# ORIGINAL ARTICLE



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# Breakthrough invasive fungal infection after liver transplantation in patients on targeted antifungal prophylaxis: A prospective multicentre study

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## Abstract

Objective: To investigate the rate of and the risk factors for breakthrough-IFI (b-IFI) after orthotopic liver transplantation (OLT) according to the new definition proposed by Mycoses-Study-Group-Education-and-Research-Consortium (MSG-ERC) and the European-Confederation-of-Medical-Mycology (ECMM).

Methods: Multicenter prospective study of adult patients who underwent OLT at three Italian hospitals, from January 2015 to December 2018. Targeted antifungal prophylaxis (TAP) protocol was developed and shared among participating centers. Follow-up was 1-year after OLT. B-IFI was defined as infection occurring during exposure to antifungal prophylaxis. Risk factors for b-IFI were analyzed among patients exposed to prophylaxis by univariable analysis.

Results: We enrolled 485 OLT patients. Overall compliance to TAP protocol was 64.3%, 220 patients received antifungal prophylaxis, 172 according to TAP protocol. Twenty-nine patients were diagnosed of IFI within 1 year after OLT. Of them, 11 presented with b-IFI within 17 (IQR 11-33) and 16 (IQR 4-30) days from OLT and from antifungal onset, respectively. Then out of 11 patients with b-IFI were classified as having high risk of IFI and were receiving anti-mould prophylaxis, nine with echinocandins and one with polyenes. Comparison of patients with and without b-IFI showed significant differences for prior Candida colonization, need of renal replacement therapy after OLT, re-operation, and CMV infection (whole blood CMV-DNA >100 000 copies/mL). Although non-significant, a higher rate of b-IFI in patients on echinocandins was observed (8.2% vs 1.8%, P = .06).

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Maddalena Giannella, Infectious Disease Unit – Policlinico S. Orsola-Malpighi, Via Massarenti 11, 40138 Bologna, Italy. Email: maddalena.giannella@unibo.it **Conclusions:** We observed 5% of b-IFI among OLT patients exposed to antifungal prophylaxis. The impact of echinocandins on b-IFI risk in this setting should be further explored.

#### KEYWORDS

antifungal prophylaxis, breakthrough IFI, infection risk, invasive fungal infection, liver transplantation

# 1 | INTRODUCTION

The incidence of invasive fungal infection (IFI) after solid organ transplantation (SOT) has decreased over the last two decades owing to improvements in managing end-stage disease, enhanced surgical techniques, safer immunosuppressive therapy, and improved anti-infective preventive measures. However, IFI-associated morbidity and mortality in SOT recipients are still high. In addition, detection of IFIs can be difficult because the classical signs and symptoms may be missing or confounded with other conditions, and diagnostic techniques have limited sensitivity and specificity.

In patients undergoing orthotopic liver transplantation (OLT), guidelines recommend targeted antifungal prophylaxis (TAP) rather than universal prophylaxis.<sup>5-7</sup> The feasibility and efficacy of this approach have been investigated in observational studies.<sup>8-11</sup> The adherence to TAP varied from 49.4% to 97%, as well as the rates of IFI from 2.8% to 28%. Although in prior studies the occurrence of IFI during prophylaxis was reported,<sup>11,12</sup> the definition of breakthrough IFI (b-IFI) varied across clinical trials and observational studies.<sup>7-9,11,12</sup> These differences may limit comparison between studies and hinder epidemiological interpretation. For such reasons, the Mycoses Study Group Education and Research Consortium (MSG-ERC) and the European Confederation of Medical Mycology (ECMM) have recently proposed a broadly applicable definition of b-IFI for clinical research.<sup>13</sup>

With these assumptions, we performed a multicenter prospective study of OLT recipients managed with a common TAP strategy, to assess the rate of and the risk factors for b-IFI after liver transplantation.

# 2 | MATERIALS AND METHODS

# 2.1 | Setting

This prospective study was performed in three University-affiliated hospitals with OLT programs. S. Orsola-Malpighi Hospital is a 1420-bed tertiary teaching care hospital in Bologna, with an average of 72 000 admissions per year. The hospital has an active OLT program, performing an average of 90 procedures per year. The hospital of Padua is a 1400-bed tertiary teaching care hospital in Padua, with

an average of 70 000 admissions per year. The local OLT program performs an average of 100 procedures per year. The hospital of Modena is a 1100-bed tertiary teaching hospital in Modena, with an average of 46,000 admissions per year. The local OLT program performs an average of 50 procedures per year.

# 2.2 | Study design and participants

We performed a prospective multicenter cohort study. We enrolled consecutive adult (≥18 years) patients undergoing OLT, from January 2015 to December 2018 at participating centers. This study was approved by the Institutional Review Board of coordinating centre (N°89/2015/O/Oss) and for each participating hospital, informed consent was obtained before enrolling patients.

The only exclusion criterion was patient refusal to provide consent. Patients were followed-up to one year after OLT by hospital and outpatient clinical assessments.

# 2.3 | Transplant management

In all centers, ampicillin-sulbactam was used as standard perioperative prophylaxis. The standard immunosuppressive treatment consisted of induction with high-dose prednisone, followed by tacrolimus and low-dose prednisone.

CMV seronegative patients who received an organ from a CMV positive donor received oral valganciclovir 900 mg/daily as prophylaxis until 90 days after transplant. All CMV seropositive patients were screened weekly for CMV DNAemia by whole-blood quantitative polymerase chain reaction until 90 days after OLT. If CMV DNAemia exceeded ≥100 000 copies/mL, patients were started on induction treatment with ganciclovir 5 mg/kg/bid or oral valgancyclovir 900 mg/bid. All patients were administered 160/800 mg trimethoprim/sulfamethoxazole three times weekly for 12 months post-transplant as prophylaxis for *Pneumocystis jirovecii*.

# 2.4 | Management of antifungal prophylaxis

Before study onset, two meetings between participating centres were performed in order to establish a common approach to

ABLE 1 General characteristic of study	population	
Total N = 485 (%)		
Demographic data		
Age (y) [median (IQR)]	56 (49-61)	
Sex, male	354 (73)	
Comorbidities		
Charlson index [median (IQR)]	6 (4-7)	
Underlying liver disease		
Viral hepatitis	240 (49.5)	
Alcohol	120 (24.7)	
Hepatocellular carcinoma	230 (47.4)	
Fulminant liver failure	11 (2.3)	
Combined transplant	13 (2.7)	
MELD at inclusion in waiting list (median, IQR)	16 (10-23)	
MELD at OLT (median, IQR)	17 (12-25)	
Pre-operative variables (90 d prior OLT)		
Steroid treatment	5 (1)	
ICU stay	54 (11.1)	
Candida colonization	55 (11.3)	
Graft characteristics		
Donor age	62 (49-75)	
Cold ischemia time (h) (median, IQR)	7:00 (6:15-8:00)	
Intervention duration (h (median, IQR)	7:30 (6:45-8:30)	
Intraoperative variables		
Prolonged operation (≥8 h)	204 (42.1)	
Choledochojejunostomy	72 (14.9)	
Transfusion of ≥40 units of blood products	6 (1.2)	
Post-operative complications		
Mechanical ventilation ≥48 h	59 (12.2)	
PGNF	25 (5.2)	
Acute renal failure	136 (28)	
Renal replacement therapy	72 (14.8)	
Blood CMV-DNA >100 000 copies/mL	16 (3.3)	
Rejection within 2 wk after OLT	53 (10.9)	
Rejection threated with ATG, OKT3, or ALB	7 (1.4)	
Re-transplantation	38 (7.8)	
Reoperation	109 (22.5)	
Risk class		
None	154 (31.8)	
Low	101 (20.8)	
High	230 (47.4)	
Antifungal prophylaxis		
None	265 (54.6)	
Fluconazole	22 (4.5)	

(Continues)

TABLE 1 (Continued)

	Total N = 485 (%)
Echinocandin	110 (22.7)
L-AmB	88 (18.1)
Time from OLT to antifungal prophylaxis initiation (d) (median, IQR)	1 (0-5)
Antifungal prophylaxis duration (d) (median, IQR)	13 (7-20)
Overall compliance to TAP protocol	311 (64.1)
Compliance to TAP protocol among patients receiving antifungal prophylaxis	172/220 (78.2)
Outcome	
Length of hospital stay after OLT (d) (median, IQR)	17 (11-30)
All-cause 1-y mortality	48 (9.9)

Abbreviations: ALB, alemtuzumab; ATG, anti-thymocyte-globuline; CMV, cytomegalovirus; ICU, intensive care unit; IFI, invasive fungal infection; IQR, interquartile range; L-AmB, liposomal-amphotericin-B; MELD, model for end stage liver disease; OKT3, anti-CD3 monoclonal antibody; OLT, orthotopic liver transplantation; PGNF, primary graft non-function; TAP, targeted antifungal prophylaxis.

antifungal prophylaxis. A study protocol was written and summarized in supplementary Tables 1 and 2.

Briefly, risk factors for IFI were checked daily within the first week after OLT and then weekly until the end of the first month. After this period, systematic assessment of IFI risk factors was not performed per protocol, but only based on patient clinical course.

Risk factors for invasive candidiasis (IC) include pre-operative variables such as intensive care unit (ICU) hospitalization within 90 days before OLT and peri-operative Candida spp. colonization (defined as isolation of Candida spp. from ≥three of five surveillance samples obtained in the last 7 days before transplantation); intraoperative variables, defined as choledochojejunostomy, prolonged operation (≥8 hours),and/ or massive bleeding requiring transfusion of more than 40 units of cellular blood products. Finally, post-operative variables were acute renal failure defined according to KDIGO criteria, 15 biopsy-proven rejection managed with any type of immunosuppressive regimen within two weeks after OLT, and CMV infection/reactivation (defined by finding of whole blood CMV-DNA levels>100 000 copies/mL).14

Regarding risk factors for invasive aspergillosis (IA), preoperative variables included OLT secondary to fulminant hepatic failure, steroid treatment within one-month prior transplantation (at least an equivalent of 16 mg/d prednisone for ≥15 days), and multi-visceral transplantation. Among post-operative variables, the requirement of any renal replacement therapy, biopsy-proven rejection requiring anti-thymocyte globulin, anti-CD3 monoclonal antibody or alemtuzumab, re-transplantation, and re-operation were included.

According to risk factors described above, patients were classified in three groups: no risk, low risk (1 RF for IC) and high risk for IFI (≥2 RF for IC or ≥1RF for IA).

	No risk N = 154 (%)	Low risk N = 101 (%)	High risk N = 230 (%)	Total N = 485 (%)
No prophylaxis	139 (90.3) <sup>a</sup>	72 (71.3)	54 (23.5)	265 (54.7)
Fluconazole	4 (2.6)	7 (6.9) <sup>a</sup>	11 (4.8)	22 (4.5)
Anti-mould drug	11 (7.1)	22 (21.8)	165 (71.7) <sup>a</sup>	198 (40.8)
LamB	8 (5.2)	10 (9.9)	70 (30.4)	88 (18.1) <sup>b</sup>
Echinocandins	3 (1.9)	12 (11.9)	95 (41.3)	110 (22.7)
Overall IFI	2 (1.29)	5 (5)	22 (9.6)	29 (6)
b-IFI	O (O)	1 (1)	10 (4.3)	11 (2.3)

**TABLE 2** Distribution of antifungal prophylaxis according to risk group

Patients assigned to no-risk group were not recommended for antifungal prophylaxis. Fluconazole 400 mg/d after a loading dose of 600 mg for 7-14 days was proposed in low-risk patients. Fluconazole dose was reduced of 50% if creatinine clearance was less than 50 mL/min, a reduction of 75% was scheduled if creatinine clearance was under 10 mL/min. Echinocandin or polyenes were recommended for patients in the high-risk group according to physician's choice. No reduction dose of caspofungin, micafungin, anidulafungin, and LamB for renal impairment was scheduled. To minimize the risk of drugdrug interactions, echinocandin and polyene classes were preferred to new azoles. The suggested duration of prophylaxis in high-risk patients was 21 days.

# 2.5 | Variables

All variables considered in the analysis were collected in a standardized electronic case report form (eCRF).

The primary endpoint was b-IFI defined by the first sign, symptom, or finding of IFI that occurs during antifungal prophylaxis according to the latest definitions stated by Mycoses Study Group Education and Research Consortium and the European Confederation of Medical Mycology. <sup>13</sup> It was assessed from the administration of the first dose up to one dosing interval after drug discontinuation (one day for fluconazole, echinocandin and daily LamB, and one week for weekly LamB administrations, respectively).

IFI was defined according to the revised European Organization for Research and Treatment of Cancer criteria/Mycosis Study Group (EORTC-MSG). <sup>16</sup> We consider only the first episode of IFI during the study period.

Exposure variables included demographic data (age and sex), comorbidities according to Charlson index, etiology of liver disease, and Model for End-Stage Liver Disease (MELD) at inclusion in the waiting list, and at the time of OLT. Primary graft non-function (PGNF) was defined as an aggravated form of reperfusion injury resulting in reversible graft failure without detectable technical or immunological problems.<sup>17</sup> The risk factors for IC and IA in preoperative, intra-operative and post-operative periods, as defined by the study protocol, were collected. Antifungal prophylaxis, including

antifungal drugs administered with respective dates of onset and discontinuation.

Exposure variables were assessed from OLT to the first IFI episode, death or one year after transplantation whichever occurred first.

## 2.6 | Statistical analysis

Categorical variables were expressed as absolute numbers and their relative frequencies. Continuous variables were expressed as mean  $\pm$  standard deviation (SD) if normally distributed, or as median and interquartile range (IQR) if non-normally distributed.

At univariable analysis, categorical variables were compared for patients with and without b-IFI using Pearson chi-square or Fisher's

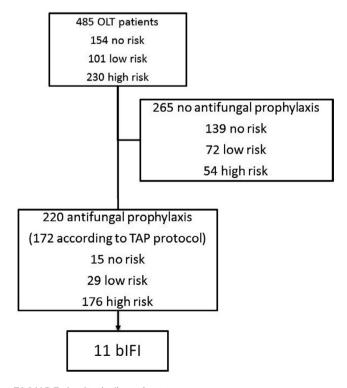


FIGURE 1 Study flow-chart

<sup>&</sup>lt;sup>a</sup>Bold figures refer to antifungal management according to TAP protocol.

<sup>&</sup>lt;sup>b</sup>Seven patients received LamB 3 mg/kg/d, 81 LamB 10 mg/kg/wk.

exact test where appropriate. Continuous variables were compared using Student's t or Mann-Whitney U test according to their distribution.

Cumulative incidence of b-IFI according to antifungal prophylaxis, and stratified by risk class, was analyzed with Kaplan-Meyer curves, patients were considered from the day of transplant up to b-IFI diagnosis, death or 40 days after transplant, whichever occurred first.

The level of significance was set at P < .05 for all the tests. All analyses were performed using SPSS 21.00.

TABLE 3 Breakthrough invasive fungal infection characteristics

TABLE 3         Breakthrough invasive fungal infection characteristics			
		N = 11 (%)	
Distributio	n of bIFI according to risk group		
Low risk		1 (9.1)	
High risk	<	10 (90.9)	
Invasive ca	ndidiasis	6 (54.5)	
Intra-abo	dominal <sup>a</sup>	4 (36.4)	
BSI		2 (18.2)	
Candida	species		
C albic	ans	3 (27.3)	
C para	psilosis	1 (9.1)	
C glabi	rata	1 (9.1)	
C tropi	icalis	1 (9.1)	
	IC development from onset of gal prophylaxis (median, IQR)	4 (1.5-26)	
Invasive as	pergillosis	5 (45.5)	
Proven		1 (9.1)	
Probable	<sup>7</sup> p	4 (36.4)	
Site of in	fection		
Lung		5 (100)	
Aspergill	us species		
A fumi	gatus	1 (9.1)	
	IA development from onset of gal prophylaxis (median, IQR)	16 (12-31)	
Antifungal	treatment		
L-AmB		5/11(45.5)	
Caspofu	ngin	3/11 (27.3)	
Anidulaf	ungin	1/11 (9.1)	
Fluconaz	zole	1/11 (9.1)	
Voricona	azole	1/11(9.1)	

Abbreviations: bIFI, breakthrough invasive fungal infection; BSI, bloodstream infections; IA, invasive aspergillosis; IC, invasive candidiasis; IQR, interquartile range; L-AmB, liposomal-amphotericin-B; TAP, targeted antifungal prophylaxis; TAP, targeted antifungal prophylaxis.

# **RESULTS**

Overall, 485 patients who underwent OLT during the study period were enrolled. The distribution of number of enrolled patients per site was 324 (66.8%) Bologna, 104 (21.4%) Padova, and 57 (11.8%) Modena. General characteristics of the study cohort are shown in Table 1.

Stratifying patients by risk factors for IFI, 154 (31.8%) showed no risk, 101 (20.8%) low risk, and 230 (47.4%) high risk (see Figure 1). Antifungal prophylaxis was administered to 220 (45.3%) patients, for a median of 13 (IQR 7-20) days. Twenty-one (4.3%) patients had an adverse event related to administration of antifungal prophylaxis. However, all of them were considered as mild by the attending physicians and drug discontinuation or other medical interventions were not required.

The overall adherence to TAP protocol was 64.3%, varying from 90.3%, 6.9%, and 71.7% in no-risk, low-risk and high-risk patients, respectively (data shown in Table 2).

Twenty-nine (6%) patients were diagnosed of IFI within 1 year after OLT, consisting of 17 IC and 12 IA (details of IFI episodes are shown in supplementary Table 3). Of them, 11 were b-IFI, 6 IC and 5 IA (details are shown in Table 3). The median time to b-IFI was 16 (IQR 4-30) days after the onset of antifungal prophylaxis, and 17 (IQR 11-33) days after OLT. The rate of b-IFI among patients receiving antifungal prophylaxis was 5%. Patients who developed b-IFI had been considered at low and high risk for IFI in 1 and 10 cases, respectively. The patient at low risk was receiving fluconazole upon the diagnosis of b-IFI; among the patients at high risk for IFI, nine were receiving echinocandins and one liposomal amphotericin B (data shown in Table 4). Only one fluconazole resistant C albicans was isolated from blood cultures in the patient on fluconazole prophylaxis. No echinocandin or L-AmB resistance was observed in patients exposed to such drugs who developed b-IFI.

Comparison of patients with and without b-IFI showed significant differences for the following variables: peri-operative Candida colonization (45.5% vs 16.3%), whole blood CMV-DNA >100 000

TABLE 4 Distribution of b-IFI, invasive candidiasis and invasive aspergillosis, according to risk group and antifungal drug received

Breakthrough IFI	Fluconazole	Echinocandins	LamB	
Invasive candidiasis (n = 6)				
No risk $n = 15$	0/4 (0)	0/3 (0)	0/8 (0)	
Low risk $n = 29$	1/7 (14.3)	0/12 (0)	0/10 (0)	
High risk $n = 176$	0/11 (0)	5/95 (5.3)	0/70 (0)	
Invasive aspergillosis (n = 5)				
No risk n = 15	0/4 (0)	0/3 (0)	0/8 (0)	
Low risk n = 29	0/7 (0)	0/12 (0)	0/10 (0)	
High risk n = 176	0/11 (0)	4/95 (4.2)	1/70 (1.4) <sup>a</sup>	

Abbreviations: IFI, invasive fungal infections; LamB, liposomal amphotericin B.

<sup>&</sup>lt;sup>a</sup>Monomicrobial candida isolation from intraoperativeabdominal

<sup>&</sup>lt;sup>b</sup>Diagnostic criteria: in all cases the diagnosis was established according to risk factors, suggestive imaging and positive galactomannan (index >1) on BAL. In addition, in one patient A fumigatus grew from BAL.

<sup>&</sup>lt;sup>a</sup>LamB 10 mg/kg weekly.

 TABLE 5
 Comparison of patients with and without breakthrough IFI

	Patients with bIFI, n = 11 (%)	Patients without bIFI, n = 209 (%)	Р
Demographic data			
Age (y) [median (IQR)]	51 (45-59)	54 (48-60)	0.52
Sex, male	9 (81.8)	147 (70.3)	0.5
Comorbidities			
Charlson index [median (IQR)]	5 (4-6)	5 (4-7)	0.7
Underlying liver disease			
Viral hepatitis	3 (27.3)	90 (43.1)	0.36
Alcohol	4 (36.4)	55 (26.3)	0.49
Hepatocellular carcinoma	2 (18.2)	72 (34.4)	0.34
Fulminant liver failure	0	9 (4.3)	0.44
Combined transplant	1 (9.1)	4 (1.9)	0.23
MELD at inclusion in waiting list (median, IQR)	22 (11-24)	19 (12-26.5)	0.89
MELD at OLT (median, IQR)	24 (11-32)	21 (13-30)	0.69
Preoperative variables (90 d prior OLT)			
Steroid treatment	0 (0)	4 (1.9)	1
ICU stay	3 (27.3)	39 (18.7)	0.44
Candida colonization	5 (45.5)	34 (16.3)	0.03
Graft characteristics			
Donor age	61.5 (53-73)	59 (44.5-73.5)	0.53
Cold ischemia time (h) (median, IQR)	7:19 (6:30-9)	7 (6:23-8)	0.68
Intervention duration (h) (median, IQR)	7:30 (6-10.25)	7:45 (6:55-9)	0.78
Intraoperative variables			
Prolonged operation (≥8 h)	8 (72.7)	130 (62.2)	0.75
Choledochojejunostomy	1 (9.1)	53 (25.4)	0.3
Transfusion of ≥40 units of blood products	1 (9.1)	4 (1.9)	0.23
Post-operative complications			
Mechanical ventilation ≥48 h	2 (18.2)	46 (22)	1
PGNF	1 (9.1)	22 (10.5)	1
Acute renal failure	7 (63.6)	87 (41.6)	0.21
Renal replacement therapy	6 (54.5)	60 (28.7)	0.09
Blood CMV-DNA >100 000 copies/mL	3 (27.3)	6 (2.9)	0.01
Rejection within 2 wk after OLT	0 (0)	36 (17.2)	0.22
Rejection treated with ATG, OKT3, or ALB	0 (0)	4 (2.6)	1
Re-transplantation	1 (9.1)	36 (17.2)	0.69
Reoperation	8 (72.7)	79 (37.8)	0.03
Risk class		· ·	
None	0 (0)	15 (7.2)	1
Low	1 (9.1)	28 (13.4)	0.8
High	10 (90.9)	166 (79.4)	0.7
Antifungal prophylaxis		. ( ,	
Fluconazole	1 (9.1)	21 (10)	1
Echinocandin	9 (81.8)	101 (48.3)	0.06
			0.05
L-AmB	1 (9.1)	87 (41.6)	0.05

TABLE 5 (Continued)

	Patients with bIFI, n = 11 (%)	Patients without bIFI, n = 209 (%)	Р
Outcome			
Length of hospital stay after OLT (d) (median, IQR)	45 (24-96)	26 (16-42)	0.01
All-cause 1-y mortality	3 (27.3)	33 (15.8)	0.39

Abbreviations: ALB, alemtuzumab; ATG, anti-tymocite-globuline; CMV, cytomegalovirus; ICU, intensive care unit; IFI, invasive fungal infection; IQR, interquartile range; L-AmB, liposomal-amphotericin-B; MELD, model for end stage liver disease; OKT3, anti-CD3 monoclonal antibody; OLT, orthotopic liver transplantation; PGNF, primary graft non-function; TAP, targeted antifungal prophylaxis.

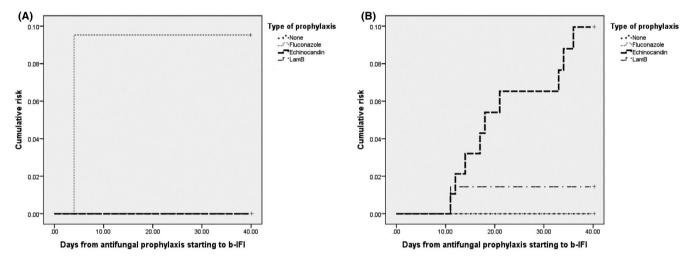


FIGURE 2 Kaplan-Meier curves of cumulative risk of b-IFI among low (panel a) and high-risk (panel b) patients according to the antifungal prophylaxis

cps/mL (27.3% vs 2.9%), renal replacement therapy (54.5% vs 28.7%) and reoperation (72.7% vs 37.8%) (data shown in Table 5).

Kaplan-Meier curves showed higher cumulative risk of b-IFI for echinocandin prophylaxis in patients classified as having high risk of IFI (Log Rank 4.47, P = .03) (data shown in Figure 2).

# 4 | DISCUSSION

Our study showed a 5% of b-IFI after liver transplantation in a multicenter cohort of OLT recipients managed with TAP strategy. CMV infection was the main predictor of b-IFI. However, also the use of echinocandin and the need of reoperation were associated with an increased risk of b-IFI.

The overall compliance to TAP protocol (64.3%) in our study was lower than that reported in other observational studies. 8-11 The adherence was lowest among patients classified as having a low risk. The majority of IFI episodes were observed in high-risk class (76%). These findings may suggest that a two-tiered risk class differentiation using a cut-off of 1 or more risk factors for IFI could allow to a higher overall adherence without negatively affecting IFI rates. Indeed, a recent retrospective single-center study reached high overall levels of compliance (94.1%) through this method, reporting an IFI rate of 2.8%. 11 To note, that most IFI in this study occurred during antifungal prophylaxis.

Breakthrough invasive fungal infection is an emerging problem in patients with hematologic malignancies, hematopoietic stem cell transplant (HSCT) recipients, solid organ transplant (SOT) recipients, and critically ill patients managed with antifungal prophylaxis or preemptive treatment. Recently the Mycoses Study Group Education and Research Consortium (MSG-ERC) and the European Confederation of Medical Micology (ECMM) proposed broadly applicable definitions for b-IFI. Risk factors for b-IFI have been investigated mostly among hematological patients. They include host factors, fungal pathogen-related factors, and antifungal drug-related factors.

Among host factors: acute leukemia, neutropenia, steroid use, mucositis, central venous catheter, and broad spectrum antibitoics have been reported. Historically, CMV infection in OLT recipients has been associated with an increased incidence of IFI. In our study, we confirmed the key role of CMV infection/replication also in patients under antifungal prophylaxis.

Fungal factors include virulence, resistance and biofilm formation. <sup>18</sup> Resistance is the most common reported mechanism. In OLT recipients, it was well described in a review on the use of antifungal prophylaxis showing a shift toward non-albicans Candida spp. associated with the universal use of fluconazole prophylaxis. <sup>22</sup> On this regard, one of our b-IFI was due to a fluconazole-resistant *C albicans* isolate from the blood cultures of a patient receiving fluconazole. Recently, b-IFI to echinocandins have been reported in the TRANSNET cohort. <sup>23</sup> The authors found that prophylaxis with

echinocandins was associated with *C parapsilosis* breakthrough, consistent with reduced susceptibility of this species. Furthermore, a clinical trial that compared micafungin vs. amphotericin B lipid complex in high-risk OLT recipients reported b-IFI rate of 5.9% vs 0%, respectively.<sup>24</sup> It is possible that intra-abdominal penetration of echinocandins could be suboptimal and may lead to treatment failure and resistance development.<sup>25,26</sup> In our study, all patients who developed b-IFI during prophylaxis with echinocandins were in the high-risk group, but none of the isolates exhibited MICs above current EUCAST echinocandin susceptibility breakpoints.

latrogenic and treatment-related factors include three major groups: inappropriate antifungal therapy, insufficient plasma and tissue drug levels, fungal biofilm infections of vascular devices or foreign bodies. <sup>18</sup> All patients who developed b-IFI in our study were receiving an appropriate antifungal drug according to their risk class and at recommended dosage. However, drug exposure levels were not available for any of the antifungal administered. Despite we did not observed intravascular device-related b-IFI, when present central venous catheters were promptly removed at diagnosis in all patients.

Our study has some limitations. First, although we performed a multicenter cohort enrolling a large number of patients who underwent OLT, the number of b-IFI events was low hampering to explore the association with several covariables in a stepwise logistic regression analysis to confirm our hypothesis that echinocandin use may be associated with an independent increased risk of b-IFI. Second, most of the enrolled patients were from Bologna; thus local epidemiology could have influenced the incidence and types of observed IFIs. Third, we did not record the reasons for no compliance to the TAP protocol.

In conclusion, we observed a rate of b-IFI among OLT recipients managed with TAP strategy of 5%. We confirmed a strong impact of CMV infection/reactivation on IFI development also in patients on antifungal prophylaxis. Further studies are needed to explore the relationship between echinocandin use and b-IFI in OLT recipients.

## **CONFLICT OF INTEREST**

Authors have no conflict of interest related to the present study.

## **AUTHOR CONTRIBUTION**

MR contributed to manuscript drafting and data analysis. MB contributed to supervision and data analysis. AF, EF, CC, SC, RP and ST contributed to data collection. MG contributed to revision process. MC contributed to data collection. SA, AS, and MCM contributed to project design. MC, UC, and FDB contributed to supervision. PB, CM, and FC contributed to project design. RL contributed to supervision and project design. PV contributed to supervision and project design. MG contributed to project design, data analysis, manuscript drafting, and supervision.

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## SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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