exist $m, n \in \mathbb{Z}$ such that $m > 0$ and $m(sH) \sim nD$. Since $m(sH)$ is ample, so is nD , and it can be checked that $n > 0$. Since $m(sH) \sim nD$ where $m, s, n \in \mathbb{N}^+$, Remark 1.6.3 implies that every D -polar cylinder is H -polar. Since U is D -polar, it is therefore H -polar. \square

Corollary 4.0.4. *Let $n \geq 4$, suppose $\text{cotype}(a_0, \dots, a_n) = 0$ and let $B = B_{a_0, \dots, a_n}$. Then B is not rigid if and only if $\text{Proj } B$ contains a cylinder.*

Proof. Let α denote the amplitude of $X = \text{Proj } B$. If $\alpha \geq 0$ then K_X is pseudoeffective, X does not contain a cylinder by Proposition 2.3.2 and B is rigid by Corollary 2.3.3. Otherwise $\alpha < 0$ and B is not rigid if and only if X contains a $-K_X$ -polar cylinder if and only if X contains a cylinder. (The first equivalence is by Theorem 2.2.5 (c), the second is by Proposition 4.0.3.) \square

Remark 4.0.5. It is well known that for $n \geq 4$, B_{a_0, \dots, a_n} is a unique factorization domain. (See Proposition 4.9.7 of [4] for one proof.) For $n = 2$ and $n = 3$ however, Exercise II.6.5 in [23] and [34] show that this is generally not the case.

4.0.6. Let $n \geq 4$, suppose $\text{cotype}(a_0, \dots, a_n) = 0$ and let $X = \text{Proj}(B_{a_0, \dots, a_n})$. As in the $n = 3$ case, the problem naturally splits into the cases where $-K_X$ is ample or K_X is pseudoeffective.

If $\alpha \geq 0$, then X has quotient (hence log-canonical) singularities and K_X is either an ample or trivial \mathbb{Q} -Cartier divisor and hence is pseudoeffective. It follows from Proposition 2.3.2 that X cannot contain a cylinder and from Corollary 4.0.4 that B_{a_0, \dots, a_n} is rigid.

If $\alpha < 0$, then Theorem 2.2.5 implies that $-K_X$ is an ample \mathbb{Q} -Cartier divisor, so X is a well-formed Fano variety. It is worth noting that our proof of the Main Theorem uses in Lemma 3.1.1 the fact that there are only eight 4-tuples (a_0, a_1, a_2, a_3) such that $\text{cotype}(a_0, a_1, a_2, a_3) = 0$ and $\text{Proj}(B_{a_0, a_1, a_2, a_3})$ is Fano. For all $n \geq 4$, it is easy to verify that there are infinitely many tuples (a_0, \dots, a_n) such that $\text{cotype}(a_0, \dots, a_n) = 0$ and $\text{Proj } B_{a_0, \dots, a_n}$ is Fano. Given Corollary 4.0.4, we are thus motivated to develop techniques that show the existence or non-existence of cylinders in singular Fano varieties.

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