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PII: S0012-8252(17)30630-X  
DOI: doi:[10.1016/j.earscirev.2018.07.004](https://doi.org/10.1016/j.earscirev.2018.07.004)  
Reference: EARTH 2664  
To appear in: *Earth-Science Reviews*  
Received date: 11 December 2017  
Revised date: 9 July 2018  
Accepted date: 9 July 2018

Please cite this article as: Jacopo Dal Corso, Piero Gianolla, Manuel Rigo, Marco Franceschi, Guido Roghi, Paolo Mietto, Stefano Manfrin, Béla Raucsik, Tamás Budai, Hugh C. Jenkyns, Claire E. Reymond, Marcello Caggiati, Giovanni Gattolin, Anna Breda, Agostino Merico, Nereo Preto , Multiple negative carbon-isotope excursions during the Carnian Pluvial Episode (Late Triassic). *Earth* (2018), doi:[10.1016/j.earscirev.2018.07.004](https://doi.org/10.1016/j.earscirev.2018.07.004)

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**MULTIPLE NEGATIVE CARBON-ISOTOPE EXCURSIONS DURING THE CARNIAN PLUVIAL EPISODE (LATE TRIASSIC)**

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**ABSTRACT**

The Carnian Pluvial Episode was a phase of global climatic change and biotic turnover that occurred during the early Late Triassic. In marine sedimentary basins, the arrival of huge amounts of siliciclastic sediments, the establishment of anoxic conditions, and a sudden change of the carbonate factory on platforms marked the Carnian Pluvial Episode. The sedimentary changes are closely associated with abrupt biological turnover among marine and terrestrial groups as, for example, an extinction among ammonoids and conodonts in the ocean, and a turnover of the vertebrate fauna and the flora on land. Multiple negative carbon-isotope excursions were recorded during the Carnian Pluvial Episode in both organic matter and marine carbonates suggesting repeated injection of  $^{13}\text{C}$ -depleted  $\text{CO}_2$  into the ocean-atmosphere system, but their temporal and causal links with the sedimentological and palaeontological changes are poorly understood. We here review the existing carbon-isotope records and present new data on the carbon-isotope composition of organic carbon in selected sections of the western Tethys realm that record the entire Carnian Pluvial Episode. New ammonoid, conodont and sporomorph biostratigraphic data were collected and coupled to an extensive review of the existing biostratigraphy to constrain the age of the sampled sections. The results provide biostratigraphically constrained composite organic carbon-isotope curves for the Carnian. This sheds light on the temporal and causal links between the main carbon-isotope perturbations, and the distinct environmental and biotic changes that mark the Carnian Pluvial Episode. The carbon-isotope records suggest that a series of carbon-cycle perturbations, possibly recording multiple phases of volcanic activity during the emplacement of the Wrangellia Large Igneous Province, disrupted Carnian environments and ecosystems repeatedly over a remarkably long time interval of about 1 million years.

**KEYWORDS**

Carnian Pluvial Episode; Late Triassic; carbon-isotopes; climate change; extinction.

## 1. INTRODUCTION

During the Carnian age (early Late Triassic), a global climate change took place (Simms and Ruffell, 1989; Preto et al., 2010) later termed the Carnian Pluvial Episode (CPE; Simms and Ruffell, 1989) and dated through biostratigraphic calibration from the Julian 2 to the Tuvanian 2 intervals (*sensu* Mietto et al., 2012; Fig. 1). The CPE was characterized by major environmental changes in continental to shallow- and deeper water settings, and biological turnovers on land and in the ocean (e.g., Schlager and Schöllnberg, 1974; Benton, 1983; Simms and Ruffell 1989; Benton 1991; Krystyn 1991; Simms et al., 1995; Gianolla et al., 1998; Roghi et al., 2004; Hornung and Brandner, 2005; Furin et al., 2006; Keim et al., 2006; Hornung et al., 2007; Rigo et al., 2007; Breda et al., 2009; Preto et al., 2010; Balini et al., 2010; Roghi et al., 2010; Stefani et al., 2010; Arche and López-Gómez, 2013; Martínez-Pérez et al., 2014; Lukeneder and Lukeneder, 2014; Franz et al., 2014; Chen et al., 2015; Dal Corso et al., 2015, 2018; Gattolin et al., 2015; Ruffell et al., 2016; Mueller et al., 2016a,b; Sun et al., 2016; Miller et al., 2017; Dunhill et al., 2017; Bernardi et al., 2018). The onset of the CPE coincided with a perturbation of the carbon cycle characterized by a sharp 2–4‰ negative carbon-isotope excursion (NCIE). The NCIE is found in terrestrial and marine organic matter (biomarkers and total organic carbon) and marine carbonates in stratigraphic sections in Europe and China (Dal Corso et al., 2012, 2015; Muttoni et al., 2014; Mueller et al., 2016a, 2016b; Sun et al., 2016; Miller et al., 2017). This NCIE suggests an injection of large quantities of  $^{13}\text{C}$ -depleted  $\text{CO}_2$  into the ocean–atmosphere system that is thought to have induced the climate change and be possibly linked to the eruption of the Wrangellia, also called Nikolai, Large Igneous Province (LIP; Dal Corso et al., 2012, 2015; Mueller et al., 2016a; Sun et al., 2016). The emission of large amounts of greenhouse gases into the atmosphere is credited with inducing a global temperature increase estimated as 4–7°C by the examination of the oxygen-isotope signature of conodont apatite from marine successions of the Tethyan realm (Hornung et al., 2007; Rigo and Joachimski 2010; Trotter et al., 2015; Sun et al., 2016). Warming in turn presumably triggered a strong intensification of the hydrological cycle and enhanced continental runoff (e.g. Dal Corso et al., 2015; Sun et al., 2016). The CPE was also coincident with biological turnovers on land and in the ocean (e.g. Simms and Ruffell, 1989; Ruffell et al., 2016).

Palynological records show a widespread turnover of the terrestrial flora from xerophytic to hygrophitic at different palaeolatitudes, and decrease in diversity (Roghi et al., 2010; Kürschner and Henggreen, 2010; Mueller et al., 2016a,b). Many lineages of terrestrial tetrapods became extinct or significantly declined during the CPE with, however, a significant rise in the dinosaurs (Benton, 1983; Benton 1991; Bernardi et al., 2018). The CPE was also associated with a major turnover in marine taxa (Simms and Ruffell 1989; Benton 1991; Simms et al., 1995). Two major events occurred at the Julian–Tuvlian boundary, as illustrated by a prominent extinction of conodonts (Rigo et al., 2007; Martínez-Pérez et al., 2014; Chen et al., 2015) and the crisis of the *Trachyceratinae* ammonoids, the most significant turnover of this group in the entire Triassic Period (Krystyn 1991; Balini et al., 2010).

Despite the common features that mark the CPE worldwide, however, this phase of Earth history appears not to be uniform. The existence of multiple (at least three) prominent humid pulses within the CPE has been shown by stratigraphic and palynostratigraphic investigations in the Southern Alps and Northern Calcareous Alps that were part of the western Tethys domain in the Carnian (Roghi et al., 2010). Distinct large-scale intercalations of coarse siliciclastics that interrupt shallow-water carbonate successions in the northwestern Tethys, as well as repeated shifts in the composition of the microflora, from xerophytic to hygrophytic forms, are recorded in sedimentary successions (Roghi et al., 2010; Mueller et al., 2016a and references therein). This evidence, coupled with recent age models based on cyclostratigraphy of gamma-ray and elemental abundances (Zhang et al., 2015; Miller et al., 2017), which assign to the CPE a duration of approximately 1.2 Myr, show that this event was not characterized by uniform climatic conditions and a more complex series of events occurred over such an extended period of time. Furthermore, Sun et al. (2016) reported three NCIE in bulk carbonates of the Carnian sedimentary succession of South China (Guizhou): at the base of the Julian 2, at the Julian–Tuvlian boundary, and in the Tuvlian 1. Such shifts are not recorded by organic matter from the same stratigraphic succession, which in turn records a long-term negative shift that starts at the base of the Julian 2 and rebounds to pre-excursion values at the top of the Tuvlian 1. However, Miller et al. (2017) detected five NCIE in leaf-wax *n*-alkanes and bulk organic matter from the WP borehole 1 drilled in the Carnian

continental succession of Devon (UK). These findings strongly suggest that multiple carbon-cycle perturbations occurred during the CPE.

We here review the evidence of multiple carbon-isotope excursions during the CPE and suggest a connection between its sedimentological record from the western Tethys and the features of the carbon-isotope curve. We have integrated the existing isotopic records with a high-resolution carbon-isotope stratigraphy, whose age is constrained by ammonoid, conodont and sporomorph biostratigraphy. To this end, we collected new data on the carbon-isotope composition of total organic carbon ( $\delta^{13}\text{C}_{\text{TOC}}$ ) and wood ( $\delta^{13}\text{C}_{\text{WOOD}}$ ) in selected sections of the western Tethys that encompass the stratigraphic range of the entire CPE. We also collected new ammonoid, conodont and sporomorph biostratigraphic data and extensively reviewed the existing biostratigraphic coverage to constrain the age of the sampled sections. The results provide biostratigraphically very well-constrained organic carbon-isotope reference curves for the Carnian interval, and elucidate the temporal relationships between the geochemical and the climatic, environmental, and biological changes that punctuated the CPE.

**Figure 1.** A) Late Triassic palaeogeography (redrawn from Stampfli and Borel, 2002). The red dots show the location of the stratigraphic sections and cores analyzed for total organic-carbon isotopes in this study: 1) Dolomites, Italy; 2) Julian Alps, Italy; and 3) Transdanubian Range, Hungary. The orange dots show the location of additional selected successions where sudden siliciclastic inputs and/or major changes in the carbonate factories on platforms are recorded and are stratigraphically well constrained: 4) Lagonegro, Italy; 5) Drau Range, Austria; 6) Lunz, Austria; 7) Turkey; 8) Spiti, India; 9) South China Block, China. B) Map of the study area, including the major tectonic lineaments. The red stars indicate the location of the studied sections. NCA = Northern Calcareous Alps; TR = Transdanubian Range. C) Lithostratigraphic scheme for the Carnian formations in the studied areas. Biostratigraphic constraints from this study and the literature are shown in Figure 2. **[Single column fitting]**

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## 2. GEOLOGICAL SETTING

The studied sedimentary successions are located in the Dolomites-UNESCO World Heritage area (Italy; <https://www.dolomitiunesco.it/en/>), in the Julian Alps (Italy), and in the Balaton Highland and Zsámbék Basins (Hungary), and belong to the Tethyan realm (Fig. 1A and B).

The Carnian (Julian–Tuvalian) succession of the Dolomites (Fig. 1C and Fig. 2) begins with the marls and calcareous turbidites of the San Cassiano Formation (Julian). The relative modal composition of packstones and grainstones in the San Cassiano Formation, which reflects the composition of the carbonate producers on the coeval platforms (Cassian Dolomite), show high contents of microbial elements such as oncoids, calcimicrobes, and intraclasts with clotted peloidal fabric (Preto, 2012). Such microbial-dominated composition abruptly changes in the uppermost part of the San Cassiano Formation – lowermost Heiligkreuz Formation, in correspondence with the negative carbon-isotope excursion that marks the onset of the CPE. Ooids and skeletal grains become the most abundant components, and the microbial carbonates reduce to less than 10% of rock volume (Gattolin et al., 2015). This change in facies is interpreted as evidence for the crisis of the highly productive early Carnian microbially dominated platforms, which were replaced by less productive metazoan ramps (Gattolin et al., 2015; Dal Corso et al., 2015). Above the San Cassiano Formation, the coarse siliciclastics, clays, and marls mixed with skeletal and oolitic carbonates of the Heiligkreuz Formation were deposited (Neri et al., 2007; Breda et al., 2009; Stefani et al., 2010). The Heiligkreuz Formation is locally more than 100 m thick and represents the rapid infilling of the early Carnian basins as a consequence of the increasing continental runoff during the CPE. It is followed stratigraphically by the clays and dolomites of the Travenanzes Formation (Tuvalian), which was deposited in a marginal marine dryland coastal system (Breda and Preto, 2011). The investigated stratigraphic sections in the Dolomites, which encompass the Heiligkreuz–Travenanzes Formations, are biostratigraphically very well constrained by ammonoids, conodonts, and sporomorphs (Fig. 2; e.g., Breda et al., 2009; Maron et al., 2017).

The locality studied in the Julian Alps is the classical Cave del Predil area (formerly “Raibl”) (Fig. 1C). Here, the Carnian succession starts with the black laminated limestone of the Predil Limestone (Julian). The age of this unit has been re-assigned to the *T. aonoides* ammonoid Zone



(see results). Above, a thick (>1000 m) succession of silt and marl alternates with lime mudstone to packstone, locally dolomitized, with abundant skeletal grains (Rio del Lago, Conzen, Tor Formations and Portella Dolomite, upper Julian to lower Tuvallian; De Zanche et al., 2000). The remaining portion of the Tuvallian is represented by mainly nodular, cherty lime mudstone of the Carnitza Formation, which lies conformably on top of the Portella Dolomite (Fig. 1C; Gianolla et al., 2003; Caggiati et al., 2007). The age of the Cave del Predil section is constrained by ammonoids, conodonts, and sporomorphs (Fig. 2; this study, section 4.1; De Zanche et al., 2000; Gianolla et al., 2003; Roghi et al., 2004).

In the Balaton Highland of the Transdanubian Range, a relatively thick marl-dominated succession suggests an increased input of clay and silt from distal sources and lime mud from the neighbouring shallow-water areas during the Julian (Veszprém Marl, Fig. 2; Budai and Haas, 1997; Haas and Budai, 1999). Above, shales with variable carbonate content (Sándorhegy Formation) record the infill of the intra-platform basins during the late Carnian (Tuvallian) and apparently levelled the topography, on which the peritidal Main Dolomite was deposited. The stratigraphic successions of the Transdanubian Range are biostratigraphically well constrained with ammonoids, sporomorphs, and conodonts (Fig. 2; Budai et al., 1999). Similarly, the succession in the Zsámbék Basin of the Transdanubian Range (Fig. 1C and 2) starts with the Budaörs Dolomite, which represents a carbonate platform inherited from the Ladinian, while coeval carbonate platforms (Ederics Limestone) and intra-platform basins (Füred Limestone) existed in the Balaton Highland and in the Bakony Hills. The Budaörs Dolomite is overlain by grey laminated marls with thin limestone intercalations, which represent increasing siliciclastic content (Veszprém Marl; Early Julian). Up-section, the Veszprém Marl is overlain by a thin-bedded cherty limestone and dolomite (Mátyáshegy Formation, Fig. 1C; Haas and Budai, 2004) with a depauperate foraminifer, ostracod and conodont fauna suggesting a restricted basinal environment (Kristan-Tollmann et al., 1991; Góczán and Oravecz–Scheffer, 1996a,b). This part of the succession is followed by layers of dark grey dolomitic calcareous marl with foraminifera- and ostracod-bearing mudstone and wackestone (Sándorhegy Formation; Haas and Budai, 2004).

**Figure 2.** Biostratigraphic constraints (ammonoids, sporomorphs, and conodonts) of the studied Carnian successions in the Dolomites (Italy), Julian Alps (Italy), and Transdanubian Range (Hungary). Data from the Dolomites are summarized from Breda et al. (2009), Dal Corso et al. (2012), and Maron et al. (2017). Julian Alps: Ammonoid, sporomorph, and conodont data of the Julian Alps are from this study, De Zanche et al. (2000), Lieberman (1978), Gianolla et al. (2003), Roghi (2004), and Roghi et al. (2010). Ammonoid, sporomorph, and conodont data of the Transdanubian Range are from Dosztály et al. (1989), Kovács et al. (1991), Góczán et al. (1991), Góczán and Oravec-Scheffer (1996), Vörös (1998), Budai et al. (1999), and Dal Corso et al. (2015). Dark grey areas in the biostratigraphic zonations represent intervals of uncertain biostratigraphic attribution. Abbreviations: Tuv. = Tuvanian; J. 1. = Julian 1; T. 1 = Tuvanian 1; SC = San Cassiano Formation; Lag. = Lagazuoi Member; Traven. = Travenanzes Formation; Po. = Portella Limestone; Sandor = Sándorhegy Formation; No = Nosztor Limestone; Aust. = *A. austriacum*; Tr. = *Trachyceras*; Tropit. = *Tropites*; A. as. = *A. astigosus*; L. mar = *L. martini*. The figure is modified after Roghi et al. (2010) and Dal Corso et al. (2015). [1 page, 2 column fitting]

### 3. METHODS

#### 3.1 Sections

Sections from the Dolomites and Julian Alps, and cores from the Transdanubian Range, have been sampled to strengthen the biostratigraphy and produce new organic carbon-isotope data. In the Dolomites, the Milieres-Dibona and Heiligkreuz sections encompass the Borca, Dibona and Lagazuoi Members of the Heiligkreuz Fm. (Fig. 2). The Milieres-Dibona sections have been measured and described by Preto and Hinnov (2003), Breda et al. (2009), Dal Corso et al. (2012), Maron et al. (2017). The overlying Travenanzes Fm. has been sampled in the Dibona section. In both the Heiligkreuz and Milieres-Dibona sections the lower part of Borca Member (lowermost Heiligkreuz Fm.), i.e., the portion between the Milieres and the Dibona segments, is missing, as in the rest of the Dolomites, because of the unstable nature of these clayey deposits (e.g., Neri et al., 2001). The Milieres-Dibona and Heiligkreuz sections can be physically correlated bed by bed, being part of the same sedimentary succession. In the Julian Alps, the Rio Conzen, Rio delle Cascate, and Portella sections of the Cave del Predil area have been also sampled. These sections were previously studied and described by De Zanche et al. (2000) and Roghi (2004). The Rio Conzen and Rio delle Cascate sections are adjacent and can be easily correlated to each other using marker beds. They encompass the Predil Limestone, Rio del Lago Fm., the Conzen Fm., and part of the Tor Fm. The Portella section encompasses the upper Tor Formation, the Portella Limestone and the Carnitza Fm. A part of the Tor Fm., from the top of the Rio Conzen-Rio delle Cascate section to the base of the Portella section, could not be sampled due to the nature of the outcrops. In the Transdanubian Range, cores from the Balaton area and the Zsámbék basins have been sampled. These cores have been previously described and studied for clay mineralogy by Rostási et al. (2011). The Met-1 core encompasses the Mencshely, Nosztor Limestone and Csicsó members of the Veszprém Marl of the Balaton area. The Zs-14 core encompasses the uppermost Veszpreml Marl, the Mátyáshegy Fm., and the Sándorhegy Fm. of the Zsámbék Basin.

#### 3.2 New biostratigraphic constraints

Three hundred and forty-eight (348) unpublished ammonoid determinations from Cave del Predil (formerly the “Raibl” area), from museum collections and own new findings, were used to constrain the age of lithostratigraphic units of the Julian Alps. The new material studied for this work was collected from the Predil Limestone on the left side of the Fella Valley near Cave del Predil (formerly “Raibl”), Tarvisio (Julian Alps). Ammonoid specimens are stored at the: Geologische Bundesanstalt, Vienna, Austria; Museo Friulano di Storia Naturale, Udine, Italy; Museo Paleontologico Cittadino, Monfalcone, Italy; and Museo Geologico Universitario, Padova, Italy. A complete list of these ammonoids with additional information is given in Table 1S (supplementary materials). Only a few specimens were collected in the lower Rio Del Lago Formation. Most of the material occurs as highly compressed specimens, and only rarely are the ventral parts visible. This state of preservation makes the determination at the species level difficult and sometimes impossible.

Investigated conodonts were collected in rocks from sections in the Julian Alps, and from the matrix of ammonoid samples. Conodonts were extracted by dissolving 1-8 kg samples of carbonate rock in diluted formic acid at room temperature until the reaction was completed. The residuals were then gently washed and sieved. Conodont elements were picked manually from the fraction > 100  $\mu\text{m}$ .

Sporomorphs were extracted from samples at the base of the Rio del Lago Formation also using standard techniques (e.g. Roghi et al., 2004). Samples were powdered and treated with HCl and HF. After washing and sieving (15  $\mu\text{m}$ ), the residue was stored in deionized water.

### **3.3 New carbon-isotope analysis of total organic carbon and wood**

Three hundred and forty-two (342) rock samples and 20 fossil wood samples were cleaned with deionized water to remove superficial impurities, then oven-dried at 40° and crushed in an agate mortar. The obtained powder was placed in a polypropylene Falcon tube and acid-washed with 10% HCl at least overnight, to remove carbonates. Residual powder was then rinsed with deionized water.  $\delta^{13}\text{C}_{\text{TOC}}$  and  $\delta^{13}\text{C}_{\text{WOOD}}$  analysis of the samples from the Dolomites (Italy) and the Transdanubian Range (Hungary) were carried out at the Department of Geosciences of the

University of Padova, Italy.  $\delta^{13}\text{C}_{\text{TOC}}$  analyses of samples from the Julian Alps (Italy) were carried out at the Research Laboratory for Archaeology and the History of Art of the University of Oxford, UK. At the University of Padova,  $\delta^{13}\text{C}_{\text{TOC}}$  analyses were performed on a Thermo Scientific Delta V Advantage Isotope Ratio Mass Spectrometer in continuous flow mode, coupled with a Flash 2000 Elemental Analyzer and a ConFlo IV interface. Between 1 and 5 mg of sample were placed in a tin capsule and fed to the Elemental Analyzer; the resulting  $\text{CO}_2$  gas was analyzed by the Mass Spectrometer. Blank correction was done on the base of a long-term mean of at least 30 tin cap analyses, and the results were calibrated against reiterated analyses of two international standards (IAEA-CH6,  $-10.449\text{‰}$ , and IAEA-CH7,  $-32.151\text{‰}$ ). The long-term reproducibility, estimated on analyses of an internal standard (C3 plants sucrose), is better than  $0.2\text{‰}$  ( $1\sigma$ ). At the University of Oxford, an aliquot of 10 mg of sample was analyzed for  $\delta^{13}\text{C}_{\text{TOC}}$  with a Carlo Erba nA 1108 Elemental Analyzer combined with a SERCO Geo 20/20 Isotope Ratio Mass Spectrometer running in continuous flow mode with a He carrier gas. The accuracy of isotope analyses ( $1\sigma = \pm 0.14\text{‰}$ ) was calculated using an alanine in-house standard routinely checked against international standards IAEA-CH-6 and IAEA-CH-7 and traceable back to the V-PDB standard.

### 3.2 TOC and Rock-Eval pyrolysis

We quantified the Total Organic Carbon (TOC) of selected samples ( $n = 10$ ) from Cave del Predil succession, and Rock-Eval pyrolysis was performed to quantify (mg HC/g of rock) the free hydrocarbons (S1) and the hydrocarbons that can be produced by thermal cracking of the kerogen (S2), to obtain information about the kerogen maturity ( $T_{\text{max}} - ^\circ\text{C}$ ) and quality (Hydrogen Index =  $\text{S2} \cdot 100 / \text{TOC}$ ; mg HC/g TOC). The instrument used was a Rock-Eval 6. The analyses were performed at Eni Upstream and Technical Services (San Donato Milanese, Italy). For TOC (%), 150–200 mg of ground rock samples were treated with HCl (9%) to remove carbonate minerals. The subsequent residue was washed with distilled water and the percentage of TOC in the sample measured using a LECO CS-200 carbon and sulphur analyzer, heating the sample at high temperature in an oxygen stream (combustion). For Rock-Eval analysis, about 70–100 mg of ground rock were heated in a nitrogen atmosphere at  $300^\circ\text{C}$  for 3 minutes followed by a

programmed pyrolysis, increasing the oven temperature at 25°C/min to a final temperature of 550°C.

#### 4. REVIEW OF THE BIOSTRATIGRAPHIC CONSTRAINTS

A robust stratigraphic correlation between the studied successions is possible using ammonoid, conodont, and sporomorphs biostratigraphy (Fig. 2). The pre-existing stratigraphy from the Dolomites (Italy) and the Transdanubian Range (Hungary) has already been published, to which the new data collected from the Julian Alps have been added. In this paper we follow a subdivision of the Julian into Julian 1, corresponding to the *Trachyceras* Zone (*Daxatina canadensis*, *Trachyceras aon*, and *Trachyceras aonoides* ammonoid subzones), and Julian 2, corresponding to the *Austrotrachyceras austriacum* ammonoid Zone (Fig. 1C; *sensu* Mietto et al., 2012), and a subdivision of the Tuvallian into Tuvallian 1 (*Tropites dilleri* Zone), Tuvallian 2 (*Tropites subbullatus* Zone), and Tuvallian 3 (*Anatropites spinosus* Zone) (Fig. 1C; *sensu* Jenks et al., 2015). This subdivision is slightly different than that used, for example, by Hornung et al., 2007, where the Carnian is made up of three substages instead of two, and instead follows that already described by Dal Corso et al. (2015). In the following paragraphs the biostratigraphy of the studied successions is described in detail.

##### 4.1 A revised biostratigraphy for Cave del Predil succession in the Julian Alps

Very few ammonoids were formerly known from the Raibl area in the Julian Alps. Suess (1867) and Stur (1868) collected ammonoids from “Raibl” that were later determined and illustrated by Mojsisovics (1882): *T. aon* from the Predil Limestone and two new species, *T. hacqueti*, and *T. roderici*, from a transitional facies between the Predil Limestone and the adjacent carbonate-platform slope. None of these specimens is still present in the museum collections at the Geologische Bundesanstalt, Vienna, Austria (I. Zorn, pers. comm.). However, the *T. aon* specimen was discussed by Ulrichs (1994) and amended as *T. bipunctatum*. The new findings and newly determined specimens from museum collections from the Julian Alps are illustrated in Fig. 3 and include a rich ammonoid association from the Predil Limestone, and two specimens from the basal

Rio del Lago Formation. A few findings in the Predil Limestone may indicate the presence of the *T. aon* subzone, based on the occurrence of *T. muensteri* and *Brotheotrachyceras* sp., as well as the *T. bipunctatum* illustrated by Mojsisovics (1882) and amended by Urlichs (1994). Up to now these ammonoids have never been found higher than the *T. aon* subzone, but the distribution of ammonoids between the *T. aon* and *T. aonoides* subzones is still poorly constrained.

Unfortunately, the boundary between these biozones is defined in the Prati di Stuares/Stuares Wiesen section in the Dolomites, Italy (Urlichs, 1974; 1994) between two horizons with a ca. 50 m intervening covered interval. It is therefore possible that ammonoids attributed to the uppermost *T. aon* subzone coexist with *T. aonoides* within this covered interval. Below the beds that yielded *T. muensteri* at Cave del Predil, *Sirenites cortinense* occurs, which is only known from the *T. aonoides* Zone (Leonardi and Polo, 1952; Bizzarini, 2000); the distribution of the genus *Sirenites* is known to extend into the *A. austriacum* Zone (e.g., Krystyn, 1978; Lukeneder and Lukeneder, 2014). Above the horizon with *T. muensteri*, the rest of the Predil Limestone can be attributed to the *T. aonoides* subzone, based on the presence of *Trachyceras pontius*, *T. saulus*, *T. cf. credneri* and *T. cf. velthemii*. The basal Rio del Lago Formation yielded *Neoprotrachyceras thous* and *Sirenites* sp., an ammonoid association that is typical of the *A. austriacum* Zone, as illustrated by Krystyn (1978) and more recently by Lukeneder and Lukeneder (2014). The base of the *A. austriacum* Zone (base of the Julian 2) is thus close to the lithostratigraphic boundary between the Predil Limestone and the Rio del Lago Formation in the Cave del Predil (formerly "Raibl") area of the Julian Alps.

In the lower part of the Tor Fm. the ammonoids *Neoprotrachyceras oedipus*, *Joannites* cf. *styriaca*, and *Proarcestes gaytani* indicate a Julian 2 age (De Zanche et al., 2000; Roghi 2004, and references therein). The ammonoids found in the Cornitza Fm. (*Tropites subbullatus*, *Projuvites* sp., *Discotropites plinii*, *Gonojuvavites* sp.) allow dating of the the formation as Tuvallian 2 – Tuvallian 3 (De Zanche et al., 2000).

Preliminary sporomorph biostratigraphic data from the lowermost part of the Rio del Lago Formation show an assemblage with *Aulisporites astigosus*, *Equisetosporites chinleanus*, and *Vallasporites ignacii*. The presence of these forms allows placing of the boundary between the

*Concentricisporites bianulatis* and *Aulisporites astigmosus* assemblages (*sensu* Roghi et al., 2010) at the base of the Rio del Lago Formation (Fig. 4), which is in agreement with the age given now by the ammonoids. The sporomorph data of the Rio del Lago Formation do not allow the *Aulisporites astigmosus* and *Lagenella martini* assemblages of Roghi et al. (2010) to be resolved. In the Carnitza Fm., the *Granuloperculatipollis rudis* assemblage is defined by the appearance of *Infernopollenites sulcatus*, cf. *Brodipora* sp., *Granuloperculatipollis rudis* and *Paracirculina quadruplicis*. The age of this assemblage is calibrated by ammonoid biostratigraphy to the Tuvalian 2 – Tuvalian 3, i.e. *T. subbullatus* – *A. spinosus* Zones (Roghi 2004).

A monospecific fauna with the conodont species *Nicoraella? budaensis* was extracted from the same samples that yielded the ammonoid species *Trachyceras pontius* (sample RF 205 44), and *Trachyceras* cf. *humboldti* (sample RF 205 45) collected from the Predil Limestone (Fig. 5), which are exclusive to the *T. aonoides* ammonoid subzone. The conodont *Nicoraella? budaensis* was also collected from the Conzen and Tor Formations up to horizons close to the boundary with the Portella Dolomite, along with *Nicoraella?* sp. A and *N.?* sp. B described by Kolar-Jurkovšek et al. (2005) from the Raibl Beds of the Košuta Nappe in the western Karavanke Mts. (Slovenia) (Fig. 5). The genus *Nicoraella?* is a cavitate conodont mostly occurring in restricted-basin facies, as both monospecific fauna and in mass occurrences, even though it has been found also in the pelagic facies of Cozzo Papparino (Sicily), associated with *Gladigondolella* (Kozur and Mock, 1991). Similarly to other Upper Triassic cavitate conodonts (e.g., *Misikella* spp.), the genus *Nicoraella?* is found here in sediments deposited during an interval of climatic and environmental change, which is associated with increasing temperatures (Trotter et al., 2015). In particular, a monospecific fauna of *Nicoraella? budaensis* was collected in the second of the three clastic horizons (mainly shale and marlstone; “Raibl beds”) of the Mežica area, Karavanke Mts. (Slovenia) (Kolar-Jurkovšek and Jurkovšek, 2010), which were deposited during the CPE, and correlates with the Tor Formation in the Julian Alps (Roghi et al., 2010). A revision of the conodonts illustrated in Lieberman (1978) improved the dating of the Carnitza Formation. The species illustrated in Fig. 1a, b of Lieberman (1978) is clearly the long-ranged taxon *Paragondolella polygnathiformis*, which had its first appearance at the base of the Julian and disappeared in the mid-Tuvalian. The other specimens



illustrated in Fig. 2 a, b of Lieberman (1978) are re-determined here as *Carnepigondolella zoeae*, a typical Tuvanian species. The stratigraphic ranges of these two species have only a short interval of overlap in the lower–middle Tuvanian (Rigo et al., 2018). Therefore, the fossil fauna and microflora found in the studied succession of the Julian Alps allow definition of the biozones as illustrated in Fig. 2.

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**Figure 3.** Ammonoids from the Cave del Predil succession (Julian Alps, Italy). A) *Brotheotrachyceras* sp. Sample PRAS 1.12; Rio Prasnig section, *T. aon-aonoides* subzones. B) *Trachyceras muensteri* Wissmann 1841. Sample CAPR 15.1; Cave del Predil section, *T. aon-aonoides* subzones. C) *Trachyceras bipunctatum* Münster 1834. Sample GBA 2017/036/0001; Raibl; *T. aon-aonoides* subzones. D) *Sirenotrachyceras* cf. *furcatum* Münster 1841. Sample PSG 1A.3; Rio Prasnig section; *T. aon-aonoides* subzones. E) *Sirenotrachyceras* cf. *furcatum* Münster 1841. Sample PSG 8B.1 (ventral view); Rio Prasnig section; *T. aon-aonoides* subzones. F) “*Paratrachyceras*” *jaegeri* Klipstein 1843. Sample MFSN 44151; Rio Klinken; *T. aon-aonoides* subzones. G) *Trachyceras* cf. *credneri* Klipstein 1843. Sample RF 204.1; Rio Prasnig section; *T. aonoides* subzone. H) *Trachyceras humboldti* Klipstein 1843. Sample RF 205.42a; Rio Prasnig section; *T. aonoides* subzone. I) *Trachyceras humboldti* Klipstein, 1843. Sample PRAS 1.8; Rio Prasnig section; *T. aonoides* subzone. J) *Trachyceras* cf. *medusae* Mojsisovics in Wöhrmann 1889. Sample MFSN44161.5; Rio Prasnig; *T. aonoides* subzone. K) *Trachyceras pontius* Laube 1869. Sample RF 206.1b; Rio Prasnig section; *T. aonoides* subzone. L) *Trachyceras saulus* Laube 1869. Sample RF 206.1a; Rio Prasnig section; *T. aonoides* subzone. M) *Trachyceras* cf. *velthemi* Klipstein 1843. Sample RF 204.4; Rio Prasnig section; *T. aonoides* subzone. N) “*Diplosirenites*” *hacqueti* Mojsisovics 1882. Sample RPG 2.3b; Rio Prasnig section; *T. aonoides* subzone. O) “*Diplosirenites*” *hacqueti* (Mojsisovics, 1882). Sample MFSN44155.2; Rio Prasnig section; *T. aonoides* subzone. P) *Sirenites cortinense* Leonardi & Polo, 1952. Sample CAPR 16.2a (negative); Cave del Predil section; *T. aonoides* subzone. Q) *Sirenites cortinense* Leonardi & Polo 1952. Sample CAPR 16.2b (positive); Cave del Predil section; *T. aonoides* subzone. R) *Neoprotrachyceras thous* (Dittmar, 1866). Sample MPCM 7773; Rio Prasnig section; *A. austriacum* Zone. [2 columns fitting]

**Figure 4.** Sporomorphs from the Rio del Lago Formation (Cave del Predil, Julian Alps, Italy). 1) *Uvaesporites* sp., diameter 29 µm; RCB3 II, L32; 2) *Kraeuselisporites* sp., diameter 48 µm; RCB II, Q37. 3) *Aulisporites astigmosus*, diameter 56 µm, RCB3 II, M23/2. 4) *Aulisporites astigmosus*, diameter 51 µm, RCB3 I, E31. 5) *Aratrisporites scabratus*, wide 67 µm, RCB3 I, J41. 6) *Equisetosporites chinleanus*, height 73.6 µm, width 44.8 µm, RCB2 I, E25/4. 7) *Equisetosporites chinleanus*, height 70 µm, width 50 µm, RCB2 I, G54. 8) *Cycadophites* sp., high 52 µm, RCB3 II, E25. 9) *Vallasporites ignacii*, diameter 40 µm, RCB3 II, o19. 10) *Paracirculina maljawkinae*, diameter 32 µm, RCB3 II, E30/4. 11) *Duplicisporites granulatus*, diameter 35 µm, RCB3 II, Q29/3. 12) *Duplicisporites verrucatus*, diameter 35 µm, RCB3 II, T30/3. 13) *Concentricisporites* sp., diameter 56 µm, RCB3 I, K36/2. 14) *Infernopollenites sulcatus*, width 96 µm, RCB3 I, E33/3. 15) *Luekyosporites* sp., width 33.6 µm, RCB3 II, G20/4. [2 columns fitting]

**Figure 5.** Scanning Electron Microscope photomicrographs of conodont specimens. 1) *Nicoraella? budaensis*, sample RF 205 44; 2) *Nicoraella? budaensis*, sample RF 205 45; 3) *Nicoraella n. sp. A* sensu Kolar-Jurkovšek et al. (2005), sample PORC 4bis; 4,5) *Nicoraella sp. B* sensu Kolar-Jurkovšek et al. (2005), sample PORC 12. For each specimen: (a) lateral view, (b) lower view. Scale bar 100 µm. Colour Alteration Index = 1.5. [2 columns fitting]

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#### 4.2 Dolomites: Overview of the published data

The biostratigraphy of the Dolomites has been summarized in Breda et al. (2009) and Dal Corso et al. (2015). At Milieres (Fig. 6), within the lowermost Heligkreuz Fm., it is possible to define the Julian 1–Julian 2 boundary by the contact between the *Concentricisporites bianulatus* and *Aulisporites astigmosus* sporomorph assemblages. The *Aulisporites astigmosus* assemblage is characterized by the co-occurrence of *Aulisporites astigmosus*, *Aratrisporites* spp., *Calamospora lunzensis*, *Kraeuselisporites cooksonae*, *Distalanulasporites punctus*, *Leschikisporis aduncus*, *Tigrisporites halleinis*, *Duplexisporites* sp. 1 (Roghi et al., 2010). Bizzarini and Braga (1987) found ammonoids of the *A. austriacum* Zone in the uppermost part of the Milieres section, which are associated with the sporomorphs of the *Aulisporites astigmosus* assemblage (Roghi et al., 2010; Fig. 2). Julian conodonts (*Paragondolella auriformis*, *Paragondolella polygnathiformis*, *Gladigondolella tethydis*, *Gladigondolella m. malayensis*) are present in the lowermost Heligkreuz Fm. (Mastandrea, 1994). Hence, on the basis of ammonoid, conodont and sporomorph biostratigraphy, the Julian 1–Julian 2 boundary can be placed as in Fig. 2 and Fig. 6. In the Borca Member of the Heiligkreuz Fm. (Fig. 2; Dibona section) the ammonoids *Austritrachyceras* sp. and *Sirenites senticosus* have been found and give a Julian 2 age (Breda et al., 2009). In the Dibona Mb. of the Heiligkreuz Fm., the *Lagenella martini* sporomorph assemblage is present (e.g., spores as *Baculatisporites comaumensis*, *Combaculatisporites mesozoicus*, *Leschikisporites aduncus*, *Raistrickia alpina*, and pollen such as *Lagenella martinii*, *Equisetosporites chinleanus*, *Araucariacites australis* and *Araucariacites* cf. *A. fissus*) (Fig. 2; Roghi et al., 2010). The *Lagenella martini* assemblage is calibrated with ammonoids and conodonts in the Dolomites and in the Northern Calcareous Alps (Raibler Schichten; Austria): its range goes from the Julian 2 to the base of the Tuvallian 2 (Roghi et al., 2010; Maron et al., 2017). In the succession of the Dolomites, the *Lagenella martini* assemblage is indeed found up to the Lagazuoi Mb. of the Heiligkreuz Fm. (Fig. 2) together with the ammonoids *Shastites* cf. *pilari* and cf. *Jovites* sp., and with the conodonts *P. noah* and *Metapolygnathus praecommunisti*, which give a Tuvallian 1 age (Breda et al., 2009; Maron et al., 2017). The Julian–Tuvallian boundary in the Dolomites is placed in the uppermost Dibona Mb. of the Heiligkreuz Fm., where Tuvallian ammonoids occur. The Travenanzes Fm. is

dated to the Tuvallian 2 by the presence of a *Granuloperculatipollis rudis* sporomorph assemblage, which in the Julian Alps is calibrated to the Tuvallian 2–Tuvallian 3 by ammonoid biostratigraphy (see section 4.1 and Roghi 2004).

#### 4.3 Transdanubian Range: Overview of the published data

Diagnostic ammonoids and conodonts, although rare, have been found in the Fűred Limestone. *Frankites* sp. and *T. aon* are present and give a Julian 1 age (*Trachyceras* Zone, Dosztály et al., 1989; Kovács et al., 1991; Budai et al., 1999; Fig. 2). An early Julian age is also confirmed by the presence of the conodonts *Gladigondolella tethydis*, *Paragondolella foliata*, *Paragondolella foliata inclinata* (Fig. 2). In the uppermost part of the Fűred Limestone the ammonoids *Neoprotrachyceras* spp. and *Sirenites* sp. have been found, and give a Julian 2 age (cf. Krystyn, 1983; Lukeneder and Lukeneder, 2013). The Julian 1–Julian 2 boundary is thus placed in the uppermost Fűred Limestone (Fig. 2). In the Veszprém Marl, a rich ammonoid fauna with *A. austriacum* is present in the Nosztor Limestone Member (also known as the “*austriacum* beds”; Fig. 2). *Neoprotrachyceras baconicum* has been found in the Csicsó Member of the Veszprém Marl (Budai et al., 1999; Dal Corso et al., 2015). Therefore, the Veszprém Marl can be dated to Julian 2 (Fig. 2). This age-attribution is also confirmed by the presence of sporomorphs of the *Aulisporites astigmosus* assemblage (*sensu* Roghi et al., 2010) throughout the Veszprém Marls (Góczán et al., 1991) that, as in the Dolomites and the Julian Alps, are found in association with ammonoids of the Julian 2 (see above and Roghi et al., 2010). In the shallow-water Sándorhegy Formation, ammonoids have not been found, but sporomorph biostratigraphy allows the Julian–Tuvallian boundary to be placed in the lowermost part of the formation, where Tuvallian pollen and spores appear (Góczán and Oravecz-Scheffer 1996; Budai et al., 1999; Fig., 2).

#### 4.4 Biostratigraphic correlation of the studied sedimentary successions

On the basis of the new and published biostratigraphic data, a solid correlation between the successions of the Dolomites, Julian Alps, and Transdanubian Range can be traced at ammonoid-zone resolution (Fig. 2 and Fig. 6). The upper San Cassiano Fm. can be correlated to the Predil

Limestone of the Julian Alps and the Fűred Limestone of the Transdanubian Range, which both have a Julian 1 age. The lower part of the Heiligkreuz Fm. of the Dolomites (Borca Member and most of the Dibona Member as in Fig. 6) has a Julian 2 age and can be correlated with the uppermost Predil Limestone, the Rio del Lago Formation, the Conzen Fm., and part of the Tor Fm. of the Julian Alps, and to the uppermost Fűred Limestone, and the Veszprém Marl of the Transdanubian Range. The Julian–Tuvalian boundary can be placed in the Dolomites in the uppermost Dibona Member of the Heiligkreuz Fm., and in the Transdanubian Range in the lowermost Sándorhegy Fm., which can be therefore correlated with each other. In the Julian Alps, the precise placement of the Julian–Tuvalian boundary is less easy, given the absence of diagnostic taxa belonging to the Tuvalian 1 (*Tropites dilleri* Zone). However, ammonoids of the lowermost Carnitza Fm. are Tuvalian 2 in age (*Tropites subbullatus* Zone; Fig. 2). Hence, the Julian–Tuvalian boundary must be placed between the uppermost Tor Fm. and the Portella Limestone, which gives the correlation with the Dolomites and the Transdanubian Range as shown in Figure 2.

## 5. NEW CARBON-ISOTOPE AND ROCK-EVAL DATA

All  $\delta^{13}\text{C}$  data of total organic matter and wood are given in Figure 6 and the Supplementary Tables.  $\delta^{13}\text{C}_{\text{TOC}}$  data from the Julian Alps show, at the base of the Rio del Lago Formation, a negative excursion of approximately 3‰ (NCIE-1). This excursion occurs at the Julian 1–Julian 2 boundary and, on the basis of the biostratigraphic constraints, can be robustly correlated to the shift already found in the Dolomites and Hungary (Fig. 6), Austria, Barents Sea and China at the onset of the CPE (Dal Corso et al., 2015; Mueller et al., 2016a, 2016b; Sun et al., 2016).

In the Balaton Highland (Hungary) and in the Julian Alps (Italy), the  $\delta^{13}\text{C}_{\text{TOC}}$  records show a second 3–6‰ negative carbon-isotope excursion within the Julian 2 interval (NCIE-2). This NCIE-2 occurs in the Mencshely Mb. of the Veszpreml Marl in the Met-1 core of the Balaton Highland in Hungary, and in the Conzen Formation in the Cave del Predil succession of Julian Alps in Italy (Fig. 6). Rock-Eval pyrolysis analysis shows that the organic matter is Type-III (terrestrial) throughout the NCIE-2 in the Julian Alps and is immature with respect to oil generation (Fig. 7).

The biostratigraphy of these units strongly supports the correlation of the NCIE-2 between the Transdanubian Range and the Julian Alps (Fig. 6). Similarly, at the base of the Heiligkreuz section (metres 0–5, Borca Mb.; Fig. 6) in the Dolomites (Italy), a positive shift of about 4‰ of Julian 2 age is correlated to the positive rebound of the NCIE-2 recorded in the Balaton Highland and in the Julian Alps.

A third negative  $\delta^{13}\text{C}_{\text{TOC}}$  shift of approximately 4‰ (NCIE-3) occurs at the Julian–Tuvalian boundary in the Dolomites (at metre 42.2 in the Heiligkreuz section and at metre 142.9 in the Dibona section) and in the Zsámbék Basin (metre 340 of the Zs-14 core; Fig. 6). In the Dolomites (Heiligkreuz section), wood collected from a level within the NCIE-3 has  $\delta^{13}\text{C}_{\text{WOOD}}$  values lowered by 2‰ with respect to wood collected from two levels below the NCIE-3 (Fig. 6). In the Dibona section (Dolomites), the NCIE-3 rebounds to pre-excursion  $\delta^{13}\text{C}_{\text{TOC}}$  values approximately at metre 175 at the base of the Travenanzes Formation (Fig. 6).

A fourth negative shift (NCIE-4) is recorded by bulk organic matter at the base of the Travenanzes Fm., i.e., at the base of Tuvalian 2 approximately at metre 180 of the Dibona section (Fig. 6). The NCIE-4 rebounds to pre-excursion values at approximately metre 220, to remain relatively stable through the Travenanzes Fm. (Fig. 6). Similarly, in the Julian Alps, the carbon-isotope values of bulk organic matter at the base of the Carnitza Fm. (base of the Tuvalian 2; approx. metre 60 at Portella section; Fig. 6) are on average 1.5–2‰ lower than those at the top of the Tor Fm. (metre 0–40 of the Portella section; Fig. 6).

**Figure 6.** Total organic carbon-isotope ( $\delta^{13}\text{C}_{\text{TOC}}$ ) records across the Carnian Pluvial Episode (Julian–Tuvalian) in Italy and Hungary. The black dots and orange lines are the organic carbon-isotope curves from the Dolomites (Dolomites succession), the Julian Alps (Cave del Predil succession) and the Transdanubian Range (Balaton and Zsámbék successions) produced in this study. Wood carbon-isotope data from the Heiligkreuz are represented as green box-and-whiskers plots. Light blue lines are published organic carbon-isotope curves from the Dolomites in Italy, and the Transdanubian Range in Hungary (Dal Corso et al., 2012, 2015). The correlation (green lines) is based on biostratigraphic framework (ammonoid, conodont, and sporomorph data) given in detail in Fig. 2. The grey bars correlate the four negative carbon-isotope excursions (NCIEs) detected in this study. Raw carbon-isotope data are given in the Supplementary Tables. [1 page, 2 columns fitting]

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**Figure 7.** Rock-Eval pyrolysis data, Rio delle Cascate segment of the Cave del Predil succession. A) Position of the samples analyzed with Rock-Eval pyrolysis against the carbon-isotope data. Samples were selected to encompass the NCIE-2 at Cave del Predil (see main text). B) Hydrogen Index (HI) vs Tmax. The organic matter of the analyzed samples is Type III, and immature with respect to oil generation. The well-preserved palynological associations found in the same stratigraphic section (Roghi 2004) support the Rock-Eval results, since the terrestrial elements (pollen and spores) are much more abundant than the marine elements (acritarchs and algae). **[Single column fitting]**

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## 6 DISCUSSION

### 6.1 Multiple carbon-isotope excursions punctuated the CPE

Miller et al. (2017) showed that not just one, but multiple CIEs are associated with the environmental perturbations that define the CPE in Europe. The Wiscombe Park Borehole (Devon, UK) studied by Miller et al. (2017) was drilled through a continental succession and its age can be defined by sporomorphs biostratigraphy. Sun et al. (2016) found one single, broad negative CIE in bulk organic matter during the CPE in a marine succession in South China, but the carbonate carbon-isotope data seems to record three negative CIE within the same interval. The age of the Chinese succession is constrained by conodont biostratigraphy and one ammonoid occurrence (Sun et al., 2016). The new data from sections of the northwestern Tethyan realm show three, possibly four, sharp negative carbon-isotope excursions punctuating the CPE (Fig. 6) that can be dated biostratigraphically with ammonoids, conodonts and sporomorphs.

The NCIE-1 at the Julian 1–Julian 2 boundary (Fig. 6) has already been identified in different geological settings and in a variety of organic substrates as in  $\delta^{13}\text{C}$  records of marine and terrestrial biomarkers (Dal Corso et al., 2012),  $\delta^{13}\text{C}$  records of total organic carbon (Dal Corso et al., 2012, 2015; Mueller et al., 2016a, 2016b; Sun et al., 2016), and in  $\delta^{13}\text{C}$  records of carbonate carbon (Muttoni et al., 2014; Sun et al., 2016). These shifts indicate an injection of  $^{13}\text{C}$ -depleted carbon into the ocean–atmosphere system at the onset of the CPE. New data show that the NCIE-1 occurs also at the base of the Rio del Lago Formation of the Cave del Predil succession in the Julian Alps, where the Julian 1–Julian 2 boundary is placed according to ammonoid biostratigraphy. This new record shows that the NCIE-1 followed a long-term global positive  $\delta^{13}\text{C}$  shift, as registered by both organic matter and brachiopod calcite in various geological settings (Korte et al., 2005; Dal Corso et al., 2011, 2012, 2015). This long-term rise in  $\delta^{13}\text{C}$  has been explained as due to increased sequestration of  $^{12}\text{C}$  linked to the spread of coal swamps, the remains of which were lost in the geological record after the Permian–Triassic boundary mass extinction (Korte et al., 2005).

At least three additional major negative  $\delta^{13}\text{C}$  shifts followed the initial NCIE-1 of the CPE (Fig. 6). A 3–6‰ negative shift (NCIE-2) is recorded in the Hungarian series (Met-1 core), in the

Julian Alps (Cave del Predil), and partially in the Dolomites (Heiligkreuz section). It occurs in the *A. austriacum* ammonoid Zone, i.e., the Julian 2 biochronozone (Fig. 6). Rock-Eval pyrolysis shows that organic matter is immature with respect to oil generation across the NCIE-2 in the Julian Alps (Fig. 7), and the low colour alteration index of conodont apatite between 1 and 1.5, and clay mineralogy, suggest low burial temperatures in the successions of the Dolomites, Julian Alps and Hungary (this study and Rostási et al., 2011). Laboratory studies have shown that thermal maturation produces either no change in the pristine  $\delta^{13}\text{C}$  value of organic matter or small levels of  $^{13}\text{C}$ -enrichment (Lewan, 1983; Galimov, 2006). Major changes occur only during late diagenetic and metamorphic stages. For example,  $^{13}\text{C}$ -enrichment of 1–2‰, caused by a more rapid breaking of  $^{12}\text{C}$ - $^{12}\text{C}$  with respect to others C-C bonds, was observed in shales and coals at the contact with magmatic intrusions (McKirdy and Powell, 1974; Simoneit et al., 1978; Saxby and Stephenson, 1987). Hence, thermal maturation could not have been responsible for the observed changes in  $\delta^{13}\text{C}$  of the bulk organic matter. Rock-Eval pyrolysis shows also that the analyzed organic matter is type III in the Julian Alps, i.e., mainly terrestrial in origin, across the entire NCIE-2 (Fig. 7), this being confirmed also by the rich and well-preserved palynological associations found in the same stratigraphic interval, where pollen and spores (terrestrial) are the most abundant elements while acritarchs and algae (marine) are very rare (Roghi 2004). Therefore, changes in the source of the organic matter (marine vs terrestrial) cannot account for the recorded  $\delta^{13}\text{C}$  excursion (see also 6.2). The synchronous occurrence of the NCIE-2 in different geological settings suggests it represents a genuine change in the global carbon-isotope composition of organic matter. We thus propose that the NCIE-2 represents a shift in the isotopic composition of the ocean–atmosphere system within the Julian 2 interval (*A. austriacum* Zone).

In the Dolomites (upper part of the Heiligkreuz Fm. of the Dibona and Heiligkreuz sections), the organic matter records a 4‰  $\delta^{13}\text{C}_{\text{TOC}}$  negative shift at the Julian–Tuvanian boundary. This shift is labelled as NCIE-3 in Fig. 6. This shift is recorded clearly by bulk organic carbon, but it also occurs in wood; the  $\delta^{13}\text{C}_{\text{WOOD}}$  from the Heiligkreuz section shows shifts of about -2‰ in correspondence with the NCIE-3 (Figure 6). The onset of the NCIE-3 is also visible in Hungary at the very top of the studied core (Zs-14), within the Sándorhegy Fm. (Fig. 6). In the Dolomites

(Milieres-Dibona section), the colour alteration index of conodont apatite is very low (1; Preto et al., 2015), and previous biomarker analysis of organic matter showed the succession experienced negligible thermal maturation (Dal Corso et al., 2012). For the reasons outlined above, diagenesis cannot be considered the cause of the observed negative shift in the  $\delta^{13}\text{C}_{\text{TOC}}$ . Moreover, the fact that wood recorded a similar shift strongly suggests a negative excursion in the carbon-isotope composition of the atmosphere. This supposition is supported by the fact that changes in the carbon-isotope composition of wood are similar to those in marine carbonates and organic matter, and therefore represent a good proxy for the carbon-isotope composition of the palaeoatmosphere (e.g. Gröcke et al., 1999; Hesselbo et al., 2007; Dal Corso et al., 2011). Thus, the concurrent shifts in the geochemical signatures of total organic carbon and wood strongly suggests that NCIE-3 represents a change in the carbon-isotope composition of the Carnian ocean–atmosphere system towards more  $^{13}\text{C}$ -depleted values.

A possible 1.5–2‰ NCIE-4 could be present at the base of the Travenanzes Fm. (base of the Tuvalian 2) in the Dibona section (Dolomites, Fig. 5). Unfortunately, no wood has been found in this portion of the Dibona section to support the total organic carbon-isotope data. The NCIE-4 recorded at Dibona can be stratigraphically correlated to a similar NCIE recorded in the Portella section of the Julian Alps (Fig. 2 and Fig. 5), a correlation supported by sporomorph and ammonoid biostratigraphy (De Zanche et al., 2000; Roghi 2004; Preto et al., 2005; Roghi et al., 2010). More data are needed to confirm the global nature of the NCIE-4.

## 6.2 Discrepancies in the magnitudes of the negative $\delta^{13}\text{C}_{\text{TOC}}$ excursions

The new and published carbon-isotope curves across the sediments of the CPE show that each of the NCIEs may have different magnitudes in different geological settings (Fig. 6). Dal Corso et al. (2015) already pointed out this discrepancy by comparing the magnitudes of the NCIE-1 recorded by TOC in the Dolomites (Italy), Northern Calcareous Alps (Austria), and Transdanubian Range (Hungary), which range from 4‰ to 1.5‰ in total organic matter (Dal Corso et al., 2012; 2015; Mueller et al., 2016a, 2016b; Miller et al., 2017; this study), while the magnitude of the first shift is more consistently around 3–4‰ when measured on leaf wax *n*-alkanes (Dal Corso et al., 2012;

Miller et al., 2017). Such a pattern suggests that for the NCIE-1 the differences could be linked to local changes in the source of the organic carbon, i.e. shifts in the proportion of marine vs terrestrial organic matter in the marine sediments and/or changes in the marine and terrestrial community structure (Dal Corso et al., 2015). The same factors could apply equally for the other NCIEs that mark the entire CPE (Fig. 6).

The  $\delta^{13}\text{C}$  signature of terrestrial and marine organic carbon depends on a number of factors such as the source when fixed during photosynthesis by primary producers, the photosynthetic pathway used to fix the carbon, and the environmental conditions (e.g. Diefendorf et al., 2010; Dal Corso et al., 2017). These issues explain why organic substrates have large carbon-isotope variability, as shown also for Carnian plant remains (Dal Corso et al., 2011). Today, the  $\delta^{13}\text{C}$  of terrestrial plants is on average more  $^{13}\text{C}$ -depleted than that of marine algae but there is evidence that before the Cretaceous marine organic carbon, in many instances, was more  $^{13}\text{C}$ -depleted than terrestrial carbon (Arthur et al., 1985). This difference is also evident from our data: wood in the shallow-marine Heiligkreuz Fm. shows on average more positive  $\delta^{13}\text{C}$  values than TOC, which likely has a marine organic-carbon component (Fig. 2). Therefore, an increase of terrestrial carbon into the Triassic marine basins could have resulted in a shift of the  $\delta^{13}\text{C}_{\text{TOC}}$  toward more positive values. If this input of terrestrial organic carbon was coincident with a NCIE, the effect would have been an apparent attenuation of the NCIE itself, i.e., the magnitude of the NCIE recorded in marginal marine sediments would have been less than the shift in the atmosphere and in the oceans. One of the most striking characteristics of the CPE in the studied successions is the arrival into the basins of huge amounts of siliciclastic sediment. Since the NCIEs described in this work are associated to such inputs of siliciclastic sediments from land (see section 6.5), this effect could explain the differences observed in their magnitudes, depending on the distance from the coast and on the catchment area (Dal Corso et al., 2015).

Other possible causes that could have contributed in modulating the  $\delta^{13}\text{C}_{\text{TOC}}$  during the CPE are changes in the structure of the terrestrial and marine communities, and/or modifications in carbon-isotope fractionation during photosynthesis linked to variable environmental conditions. For example, it has been shown that the NCIEs at the Triassic–Jurassic boundary are correlated to the

relative abundance of different sporomorph taxa, which likely had different  $\delta^{13}\text{C}$  signatures (Van de Schootbrugge et al., 2008). In higher plants, carbon-isotope fractionation increases with increasing mean annual precipitation and increasing  $p\text{CO}_2$  (Diefendorf et al., 2010; Schubert and Jahren, 2012; Kohn, 2016). The magnitude of the NCIE-2 recorded in the Julian Alps (approx. 6‰; Fig. 6), for example, could have been amplified due to increasing higher-plant carbon-isotope fractionation, given that the organic carbon is mainly terrestrial in origin (Fig. 7) and was produced under the more humid climate conditions of the CPE. On the contrary, the NCIE-1 has a similar magnitude in both terrestrial and marine biomarkers, thus ruling out the possibility that a change in the terrestrial plant isotopic fractionation influenced the magnitude of the shift recorded by  $\delta^{13}\text{C}_{\text{TOC}}$  (Dal Corso et al., 2012; Dal Corso et al., 2015).

The  $\delta^{13}\text{C}$  of organic matter is controlled by many physiological and environmental factors, which can only be constrained with difficulty, especially in deep time (e.g., Dal Corso et al., 2017, and references therein). This fact leaves a certain degree of uncertainty in the interpretation of the carbon-isotope shifts recorded by the bulk organic matter. This complication is for example particularly relevant when mass-balance calculations are attempted on the basis of the magnitude of the  $\delta^{13}\text{C}$  shifts in order to calculate the amount of  $^{13}\text{C}$ -depleted C that has been transferred into the atmosphere and the ocean at the time of major climate perturbations in Earth's history. In the case of the CPE, however, the synchronous biostratigraphically-constrained occurrence of the NCIEs in different geological settings, the fact that some of these NCIEs are recorded by different organic substrates (wood, leaf-wax *n*-alkanes, and marine algal biomarkers), and the little or no diagenetic overprint on the analyzed sediments, together strongly suggest that the shifts represent an actual change in the carbon-isotope composition of the Carnian ocean–land–atmosphere system.

### 6.3 Global correlation of the Carnian carbon-isotope excursions

Based on the biostratigraphic data, the carbon-isotope curves of the western Tethys realm have been tentatively correlated to the curves of the continental succession of Devon (Miller et al., 2017), and of the Nanpanjiang Basin, Guizhou (South China Block; Sun et al., 2016).

A correlation between the NCIEs recorded in this study and those found in the Carnian sediments from the WP borehole 1 (Devon, UK) by Miller et al. (2017) is not straightforward. The cored material from Devon is a terrestrial succession of mudstone and siltstones, which represents a sabkha–lacustrine environment, whose age is constrained by sporomorph biostratigraphy (Miller et al., 2017), which is difficult to compare with the higher biostratigraphic resolution of this study provided by the integrated records of ammonoids, conodonts, and sporomorphs. However, the continental succession shows four distinct major NCIEs recorded by both bulk organic matter and higher-plant *n*-alkanes (Fig. 8; Miller et al., 2017). In the upper part of the WP borehole 1 the circumpollen *P. quadruplicis* (Schuurings 1970) appears at metre 52 (supplementary material of Miller et al., 2017). In the Southern Alps, where the sporomorph assemblages can be calibrated with ammonoid biozones, the first occurrence of *P. quadruplicis* is dated to the Tuvallian 2 (van der Eem, 1983; Roghi 2004; Roghi et al., 2010). Moreover, Miller et al. (2017, their supplementary materials) interpreted the palynological association found in the uppermost part of the WP1 borehole as the *Lagenella martini* assemblage defined by Roghi et al. (2010). The portion of the core in which the *Lagenella martini* assemblage is found comprises the third and fourth NCIEs of Miller et al. (2017), i.e. from metre 56 of the WP borehole 1 on up in the stratigraphy. The *Lagenella martini* assemblage is calibrated with ammonoids and extends from the uppermost Julian 2 to the Tuvallian 1 (see Fig. 9 of Roghi et al., 2010). In the Dolomites, for example, a typical sporomorph association of the *Lagenella martini* assemblage is found in the Lagazuoi member of the Heiligkreuz Fm. together with Tuvallian ammonoids (*Shastites* cf. *pilari*). Hence, according to this interpretation, the pollen record at Wiscombe Park could indeed extend into the Tuvallian (Upper Carnian). Therefore, the NCIEs of Miller et al. (2017) could correlate to those found in this study as shown in Figure 8. If this correlation were to be confirmed by future refined stratigraphic studies of the continental succession of Devon, it would imply that the entire CPE interval punctuated by the NCIEs, i.e., from the base of the Julian 2 to the base of the Tuvallian 2, lasted for ca. 1 Myr (Fig. 8).

Sun et al. (2016) identify only one prolonged NCIE in the total organic matter of the Wayao Fm. (Nanpanjiang Basin, Guizhou, South China Block). This CIE begins at the base of Julian 2 and

terminates within the Tuvlian 2. The carbonate carbon-isotope record, however, shows three NCIEs. The differences between the carbonate and organic carbon-isotope records have been explained by changes in the composition of the organic carbon deposited in the basin. The correlation of each negative CIE in the carbonates of the Nanpanjiang Basin is, however, again not straightforward, because of uncertainties on the age attributions. The conodonts illustrated by Sun *et al.* (2016) include long-ranging species, i.e., *Paragondolella polygnathiformis* and *P. praelindae*, occurring with a typical Julian association in the lower part of the section represented by *Paragondolella foliata*, *P. inclinata* and *P. tadpole*. In the uppermost part of the section, the same long-ranged taxa instead co-occur with a Tuvlian conodont association consisting of *Paragondolella noah* and *Hayashiella carpathica*. However, in this locality, *Paragondolella noah* also occurs together with the typically Julian conodonts *P. foliata*, *P. inclinata* and *P. tadpole*. The lowest occurrence of *P. noah* in Long Chang is to be dated to the Julian also because of the ammonite *Austrotrachyceras* cf. *A. austriacum* found stratigraphically some 12 m above it. This distribution is unusual, because *P. noah* has hitherto only been documented in Tuvlian beds in both Tethyan and Panthalassic regions (e.g., Mazza *et al.*, 2012a; Orchard, 2014; Rigo *et al.*, 2017). Sun *et al.* (2016) place the Julian–Tuvlian boundary close to the second negative shift in  $\delta^{13}\text{C}_{\text{CARB}}$ , which would thus correspond to the NCIE-3 detected in the Southern Alps of Italy (this study) and, possibly, with the third shift recorded in the WP borehole 1 from Devon (Miller *et al.*, 2017) (Fig. 8). It is more difficult to place the boundary between Tuvlian 1 and 2 in the Long Chang section. The conodont species *P. noah* and *H. carpathica* first occur, in the western Tethys, below the appearance of the cosmopolitan species *Metapolygnathus praecommunisti* (Mazza *et al.*, 2011; Orchard, 2014; Rigo *et al.*, 2017), which is Tuvlian 1 (Fig. 2; Maron *et al.*, 2017). Conodonts become endemic and rare in the Wayao Fm. of the Nanpanjiang Basin, thus complicating further subdivisions of the Tuvlian at a regional scale (Sun *et al.*, 2016). Following the age attributions of Sun *et al.* (2016), we correlated the NCIE-4 with the third carbonate carbon-isotope negative shift. However, we note that the absence of *M. praecommunisti* may indicate a Tuvlian 1 age of the upper portion of the Long Chang section and the absence of the Tuvlian 2. The NCIE-1 and the NCIE-2, as defined in this study, are not resolved in the Long Chang section



(Fig. 8). The biostratigraphically based correlation of the successions of the northwestern Tethys with that of Guizhou (Nanpanjiang Basin, South China Block) again supports the hypothesis that the entire CPE interval punctuated by the NCIEs lasted for ca. 1 Myr. Indeed, cyclostratigraphic study of an incomplete succession of the Xiaowa (=Wayao) Fm. in the Nanpanjiang Basin (South China) shows that the CPE encompasses almost two 405-kyr cycles (Zhang et al., 2015).

A record of stable carbon isotopes that contains multiple shifts was obtained by Mueller et al. (2016b) from bulk organic matter of marginal marine successions in Spitsbergen (Norway), which was part of the Boreal realm in the Late Triassic. These authors identified three NCIEs, dated on the base of palynostratigraphy and magnetostratigraphy near the Ladinian–Carnian boundary, at the base of the Julian 2 biochronozone (lower Carnian) and at the boundary between Tuvanian 2 and Tuvanian 3 biochronozones in the upper Carnian. Mueller et al. (2016b) suggest that all three shifts are present in the carbon-isotope record of Korte et al. (2005). Despite the richer palynological associations with respect to the WP borehole 1, which allowed a more accurate biostratigraphic dating of the NCIEs of Spitsbergen, a correlation with our new record from the western Tethys is again not straightforward. A negative CIE at the Ladinian–Carnian boundary is actually not documented at Spitsbergen, where  $\delta^{13}\text{C}$  values instead increase steadily from the Ladinian to the lower Carnian. Mueller et al. (2016b) correlated their stable-isotope data from Spitsbergen to the carbonate and bulk organic-matter  $\delta^{13}\text{C}$  records from Tethys of Korte et al. (2005) and Dal Corso et al. (2015), and reinterpreted these latter datasets as containing the evidence of a negative carbon-isotope excursion at the Ladinian–Carnian boundary. This interpretation differs, however, from those of the original works. Mietto et al. (2012) did not identify any significant carbon-isotope change in the bulk carbonate at the Carnian GSSP. Mueller et al. (2016b) suggest that two stratigraphic intervals at the base of Julian 2 and between Tuvanian 2 and Tuvanian 3 stand out as prominent NCIEs. There is, however, a large and continuous variability in this record, which oscillates in a wide range from ca. -31‰ to -24‰ VPDB throughout the Carnian. It could be hypothesized that up to 5 NCIEs are present in the records from Spitsbergen, similarly to what has been found in Dorset (Miller et al., 2017) and in the western Tethys (this work), but due

to an insufficient sampling resolution, the correct stratigraphic position of these excursions cannot be determined.

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**Figure 8.** Chemostratigraphic correlation of the carbon-isotope records from the marine marginal successions of the north-western Tethyan realm (this study) and China (Sun et al., 2016), and the lacustrine succession of Devon (WP borehole 1, UK; Miller et al., 2017). The stylized organic carbon-isotope curve for the western Tethys (this study) is drawn according to the data presented in Fig. 6 and summarized in Fig. 9 (raw data in the Supplementary Materials). Note that although the correlation of the records from the northwestern Tethys and China with that from Devon is tentative and not sufficiently supported by biostratigraphic constraints, the records show similar distinct major carbon-isotope excursions in total organic matter (TOC) and leaf-wax *n*-alkanes. Sporomorphs from the WP borehole 1 give a Carnian (Julian–Tuvalian) age but do not allow a higher resolution biostratigraphic zonation of the units (Miller et al., 2017). The duration of the WP borehole 1 interval containing the NCIEs has been estimated using gamma-ray and elemental abundance cyclicity (Miller et al., 2017). The northwestern Tethyan stylized curve is composite and thus the vertical scale does not reflect the actual thickness of the sedimentary successions (see Fig. 6 for the thickness of each studied sections).  $\delta^{13}\text{C}_{\text{LEAF-WAX}}$  is expressed as weighted mean of odd C25–C31 *n*-alkanes (from Dal Corso et al., 2012, Dolomites, and Miller et al., 2017, Devon). The age of the Carnian Pluvial Episode is shown in pink. J 2 = Julian 2, T 1 = Tuvalian 1. **[2 columns fitting]**

#### 6.4 LIP volcanism as trigger of the carbon-isotope excursions

Our new data show that three (possibly four) major negative excursions in the carbon-isotope composition of the ocean–atmosphere system occurred during the CPE. The first NCIE is at the Julian 1–Julian 2 boundary, the second within the Julian 2, the third at the Julian–Tuvalian boundary, and a possible fourth may exist at the base of the Tuvalian 2 (Fig. 6). Given their close ages, these repeated disruptions in the carbon cycle could have been related to the emplacement of large masses of volcanic rocks from an igneous province. The Wrangellia LIP, also called the Nikolai LIP (Schmidt and Rogers, 2007; Greene et al., 2010; Glen et al., 2011), is indicated as the most important contributor, possibly strengthened by other basaltic and acidic volcanic sources, namely the South Taimyr igneous complex in Siberia plus the Kara Dere-Sayrun unit of the middle Antalya nappes and the Huglu-Pindos volcanics in Turkey (Dal Corso et al., 2012; Mueller et al., 2016a; Sun et al., 2016; Miller et al., 2017).

The remnants of the Wrangellia LIP today crop out along western North America, from Vancouver Island (Canada) to Alaska (Greene et al., 2010). The volcanic sequence consists of a series of submarine pillow lavas and subaerial basaltic floods, locally reaching a thickness of 3.5 km in northern Wrangellia (Nikolai Fm., Alaska) and 6 km in southern Wrangellia (Karmutsen Fm., Vancouver Island, Canada). It has been estimated that the Wrangellia LIP extruded at least 1 million km<sup>3</sup> of basalts (Lassiter et al., 1995). This figure is, however, a minimum estimate of the volumes of basalts erupted because most of them could have been subducted as a consequence of the accretion of the Wrangellia terranes to the western North America during the Late Jurassic–Early Cretaceous (Greene et al., 2010).

The age of the Wrangellia LIP is constrained by biostratigraphic data, <sup>187</sup>Os/<sup>188</sup>Os geochemistry, and U-Pb, <sup>40</sup>Ar-<sup>39</sup>Ar, and K-Ar radioisotopic ages (Mortensen and Hulbert, 1992; Greene et al., 2010; Glenn et al., 2011; Xu et al., 2014 and references therein). Limestones intercalated and overlying the Wrangellia basalts bear a rich ammonoid association with *Tropites dilleri*, which indicates a Tuvalian 1 age (Tozer, 1994). Silicified shales, locally black, chert and limestones underlying the Wrangellia basalts contain *Daonella* bivalves, which indicate a Ladinian age for these sediments (Greene et al., 2010). A decrease in <sup>187</sup>Os/<sup>188</sup>Os ratio is observed in the

Ladinian–Carnian prodelta–delta sediments of the Botneheia, Tschermakfjellet, and De Geerdalen Formations of the Barents Sea (Norway), and has been interpreted as the evidence of a Ladinian age for the beginning of Wrangellia LIP activity (Xu et al., 2014). The  $^{187}\text{Os}/^{188}\text{Os}$  excursion consists, however, of only one data point, whose age is constrained to the late Ladinian by the presence of *Nathorstites* sp. Juv., a boreal late Ladinian–early Carnian ammonoid genus (Xu et al., 2014 and references therein). A higher resolution record in other settings coupled with a refined biostratigraphy is thus required to confirm such a late Ladinian decrease in  $^{187}\text{Os}/^{188}\text{Os}$  ratio. Most of the  $^{40}\text{Ar}$ - $^{39}\text{Ar}$  ages of Wrangellia basalts are reset to ages younger than the age of the emplacement of the LIP (Greene et al., 2010; Glenn et al., 2011 and references therein). Few of the  $^{40}\text{Ar}$ - $^{39}\text{Ar}$  ages from biotites and hornblendes are considered to retain magmatic ages, which span from 227 to 233 Ma (Greene et al., 2010). The U-Pb data from multigrain zircon and baddeleyite fractions give ages of  $226.8\pm 0.5$  Ma,  $227.3\pm 2.6$  Ma,  $228.4\pm 2.5$  Ma, and  $232.2\pm 1$  Ma, which are thought to reflect the magmatic age and are in agreement with a Carnian biostratigraphic age of the Wrangellia LIP (Mortensen and Hulbert, 1992; Parrish and McNicoll, 1992; Greene et al., 2010). Summarizing, existing data constrain very well the end of Wrangellia LIP volcanism to the Tuvalian 1 (*T. dilleri* ammonoid Zone). The onset of the LIP activity is, however, less well constrained, although data suggest it could have been between the latest Ladinian and the early Carnian.

Similar to the eruption of the Central Atlantic Magmatic Province at the end of the Triassic, discrete pulses of Wrangellia activity could have caused multiple injections of  $^{13}\text{C}$ -depleted  $\text{CO}_2$  into the ocean–atmosphere system (e.g., Ruhl and Kürschner, 2011; Marzoli et al., 2018). Such  $\text{CO}_2$  could have been released from methane clathrates ( $\delta^{13}\text{C} = -60\text{‰}$ ), as a consequence of volcanically driven global warming, and/or from the metamorphism of organic-rich sediments ( $\delta^{13}\text{C} = -25\text{‰}$ ) in contact with igneous intrusions (e.g., Dickens et al., 1995; Svensen et al., 2004). Future research should determine the timing of Wrangellia volcanic activity phases in detail, in order to define possible distinct pulses, and test whether they correlate with the Carnian carbon-isotope record.

## 6.5 Each carbon-isotope excursion is linked to environmental changes

The interval punctuated by the NCIEs within the Carnian, corresponding to the CPE, was a time of overall global warming as indicated by  $\delta^{18}\text{O}$  analysis of conodont apatite (Fig. 9; Sun et al., 2016 and references therein), and was closely related to environmental changes that are widespread at least across the western Tethys (Fig. 9). Three to four distinct siliciclastic-dominated intervals are traditionally recognized in Carnian (Julian 2–Tuvalian 2) stratigraphic successions of the western Tethys realm (e.g., see summary in Roghi et al., 2010; Fig. 9). In the Dolomites, such terrigenous levels are located at the base of the Borca Mb., in the lower part of the Dibona Mb., and in the lower part of the Lagazuoi Mb. of the Heiligkreuz Formation. At the base of the Travenanzes Fm. a mixed carbonate–terrigenous level is also present (Fig. 2). These distinct levels can be recognized in other sections, and are biostratigraphically correlated within the western Tethyan realm (Roghi et al., 2010).

Roghi et al. (2010) and Stefani et al. (2010) interpreted the multiple siliciclastic levels as the stratigraphic evidence of discrete humid pulses. This interpretation is supported by palynological analyses, western Tethyan successions show distinct phases of hygrophytic-dominated assemblages in the siliciclastic intervals (Roghi et al., 2010; Mueller et al., 2016a; b). Evidence presented in this paper shows that global NCIEs coincide with the siliciclastic-rich intervals. In fact, a likely consequence of large injections of isotopically depleted  $\text{CO}_2$  into the atmosphere was, along with the NCIEs recorded by bulk organic matter, the enhancement of the hydrological cycle and associated continental runoff due to global warming. Under this climatic regime, large quantities of terrigenous material and nutrients would have flowed into marine basins, with development of local anoxic conditions and deposition of laminated organic-rich black shales (e.g., Jenkyns 2010). Such features are observed in several stratigraphic successions that register the NCIE 1 (Dal Corso et al., 2015 and references therein). In summary, repeated episodes of enhanced terrigenous input and establishment of hygrophytic floras are here linked to phases of increased hydrological cycling, likely related to multiple phases of  $^{13}\text{C}$ -depleted carbon injection into the Carnian ocean–atmosphere system over a time-span of 1.2 Myr (Fig. 8, 9; Zhang et al., 2015; Miller et al., 2017).

The Carnian NCIEs also coincided with major changes in the carbonate production on shallow-water platforms. At the onset of the CPE in the Dolomites, a sudden shift of the carbonate production mode is observed from microbially induced carbonate precipitation to metazoan biomineralization, in coincidence with the NCIE-1 (Fig. 8; Dal Corso et al., 2015; Gattolin et al., 2015). Such a shift of the carbonate factory is noteworthy, because the highly productive microbial platforms had thrived in the shallow-water Tethyan domain and had persisted in the area of the Dolomites since the Anisian (e.g., Blendinger, 1994; Russo et al., 1997; Keim and Schlager, 1999; Preto et al., 2017), and their temporary demise lasted throughout the entire CPE (Fig. 8; e.g., Gattolin et al., 2015). Only during the Tuvallian 2 interval did the microbial carbonate factories return to dominance (e.g., Main Dolomite: Caggiati et al., in press). Outside the Dolomites, crises of shallow-water carbonate production are documented also for the South China Block (e.g., Sun et al., 2016), the southern Tethyan successions of the Spiti Valley in India (Hornung et al., 2007) and Anatolia (Lukeneder et al., 2012). In all of these localities, clays and sandstones abruptly substitute for the shallow-water carbonates. Contemporaneously, in the Lagonegro Basin in southern Italy, one of the very few basins that show a Carnian deep-water record, carbonate rocks were abruptly replaced by argillites, siltites, and radiolarites (clay-radiolaritic horizon) with no carbonate content at about the Julian–Tuvallian boundary, testifying to deep-water carbonate dissolution (Rigo et al., 2007).

Dal Corso et al. (2012) argued that an injection of large quantities of CO<sub>2</sub> into the Carnian ocean–atmosphere system would have triggered an episode of acidification of the ocean, as suggested also for other similar events in the Earth’s history. Ocean acidification could indeed explain the crisis of the microbial factories on platforms as well as the dissolution of deep-water carbonates. For example, deglacial ocean acidification has triggered an abrupt decline of calcified bacterial crusts in tropical reefs during the last 12 Kyr (Riding et al., 2014), and injection of CO<sub>2</sub> into the ocean resulted in the shoaling of the CCD and the dissolution of pelagic carbonates at the Palaeocene–Eocene thermal maximum (Zachos et al., 2005). Modelling studies, however, indicate that ocean acidification cannot develop over time scales as long as those of the CPE (ca. 1.2 Myr) (Ridgwell and Schmidt 2010; Hönisch et al., 2012). Today’s oceans would be relatively rapidly

buffered against acidification mainly by dissolution of vast amounts of pelagic carbonate sediments. In the Carnian, however, pelagic calcifiers were not so abundant and the buffering capacity of the oceans would have been much reduced, being limited to dissolution of shallow-water carbonates on platforms and surrounding peri-platform deposits (such as those of the Lagonegro Basin; Rigo et al., 2007), as well as the chemical weathering of carbonate and silicate rocks on land, as proposed also for the Late Permian (Payne et al., 2010). However, Zeebe et al. (2009), for example, suggested, for the Palaeocene–Eocene Thermal Maximum, a first rapid (5 Kyr) injection of 3000 Gt of C followed by a second slower (ca. 50 Kyr) injection of 1480 Gt of C into the atmosphere–ocean system. This timing could reproduce the duration of the carbonate dissolution event (70 Kyr), reconstructed for Atlantic Ocean cores. Dal Corso et al. (2012) estimated that the Wrangellia LIP released a minimum of 5000 Gt of C, but, as previously explained, additional inputs of  $^{13}\text{C}$ -depleted C from ocean-floor clathrates and/or from organic-rich sediments must be hypothesized to explain the NCIEs, given the fact that LIPs are thought to release C that is isotopically heavier than these other sources ( $\delta^{13}\text{C} = \text{ca. } -6\text{‰}$ ; Dickens et al., 1995). Therefore, we suggest that the release in pulses of a huge amount of C (volcanic plus clathrate and/or organic) into the Carnian ocean–atmosphere system, coupled with much slower ocean buffering, could have sustained prolonged episodes of ocean acidification. Pulsed release of  $\text{CO}_2$  could have similarly caused the protracted global warming recorded by conodont apatite (Fig. 9). The deep-water clay-radiolaritic horizon of the Lagonegro Basin (Rigo et al., 2007), deposited at a lower sedimentation rate than all other successions considered in this study, may lump together all sub-events in an apparently unique environmental perturbation, which lasted throughout the CPE.



**Figure 9.** Organic carbon-isotope curves for the Julian 1–Tuvanian 2 interval of the Carnian Stage. Three, possibly four, carbon-isotope excursions in the exchangeable reservoirs of the global carbon cycle punctuated the Carnian Pluvial Episode. These excursions are linked to discrete humid pulses as indicated by the sedimentary record of different siliciclastic inputs in many different geological settings. This interval of multiple carbon-cycle perturbations corresponds to an interval of overall global warming and sudden changes in carbonate production on shallow-water platforms. The third negative excursion is linked to the extinction of the *Trachyceratinae* ammonoids and 70% of conodont genera, approx. 0.4–0.5 Myr after the onset of the Carnian Pluvial Episode and the first carbon-cycle perturbation (see Figure 8). Ages are from Zhang *et al.* (2016). The palaeotemperatures calculated from oxygen-isotope analysis of conodont apatite are from Hornung *et al.*, (2007), Rigo and Joachimski (2010), Trotter *et al.* (2015), and Sun *et al.*, (2016). Abbreviations and selected references: Do = Dolomites (Preto and Hinnov, 2003); JA = Julian Alps (De Zanche *et al.*, 2000 and this study); DR = Drau Range (Roghi *et al.*, 2010); Lu = Lunz (Roghi *et al.*, 2010); TR = Transdanubian Range (Budai and Haas, 1997); T = Turkey (Lukeneder *et al.*, 2012); La = Lagonegro (e.g. Rigo *et al.*, 2007); Sp = Spiti (Hornung *et al.*, 2007); SCB = South China Block (Sun *et al.*, 2016). [2 columns fitting]

## 6.6 Temporal relationship between the carbon-isotope excursions and the biotic turnover

The carbon-cycle perturbations during the CPE coincide also with an interval of major biotic turnover (Benton 1986). Many marine taxa became extinct during the CPE, including crinoids, echinoids, bivalves, bryozoans, conodonts, and ammonoids (Simms and Ruffell, 1989; Simms and Ruffell, 1990; Hallam 1995). Functional diversity across the entire Late Triassic indicates that benthic suspension feeding suffered a great loss during the Carnian, probably because of increasing sediment input and eutrophication in shallow-water environments (Dunhill et al., 2017). The exact age of this loss is however not yet resolved and cannot be therefore linked to any NCIE.

The timing of extinction of biostratigraphically significant pelagic groups is instead much better constrained. Approximately 70% of conodont genera became extinct at the Julian–Tuvalian boundary (Rigo et al., 2007; Martínez-Pérez et al., 2015; Chen et al., 2015). Contemporaneously, the dominant ammonoid group, the *Trachyceratinae*, virtually disappeared and was substituted by the *Tropitidae* (e.g., Tozer, 1967; Krystyn 1991; Balini et al., 2010). Therefore, the extinction of conodonts and ammonoids can be stratigraphically correlated to the NCIE-3 (Fig. 6). On the basis of the correlation proposed in Figure 8, this extinction would have occurred approx. 0.4–0.5 Myr after the onset of the CPE.

On land, a major turnover in the flora and vertebrate fauna is documented for the Carnian, although the timing of this event is more difficult to constrain precisely with biostratigraphy. Palynological studies show a peak of diversity during the Julian 2 (early Carnian) and sporomorph assemblages are dominated by hygrophytic elements, probably an indication of more humid climatic conditions (e.g., Roghi 2004; Kürschner and Herengreen, 2010). A huge decline (ca. 50%) in sporomorph diversity between the early Carnian and the Norian is documented, which is the second most severe decline of microfloras after the Permian–Triassic boundary mass extinction (Kürschner and Herengreen, 2010). Severe extinction in the late Carnian is known among the tetrapod fauna: rhynchosaurs, dicynodonts, and chiniquodontids were essentially extinct by the end of the Carnian (Benton, 1986; Benton, 1991; Brusatte et al., 2008; Lucas and Tanner, 2015). The first major diversification of the dinosaurs in the Tuvalian was close in time with, and maybe favoured by, the extinction of the tetrapod fauna (Brusatte et al., 2008; Bernardi et al., 2018), A

recent study on tetrapod ichno-associations in the sedimentary succession of the Dolomites shows that there is a major change between the Julian and the Tuvallian: the ichno-assemblage shifts from Crurotarsi-dominates in the Julian to mixed Crurotarsi-Dinosauria in the early Tuvallian, and Dinosaur-dominated in the late Tuvallian–Norian (Bernardi et al., 2018). Again, this major biotic change on land would have just followed the NCIE-3 (Fig. 6).

## 7. CONCLUSIONS

A review of the existing carbon-isotope records and new carbon-isotope analysis of organic matter, coupled to a refined biostratigraphic calibration of marine sedimentary successions of the Tethyan realm (Dolomites and Julian Alps in Italy, and Transdanubian Range in Hungary) shows that three, possibly four, major negative shifts punctuated the Carnian Pluvial Episode. The first negative  $\delta^{13}\text{C}_{\text{TOC}}$  shift, already recognized in many different geological settings, occurred at the time of the Julian 1–Julian 2 boundary. The second is placed within the Julian 2; the third negative  $\delta^{13}\text{C}_{\text{TOC}}$  shift happened at the Julian–Tuvallian boundary; and a possible fourth excursion is recorded in the Dolomites at the Tuvallian 1–Tuvallian 2 boundary. The occurrence of the first three shifts in different sedimentary archives at the same stratigraphic levels, Rock-Eval pyrolysis analysis, and wood carbon-isotope data show that the  $\delta^{13}\text{C}$  excursions represent genuine changes in the isotopic composition of the exchangeable reservoirs of the carbon cycle. The timing of the negative  $\delta^{13}\text{C}$  shifts is such that they coincided with discrete humid pulses, which can explain the enhanced siliciclastic input into the basins and the crisis of shallow-water carbonate depositional systems observed worldwide. Moreover, the results of our study envisage a potential link between extinctions and radiations near the Julian–Tuvallian boundary, and the third negative carbon-isotope excursion of the Carnian Pluvial Episode. New and literature data show that repeated injections of  $^{13}\text{C}$ -depleted  $\text{CO}_2$  into the Carnian ocean-atmosphere system triggered distinct climatic perturbations, which resulted in the disruption of environments and ecosystems. Similarly to other LIP-related climatic shifts in Earth's history, different eruptive pulses of the Wrangellia (Nikolai) LIP triggered the carbon-cycle perturbations and led to extinctions at the Julian–Tuvallian boundary.

**ACKNOWLEDGMENTS**

We thank P. Scotti (ENI) for the Rock-Eval analysis and useful suggestions, and P. Ditchfield for isotope analyzes at the Research Laboratory for Archaeology and the History of Art (Oxford, UK). C. Agnini contributed to the fine-tuning of IRMS methods at the University of Padova (Italy). We thank the editor A. Strasser, and Y. Sun and two anonymous reviewers for their comments and suggestions that greatly improved the manuscript.

**FUNDING**

J. Dal Corso was funded by Young Researcher Grant of the University of Padova (Italy) (Acronym: DALCPRGR12), and by a Junior Fellowship of the Hanse-Wissenschaftskolleg (HWK), Institute for Advanced Study in Delmenhorst (Germany) (cooperation partner: A. Merico, ZMT Bremen). P. Gianolla and M. Caggiati were funded by the Italian Government PRIN 2010-2011 funds (Pr. No. 20107ESMX9\_004). N. Preto was funded by the University of Padova (grant CPDA121100), and by the Alexander von Humboldt Foundation.

**DECLARATIONS OF INTEREST**

None

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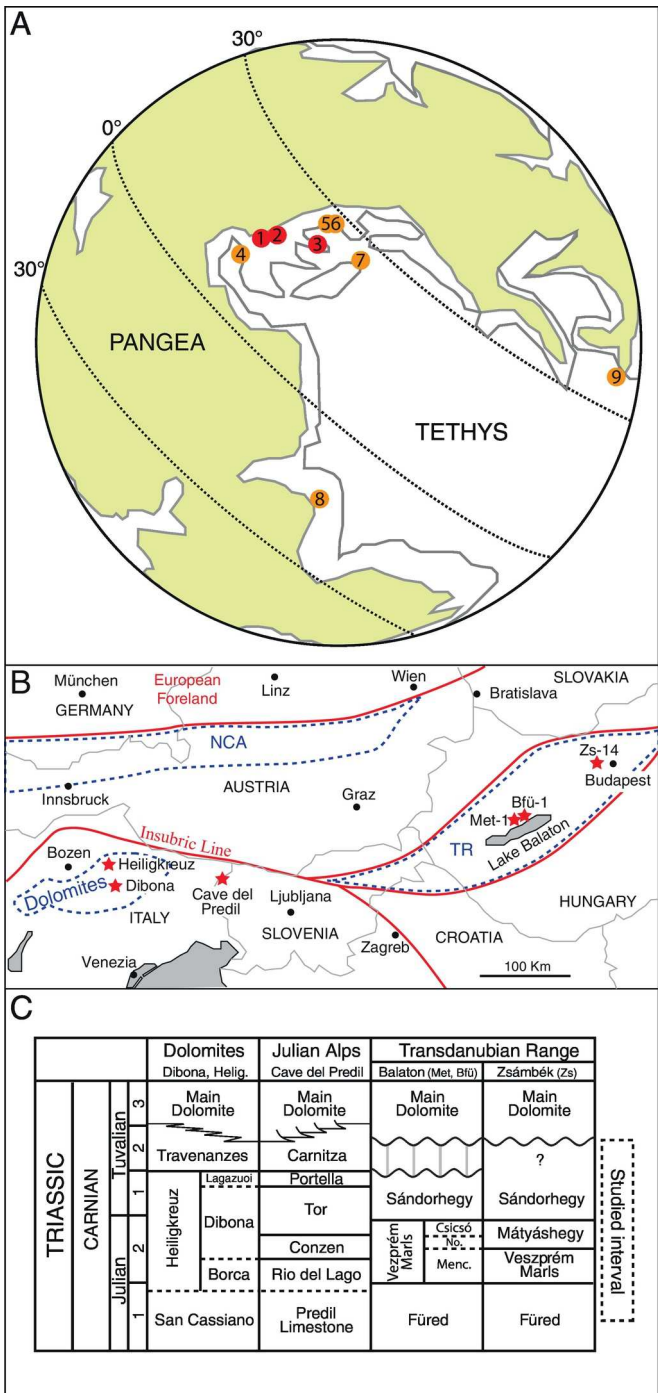


Figure 1

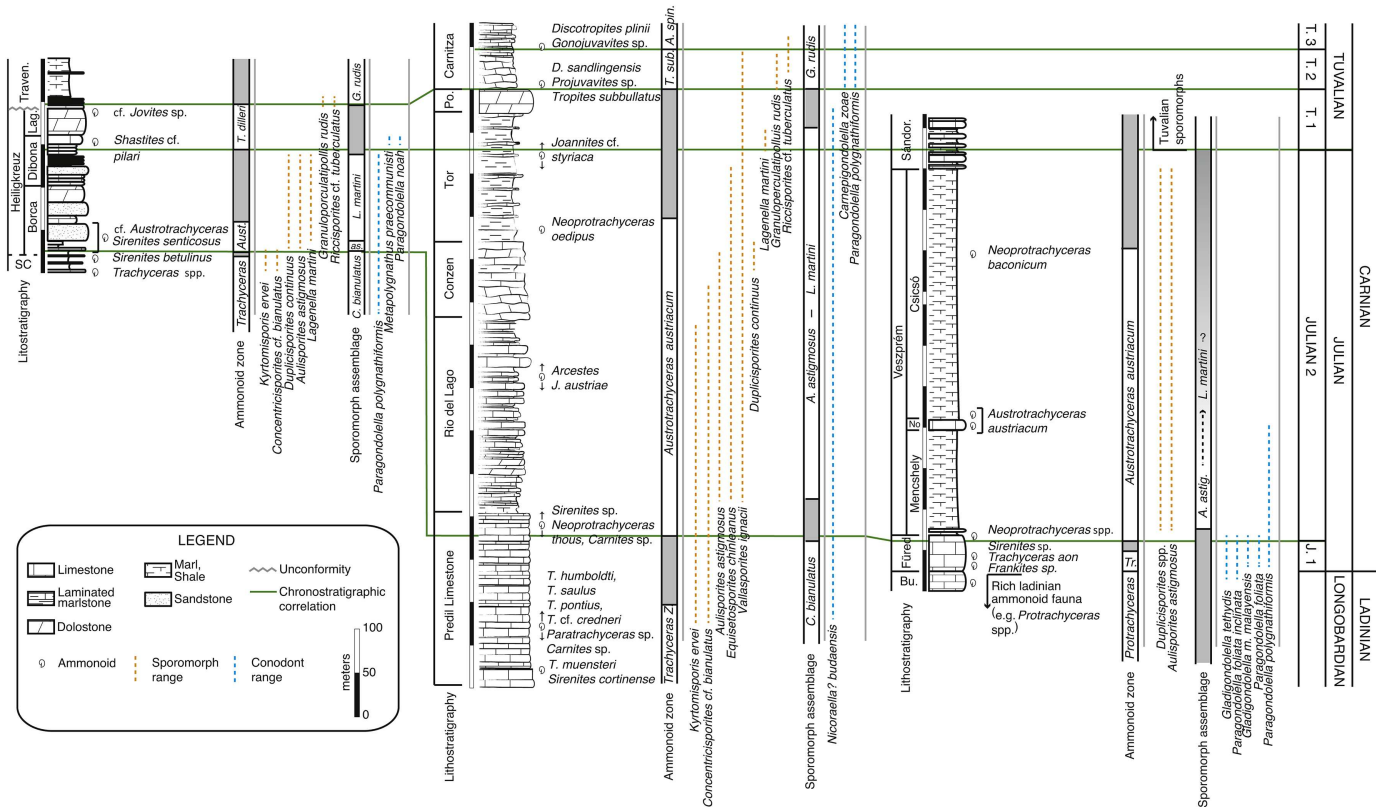


Figure 2

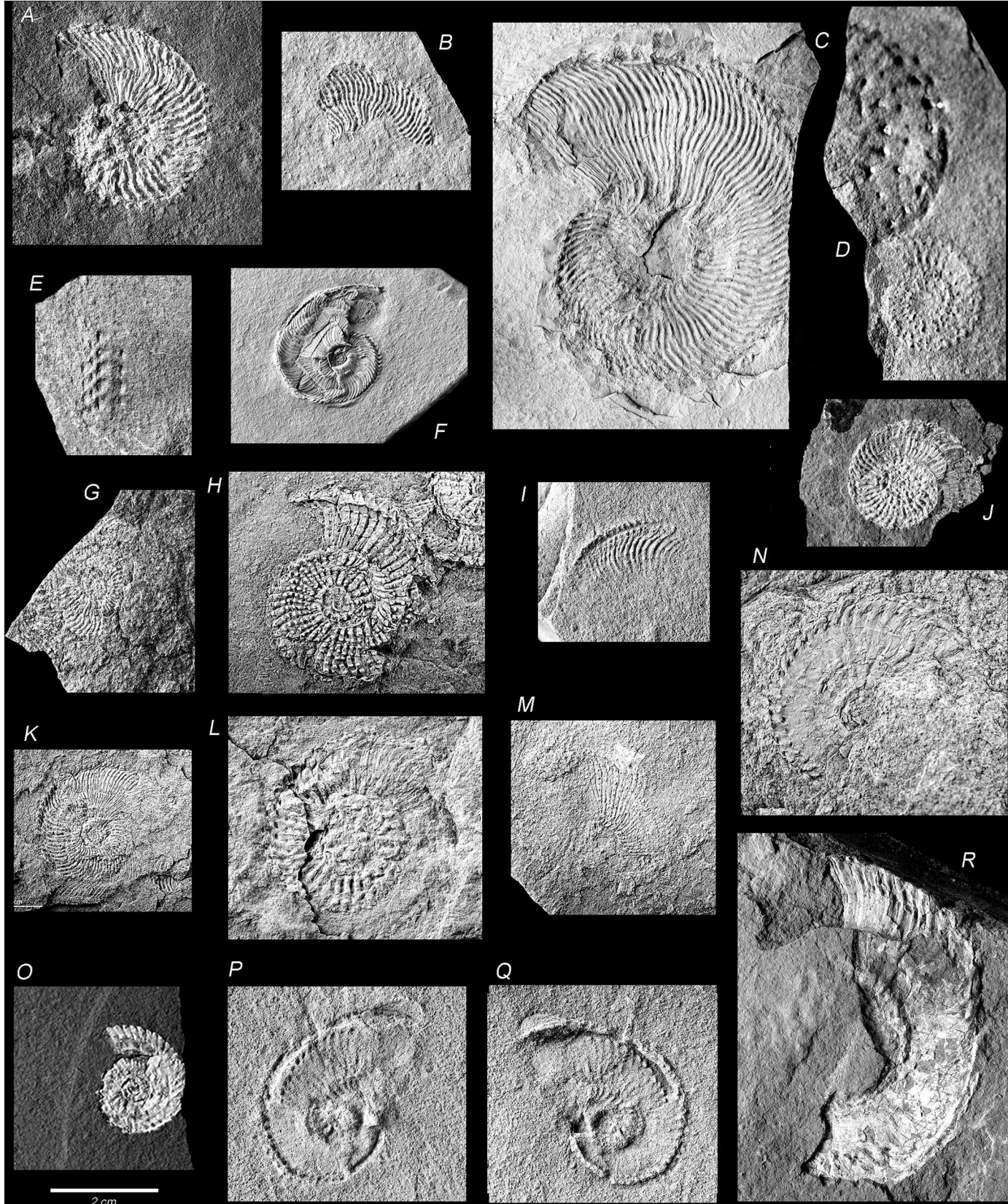


Figure 3



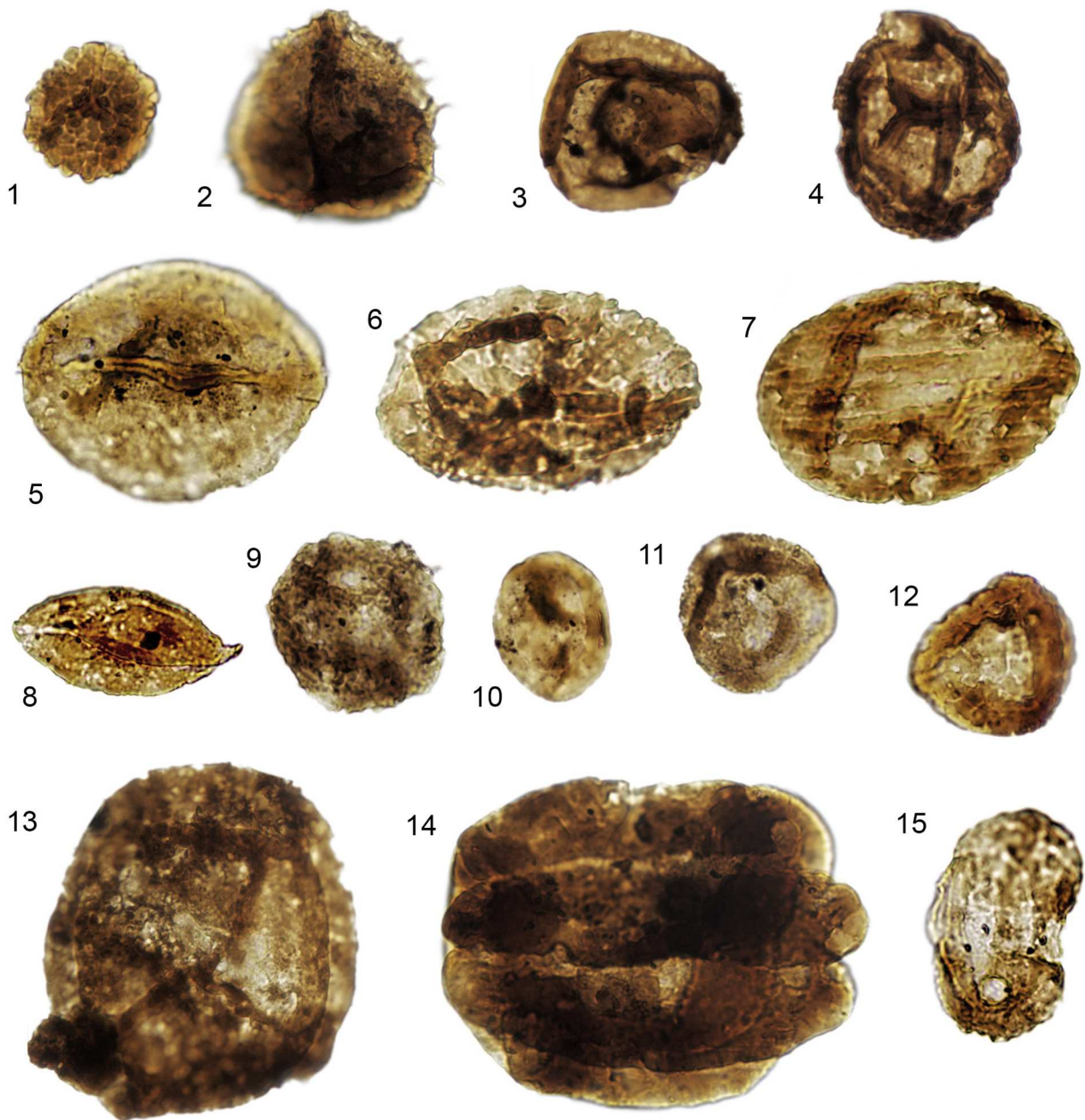


Figure 4



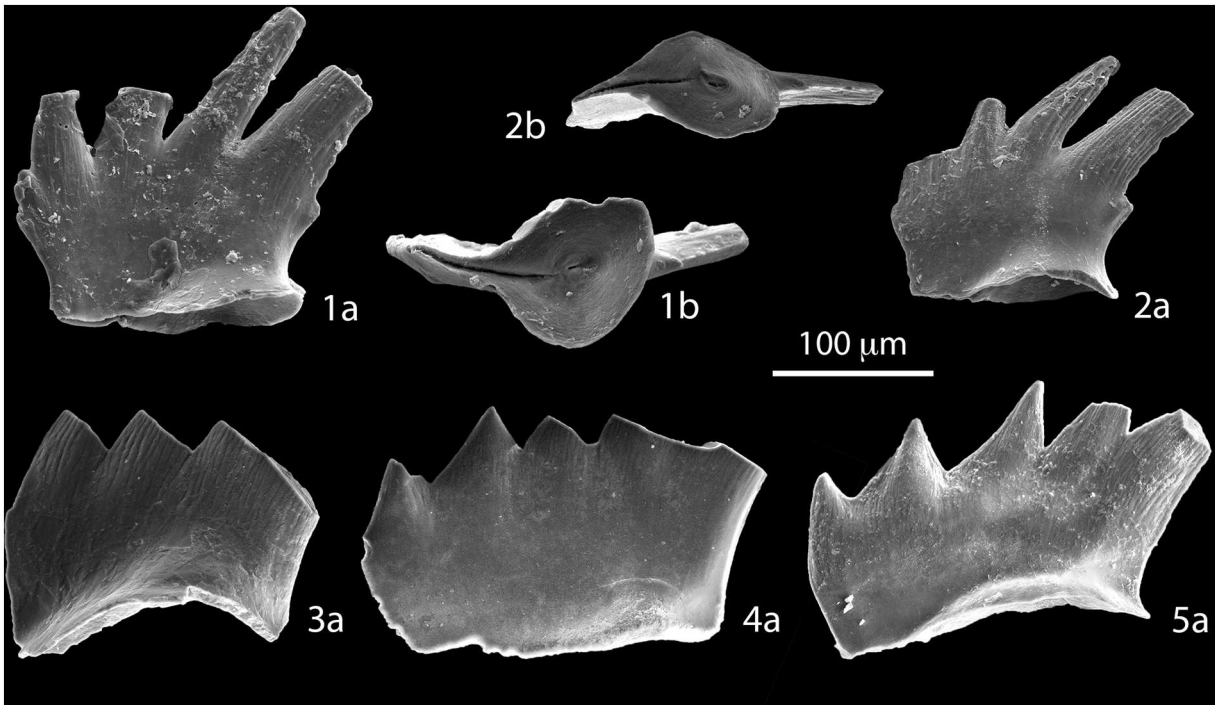


Figure 5

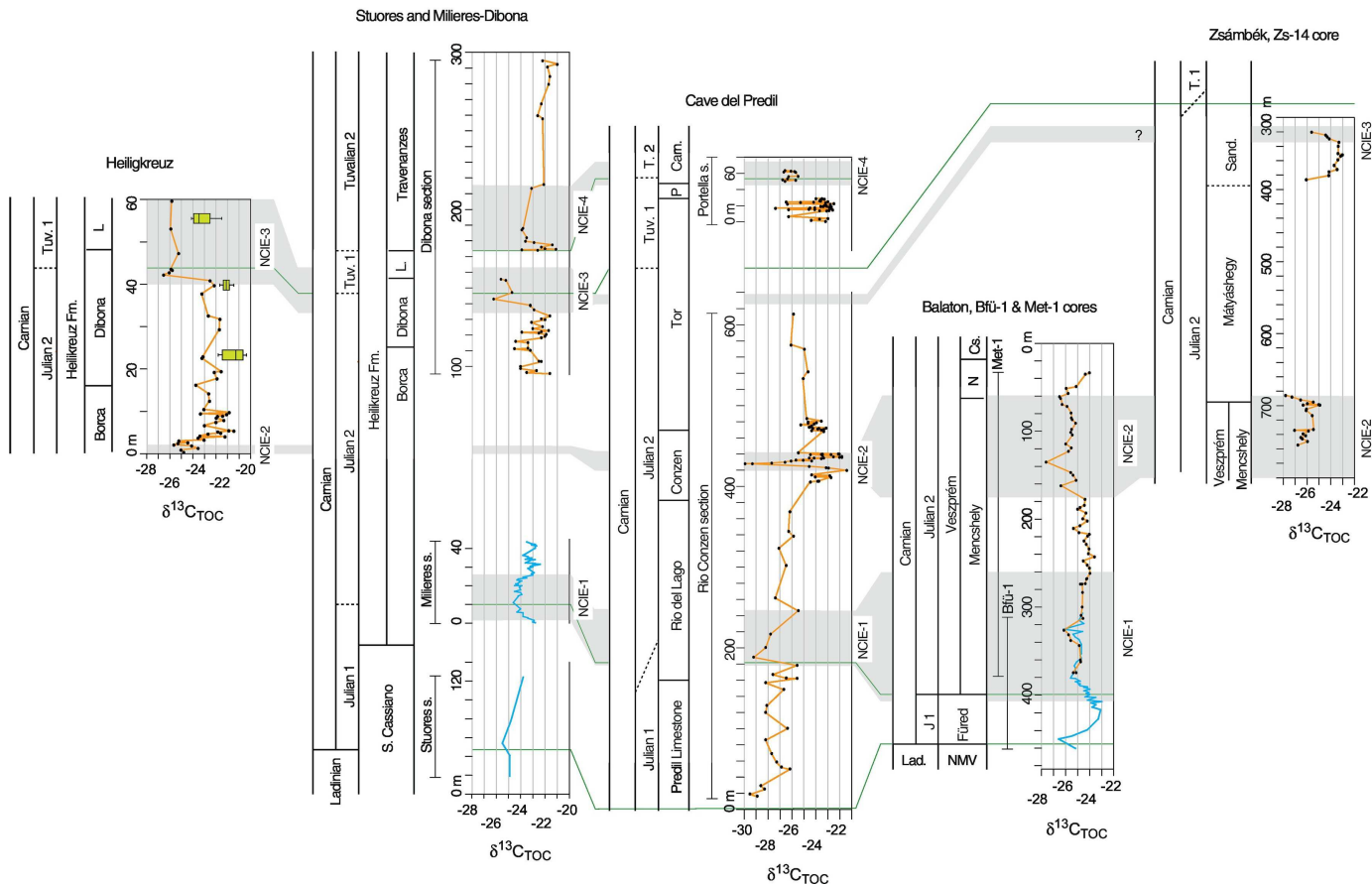
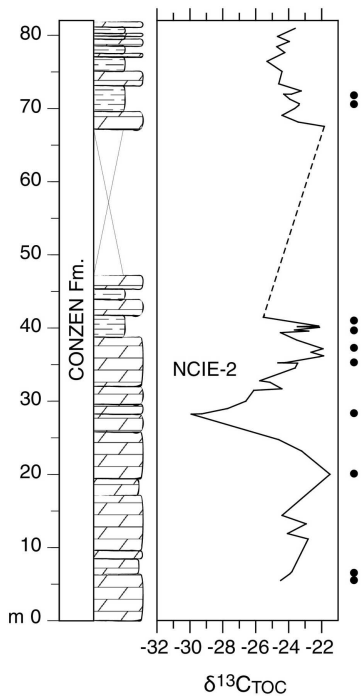


Figure 6

A

## Julian Alps



B

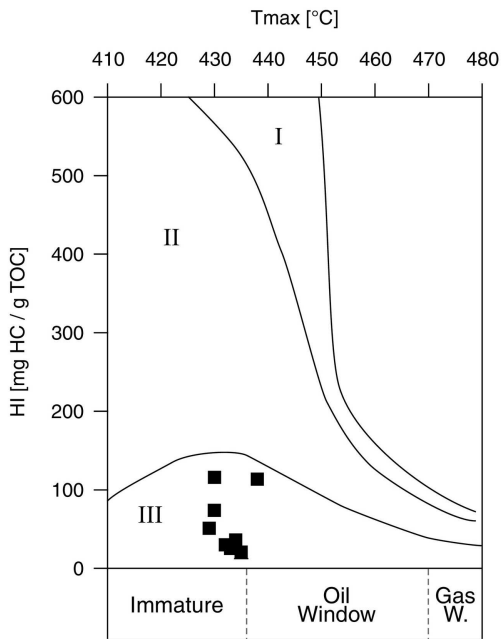


Figure 7

NW Tethys (composite; this study;  
Dal Corso et al., 2012, 2015)  
Marine marginal environments

Guizhou, China (Sun et al., 2016)  
Deep water

Devon, WP borehole 1 (Miller et al., 2017)  
Continental (lacustrine)

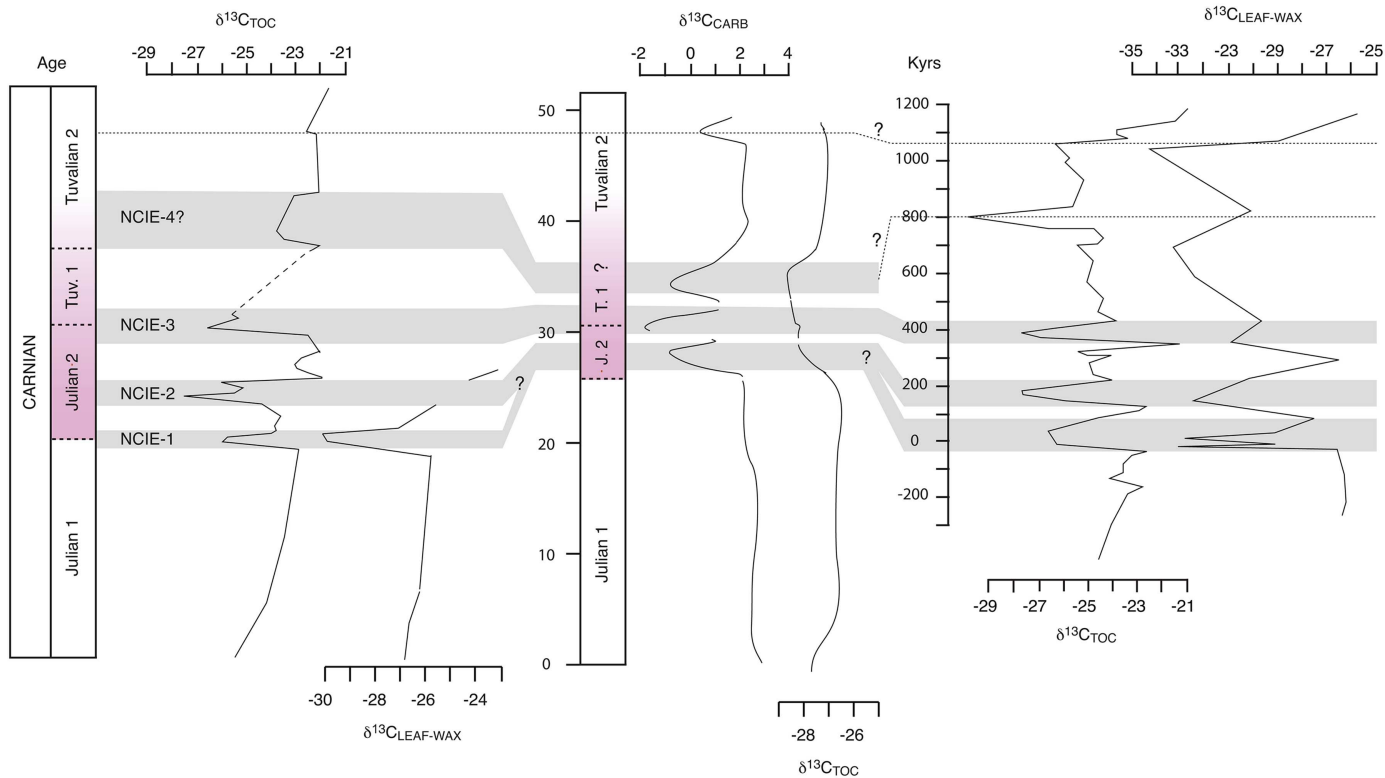


Figure 8

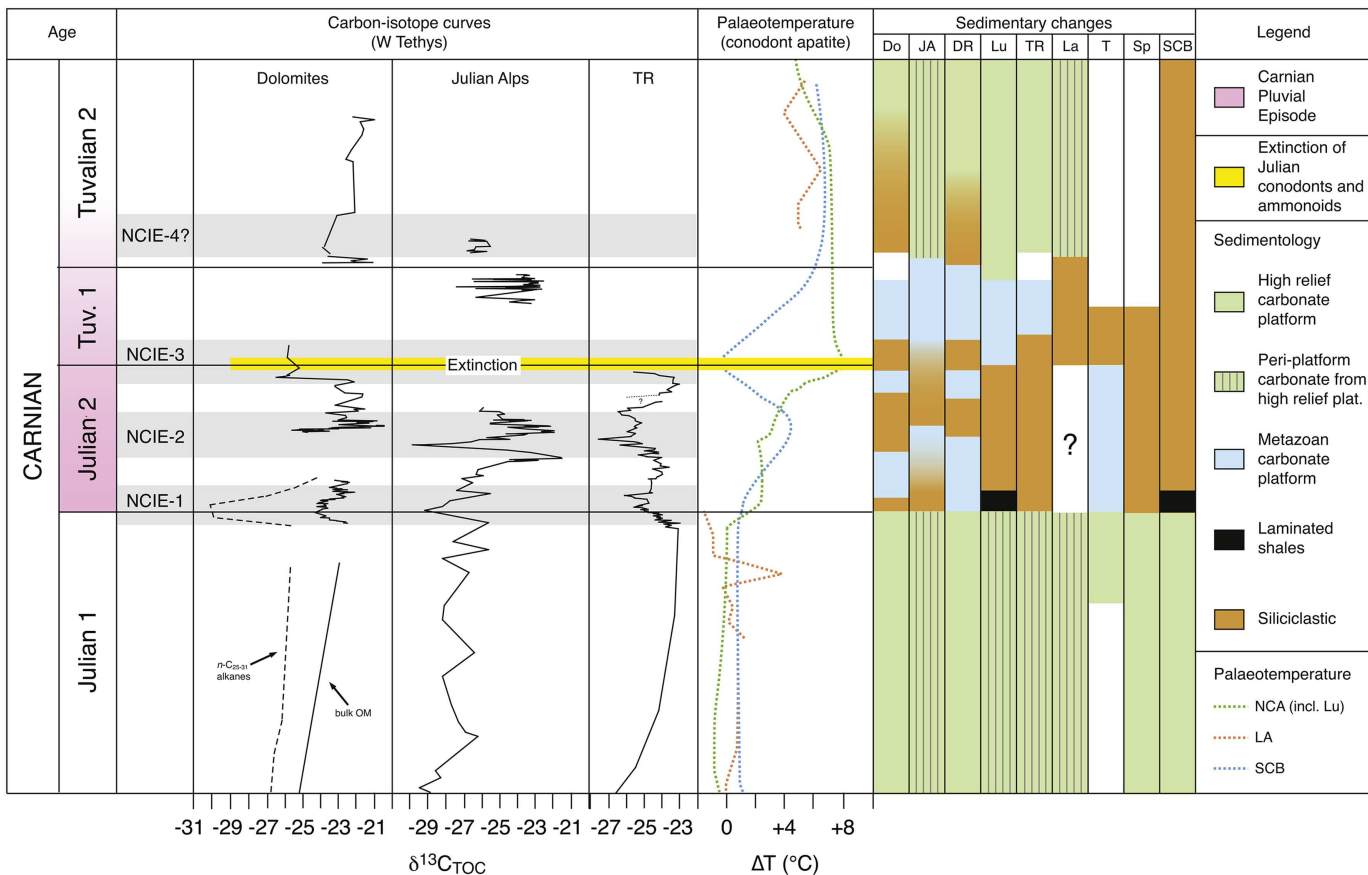


Figure 9