

Inhibition of gene expression in human cells through small molecule-RNA interactions

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Small molecules that bind their biological receptors with high affinity and selectivity can be isolated from randomized pools of combinatorial libraries. RNA-protein interactions are important in many cellular functions, including transcription, RNA splicing, and translation. One example of such interactions is the mechanism of trans-activation of HIV-1 gene expression that requires the interaction of Tat protein with the trans-activation responsive region (TAR) RNA, a 59-base stem-loop structure located at the 5' end of all nascent HIV-1 transcripts. Here we demonstrate the isolation of small TAR RNA-binding molecules from an encoded combinatorial library. We have made an encoded combinatorial tripeptide library of 24,389 possible members from D- and L- α amino acids on TentaGel resin. Using on-bead screening we have identified a small family of mostly heterochiral tripeptides capable of structure-specific binding to the bulge loop of TAR RNA. *In vitro* binding studies reveal stereospecific discrimination when the best tripeptide ligand is compared with diastereomeric peptide sequences. In addition, the most strongly binding tripeptide was shown to suppress transcriptional activation by Tat protein in human cells with an IC_{50} of ≈ 50 nM. Our results indicate that tripeptide RNA ligands are cell permeable, nontoxic to cells, and capable of inhibiting expression of specific genes by interfering with RNA-protein interactions.

Protein-nucleic acid interactions are involved in many cellular functions, including transcription, RNA splicing, and translation. Readily accessible synthetic molecules that can bind with high affinity to specific sequences of single- or double-stranded nucleic acids have the potential to interfere with these interactions in a controllable way, making them attractive tools for molecular biology and medicine. Successful approaches used thus far include duplex-forming (antisense) (1) and triplex-forming (antigene) oligonucleotides (2–4), peptide nucleic acids (5), and pyrrole-imidazole polyamide oligomers (6, 7). Each class of compounds uses a readout system based on simple rules for recognizing the primary or secondary structure of a linear nucleic acid sequence. Another approach uses carbohydrate-based ligands, calicheamicin oligosaccharides, which interfere with the sequence-specific binding of transcription factors to DNA and inhibit transcription *in vivo* (8, 9). Although antisense oligonucleotides and peptide nucleic acids use the familiar Watson–Crick base-pairing rules, two others, the triplex-forming oligonucleotides and the pyrrole-imidazole polyamides, take advantage of straightforward rules to read the major and minor grooves, respectively, of the double helix itself.

In addition to its primary structure, RNA has the ability to fold into complex tertiary structures consisting of such local motifs as loops, bulges, pseudoknots, and turns (10, 11). It is not surprising that, when they occur in RNAs that interact with proteins, these local structures are found to play important roles in protein-RNA interactions (12). This diversity of local and tertiary structure, however, makes it impossible to design synthetic agents with general, simple-to-use recognition rules analogous to those for the formation of double- and triple-helical nucleic acids. Because RNA-RNA and protein-RNA interactions can be important in viral and microbial disease progression, it would be

advantageous to have a general method for rapidly identifying synthetic compounds for targeting specific RNA structures. A particular protein-binding RNA structure can be considered as a molecular receptor not only for the protein with which it interacts but also for synthetic compounds, which may prove to be antagonists of the protein-RNA interaction.

One of our laboratories recently has been involved in the design and combinatorial testing of synthetic receptors for homochiral and heterochiral peptides (13). This method for finding receptors for specific peptides has led us to approach the problem of RNA recognition, and in particular the recognition of protein-binding RNAs, by treating a particular RNA structure as a receptor for an unknown small molecule ligand. Peptides are well suited to this task not only because they are made from the same building blocks as the natural protein ligand but also because they can be coupled in high yield on a solid-phase resin, which might then be used directly in screening. In lieu of a reliable set of nucleic acid recognition rules, then, the combinatorial synthesis of many diverse potential antagonists might be used to find new lead compounds for disruption of a particular RNA-protein interaction.

One example of such an interaction is the mechanism of trans-activation of HIV-1 gene expression. Transcriptional up-regulation requires binding of the Tat protein to the trans-activation response region (TAR) RNA (see Fig. 1), a 59-base stem-loop structure located at the 5' end of all nascent HIV-1 transcripts (14). Inhibition of Tat-TAR interactions is thus a potential approach for the development of anti-HIV therapeutics (15–17). In the work presented here, we have made an encoded (18) combinatorial tripeptide library of 24,389 possible members from D- and L- α amino acids on TentaGel resin (19). Using on-bead screening we have identified a small family of mostly heterochiral tripeptides capable of structure-specific binding to the bulge loop of TAR RNA. *In vitro* binding studies reveal stereospecific discrimination when the best tripeptide ligand is compared with diastereomeric peptide sequences. In addition, the most strongly binding tripeptide was shown to repress Tat-induced trans activation *in vivo* with an IC_{50} of approximately 50 nM.

Materials and Methods

Encoded Combinatorial Library. We prepared a tripeptide library on TentaGel (TentaGel S-NH₂ from Rapp Polymere, Tübingen, Germany; ref. 19) by encoded split-synthesis (18). The library had the general structure Ac-AA₃-AA₂-AA₁-NH(CH₂)₂-O-TentaGel, and contained the following amino acids: Gly, D-Ala, L-Ala, D-Val, L-Val, D-Leu, L-Leu, D-Pro, L-Pro, D-Phe, L-Phe, D-Gln, L-Gln, D-Asn, L-Asn, D-Lys, L-Lys, D-His, L-His, D-Arg,

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Abbreviations: TAR, trans-activation responsive region; CAT, chloramphenicol acetyltransferase; TOCSY, total correlated spectroscopy.

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Table 1. RNA-binding ligands

| ID# | Structures | Frequency | Color | K_D , nM | K_{REL} |
|-----|--|-----------|-------|--------------|-----------|
| 1 | NH ₂ -(L)Lys-(D)Lys-(L)Asn-OH | 2 | Red | 420 ± 44 | 1.73 |
| 2 | NH ₂ -(L)Lys-(D)Lys-(D)Asn-OH | 1 | Pink | 4,173 ± 208 | 0.17 |
| 3 | NH ₂ -(L)Lys-(L)Lys-(L)Asn-OH | 2 | Pink | 3,224 ± 183 | 0.23 |
| 4 | NH ₂ -(L)Arg-(D)Lys-(L)Ala-OH | 1 | Pink | 2,640 ± 219 | 0.28 |
| 5 | NH ₂ -(L)Arg-(D)Lys-(D)Val-OH | 1 | Pink | 10,434 ± 594 | 0.07 |
| 6 | NH ₂ -(L)Arg-(D)Lys-(L)Arg-OH | 1 | Pink | 878 ± 80 | 0.83 |
| 7 | NH ₂ -(D)Thr-(D)Lys-(L)Asn-OH | 1 | Pink | 564 ± 80 | 1.29 |
| 8 | NH ₂ -(D)Thr-(D)Lys-(L)Phe-OH | 1 | Pink | 2,087 ± 244 | 0.35 |

K_D values were determined from four independent experiments. $K_{REL} = K_D$ of a basic Tat peptide (727 ± 74 nM)/ K_D of inhibitor. The bead library peptides all were *N*-acetylated. Peptides used for *in vitro* and *in vivo* experiments were not *N*-acetylated and contained free amino termini.

(2 μ l), and the micro capillary was centrifuged for 4 min and then sealed by flame. The capillary containing a bead was irradiated by UV (350 nm) for 4 h, and the capillary tube was centrifuged for 5 min. The capillary was opened, and the cleaved tag alcohols were silylated with *N,O*-bis(trimethylsilyl)acetamide in a micro syringe. The trimethylsilyl derivatives (1 μ l) were analyzed by electron capture GC. A Hewlett–Packard 6890 series Gas Chromatography system, equipped with a micro electron capture detector (μ -ECD), and a Hewlett–Packard Chemstation operating system, were used for all decoding analyses. The GC was operated in splitless inlet mode, using helium as carrier gas. A 35-m \times 0.2-mm i.d. \times 0.33- μ m film thickness Hewlett–Packard Ultra 1 column was used with a temperature program of 1 min isothermal at 200°C followed by heating at 10°C/min to 320°C. The μ -ECD make-up gas was nitrogen.

Solid-Phase RNA-Peptide Binding Assays. RNA-binding ligands (shown in Table 1) were synthesized on TentaGel S-NH₂ (4.6 μ mol). All fluorenylmethoxycarbonyl-amino acids were purchased from Bachem. 1-Hydroxybenzotriazole and diisopropylcarbodiimide were obtained from Aldrich. Piperidine and trifluoroacetic acid were purchased from the Applied Biosystems Division, Perkin–Elmer. All the ligands were synthesized manually according to standard solid-phase peptide synthesis protocols. Coupling efficiencies of residues at each step were monitored by Kaiser test. Deprotection of trimer peptides was carried out in 10% water in trifluoroacetic acid (1 ml) for 16 h at room temperature. After filtration of solvents, the resin was washed with water (1 ml \times 4), dimethylformamide (1 ml \times 4), dichloromethane (1 ml \times 4), and dried under reduced pressure. The ligand attached to the resin (10 beads) was placed in an Eppendroff tube and washed with TK buffer (200 μ l \times 3). The beads were suspended in TK buffer (300 μ l) and incubated with TAR RNA (1.95 μ M) overnight at 4°C. The suspension was centrifuged, and the supernatant containing unbound RNA was transferred to a cuvette for OD₂₆₀ measurements. The UV absorbance was measured by a Shimadzu UV-1601 spectrometer. Equilibrium concentrations of RNA were determined from these measurements. Given that the initial concentrations of ligand and RNA are known, and assuming simple bimolecular receptor/substrate binding, dissociation constant (K_D) can be calculated from straightforward equations.

Inhibition of Tat Trans-Activation in Cellular Assays. We used HL3T1 cells, a HeLa cell line derivative containing an integrated HIV-1 long terminal repeat promoter and chloramphenicol acetyltransferase (CAT) reporter gene. Cells were grown in 2 ml of DMEM supplemented with 10% FCS in 60-mm dishes at 37°C in 5% CO₂ in a tissue culture incubator. Cells were refreshed by 2 ml of DMEM before transfection. Transfection was started by dropwise addition of 250 μ l \times Hepes-buffered saline and then kept

at room temperature for 10 min. Approximately 10 μ g of plasmids (pSV2Tat and pAL) and increasing amounts of ligand 1 were transfected in the presence of CaCl₂ (final concentration 125 mM), and the cells were incubated for 4 h at 37°C in a tissue culture incubator. The medium then was discarded, and the cells were subjected to glycerol (1.5 ml of 15%) shock for 45 sec. Finally, the cells were washed twice with PBS (5 ml) and were grown in 3 ml of DMEM. The cells were harvested after 48 h posttransfection with changing with fresh DMEM at 24 h and lysed in reporter lysis buffer (Promega). Aliquots were used for CAT and luciferase assays. Both activities were normalized to protein concentration determined by using a modified Bradford assay (Bio-Rad).

Results and Discussion

On-Bead Selection of Structure-Specific TAR-Binding Ligands. Previous studies using combinatorial chemistry to identify new ligands to block the TAT-TAR interaction have relied on a variety of complex methods that are labor intensive or require expensive robotics equipment (29). For the most part, these methods originated in the study of individual protein-nucleic acid interaction experiments. Moreover, in some cases time-consuming deconvolution strategies also are needed to identify the individual compounds responsible for the properties found in a mixture of compounds tested together (15, 16). We decided to try methods that we previously have used with success on small organic receptors. This entailed covalently attaching the dye disperse red to the TAR RNA (Scheme 1) and incubating it in a suspension of library beads made from the split synthesis method. Diffusion of low molecular weight receptors into a bead of TentaGel resin is known to be rapid, while one might expect that a macromolecule such as a protein or large nucleic acid might be excluded from the bead interior where the bulk of the peptide is displayed. Nevertheless, we have found that the dye-TAR conjugate was able to enter the beads and bind in a structure-dependent manner. The dye's red color was clearly distributed evenly throughout the translucent bead. Broken beads were not selected. Peptides specific for portions of TAR other than the bulge region were blocked by using a relatively large concentration of an unlabeled TAR analog lacking the natural 3-nt bulge. A small amount of detergent and the use of a low RNA concentration (250 nM) also minimizes nonspecific binding. Another advantage to our method is the use of chemically encoded beads (18). Once a dye-stained bead is selected, the identification of the peptide sequence is rapid and straightforward. Although many binding experiments are conducted simultaneously, the compounds remain discrete, each in its own assay vessel, the bead produced by the split-synthesis method.

Ligand Sequence Analysis. Upon incubating the dye-TAR conjugate with the library we initially found that only two beads took

on a deep red color. We picked these two beads and allowed the incubation to continue 3 days at 4°C. The first two deepest red beads were found to be the same sequence, ligand 1, (L)Lys-(D)Lys-(L)Asn. At the end of the second incubation we picked eight more beads that had turned pink-red during this second incubation period. It is important to note that there were only eight beads that turned pink-red during the second screening. The sequences of these beads are listed in Table 1.

To verify that our assay reflected RNA-trimer interaction and to determine the affinity of these trimer ligands for TAR RNA, we resynthesized trimer peptides 1–8 (Table 1) and measured their dissociation constants with wild-type TAR RNA. The results in Table 1 confirm that the on-bead assay mimics RNA binding because ligand 1 has the highest affinity for TAR RNA ($K_D = 420 \pm 44$ nM). To compare the RNA-binding affinities of eight ligands to natural Tat peptide, we synthesized a Tat-derived peptide (Gly-48 to Arg-57) containing the RNA-binding region of Tat protein (Fig. 1). Dissociation constants of the Tat peptide-RNA complexes were determined under the same conditions used for trimer ligand-TAR RNA complexes. These experiments showed that the Tat peptide (residues 48–57) binds TAR RNA with a K_D of 727 ± 74 nM. A relative dissociation constant (K_{REL}) can be determined by measuring the ratios of the Tat peptide to trimer ligand dissociation constants (K_D) for TAR RNA. These results are shown in Table 1. Ligand 1 binds TAR RNA with affinities higher than the wild-type Tat peptide. These results indicate that selection frequency reflects ligand activity and, if a large enough library sample is used, could be used as an indicator of ligand affinity for RNA.

Although these studies provide useful information about the relative binding affinities of the TAR RNA ligands, it is important to note that these assays were performed on immobilized ligands and cannot represent physiological interactions. To determine activities of these inhibitors of Tat-TAR interactions under physiological conditions, we have developed a system based on fluorescence resonance energy transfer (FRET) containing TAR RNA and Tat peptides uniquely labeled with donor and acceptor dye molecules (J. Zhang, N.T., S.H., and T.M.R., unpublished results). We monitored inhibition of FRET between a fluorescein-labeled TAR RNA and a rhodamine-labeled Tat peptide (amino acids 38–72) in the presence of increasing concentration of ligand 1. The K_i values were calculated by fitting data to quadratic equation (30), which showed that ligand 1 inhibited the RNA-protein complex formation with a K_i of 34 ± 2.4 nM (data not shown).

Several control experiments further support these observations and demonstrate the specificity of ligand-TAR interactions. First, incubating the library with free dye did not stain any beads, indicating that there was no interaction between the trimer peptides and the dye molecule (data not shown). Second, the equilibrium interaction between dye-labeled TAR RNA and a tripeptide tethered to beads was examined by incubating a suspension of beads containing ligand 1 in TK buffer (400 μ l) with dye-labeled TAR RNA (1 μ M) at 4°C for 5 h. As shown in Fig. 2C, beads were stained red upon TAR RNA binding. The interaction between red dye-labeled TAR RNA and ligand 1 is reversible and can be abolished by the addition of unlabeled TAR RNA or ligand 1 as a competitor. Finally, screening the library with dye-labeled TAR RNA was carried out in the presence of excess unlabeled bulge-mutant TAR RNA, therefore inhibiting nonspecific TAR RNA-peptide interactions.

As shown in Table 1, ligands 1 [(L)Lys-(D)Lys-(L)Asn] and 7 [(D)Thr-(D)Lys-(L)Asn] were the tightest binding tripeptides found, suggesting a consensus sequence of X-(D)Lys-(L)Asn. Furthermore, two diastereomers of ligand 1, peptides 2 and 3, were found in the assay, peptide 3 being the only homochiral sequence. RNA-peptide binding measurements revealed that the dissociation constants for these two diastereomeric sequences

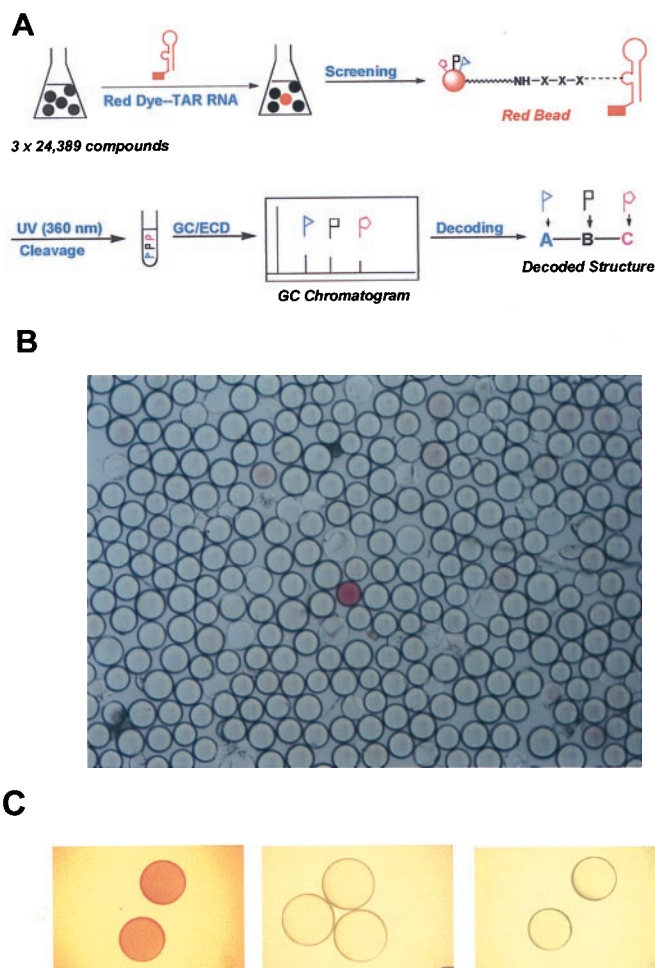


Fig. 2. (A) Schematic presentation of screening and decoding of the combinatorial library. TAR RNA was labeled with a red dye, disperse red, at its 5' end by chemical synthesis and incubated with the trimer library as described in the text. (B) A portion of the beads in the library after incubating with red dye-labeled TAR RNA. The dark bead in the center was identified as ligand 1. (C) The equilibrium interaction between dye-labeled TAR RNA and a tripeptide tethered to beads. A suspension of beads containing ligand 1 in TK buffer (400 μ l) was incubated with dye-labeled TAR RNA (1 μ M) at 4°C for 5 h. Beads were stained red upon TAR RNA binding (Left). Red beads became colorless when excess of unlabeled TAR RNA (Middle), or ligand 1 (Right) was added, indicating the displacement of red-TAR RNA from the beads.

were approximately seven times higher than for the strongest sequence, 1. This sharp loss of binding energy among diastereomers indicates that the binding interaction is highly stereospecific and not merely the result of a nonspecific lysine-phosphate backbone attraction. This finding is not surprising because the TAR RNA, and hence the binding site, is necessarily chiral. Another interesting feature of our results is that all but one of the TAR-binding sequences found were heterochiral and would have been missed by other techniques, such as phage display, which only use the proteinogenic amino acids. Use of D- and L-amino acids together yields a richer stereochemical variety of ligands, in addition to the diversity imparted by using the alpha-amino acids.

NMR Spectroscopy. To determine the interaction sites of our trimer ligand 1 on TAR RNA, we performed NMR experiments. NMR spectra of free TAR and TAR complex with ligand 1 were recorded. Because of the spectral overlap, it was impossible to follow all but a few well-isolated resonances by conventional

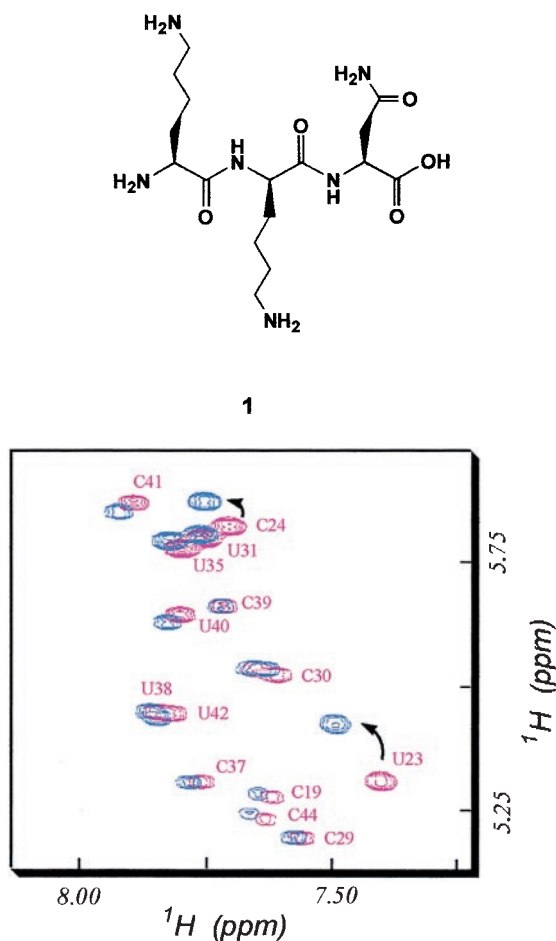


Fig. 3. Titration of wild-type TAR RNA by increasing amounts of ligand 1, (L)lys-(D)lys-(L)asn (*Upper*). (*Lower*) Superposition of TOCSY spectra at increasing concentration of ligand 1 (free RNA, pink and 1:1 ligand to RNA ratio, blue) shows that only resonances at bulge U23 and C24 are affected by ligand 1 binding.

one-dimensional (1D) experiments. Therefore, we carried out two-dimensional (2D) nuclear Overhauser enhancement spectroscopy (NOESY) and total correlated spectroscopy (TOCSY) experiments. All spectra were recorded on a Bruker AMX-500 NMR spectrometer operating at 500 MHz for ^1H equipped with triple resonance probe and H-broadband inverse detection probes. The 1D and 2D ^1H spectra were recorded at $\approx 1\text{--}2$ mM RNA and ligand concentrations in 5 mM phosphate buffer (pH 5.5), with up to 100 mM NaCl. All other conditions were the same as described by Aboul-ela *et al.* (31). We obtained a set of complete TAR RNA assignments. Increasing amounts of ligand 1 were added to TAR RNA, and the spectral changes were monitored by 2D TOCSY experiments. The TOCSY spectrum contains a region where only pyrimidine H5-H6 resonances are found, and this region has a well-dispersed 2D spectrum. Resonances in the free RNA and RNA-ligand complexes were assigned by NOESY experiments. Results of our TOCSY experiments are shown in Fig. 3. Resonances in the bulge region, U23 and C24, were shifted. All other resonances were not affected significantly by the addition of the ligand. To address the question whether spectral changes at U23 and C24 were caused by specific ligand 1 binding or were the result of perturbation by a nonspecific exogenous ligand, we performed NMR experiments in the presence of a basic tripeptide containing L-Lys amino acids. Our results showed that the Lys peptide did not cause shift of resonances in the bulge region including U23 and

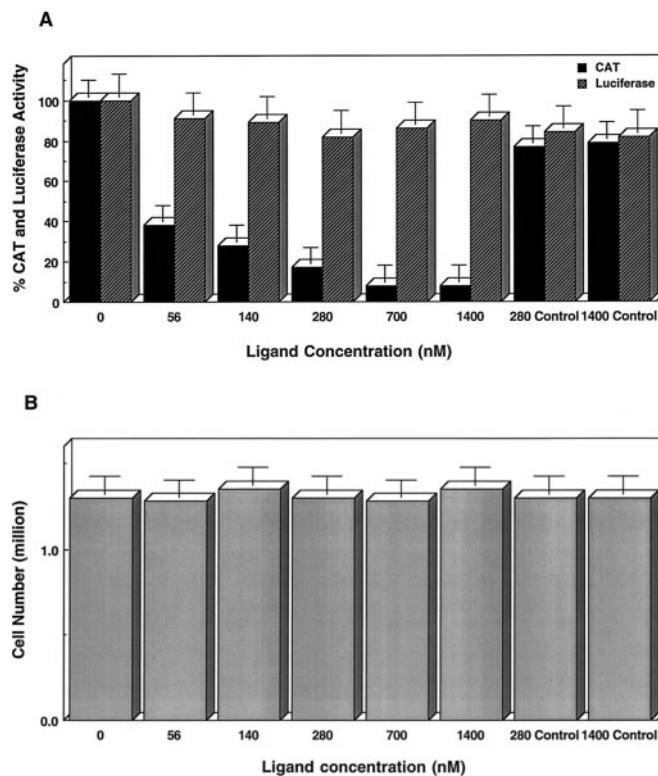


Fig. 4. Inhibition of Tat trans-activation by ligand 1 *in vivo*. CAT activity expressed from the integrated HIV-1 long terminal repeat of HL3T1 cells with increasing amounts of ligand 1 is shown. Monitoring luciferase activity was a control experiment to quantify the transfection efficiency and nonspecific inhibition of gene expression by the addition of ligand 1. Transfection and enzymatic activity (CAT and luciferase) assays were performed as described (34, 35). CAT and luciferase activities were measured from five experiments and normalized to 100%. Error bars represent the estimated SD. Control lanes contain L-argininamide as an RNA ligand.

C24 (data not shown), indicating that ligand 1 specifically interacts with TAR RNA at the bulge region. Interestingly, ligand 1-TAR RNA interactions are different from TAR RNA-peptide or TAR-Arg complexes reported in previous NMR studies (31, 32) because there were no detectable interactions with G26 and A27 regions as observed in previous studies. Another TAR-binding ligand, CGP64222, which contains Arg side chains in its sequence, also causes conformational change in TAR and creates an RNA structure that is similar to TAR-peptide structure (15). These results indicate that ligand 1 is the first ligand that binds specifically to the bulge of TAR RNA in a manner different from previously reported TAR ligands and Tat peptides. These findings suggest an intriguing possibility that small molecules that interact with TAR RNA and induce a conformational change in TAR, resulting in a structure different from that of Tat-TAR complex, could be used to lock RNA in a nonfunctional structure.

Inhibition of Tat Trans-Activation *in Vivo*. To test whether this small molecule-RNA interaction could be used to control HIV-1 gene expression *in vivo*, we used HL3T1 cells, a HeLa cell line derivative containing an integrated HIV-1 long terminal repeat promoter and CAT reporter gene (33). We added different amounts of ligand 1 during transfection of pSV2-Tat (34) and pAL (35) plasmids into HL3T1 cells. Plasmids pSV2Tat and pAL express the first exon of Tat protein and luciferase enzyme, respectively. Luciferase reporter gene provides an internal con-

trans-activation (36). Thus, we conclude that ligand 1 binds TAR RNA and inhibits Tat-TAR interactions *in vivo*.

We have described the application of encoded combinatorial library and on-bead screening methods to identify ligands that interact specifically with target RNA and can be used to design small molecules for controlling gene expression *in vivo*. The results also establish an example of the application of small molecules as artificial regulators of cellular processes involving RNA-protein interactions *in vivo*.

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