

# The Group of Autoprojectivities of $SL_3(\overline{\mathbb{F}}_p)$ and $PGL_3(\overline{\mathbb{F}}_p)$

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## INTRODUCTION

Given a group  $G$ , an *autoprojectivity* of  $G$  is an automorphism of the lattice of all subgroups of  $G$ . We denote by  $\text{Aut } L(G)$  the group of all autoprojectivities of  $G$ . Every automorphism  $\alpha$  of  $G$  induces in a natural way the autoprojectivity  $\alpha^*$  of  $G$  given by  $X^{\alpha^*} = X^\alpha$  for every  $X \leq G$ . We have therefore a homomorphism  $*$ :  $\text{Aut } G \rightarrow \text{Aut } L(G)$  given by  $\alpha \mapsto \alpha^*$  for every  $\alpha$  in  $\text{Aut } G$ .

An interesting problem in the study of a given group  $G$ , from its subgroup lattice point of view, is whether or not every autoprojectivity of  $G$  is induced by an automorphism, that is, if  $*$  is surjective or not.

Studying this problem for a simple algebraic group  $G$  over the algebraic closure  $\overline{\mathbb{F}}_p$  of a finite field, we showed in [5, Corollary 4.9] that  $*$  is surjective if and only if the kernel  $\Gamma(G)$  of the action of  $\text{Aut } L(G)$  on the canonical building associated to  $G$  coincides with the identity subgroup of  $\text{Aut } L(G)$  (following Völklein [16] we call the elements of  $\Gamma(G)$  *exceptional* autoprojectivities of  $G$ ). Then we proved in [6, Theorems A, B, C] that this is the case if  $p$  is odd and  $G$  is not of type  $A_2$ . In the present paper we consider the case when  $G$  has type  $A_2$ , i.e., when  $G$  is isomorphic either to  $SL_3(\overline{\mathbb{F}}_p)$  or to  $PGL_3(\overline{\mathbb{F}}_p)$ , with no restriction on  $p$ . This kind of problem has been considered already for special linear groups over finite fields  $F$ . Metelli proved that  $*$  is surjective for all groups  $PSL_2(F)$  where  $F$  has at least 4 elements and for  $PSL_3(3)$  [11, 12]. For  $PSL_3(F)$  it is known that  $*$  is not in general surjective [16, 2, 4, 3]. In [17], Völklein considered more closely the structure of  $\Gamma(G)$  for the groups  $SL_n(F)/D$  where  $D$  is any central subgroup of  $SL_n(F)$  and  $n$  is greater than 2, and in particular when  $n$  is 3.

We consider in detail the structure of  $\Gamma(G)$  for  $G = SL_3(K)$  with  $K$  any subfield of  $\overline{\mathbb{F}}_p$ . Here an important role is played by the relation between  $\Gamma(G)$  and the group of autoprojectivities of the subgroup  $H$  of

diagonal matrices of  $G$ . We first give some necessary conditions for an autoprojectivity of  $H$  to be the restriction of an element of  $\Gamma(G)$  (Theorem 1.12), and subsequently we prove that these conditions are also sufficient (Theorem 2.7). Thus we get a complete description of  $\Gamma(G)$  as an included subgroup of  $\text{Aut } L(H)$  (Theorem 2.13). We also prove that every autoprojectivity of  $PSL_3(K)$  is induced by an autoprojectivity of  $SL_3(K)$ , and that this correspondence is injective if and only if the 3-component of  $K^\times$  is infinite or is the identity or has order 3 (Proposition 2.14, Theorem 2.15).

In the third section we consider more closely the behaviour of certain  $r$ -subgroups of  $H$  under the action of an exceptional autoprojectivity  $\varphi$  of  $G$ , and give arithmetic conditions between the primes  $p$  and  $r$  able to ensure that each such  $\varphi$  is the identity on the  $r$ -component  $H_r$  of  $H$  (Corollaries 3.4, 3.13). Moreover we prove that the presence of “free” subgroups (Definition 3.14) of  $H$  guarantees that  $\Gamma(G)$  is not the identity subgroup (Theorem 3.18). This enables us to show that  $\Gamma(G)$  is not trivial for a large family of subfields  $K$  of  $\overline{\mathbb{F}}_p$  (Proposition 3.19). Finally, using exponential congruences, we prove that for every prime  $p$  the groups  $SL_3(\overline{\mathbb{F}}_p)$  and  $PGL_3(\overline{\mathbb{F}}_p)$  have autoprojectivities which are not induced by any automorphism (Theorem 3.20, Corollary 3.21). More precisely we prove that the groups  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  and  $\Gamma(PGL_3(\overline{\mathbb{F}}_p))$  are always non-soluble and non-periodic groups. In the last section we give necessary and sufficient conditions on the primes  $p$  and  $r$ , such that the group of autoprojectivities of  $\Omega(H_r)$  induced by  $\Gamma(G)$  is trivial, using a result from the theory of elliptic equations (Proposition 4.2, Theorem 4.4). We conclude by giving some examples.

*Notation.*  $\mathbb{N}$  = set of natural numbers =  $\{1, 2, \dots\}$ . For every prime  $r$  and every natural  $n$ ,  $v_r(n)$  is the maximal power of  $r$  dividing  $n$ . We also put  $\infty = v_r(\infty)$ .

Let  $X$  be a group.  $L(X)$  is the set of all subgroups of  $X$  partially ordered by inclusion.  $L(X)$  is a complete algebraic lattice.

If  $X$  is a group, and  $x$  is an element of  $X$ ,  $|x|$  is the order of  $x$ .  $x$  is an  $r$ -element means that the order of  $x$  is a power of the prime  $r$ .

If  $(X_\alpha)$ , for  $\alpha$  is an index set  $A$ , is a family of groups, we denote by  $\text{Cr } X_\alpha$  the cartesian product over  $A$  of the groups  $X_\alpha$ .

If  $x, y$  are elements of a group  $X$ ,  $x^y = y^{-1}xy$ . If  $A, B$  are subgroups of  $X$ , we put  $A^B = \langle a^b \mid a \in A, b \in B \rangle$ . If  $X$  acts on a set  $S$ , for every  $s$  in  $S$ ,  $s^X$  is the orbit of  $s$  under  $X$  and  $X_s$  is the stabilizer of  $s$ .

For every prime  $r$ ,  $C_{r^\alpha}$  is the cyclic group of order  $r^\alpha$  if  $\alpha$  lies in  $\mathbb{N}$ , and the hypercyclic group relative to  $r$  if  $\alpha = \infty$ . If  $X$  is an abelian torsion group and  $r$  is a prime,  $X_r$  is the  $r$ -component of  $X$ . If  $X$  is an abelian  $r$ -group, for every  $n$  in  $\mathbb{N}$ ,  $\Omega_n(X) = \{x \in X \mid x^{r^n} = 1\}$  and  $\Omega_1(X) = \Omega_r(X)$ .

If  $R$  is a ring,  $R^\times$  denotes the group of units of  $R$ .

Let  $p$  be a fixed prime. We shall always denote by  $K$  a *fixed* subfield of  $\overline{\mathbb{F}}_p$  (for a complete description of subfields of  $\overline{\mathbb{F}}_p$  see for instance Exercise 6 on page 147 in [9]).  $Z$  is the centre of  $SL_3(\overline{\mathbb{F}}_p)$ ,  $T$  is the group of diagonal matrices, and  $U$  the group of upper unitriangular matrices in  $SL_3(\overline{\mathbb{F}}_p)$ .

Throughout the paper, by  $G$  we shall always denote the group  $SL_3(K)$ , which is the main concern of our investigation.  $H$  is the group of diagonal matrices in  $G$ ,  $N = N_G(H)$ , and  $W = N/H$ .  $N$  is therefore the group of monomial matrices in  $G$ ,  $W$  is isomorphic to  $S_3$  and acts on  $H$  by permuting the diagonal entries. We shall make  $W$  act on  $L(H)$  in the obvious way. For every subfield  $F$  of  $K$ ,  $H(F)$  is the group of diagonal matrices with entries in  $F$ . We denote by  $\text{diag}(\lambda_1, \lambda_2, \lambda_3)$  the diagonal  $3 \times 3$  matrix having  $\lambda_i$  in the  $(i, i)$  position.

We consider the vector space  $K^3$  with canonical basis  $(e_1, e_2, e_3)$ . For every non-zero  $v$  in  $K^3$ ,  $\langle v \rangle$  denotes the corresponding point of  $\mathbb{P}^2(K)$ .  $G$  acts naturally on  $\mathbb{P}^2(K)$ . The canonical building of  $G$  is (isomorphic to) the flag complex of  $\mathbb{P}^2(K)$ .  $\Gamma(G)$  denotes the group of exceptional autoprojectivities of  $G$ , i.e., the group of autoprojectivities fixing every parabolic subgroup of  $G$ .

We choose the algebraic homomorphisms  $x_i: \overline{\mathbb{F}}_p \rightarrow U$  for  $i = 1, 2, 3$ ,

$$\begin{aligned} x_1(k) &= \begin{pmatrix} 1 & k & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} & x_2(k) &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & k \\ 0 & 0 & 1 \end{pmatrix} \\ x_3(k) &= \begin{pmatrix} 1 & 0 & -k \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{aligned}$$

so that we have the commutator formula  $x_2(b)x_1(a) = x_1(a)x_2(b)x_3(ab)$  for every  $a, b$  in  $\overline{\mathbb{F}}_p$ .

### 1. SOME PROPERTIES OF $\Gamma(SL_3(K))$

We begin recalling some results which were proved for finite fields in [17, 3], and for  $\overline{\mathbb{F}}_p$  in [5, 6], and which can be easily extended to our case using local arguments. Every autoprojectivity of  $G$  is *index-preserving*, since  $G$  is a perfect locally finite group. Using the fundamental theorem of projective geometry, as noticed in [17], one gets the decomposition  $\text{Aut } L(G) = \Gamma(G) \rtimes \text{Aut } G$ . Hence every autoprojectivity of  $G$  is induced by an automorphism if and only if  $\Gamma(G) = \{1\}$ . In the next statement we collect some basic results concerning exceptional autoprojectivities of  $G$ .

**THEOREM 1.1.** *Let  $G$  be the group  $SL_3(K)$ . Then every exceptional autoprojectivity  $\varphi$  of  $G$  satisfies the following conditions.*

- (a)  $\varphi$  fixes  $H$ .
- (b) For every prime  $r$ ,  $\varphi$  fixes the groups  $H_r$  and  $\Omega_n(H_r)$  for each  $n$  in  $\mathbb{N}$ , and  $H(F)_r$  for every subfield  $F$  of  $K$ .
- (c)  $\varphi$  fixes every subgroup of order 2 and every unipotent subgroup of  $G$ .
- (d)  $\varphi$  commutes with the inner automorphisms of  $G$ .
- (e) For every  $a$  in  $K^\times$ ,  $\varphi$  fixes the subgroups  $\langle \text{diag}(a, a^{-1}, 1) \rangle$  and  $\langle \text{diag}(a, a, a^{-2}) \rangle$ .
- (f)  $\varphi$  fixes every subgroup of order 3 of  $G$ .

Moreover, the restriction homomorphism  $\iota$  from  $\Gamma(G)$  to  $\text{Aut } L(H)$  is injective.

*Proof.* (a) We have  $H = G_{\langle e_1 \rangle} \wedge G_{\langle e_2 \rangle} \wedge G_{\langle e_3 \rangle}$  so that  $H^\varphi = H$ .

(b) This follows from the fact that  $\varphi$  is index-preserving.

(c) and (d)  $\iota$  is injective. If  $K$  is finite, see the theorem and the corollary in [17]. So assume  $K$  is infinite.

Let  $F$  be a finite subfield of  $K$  of order at least 3. We show that  $\varphi$  fixes the subgroup  $SL_3(F)$  of  $G$ . Let  $r$  be a prime divisor of  $|F^\times|$ . By (b),  $\varphi$  fixes the group  $H(F)_r$ , and similarly one can show that it fixes the groups  $H(F)_r^g$  for every  $g$  in  $SL_3(F)$ . Hence  $SL_3(F)^\varphi = SL_3(F)$ , since  $SL_3(F) = \langle H(F)_r^g \mid g \in SL_3(F) \rangle$ . For each  $n$  in  $\mathbb{N}$ , let  $F_n$  be the unique subfield of order  $p^{n!}$  of  $\overline{\mathbb{F}}_p$ , and let  $K_n = F_n \wedge K$ . We put  $G_n = SL_3(K_n)$ .  $G$  is the set theoretic union of the  $G_n$ 's. By the previous discussion, there exists an  $M$  such that for each  $n \geq M$ ,  $\varphi$  fixes  $G_n$ . We denote by  $\varphi_n$  the autoprojectivity of  $G_n$  induced by  $\varphi$ . Let  $\sigma, u, x, g$  be elements of  $G$ , with  $\sigma$  of order 2 and  $u$  unipotent. Let  $n \geq M$  be such that  $\sigma, u, g, x, h$  are in  $G_n$ . Then, by the theorem and the corollary in [17], we get  $\langle \sigma \rangle^{\varphi_n} = \langle \sigma \rangle$ ,  $\langle u \rangle^{\varphi_n} = \langle u \rangle$ ,  $\langle x \rangle^{g\varphi_n} = \langle x \rangle^{\varphi_n g}$ , and we are done. Moreover, if the restriction of  $\varphi$  to  $L(H)$  is the identity, it follows that the restriction of  $\varphi_n$  to  $L(H(K_n))$  is the identity for every  $n \geq M$ . Hence each  $\varphi_n$  is the identity and  $\varphi = 1$ .

(e) The group  $B = \{\text{diag}(b, b, b^{-2}) \mid b \in K^\times\}$  coincides with the intersection of  $G_{\langle e_3 \rangle}$  with the intersection of the family of all  $G_P$  for all points  $P$  in the line  $\langle e_1, e_2 \rangle$ . Hence  $B^\varphi = B$ , and  $X^\varphi = X$  for every  $X \leq B$ , since  $K^\times$  is a torsion locally cyclic group and  $\varphi$  is index-preserving. Let  $a$  be in  $K^\times$ , and let  $s = \text{diag}(a, a^{-1}, 1)$ . If  $s$  is an involution we are done, by (c). So assume the order of  $s$  at least 3. There exists an involution  $\sigma$  in  $G$  such that  $s^\sigma = s^{-1}$ . Then  $\langle s \rangle^\varphi = \langle s \rangle$  since  $\langle s \rangle$  is the unique cyclic maximal subgroup of the group  $\langle s, \sigma \rangle$ , which is generated by involutions.

(f) Let  $x$  be an element of order 3 of  $G$ . If it is unipotent, or semisimple and diagonalizable in  $G$ , then we are done by (e), (c), and (d). So assume  $x$  semisimple but not diagonalizable in  $G$ , and let  $n \geq M$  be such that  $x$  lies in  $G_n$ . Then the result follows from Lemma 8 in [17].

We shall now point out other properties of  $\varphi$ .

LEMMA 1.2. *Let  $E, F$  be cyclic subgroups of order  $r^\alpha$  of the group  $C_{r^x} \times C_{r^x}$ . Then we have  $EF = \langle R | E \wedge F \leq R \leq C_{r^x} \times C_{r^x}, R \text{ cyclic of order } r^\alpha \rangle$ .*

*Proof.* We can get the result by induction on the order of  $E \wedge F$ . ■

PROPOSITION 1.3. *Let  $X$  be a subgroup of  $H$  which is fixed by a non-trivial element of  $W$ . Then  $X$  is fixed by  $\varphi$ .*

*Proof.* Suppose first that  $X$  is cyclic of order a power of a prime  $r$ . If the order of  $w$  is 2, there exists  $\sigma$  of order 2 in  $N$  such that  $w = H\sigma$ . If  $r$  is odd, then either  $\sigma$  centralizes  $X$  or it acts on it as inversion. In both cases we get  $X^\varphi = X$  by Theorem 1.1(e). Assume now  $r = 2$ , and consider the group  $\langle X, \sigma \rangle$ . If  $\langle X, \sigma \rangle$  is abelian or dihedral, then we conclude in the same way as for  $r$  odd. If  $\langle X, \sigma \rangle$  is the modular group, then  $\langle X^2, \sigma \rangle$  is abelian, so that  $(X^2)^\varphi = X^2$ . Let  $Y$  be the unique cyclic subgroup of order  $|X|$  of  $H$  containing  $X^2$  and commuting with  $\sigma$ . Then we have  $Y^\varphi = Y$ , so that  $X^\varphi = X$  as the unique cyclic subgroups of order  $|X|$  of  $H$  containing  $X^2$  are  $X$  and  $Y$ . Similarly one proves that  $X^\varphi = X$  if  $\langle X, \sigma \rangle$  is semidihedral (in this case there exists a unique cyclic subgroup  $Y$  of order  $|X|$  of  $H$  containing  $X^2$  on which  $\sigma$  acts as inversion). Suppose now that  $w$  has order 3. Then there exists an element  $\rho$  of order 3 in  $N$  such that  $w = H\rho$ . Suppose  $r$  is not 3. Then  $X$  is the unique  $r$ -Sylow subgroup of  $\langle X, \rho \rangle$ , which is generated by elements of order 3 ( $\rho$  and  $\rho x$  for instance). Hence  $X^\varphi = X$  by Theorem 1.1(f). If  $r = 3$ , then we must have  $X = Z$ , and we are done.

Now assume  $X$  is any subgroup of  $H$ . Without loss of generality we may assume  $X$  to be a finite  $r$ -group, for a certain prime  $r$ . We shall have  $X \cong C_{r^\alpha} \times C_{r^\beta}$ , for some  $\alpha \geq \beta$ . If  $\alpha = \beta$ , then we are done by Theorem 1.1(b). So assume  $\alpha > \beta$ , and let  $C$  be a cyclic subgroup of  $X$  of order  $r^\alpha$ , and  $M$  be the subgroup of  $X$  isomorphic to  $C_{r^\beta} \times C_{r^\beta}$ . From  $X = \langle C, M \rangle$ , it follows that  $X^\varphi = X$  if and only if  $C^\varphi \leq X$ . Let  $L = C \wedge C^w$ . We have  $L^w = L$ , as  $C$  is cyclic. By Lemma 1.2,  $C \cdot C^w = \langle D | L \leq D \leq H, D \text{ cyclic of order } r^\alpha \rangle$ , so that  $(C \cdot C^w)^\varphi = C \cdot C^w$ , as  $L^\varphi = L$  by the previous discussion. Hence  $C^\varphi \leq C \cdot C^w \leq X$ , and we are done. ■

DEFINITION 1.4. Let  $s = \text{diag}(a, b, c)$  be an element of  $H$ . We put

$$\alpha_1(s) = ab^{-1}, \quad \alpha_2(s) = bc^{-1}, \quad \alpha_3(s) = ac^{-1} (= \alpha_1(s)\alpha_2(s)).$$

Also, for  $i = 1, 2, 3$ , we denote by  $\mu_i(s)$  the minimum polynomial of  $\alpha_i(s)$  over  $\mathbb{F}_p$ , and by  $F_i(s)$  the field  $\mathbb{F}_p(\alpha_i(s))$ . We put  $F(s) = \mathbb{F}_p(\alpha_1(s), \alpha_2(s))$  and  $H(s) = H(\mathbb{F}_p(a, b))$ .

DEFINITION 1.5. We say that a diagonal element  $s$  satisfies  $(*)'$  if  $\mu_i(s) \neq \mu_j(s)$  for every  $i \neq j$ . We say that  $s$  satisfies  $(**')$  if  $s^w$  satisfies  $(*)'$  for every  $w$  in  $W$  (cf. the definition given in [4]).

From basic facts in field theory, elements  $u, v$  in  $\overline{\mathbb{F}_p}$  have the same minimum polynomial over  $\mathbb{F}_p$  if and only if there exists a non-negative integer  $m$  such that  $u^{p^m} = v$ .

DEFINITION 1.6. Suppose  $s$  is an  $r$ -element of  $H$  not satisfying  $(*)'$ . If  $r$  is not 3, we say that  $s$  is *determined*. Suppose  $r = 3$ . We put

$$\mathcal{X}_1(s) = \{m \mid m \geq 0, \alpha_2(s)^{p^m} = \alpha_3(s), p^m \equiv 1 \pmod{3}\}.$$

$$\mathcal{X}_2(s) = \{m \mid m \geq 0, \alpha_1(s)^{p^m} = \alpha_3(s), p^m \equiv 1 \pmod{3}\}.$$

$$\mathcal{X}_3(s) = \{m \mid m \geq 0, \alpha_1(s)^{p^m} = \alpha_2(s), p^m \equiv 2 \pmod{3}\}.$$

We say that  $s$  is *almost determined* if each  $\mathcal{X}_i(s)$  is empty. Otherwise we say that  $s$  is *determined*.

We shall soon prove that if  $\varphi$  is in  $\Gamma(G)$ , then  $\varphi$  fixes  $\langle s \rangle$  if  $s$  is determined and it fixes  $\langle s^3 \rangle$  if  $s$  is almost determined.

PROPOSITION 1.7. Let  $s = \text{diag}(a, b, c)$  be a 3-element of  $H$ . If  $s$  is almost determined, then  $\alpha_1(s), \alpha_2(s)$ , and  $\alpha_3(s)$  have the same order as  $s$ . If  $s$  is determined, there exists  $i$  such that  $\alpha_i(s)$  has order less than the order of  $s$ .

*Proof.* Let  $s$  be almost determined, and let  $\alpha_i(s)^{p^m} = \alpha_j(s)$  for some  $i < j$  and some nonnegative integer  $m$ . In all cases,  $\alpha_1(s), \alpha_2(s)$ , and  $\alpha_3(s)$  have the same order. Let  $3^\nu$  be the order of  $s$  and  $3^\lambda$  be the order of the  $\alpha_i(s)$ 's. Note that for a 3-element  $t$  of  $H$  we have  $|\alpha_i(t)| = |t|$  for every  $i = 1, 2, 3$ , if and only if  $\langle t \rangle \not\cong \mathbb{Z}$ . If  $\lambda < \nu$ , it follows that  $\langle s \rangle = \mathbb{Z}$ , so that  $\mathcal{X}_1(s)$  is not empty, a contradiction. Hence  $\lambda = \nu$ .

If  $s$  is determined, there exists  $i$  such that  $\mathcal{X}_i(s)$  is not empty. It then follows that the order of  $\alpha_i(s)$  is less than the order of  $s$ . ■

From the previous proposition we get a criterion to see if a 3-element of  $H$  not satisfying (\*) is determined or almost determined.

**COROLLARY 1.8.** *Suppose  $s$  is a 3-element of  $H$  not satisfying (\*). Then  $s$  is almost determined if and only if  $\langle s \rangle \not\cong Z$ .*

*Proof.* Suppose  $s$  is almost determined. Then, by Proposition 1.7 we have  $|\alpha_i(s)| = |s|$  for every  $i = 1, 2, 3$ , so that  $\langle s \rangle \not\cong Z$ . If  $s$  is determined, by Proposition 1.7 there exists  $i$  such that  $|\alpha_i(s)| < |s|$ . Hence  $\langle s \rangle \cong Z$ . ■

We shall now study the behaviour of subgroups generated by determined or almost determined elements of  $H$ , under the action of exceptional autoprojectivities.

**LEMMA 1.9.** *Let  $s$  be an  $r$ -element of  $H$  not satisfying (\*), and let  $\alpha = \nu_r(|(K^\times)_r|)$ . Then there exists a subgroup  $P$  of  $U$  normalized by  $s$  and such that  $H_r \wedge N_G(P)$  is isomorphic to  $C_{r^\alpha}$  if  $s$  is determined, and to  $C_{3^\alpha} \times C_3$  if  $s$  is almost determined.*

*Proof.*  $H_r$  is isomorphic to  $C_{r^\alpha} \times C_{r^\alpha}$ . Let  $\alpha_i(s)^{p^m} = \alpha_j(s)$  for some  $i < j$  and some nonnegative integer  $m$ . For each case we define a certain subgroup  $P$  of  $U$ . Suppose first that  $s$  is almost determined.

If  $(i, j) = (1, 2)$ , we put  $P = \{x_1(\xi)x_2(\xi^{p^m})x_3(\eta) \mid \xi, \eta \in K\}$ . If  $(i, j) = (1, 3)$ , we put  $P = \{x_1(\xi)x_3(\xi^{p^m}) \mid \xi \in K\}$ . Finally, if  $(i, j) = (2, 3)$ , we put  $P = \{x_2(\xi)x_3(\xi^{p^m}) \mid \xi \in K\}$ . In all cases,  $s$  lies in  $N_G(P)$  and  $N_G(P) \wedge H_3$  is isomorphic to  $C_{3^\alpha} \times C_3$ .

Suppose now that  $s$  is determined. Suppose first  $r \neq 3$ . If we define  $P$  as in the previous cases then  $N_G(P) \wedge H_r$  is isomorphic to  $C_{r^\alpha}$  and we are done. Finally, if  $r = 3$ , then at least one of the  $\mathcal{X}_k(s)$ 's is non-empty. If we define  $P$  as in the previous case for the corresponding  $(i, j)$ , it then follows that  $N_G(P) \wedge H(s)_3$  is isomorphic to  $C_{3^\alpha}$ , and it contains  $\langle s \rangle$ . ■

**LEMMA 1.10.** *Let  $s$  be an almost determined element of  $H$ , with  $\alpha_i(s)^{p^m} = \alpha_j(s)$  for some  $i < j$  and some non-negative integer  $m$ .  $M = \langle s, \Omega(H_3) \rangle$ ,  $s'$  an element of  $H$  of the same order of  $s$ . Then the following are equivalent*

- (i)  $\alpha_i(s')^{p^m} = \alpha_j(s')$ .
- (ii)  $s'$  lies in  $M$ .

*Proof.* We observe that from Corollary 1.8 we have  $\langle s, \Omega(H_3) \rangle = \langle s \rangle \times Z$ . It is then clear that (ii)  $\Rightarrow$  (i). The reverse implication comes from Lemma 1.9:  $M$  must be the unique subgroup of  $N_G(P) \wedge H(s)_3$  isomorphic to  $C_{3^\beta} \times C_3$ , where  $3^\beta$  is the order of  $s$ . ■

PROPOSITION 1.11. *Let  $s$  be an  $r$ -element of  $H$ . Let  $\varphi$  lie in  $\Gamma(G)$ . If  $s$  is determined, then  $\langle s \rangle^\varphi = \langle s \rangle$ . If  $s$  is almost determined, then  $\langle s^3 \rangle^\varphi = \langle s^3 \rangle$ . Moreover, if  $\langle s \rangle^\varphi = \langle s' \rangle$ , then  $s'$  is almost determined.*

*Proof.* In both cases we consider the  $p$ -group  $P$  of Lemma 1.9. Let  $L = H_r \wedge N_G(P)$ . We have  $L^\varphi = L$  as  $P$  is the unique maximal  $p$ -subgroup of  $LP$ ,  $P^\varphi = P$ ,  $(H_r)^\varphi = H_r$ , and  $\varphi$  is index-preserving (we argue locally). If  $s$  is determined we have  $\langle s \rangle^\varphi = \langle s \rangle$ , as  $L$  is locally cyclic. So assume  $s$  is almost determined, and let  $3^n$  be the order of  $s$ . Let  $M$  be the unique subgroup of  $L$  isomorphic to  $C_{3^n} \times C_3$ . Then  $\langle s^3 \rangle^\varphi = \langle s^3 \rangle$  as  $\langle s^3 \rangle = \text{Frat}(M)$ . Since  $\langle s' \rangle \not\cong Z$ ,  $s'$  is almost determined by Lemma 1.10. ■

It is clear that we still have  $\langle s \rangle^\varphi = \langle s \rangle$  if there is a cyclic subgroup of  $H_3 \wedge N_G(P)$  of order  $3^{n+1}$  containing  $s$ .

We summarize the properties satisfied by the elements of the subgroup  $\iota(\Gamma(G))$  of  $\text{Aut } L(H)$  so far obtained.

THEOREM 1.12. *Let  $G$  be the group  $SL_3(K)$ , and let  $\varphi$  be an exceptional autopointivity of  $G$ . If  $\lambda$  is the autopointivity of  $H$  induced by  $\varphi$ , then*

- (a)  $\lambda$  commutes with the action of  $W$ ;
- (b)  $\lambda$  fixes every subgroup of  $H$  which is fixed by a non-trivial element of  $W$ ;
- (c) if  $s$  is an  $r$ -element of  $H$ , then  $\lambda$  fixes  $\langle s \rangle$  if  $s$  is determined, and it fixes  $\langle s^3 \rangle$  if  $s$  is almost determined.

We shall show in the next section that these conditions are also sufficient. For completeness we prove

COROLLARY 1.13. *Let  $s$  be an  $r$ -element of  $H$  satisfying  $(*)'$ . Let  $\varphi$  lie in  $\Gamma(G)$ , and  $\langle s \rangle^\varphi = \langle s' \rangle$ . Then  $s'$  satisfies  $(*)'$  and we have  $|\alpha_i(s)| = |\alpha_i(s')|$  for every  $i = 1, 2, 3$ .*

*Proof.* Suppose that  $s'$  does not satisfy  $(*)'$ . If  $s'$  is determined, then we get  $\langle s \rangle = \langle s' \rangle^{\varphi^{-1}} = \langle s' \rangle$ , by Proposition 1.11 and this is a contradiction. If  $s'$  is almost determined, then  $s$  is almost determined by Proposition 1.11. Again we have a contradiction. Hence  $s'$  satisfies  $(*)'$ . Let  $|\alpha_1(s)| = r^m$ ,  $|s| = r^n$ . Let  $t = s'^m$ . We have  $t = \text{diag}(u, u, u^{-2})$ , for a certain  $u$  of order  $r^{n-m}$ , and  $s = \text{diag}(a, b, c)$  with  $a'^m = b'^m = u$ . Let  $s' = \text{diag}(a', b', c')$ . We get  $a'^m = b'^m$  as  $\varphi$  fixes  $\langle t \rangle$ . Hence  $|\alpha_1(s')| \leq |\alpha_1(s)|$ . By symmetry we get  $|\alpha_1(s')| = |\alpha_1(s)|$ . Similarly for  $|\alpha_2(s')|$  and  $|\alpha_3(s')|$ . ■



2. THE STRUCTURE OF  $\Gamma(SL_3(K))$  AND  $\Gamma(PSL_3(K))$

Our aim is to determine the image of the restriction monomorphism  $\iota: \Gamma(G) \rightarrow \text{Aut } L(H)$ , i.e., to determine which autoprojectivities  $\lambda$  of  $H$  can be extended to exceptional autoprojectivities of  $G$ .

Let  $s = \text{diag}(\alpha, \beta, \gamma)$  be an  $r$ -element of  $H$  and let  $u = x_1(a)x_2(b)x_3(c)$  be an element of  $U$ . For convenience we shall write  $\alpha_i$  for  $\alpha_i(s)$ ,  $F_i$  for  $F_i(s)$ ,  $i = 1, 2, 3$ , and  $F$  for  $F(s)$ . We consider the case when  $s$  satisfies  $(*)'$ .

LEMMA 2.1. *Suppose  $s$  satisfies  $(*)'$ . For every  $A$  in  $F_1$ ,  $B$  in  $F_2$ ,  $C$  in  $F_3$  there exists  $k$  in  $\langle F_1, F_2 \rangle$  such that  $x_1(Aa)x_2(Bb)x_3(Cc + kab)$  is in  $\langle u \rangle^{\langle s \rangle}$ .*

*Proof.* See Proposition 2.4 in [4] (note that the proof of Proposition 2.4 in [4] does not make use of the fact that  $F_i = F$  for every  $i = 1, 2, 3$ ). ■

PROPOSITION 2.2. *Suppose  $s$  satisfies  $(*)'$ . Then, for every  $A$  in  $F_1$ ,  $B$  in  $F_2$ ,  $C$  in  $F_3$  and  $D$  in  $\langle F_1, F_2 \rangle$ ,  $x_1(Aa)x_2(Bb)x_3(Cc + Dab)$  lies in  $\langle u \rangle^{\langle s \rangle}$ .*

*Proof.* By Lemma 2.1 we are left to prove the following. For every  $D$  in  $\langle F_1, F_2 \rangle$ ,  $x_3(Dab)$  lies in  $\langle u \rangle^{\langle s \rangle}$ . So let  $D$  be in  $\langle F_1, F_2 \rangle$ . Following the same procedure in the proof of Proposition 2.5 in [4], and by Lemma 2.1, if  $A, A'$  are elements of  $F_1$  and  $B, B'$  are elements of  $F_2$ , one can prove that  $x_3((A'B - AB')ab)$  lies in  $\langle u \rangle^{\langle s \rangle}$ . As at least one of  $F_1$  and  $F_2$  is equal to  $\langle F_1, F_2 \rangle$ , we can always choose  $A, A', B, B'$  such that  $A'B - AB' = D$ , and we are done. ■

We prove a fact relating elements of  $H$  with  $p$ -subgroups of  $G$ .

PROPOSITION 2.3. *Let  $s, s'$  be  $r$ -elements of  $H$  and let  $P$  be a subgroup of  $U$  normalized by  $s$ . If one of the following condition holds*

(a)  *$s, s'$  satisfy  $(*)'$  and  $F_i(s) \geq F_i(s')$  for every  $i = 1, 2, 3$ ;*

(b)  *$s$  is almost determined, and  $s'$  lies in  $\langle s, \Omega(H_3) \rangle$ ,*

*then  $P$  is also normalized by  $s'$ .*

*Proof.* Suppose (a) holds. If  $u = x_1(a)x_2(b)x_3(c)$  is in  $P$ , we have  $s'us'^{-1} = x_1(\alpha_1(s')a)x_2(\alpha_2(s')b)x_3(\alpha_3(s')c)$ . Then  $s'us'^{-1}$  is in  $\langle u \rangle^{\langle s \rangle}$  by Proposition 2.2. Suppose now (b) holds. Then  $s'us'^{-1}$  is in  $\langle u \rangle^{\langle s \rangle}$  since  $\langle s, \Omega(H_3) \rangle = \langle s \rangle \times Z$ . In both cases it follows that  $s'$  lies in  $N(P)$ . ■

We can now prove two extension criteria in connection with the one proved in [16].

PROPOSITION 2.4. *Let  $\lambda$  be an autoprojectivity of  $H$ . Then  $\lambda$  can be extended to an exceptional autoprojectivity of  $G$  if the following holds:*

- (i)  $\lambda$  commutes with the action of  $W$ ;
- (ii)  $\lambda$  fixes every subgroup of  $H$  which is fixed by a non-trivial element of  $W$ ;
- (iii)  $\lambda$  fixes every cyclic 3-subgroup  $C$  of  $H$  such that  $C^3$  fixes pointwise a line of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$ ;
- (iv) if  $s$  is an  $r$ -element of  $H$  not satisfying  $(*)'$ , then  $\lambda$  fixes  $\langle s \rangle$  if  $s$  is determined. If  $s$  is almost determined then  $\lambda$  fixes  $\langle s^3 \rangle$ .

*Proof.* We follow step by step the proof of Proposition 3 in [16] (taking into account [4]). For brevity, in the following we shall just write [16] to refer to that proof.

(1),(2) If  $X$  is a subgroup of  $H$  with  $X^\lambda \neq X$ , then  $C_G(X) = H$ , as in [16].

(3),(4) If  $P$  is a  $p$ -subgroup of  $G$  normalized by a subgroup  $X$  of  $H$ , then  $P$  is also normalized by  $X^\lambda$ . We may assume  $X$  to be a cyclic  $r$ -group. Let  $X = \langle s \rangle$ . If  $\langle s \rangle^\lambda = \langle s \rangle$  then we are done. So suppose  $\langle s \rangle^\lambda = \langle s' \rangle \neq \langle s \rangle$ . As in [16] there exists  $n$  in  $N$  such that  $P^n \leq U$ . Let  $w = Tn$ , and  $t = s^w$ ,  $t' = s'^w$ . Then  $\langle t \rangle^\lambda = \langle s \rangle^{w^\lambda} = \langle s \rangle^{\lambda w} = \langle t' \rangle$ , and  $\langle t \rangle \leq N_G(P^n)$ . We prove that  $\langle t' \rangle \leq N_G(P^n)$ , so that  $\langle s' \rangle \leq N_G(P)$ .

Suppose  $t$  satisfies  $(*)'$ . We prove that  $t'$  satisfies  $(*)'$  and  $F_i(t') = F_i(t)$  for every  $i = 1, 2, 3$ . Suppose  $t'$  does not satisfy  $(*)'$ . If  $t'$  is determined, we have  $\langle t' \rangle^\lambda = \langle t' \rangle$ , so that  $\langle t \rangle = \langle t' \rangle$  and  $t$  does not satisfy  $(*)'$ , which is a contradiction. Hence  $t'$  is almost determined, so that  $\langle t'^3 \rangle^\lambda = \langle t'^3 \rangle$ . But then  $t$  lies in  $\langle t' \rangle \times Z$ , so that  $t$  is almost determined by Lemma 1.10. Again we have a contradiction. Therefore  $t'$  satisfies  $(*)'$ . Using the same argument as in the proof of Corollary 1.13, one can show that  $|\alpha_i(t')| = |\alpha_i(t)|$  for every  $i = 1, 2, 3$ , so that  $F_i(t') = F_i(t)$  for every  $i = 1, 2, 3$ . Therefore, by Proposition 2.3 we get  $\langle t' \rangle \leq N_G(P^n)$ . If  $t$  does not satisfy  $(*)'$ , by (iv),  $t$  must be almost determined, and  $t'$  lies in  $\langle t, \Omega(H_3) \rangle$ . Again by Proposition 2.3 we get  $\langle t' \rangle \leq N_G(P^n)$  and we are done.

As  $G$  is locally finite, we first define the map  $\varphi$  on the set  $L_f(G)$  of all finite subgroups of  $G$ . Later we shall show that  $\varphi$  is inclusion preserving. Similarly one can define  $\psi$  starting from  $\lambda^{-1}$ . Then  $\varphi$  and  $\psi$  are one the inverse of the other, and they are both inclusion preserving. It is straightforward to prove that  $\varphi$  can then be extended (uniquely) to the required autoprojectivity of  $G$ .

(5) We extend the definition of  $\lambda$  to a bijection  $\varphi$  of  $L_f(G)$ . The extension is defined as in [16]. For the convenience of the reader we recall the procedure.  $\varphi$  is first defined on the set  $\mathcal{Z}$  of all (finite) subgroups of  $G$

with  $X^g \leq H$  for some  $g$  in  $G$  by  $X^\varphi = X^{g\lambda g^{-1}}$ . We have a good definition as  $\lambda$  fixes every subgroup of  $H$  which is fixed by a non-trivial element of  $W$ . Then  $\varphi$  is defined on the set  $\mathcal{Y}$  of all (finite) subgroups  $Y$  of  $G$  which are the semi-direct product of a normal  $p$ -subgroup  $P$  and some  $X$  in  $\mathcal{X}$ , by  $Y^\varphi = P \cdot X^\varphi$ . Finally  $X^\varphi = X$  for all (finite) subgroups  $X$  not in  $\mathcal{Y}$ .

(6),(7) As in [16], we fix (finite) subgroups  $E, F$  of  $G$  with  $E \leq F$ , and we prove that  $E^\varphi \leq F^\varphi$ . This holds if  $F$  belongs to  $\mathcal{Y}$ .

(8) We assume that  $E$  is a cyclic  $s$ -subgroup of  $H$  for some prime  $s$ , with  $E^\varphi \neq E$ ,  $F$  is minimal among the subgroups of  $G$  containing  $E$  and not lying in  $\mathcal{Y}$ , and suppose for a contradiction that  $E^\varphi \not\leq F$ .

(9)–(11)  $C_G(E) = H$ ,  $F \wedge N_G(E) \leq H$  and if  $S$  is an  $s$ -Sylow subgroup of  $F$  containing  $E$ , then  $S$  is contained in  $H$  and it has a normal complement  $R$  in  $F$ , as in [16].

(12)  $R$  is an  $r$ -group for some prime  $r$  different from  $p$ . As in [16],  $R$  cannot be a  $p$ -group, and we can choose an  $r$ -Sylow subgroup  $R_1$  of  $R$  normalized by  $S$ , and assume for a contradiction that  $R_1 \neq R$ . Then  $R_1 S$  lies in  $H$  and  $N_R(R_1) \neq C_R(R_1)$ . Let  $K_1$  be a complement of  $R_1$  in  $N_R(R_1)$  normalized by  $S$ , so that  $K_1 S = (K_1 S \wedge H)P$ , where  $P$  is the unique  $p$ -Sylow subgroup of  $K_1 S$ . Here we modify the proof given in [16]. We prove that  $[P, R_1] = 1$ . As in the proof of (4) (since  $P$  is normalized by  $E$ ), there exists  $n$  in  $N$  such that  $P^n \leq U$ . Hence  $[P, R_1]^n = [P^n, R_1^n] \leq [U, H] = U$ . Moreover we observe that  $P$  must lie in  $K_1$ , so that  $P \leq N_R(R_1)$ , which implies that  $[P, R_1] \leq R_1 \leq H$ . It follows that  $[P, R_1] \leq H \wedge U^{n^{-1}} = 1$ . We finally prove that  $N_R(R_1) = C_R(R_1)$  to reach a contradiction. From  $N_R(R_1) = R_1 K_1 \leq R_1 (K_1 S) = R_1 (K_1 S \wedge H)P$ , it follows that  $N_R(R_1) \subseteq HP$ . Then  $[N_R(R_1), R_1] \leq [HP, R_1] = 1$ , and we are done.

(13)  $R$  is not abelian. Otherwise  $R$  would be diagonalizable over  $\overline{\mathbb{F}}_p$ . We have 2 possibilities. Either  $R$  fixes only 3 points of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$ , or it fixes a line  $\gamma$  pointwise and point  $A$  not on it. Suppose we are in the second case. Then  $S$  must fix both  $\gamma$  and  $A$ , so that we get  $[R, S] = 1$  as  $S$  fixes only 3 points, and this is a contradiction. Hence  $R$  fixes only 3 points,  $A_1, A_2, A_3$ , say. Then  $S$  permutes  $A_1, A_2, A_3$  and we conclude that  $[R, S] = 1$  as in [16] if  $s \geq 5$ . Therefore we have  $s = 2$  or  $3$ . Let  $E = \langle x \rangle$ . Assume  $s = 2$ . As  $[R, S] \neq 1$ , without loss of generality we may suppose  $S = \langle \tau \rangle S_1$ , where  $S_1$  is a subgroup of index 2 in  $S$  fixing  $A_1, A_2, A_3$  and  $\tau$  fixes  $A_1$  and interchanges  $A_2, A_3$ ,  $x$  does not lie in  $S_1$ , so that it fixes  $A_1$  and interchanges  $A_2, A_3$ . Therefore  $x^2$  fixes pointwise the line  $A_2 + A_3$ . But then  $\langle x^2 \rangle^\varphi = \langle x^2 \rangle$  by (ii). In  $H$ , besides  $E$ , there is only one cyclic subgroup  $E_1$  of order  $|E|$  containing  $\langle x^2 \rangle$ .  $E_1$  fixes pointwise the line  $A_2 + A_3$ , so that it is fixed by  $\varphi$ , forcing also  $E$  to be fixed by  $\varphi$ . This is

again a contradiction. We are therefore left with the case  $s = 3$ . In this case  $x$  will induce a permutation of order 3 on the points  $A_1, A_2, A_3$ , forcing  $x$  to have order 3 (as  $\det x = 1$ ). Again by (ii) we get  $E^\varphi = E$ , which is the final contradiction.

(14) As in [16], we have  $\text{Frat}(R) = R' = Z(R)$  ( $R'$  = derived subgroup of  $R$ ), and this group is elementary abelian,  $[Z(R), S] = 1$  and  $S$  acts irreducibly on  $R/Z(R)$ , so that  $R \wedge H = Z(R)$ .

(15) Let us assume that  $R'$  lies in  $Z$ , so that  $R' = Z$ , and  $r = 3$ .  $R/Z(R)$  is a vector space of dimension 2 over  $F_3$ , and we are done if  $s \geq 5$ , as in [16]. So now suppose  $s = 2$ . We have  $|R| = 27$ .  $R$  has 4 maximal subgroups,  $R_1, R_2, R_3, R_4$ , say. We then get a homomorphism  $\varepsilon: S \rightarrow S_4$ . Also  $R$  must be of exponent 3, as in  $SL_3(\overline{F}_p)$  there is no non-abelian subgroup of order 27 and exponent 9. Therefore each  $R_i$  lies in a unique maximal torus  $T_i$  of  $SL_3(\overline{F}_p)$ . We need a description of the  $T_i$ 's. Just for this purpose we assume  $R = X \rtimes \langle \rho \rangle$ , where  $X$  is the subgroup of the group of diagonal matrices  $T$  of  $SL_3(\overline{F}_p)$  isomorphic to  $C_3 \times C_3$ , and

$$\rho = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}.$$

To each torus  $T_i$  we associate the triangle whose vertices are the fixed points of  $T_i$ . Let  $\theta$  be a fixed element of  $K^\times$  of order 3. We get

$$T_1 = T \leftrightarrow \langle e_1 \rangle, \langle e_2 \rangle, \langle e_3 \rangle;$$

$$T_2 \leftrightarrow \langle e_1 + e_2 + e_3 \rangle, \langle e_1 + \theta e_2 + \theta^2 e_3 \rangle, \langle e_1 + \theta^2 e_2 + \theta e_3 \rangle,$$

$$T_3 \leftrightarrow \langle \theta e_1 + \theta e_2 + e_3 \rangle, \langle \theta e_1 + e_2 + \theta e_3 \rangle, \langle e_1 + \theta e_2 + \theta e_3 \rangle;$$

$$T_4 \leftrightarrow \langle \theta^2 e_1 + \theta^2 e_2 + e_3 \rangle, \langle \theta^2 e_1 + e_2 + \theta^2 e_3 \rangle, \langle e_1 + \theta^2 e_2 + \theta^2 e_3 \rangle.$$

One can show that if  $A$  is a vertex of one of the triangles, and  $B$  is a vertex of another triangle, then the line through  $A$  and  $B$  contains a vertex of the third and a vertex of the fourth triangle. It then follows that  $N_G(T_1) \wedge N_G(T_2) \wedge N_G(T_3) \wedge N_G(T_4)$  has order 54. Going back to our case, we put  $L = N_G(T_1) \wedge N_G(T_2) \wedge N_G(T_3) \wedge N_G(T_4)$ . Then  $\ker \varepsilon \leq L$ , as  $C_G(R_i) = T_i$  for every  $i$ . In particular  $E \wedge \ker \varepsilon$  has order at most 2, from which it follows that  $E$  has order at most 8. But the hypotheses on  $\lambda$  then implies that  $E^\varphi = E$  (since there exists an involution  $w$  in  $W$  such that  $E^w = E$ ), which is a contradiction.

(16) As in [16], we are left with the case when  $H \subsetneq C_G(R') \subsetneq G$ . Hence  $R'$  fixes pointwise a line of  $\mathbb{P}^2(K)$  so that  $C_G(R') \cong GL_2(K)$ ,  $r = 2$ ,  $R'$  has order 2, and  $R/R'$  is the Klein group. If  $s \geq 5$  we are done.

So now suppose  $s = 3$ . We have  $|R| = 8$ . If  $R$  is the dihedral group, then it has a characteristic cyclic subgroup  $C$  of order 4, which is therefore normalized by  $S$ . By minimality of  $F$ ,  $C$  is centralized by  $E$ , so that  $C \leq H$ , which is a contradiction. Hence  $R$  is isomorphic to the group of quaternions  $Q_8$ . Since  $\text{Aut}(Q_8) \cong S_4$ , we have  $[E: E \wedge C_G(R)] = 1$  or 3 (in fact it must be 3), so that  $E^3$  lies in  $C_G(R)$ . In general, if  $Q$  is a subgroup of  $SL_3(\overline{\mathbb{F}}_p)$  isomorphic to  $Q_8$ , with maximal subgroups  $Q_1, Q_2, Q_3$ , then  $C_G(Q) = T_1 \wedge T_2 \wedge T_3$ , where  $T_i = C_G(Q_i)$  is a maximal torus for every  $i$ . It turns out that  $T_1 \wedge T_2 \wedge T_3$  is a 1-dimensional torus fixing pointwise a line of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$ . Hence  $E^3$  fixes pointwise a line of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$ , so that  $E^\varphi = E$  by (iii). This is the final contradiction for the proof of Proposition 2.4. ■

We shall now give another criterion of extension, focusing only on the 3-subgroups of  $H$ .

PROPOSITION 2.5. *Let  $\lambda$  be an autoprojectivity of  $H$ . Then  $\lambda$  can be extended to an exceptional autoprojectivity of  $G$  if the following holds:*

- (i)  $\lambda$  commutes with the action of  $W$ ;
- (ii)  $\lambda$  fixes every subgroup of  $H$  which is fixed by a non-trivial element of  $W$ ;
- (iii)  $\lambda$  fixes every  $r$ -subgroup of  $H$  for every prime  $r$  different from 3;
- (iv) if  $s$  is a 3-element of  $H$  not satisfying  $(*)'$ , then  $\lambda$  fixes  $\langle s \rangle$  if  $s$  is determined. If  $s$  is almost determined then  $\lambda$  fixes  $\langle s^3 \rangle$ .

*Proof.* If  $K^\times$  does not contain elements of order 3, then we have  $\lambda = 1$  and we are done (with  $\varphi = 1$  of course). So assume  $K^\times$  contains elements of order 3.

To define  $\varphi$  to the whole of  $L(G)$ , we first prove the following

LEMMA 2.6. *If  $C$  is a finite subgroup of  $G$  whose order is not divisible by 3 and  $N_G(C)$  contains a finite 3-subgroup  $X$  of  $H$ , then  $N_G(C)$  contains also  $X^\lambda$ .*

*Proof.* Suppose this is false, and consider the set  $\mathcal{E}$  of all the pairs  $(S, C)$  with  $S$  a finite 3-subgroup of  $H$ ,  $3 \nmid |C|$ ,  $S \leq N_G(C)$ ,  $S^\lambda \not\leq N_G(C)$ . In  $\mathcal{E}$  we choose a pair  $(S, C)$  with minimum  $|S|$  and, with this choice of  $S$ , with minimum  $|C|$ . We shall reach a contradiction in several steps.

(a)  $S$  is cyclic and  $C_G(S) = H$ .  $S$  is cyclic by minimality of  $|S|$ .  $C_G(S) = H$  as in steps (1), (2) above.

(b)  $S \not\leq C_G(C)$ . Otherwise  $C \leq H$ , so that  $S^\lambda \leq N_G(C)$ .

(c) If  $P$  is a  $p$ -subgroup of  $G$  normalized by  $S$ , then  $P$  is also normalized by  $S^\lambda$ . The proof is the same as in steps (3), (4) above.

(d)  $C$  is an  $r$ -group for some prime  $r$  different from  $p$ . Let  $r_1, \dots, r_m$  be the prime divisors of  $|C|$ . As in step (12) in [16] for each  $i$  we can choose an  $r_i$ -Sylow subgroup  $R_i$  of  $C$  normalized by  $S$ . If  $S^\lambda \leq N_G(R_i)$  for each  $i$ , then we have  $S^\lambda \leq N_G(C)$ , which is a contradiction. Hence there exists  $j$  such that  $S^\lambda \not\leq N_G(R_j)$ . By minimality of  $|C|$ , we must have  $C = R_j$ . We shall just write  $r$  for  $r_j$ .  $r$  is different from  $p$  by (c).

(e)  $C$  is not abelian. This is as in step (13) above.

(f)  $r = 2$  and  $Z(C)$  fixes pointwise a line  $\omega$  of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$ . By (d) and (e) we get  $r = 2$ . Hence  $C_G(Z(C)) \neq G$  and  $Z(C)$  fixes pointwise a line  $\omega$  of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$  as  $C_G(Z(C))$  is non-abelian (as in step (16) above).

(g) Let  $A$  be the unique point of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$  not in  $\omega$  fixed by  $Z(C)$ . As  $S$  normalizes  $Z(C)$ , it must fix both  $A$  and  $\omega$ . But  $S$  fixes only three points and three lines of  $\mathbb{P}^2(\overline{\mathbb{F}}_p)$ , so that without loss of generality we may suppose  $A = \langle e_1 \rangle$  and  $\omega = \langle e_2, e_3 \rangle$ , so that  $C \leq C_G(Z(C)) = G_A \wedge G_\omega$ . The group  $B = \langle \text{diag}(\delta^{-2}, \delta, \delta) \rangle$  with  $\delta$  of the same order of  $S$ , then even centralizes  $C$ , so that  $\langle B, S \rangle \leq N_G(C)$ . By Lemma 1.2,  $\langle B, S \rangle$  is generated by all the cyclic subgroups of  $H$  of order  $|S|$  containing  $B \wedge S$ , which is fixed by  $\lambda$  by (ii). Hence  $S^\lambda \leq \langle B, S \rangle^\lambda = \langle B, S \rangle$ , which is the final contradiction. ■

*Remark.* In fact, as it will be clear later, there are examples of this situation with  $X^\lambda \neq X$ . For instance with  $G = SL_3(109)$ ,  $C$  isomorphic to the group of quaternions  $Q_8$ , and  $H_3 \wedge N_G(C) \cong C_{27} \times C_3$ . In this case one can take  $X = \langle \text{diag}(v, v^{10}, v^{16}) \rangle$  and  $X^\lambda = \langle \text{diag}(v, v^{19}, v^7) \rangle$ , where  $v$  is an element of order 27 in  $K^\times$ .

We can now complete the proof of Proposition 2.5. We extend the definition of  $\lambda$  to  $L_f(G)$ . Let  $\mathcal{X}$  be the set of all (finite) abelian 3-subgroups of  $G$ . If  $X$  lies in  $\mathcal{X}$ , then it is diagonalizable, and there exists  $g$  in  $G$  such that  $X^g \leq H$ . Then we put  $X^\varphi = X^{g\lambda g^{-1}}$ . Let  $\mathcal{Y}$  be the set of all (finite) subgroups  $Y$  of  $G$  which are the semi-direct product of a Hall normal subgroup  $C$  and some  $X$  in  $\mathcal{X}$ . Let  $g$  be an element of  $G$  such that  $X^g \leq H$ . From  $X^g \leq N_G(C^g)$ , it follows that  $X^{g\lambda} \leq N_G(C^g)$  by Lemma 2.6. Hence  $X^\varphi = X^{g\lambda g^{-1}} \leq N_G(C)$ . We put  $Y^\varphi = C \cdot X^\varphi$ . This is a good definition as in step (5) above. Finally we put  $X^\varphi = X$  for all subgroups  $X$  not in  $\mathcal{Y}$ . To prove that  $\varphi$  can be extended to an autoprojectivity of  $G$ , we only have to prove that it is inclusion preserving (as in step (6) above). We first prove the following fact.

Let  $E, F$  be 3-subgroups of  $G$  such that  $E \leq F$ . Then we have  $E^\varphi \leq F^\varphi$ . If  $E$  is non-abelian, then we have  $E^\varphi = E$ ,  $F^\varphi = F$ , and we are done. If  $F$

is abelian, without loss of generality we may suppose  $F \leq H$ , and we are done. So now suppose  $E$  abelian and  $F$  non-abelian. Then  $F^\varphi = F$  and without loss of generality  $E \leq H$ . We have to show that  $E^\lambda \leq F$ . We may assume that  $E$  is cyclic and  $F$  is minimal among the non-abelian 3-subgroups of  $G$  containing  $E$ . If  $E^\lambda = E$ , then we are done. So assume  $E^\lambda \neq E$ . Then we have  $C_G(E) = H$ , by (ii). Let  $M$  be a maximal subgroup of  $F$  containing  $E$ .  $M$  must be abelian, by minimality of  $F$ . Therefore  $M \leq C_G(E) = H$ , and  $C_G(M) = H$ . Let  $\rho$  be an element of  $F$  not centralizing  $M$ . Then  $\rho \in N_G(C_G(M)) \setminus C_G(M) = N \setminus H$ . Let  $L = E \wedge E^\rho$ . We have  $L^\rho = L$ , as  $E$  is cyclic. By Lemma 1.2,  $E \cdot E^\rho = \langle D | L \leq D \leq H, D \text{ cyclic of order } |E| \rangle$ , so that  $(E \cdot E^\rho)^\lambda = E \cdot E^\rho$ , as  $L^\lambda = L$  by (ii). Hence  $E^\lambda \leq E \cdot E^\rho \leq F$ , and we are done.

We now prove that  $\varphi$  is inclusion preserving. Let  $E_1, E_2$  be subgroups of  $G$  such that  $E_1 \leq E_2$ , and let  $S_1$  be a 3-Sylow subgroup of  $E_1$  and  $S_2$  a 3-Sylow subgroup of  $E_2$  containing  $S_1$ . By the previous observation we have  $S_1^\varphi \leq S_2^\varphi$ .

If  $E_2$  belongs to  $\mathcal{Z}$ , then  $E_2 = S_2 C_2$ , where  $C_2$  is the unique normal complement of  $S_2$ . Hence  $C_1 = E_1 \wedge C_2$  is a normal Hall subgroup of  $E_1$  with  $E_1 = S_1 C_1$ , so that  $E_1^\varphi = S_1^\varphi C_1 \leq S_2^\varphi C_2 = E_2^\varphi$ , and we are done.

Now assume  $E_2$  does not belong to  $\mathcal{Z}$ . Hence  $E_2^\varphi = E_2$  and  $S_2^\varphi = S_2$ . If  $E_1^\varphi = E_1$ , we are done. So assume  $E_1^\varphi \neq E_1$ , so that  $E_1$  belongs to  $\mathcal{Z}$ ,  $E_1 = S_1 C_1$ , say. Then  $E_1^\varphi = S_1^\varphi C_1 \leq \langle S_2, E_2 \rangle = E_2$  and we are done. ■

We are now in the position to prove that the conditions given in Theorem 1.12 are also sufficient.

**THEOREM 2.7.** *Let  $G$  be the group  $SL_3(K)$ . Let  $\lambda$  be an autoprojectivity of the group  $H$  of diagonal matrices of  $G$  such that*

- (a)  $\lambda$  commutes with the action of  $W$ ;
- (b)  $\lambda$  fixes every subgroup of  $H$  which is fixed by a non-trivial element of  $W$ ;
- (c) let  $s$  be an  $r$ -element of  $H$  not satisfying  $(*)'$ . Then  $\lambda$  fixes  $\langle s \rangle$  if  $s$  is determined, and it fixes  $\langle s^3 \rangle$  otherwise.

*Then there exists a (unique) exceptional autoprojectivity of  $G$  inducing  $\lambda$  on  $H$ .*

*Proof.* We define the following autoprojectivities of  $H$ . We let  $\eta$  be the autoprojectivity inducing  $\lambda$  on  $H_r$  for every  $r$  different from 3, and inducing the identity on  $H_3$ , and we let  $\rho$  be the autoprojectivity inducing  $\lambda$  on  $H_3$  and the identity on  $H_r$  for every  $r$  different from 3.

It follows that  $\eta$  satisfies the hypothesis of Proposition 2.4 and  $\rho$  satisfies the hypothesis of Proposition 2.5. We can therefore extend  $\eta, \rho$

respectively to exceptional autoprojectivities  $\psi, \omega$  of  $G$ . We finally put  $\varphi = \psi\omega$  to get the required result. ■

We can use Theorems 1.12 and 2.7 to give a description of the group  $\Gamma(G)$ . For this purpose, we first describe the structure of  $\text{Aut } L(H)$ .

For every prime  $q$  different from  $p$ , let  $\nu(q) = v_q(|(K^\times)_q|)$ . We have  $H = \oplus H_q$ , with  $H_q \cong C_{q^{\nu(q)}} \times C_{q^{\nu(q)}}$ . The determination of the group  $\text{Aut } L(H)$  is then reduced to the study of the group of autoprojectivities of  $C_{q^{\nu(q)}} \times C_{q^{\nu(q)}}$ .

So let  $r$  be a prime, and let  $R = C_{r^\nu} \times C_{r^\nu}$ , where  $1 \leq \nu \leq \infty$ . We introduce the meet-semilattice of a group. For every group  $X$ , we define  $M(X)$  to be the set of all cyclic subgroups of  $X$ . Therefore  $M(X)$  is a subset of the lattice  $L(X)$  with the property that for every  $A, B$  in  $M(X)$ ,  $A \wedge B$  lies in  $M(X)$  (for a more general definition see [1, p. 22]). A bijection  $\varphi$  of  $M(X)$  onto itself will be called an automorphism of  $M(X)$  if we have  $A \leq B$  if and only if  $A^\varphi \leq B^\varphi$ . If  $\varphi$  is an autoprojectivity of  $X$ , then clearly the restriction of  $\varphi$  to  $M(X)$  is an automorphism of  $M(X)$ . We are interested in the converse, i.e., whether an automorphism of  $M(X)$  can be extended (uniquely) to an autoprojectivity of  $X$ . This is not in general true, as one can see from the following example. Let  $X$  be the group  $C_r \times C_r \times C_r$ . Then every permutation of  $M(X)$  fixing the identity subgroup is an automorphism of  $M(X)$ , but the automorphism  $\varphi$  interchanging the cyclic subgroups  $C_r \times \{1\} \times \{1\}$  and  $\{1\} \times \{1\} \times C_r$ , and fixing all the other elements of  $M(X)$  cannot be extended to an autoprojectivity of  $X$ . We show that for the group  $R$  every automorphism of  $M(R)$  can be extended to an autoprojectivity of  $R$ . We state without proof an easy lemma.

LEMMA 2.8. *Let  $X$  be a group. Suppose that for every  $n$  in  $\mathbb{N}$  we have a subgroup  $X_n$  of  $X$  and an autoprojectivity  $\varphi_n$  of  $X_n$  such that  $X_n \leq X_{n+1}$  for every  $n$  in  $\mathbb{N}$ ,  $\bigcup_{n \in \mathbb{N}} X_n = X$ ,  $Y^{\varphi_n} = Y^{\varphi_{n+1}}$  for every  $n$  in  $\mathbb{N}$  and every subgroup  $Y$  of  $X_n$ .*

*Then there exists a unique autoprojectivity  $\varphi$  of  $X$  such that  $Y^\varphi = Y^{\varphi_n}$  for every  $n$  in  $\mathbb{N}$  and every subgroup  $Y$  of  $X_n$ .*

PROPOSITION 2.9. *If  $\varphi$  is an automorphism of  $M(R)$ , then there exists a unique autoprojectivity  $\psi$  of  $R$  inducing  $\varphi$  on  $M(R)$ .*

*Proof.* For every  $n$ , let  $R_n = \Omega_n(R)$  and let  $\varphi_n$  be the automorphism of  $M(R_n)$  induced by  $\varphi$ . By Lemma 3 in [8], there exists a unique autoprojectivity  $\psi_n$  of  $R_n$  inducing  $\varphi_n$  on  $M(R_n)$ . By Lemma 2.8 there exists an autoprojectivity  $\psi$  of  $R$  such that  $Y^\psi = Y^{\psi_n}$  for every  $n$  in  $\mathbb{N}$  and every subgroup  $Y$  of  $R_n$ . It is clear that  $\psi$  induces  $\varphi$  on  $M(R)$ . ■



We can therefore prove

**PROPOSITION 2.10.** *If for every prime  $q$  we have an automorphism  $\varphi_q$  of  $M(H_q)$ , then there exists a (unique) autoprojectivity  $\varphi$  of  $H$  such that  $X^{\varphi_q} = X^\varphi$  for every  $q$  and every cyclic subgroup  $X$  of  $H_q$ .*

*Proof.* By Proposition 2.9, we can extend every  $\varphi_q$  to an autoprojectivity  $\overline{\varphi}_q$  of  $H_q$ . If  $X$  is any subgroup of  $H$ , we define  $X^\varphi = \bigvee X^{\overline{\varphi}_q}$ . Then  $\varphi$  is an autoprojectivity of  $H$  and it induces  $\varphi_q$  on  $M(H_q)$ . ■

We actually have  $\text{Aut } L(H) \cong \text{Cr Aut } M(H_q)$ , since every autoprojectivity of  $H$  is index-preserving. We shall identify  $\text{Aut } L(H)$  with  $\text{Cr Aut } M(H_q)$ .

**LEMMA 2.11.** *Let  $\mu$  be an autoprojectivity of  $H_r$ , for a certain prime  $r$ . If  $\mu$  fixes every cyclic subgroup of  $H_r$  which is fixed by a non-trivial element of  $W$ , then it also fixes every subgroup of  $H_r$  which is fixed by a non-trivial element of  $W$ . Moreover  $\mu$  commutes with the action of  $W$  if and only if we have  $C^{w\mu} = C^{\mu w}$  for every cyclic subgroup  $C$ .*

*Proof.* The second part is obvious. For the first, see the proof of Proposition 1.3. ■

We are now in the position to describe the group  $\Gamma(G)$ .

**DEFINITION 2.12.** *For every prime  $r$  different from  $p$  we denote by  $A_r$  the group of automorphisms  $\mu$  of  $H_r$ , satisfying the following conditions.*

- (a)  $\mu$  commutes with the action of  $W$ ;
- (b)  $\mu$  fixes every cyclic subgroup of  $H_r$  which is fixed by a non-trivial element of  $W$ ;
- (c) let  $s$  be an element of  $H_r$  not satisfying (\*'). Then  $\mu$  fixes  $\langle s \rangle$  if  $r$  is not 3. If  $r$  is 3, then  $\mu$  fixes  $\langle s \rangle$  if  $\langle s \rangle \geq Z$ , and it fixes  $\langle s^3 \rangle$  otherwise.

**THEOREM 2.13.** *Let  $G$  be the group  $SL_3(K)$ . For every element  $(\mu_q)_{q \neq p}$  in  $\text{Cr } A_q$ , there exists a unique exceptional autoprojectivity  $\varphi$  of  $G$  inducing  $\mu_q$  on  $H_q$  for each  $q$ . The map so obtained is an isomorphism of  $\text{Cr } A_q$  onto  $\Gamma(G)$ .*

*Proof.* For each  $q$ , let  $\Delta_q$  be the group of automorphisms of  $M(H_q)$  induced by  $\Gamma(G)$  on  $M(H_q)$ . By Theorem 1.12 and Corollary 1.8 we have  $\Delta_q \leq A_q$ . Let  $(\mu_q)_{q \neq p}$  be an element of  $\text{Cr } A_q$ , and let  $\mu$  be the unique autoprojectivity of  $H$  inducing  $\mu_q$  on  $H_q$ . By Lemma 2.11 and Theorem 2.7,  $\mu$  lies in  $\iota(\Gamma(G))$ . Hence, under the identification of  $\text{Aut } L(H)$  with  $\text{Cr Aut } M(H_q)$ , we get  $\iota(\Gamma(G)) \leq \text{Cr } \Delta_q \leq \text{Cr } A_q \leq \iota(\Gamma(G))$ , so that  $\Gamma(G)$  is isomorphic to  $\text{Cr } A_q$ . ■

*Remark.* It also follows that for each  $r$ ,  $A_r$  coincides with the group of automorphisms of  $M(H_r)$  induced by  $\Gamma(G)$  on  $M(H_r)$ . We describe the structure of  $A_r$ . For this purpose, let us consider, for each  $k$  in  $\mathbb{N}$ , the restriction maps  $\rho_k: A_r \rightarrow \text{Aut } M(\Omega_k(H_r))$ . We put  $A_{r,k} = \text{Im } \rho_k$ . Note that if  $r$  is not 3, then  $A_{r,k}$  coincides with the group of automorphisms of  $M(\Omega_k(H_r))$  satisfying the conditions (a), (b), (c) of Definition 2.12. We define the epimorphism  $\pi_k: A_{r,k+1} \rightarrow A_{r,k}$  by  $\pi_k(\rho_{k+1}(f)) = \rho_k(f)$  for every  $f$  in  $A_r$ . It is then clear that  $A_r$  is (isomorphic to) the inverse limit of the system  $(A_{r,k}, \pi_k)_{k \in \mathbb{N}}$ . We show that for each  $k$ ,  $A_{r,k+1}$  contains a copy of  $A_{r,k}$ , and that  $A_{r,k+1} \cong \Gamma_{r,k+1} \rtimes A_{r,k}$ , where  $\Gamma_{r,k+1} = \ker \pi_k$ . Let  $k$  be in  $\mathbb{N}$ . We define a map  $j_k: A_{r,k} \rightarrow A_r$ . Let  $E_1, \dots, E_s$  be representatives of  $W$ -orbits of length 6 of cyclic subgroups of order  $r^k$  of  $H$  (if there are no such subgroups, we have  $A_{r,k} = \{1\}$ ). For each  $i = 1, \dots, s$ , we put  $\mathcal{E}_i = \{X \mid X \in M(H_r), X \geq E_i\}$ . Let  $i_0$  be in  $\{1, \dots, s\}$ . We denote by  $E_{i_0,1}, \dots, E_{i_0,r}$  the  $r$  cyclic subgroups of order  $r^{k+1}$  containing  $E_{i_0}$ . If  $X$  is an element of  $\mathcal{E}_{i_0}$  of order  $r^{k+n}$ , with  $n \geq 2$ , we write  $X = E_{i_0,i_1, \dots, i_n}$ , with  $i_1, \dots, i_n \in \{1, \dots, r\}$  choosing the indices in order to have  $\Omega_{k+n-1}(X) = E_{i_0,i_1, \dots, i_{n-1}}$ . Let  $\varphi$  be in  $A_{r,k}$ . We define the bijection  $j_k(\varphi)$  of  $M(H_r)$  onto itself as follows. Let  $X \in M(H_r)$ . If  $X$  lies in  $\Omega_k(H_r)$ , we put  $X^{j_k(\varphi)} = X^\varphi$ . Now assume  $X$  has order  $r^{k+n}$ , with  $n$  in  $\mathbb{N}$ . If  $\Omega_k(X)$  has  $W$ -orbit of length less than 6, we put  $X^{j_k(\varphi)} = X$ . Otherwise there exists uniquely  $i_0$  in  $\{1, \dots, s\}$ ,  $i_1, \dots, i_n$  in  $\{1, \dots, r\}$ , and  $w$  in  $W$  such that  $X = E_{i_0,i_1, \dots, i_n}^w$ . Since  $\varphi$  lies in  $A_{r,k}$ , the  $W$ -orbit of  $E_{i_0}^\varphi$  has length 6. Hence there exists a unique  $\sigma(i_0)$  in  $\{1, \dots, s\}$  and a unique  $w(i_0)$  in  $W$ , such that  $E_{i_0}^\varphi = E_{\sigma(i_0)}^{w(i_0)}$ . We put  $X^{j_k(\varphi)} = E_{\sigma(i_0),i_1, \dots, i_n}^{w(i_0)}$ . It follows that  $j_k(\varphi)$  is an automorphism of  $M(H_r)$  satisfying the conditions (a), (b), (c) of Definition 2.12, so that it lies in  $A_r$ . The map  $j_k$  is a monomorphism from  $A_{r,k}$  to  $A_r$ , and we have  $\rho_k(j_k(\varphi)) = \varphi$ . In particular  $A_r$  is isomorphic to  $\ker \rho_k \rtimes A_{r,k}$ . Moreover we can get a similar decomposition for all the finite groups  $A_{r,k}$ . For every  $k$  we define the monomorphism  $\delta_k: A_{r,k} \rightarrow A_{r,k+1}$  as the composite of  $j_k$  and  $\rho_{k+1}$ . Then we have  $A_{r,k+1} = \Gamma_{r,k+1} \rtimes \delta_k(A_{r,k})$ , with  $\delta_k(A_{r,k})$  isomorphic to  $A_{r,k}$ , and  $\Gamma_{r,k+1} = \ker \pi_k$ . We have therefore a recursive way to get all the groups  $A_{r,k}$  starting from  $A_{r,1}$ .

So far we dealt with the group  $SL_3(K)$ . We now describe the relation between the groups  $\text{Aut } L(SL_3(K))$  and  $\text{Aut } L(PSL_3(K))$ . Every autoprojectivity  $\psi$  of  $G$  fixes the centre  $Z(G)$  of  $G$ , so that it induces the autoprojectivity  $\bar{\psi}$  of  $G/Z(G) = PSL_3(K)$ . Hence there is a natural homomorphism  $\pi: \text{Aut } L(G) \rightarrow \text{Aut } L(G/Z(G))$ . We shall show that  $\pi$  is always surjective, and that it is injective if and only if the 3-component of  $K^\times$  is infinite or is the identity or has order 3. For this purpose we make the following observation. The statements are trivial if the 3-component of

$K^\times$  is the identity. So assume  $1 \leq \nu \leq \infty$ , where  $\nu = \nu_3(|(K^\times)_3|)$ , so that  $Z(G) = Z$ . We observe that all the discussion we have done at the beginning for  $G$  can be extended to  $G/Z$ , as already proved for finite fields in [17]. In particular we have  $\text{Aut } L(G/Z) = \Gamma(G/Z) \rtimes \text{Aut}(G/Z)$ , and  $\Gamma(G/Z)$  embeds into  $\text{Aut } L(H/Z)$ . From the fact that every automorphism of  $G/Z$  is induced by a unique automorphism of  $G$  (cf. [7, Chap. IV, Sect. 6]), we are left to study the restriction of  $\pi$  (that we still denote by  $\pi$ ) from  $\Gamma(G)$  to  $\Gamma(G/Z)$ . To describe  $\ker \pi$  we introduce the set  $\mathcal{A}$  of all the cyclic subgroups  $C$  of  $H$  of order  $3^\nu$ , with the  $W$ -orbit of  $C$  of length 6 and  $C \not\geq Z$ .  $\mathcal{A}$  is non-empty if and only if  $2 \leq \nu < \infty$ , and in this case it has  $3^\nu - 3$  elements. Moreover  $\mathcal{A}$  is clearly invariant under the action of  $\Gamma(G)$ .

**PROPOSITION 2.14.**  *$\pi$  is injective if and only if  $\nu = 0, 1, \infty$ . In the other cases  $\ker \pi$  is isomorphic to  $C_2 \times (S_3)^m$ , where  $m = (3^{\nu-2} - 1)/2$ .*

*Proof.* We prove that  $\pi$  is injective if  $(K^\times)_3$  is infinite or it has order 3. Let  $\varphi$  be an (exceptional) autoprojectivity of  $G$  fixing every subgroup containing  $Z$ . To prove that  $\varphi$  is the identity it is enough to show that it fixes every cyclic  $r$ -subgroup  $\langle s \rangle$  of  $H$ . If  $r$  is not 3, then  $\langle s \rangle$  is the unique  $r$ -Sylow subgroup of  $\langle s, Z \rangle$  which is fixed by  $\varphi$ , and we are done. Suppose now  $r = 3$ . If  $\nu = 1$ , then we have  $\langle s \rangle^\varphi = \langle s \rangle$  by Theorem 1.1(f). If  $\nu = \infty$ , we consider two cases. If  $\langle s \rangle \geq Z$ , then we are done. Otherwise let  $y$  be an element of  $H$  such that  $y^3 = s$ . We have  $\text{Frat}(\langle y, Z \rangle) = \langle s \rangle$ . Hence  $\langle s \rangle^\varphi = \langle s \rangle$ , as the Frattini subgroup is clearly an invariant under projectivities.

To complete the proof it is enough to show that if  $2 \leq \nu < \infty$ , then  $\ker \pi$  is isomorphic to  $C_2 \times (S_3)^m$ , where  $m = (3^{\nu-2} - 1)/2$ . So we assume  $2 \leq \nu < \infty$ .

Let  $a$  be an element of order  $3^\nu$  in  $K^\times$ , and let  $s = \text{diag}(a, a^{-1}, 1)$ . Let  $X_1, X_2$  be the cyclic subgroups of order  $3^\nu$  containing  $s^3$  and different from  $\langle s \rangle$ . Then  $X_1, X_2$  lie in  $\mathcal{A}$ . Note that if  $\psi$  is in  $\Gamma(G)$ , then  $X_1^\psi = X_1$  or  $X_2$ . If  $\mathcal{A} = X_1^W$  (i.e., if  $\nu = 2$ ), then we are done, since we can define the autoprojectivity  $\mu$  of  $H_3$ , fixing every cyclic subgroup not in  $\mathcal{A}$ , and such that  $X_1^{w\lambda} = X_2^w$  for each  $w$  in  $W$  (note that there exists an involution  $\sigma$  in  $W$  such that  $X_1^\sigma = X_2$ ). We then extend  $\mu$  to the autoprojectivity  $\lambda$  of  $H$  which is the identity on  $H_q$  for every  $q \neq 3$ . By Theorem 2.13,  $\lambda$  can be extended to an element of  $\Gamma(G)$ , which lies in  $\ker \pi$ .

Suppose now  $\mathcal{A} \neq X_1^W$ , and let  $Y_1$  be in  $\mathcal{A} \setminus X_1^W$ . Let  $W_1, Z_1$  be the cyclic subgroups order  $3^\nu$  containing  $Y_1^3$  and different from  $Y_1$ . If  $\psi$  is in  $\ker \pi$ , then  $\psi$  leaves the set  $\{Y_1, W_1, Z_1\}$  invariant. Moreover  $Y_1^W, W_1^W, Z_1^W$  are pairwise distinct orbits of length 6, and for each permutation  $\tau$  of the set  $\{Y_1, W_1, Z_1\}$  we can define a (unique) element  $\varphi_\tau$  of  $\ker \pi$  fixing every

cyclic  $r$ -subgroup not in  $Y_1^W \cup W_1^W \cup Z_1^W$  and such that  $Y_1^{\varphi^r} = Y_1^r$ ,  $W_1^{\varphi^r} = W_1^r$ , and  $Z_1^{\varphi^r} = Z_1^r$ . If  $\mathcal{A} = X_1^W \cup Y_1^W \cup W_1^W \cup Z_1^W$ , i.e., if  $\nu = 3$ , then we are done with  $m = 1$ . Otherwise we keep on with this process till we exhaust  $\mathcal{A}$ . ■

**THEOREM 2.15.** *If  $\nu = 0, 1, \infty$ , then  $\Gamma(G/Z)$  and  $\Gamma(G)$  are isomorphic. If  $2 \leq \nu < \infty$ , then  $\Gamma(G)$  is isomorphic to  $(C_2 \times (S_3)^m) \rtimes \Gamma(G/Z)$ , where  $m = (3^{\nu-2} - 1)/2$ .  $\pi$  is always surjective.*

*Proof.* The statement is trivial if  $\nu = 0$ . So we assume  $1 \leq \nu \leq \infty$ . Let  $f$  be in  $\Gamma(G/Z)$ , and let  $\mu$  be the autoprojectivity of  $H/Z$  induced by  $f$ . Our aim is to define an autoprojectivity  $\lambda$  of  $H$  fixing  $Z$ , inducing  $\mu$  on  $H/Z$ , and satisfying the hypothesis of Theorem 2.7. By Theorem 2.13, it is enough to define  $\lambda$  on  $M(H_r)$ , and prove that this restriction lies in  $\Lambda_r$ .

Let  $s$  be an  $r$ -element of  $H$ . If there exists a non-trivial element of  $W$  fixing  $\langle s \rangle$ , we put  $\langle s \rangle^\lambda = \langle s \rangle$  (note that in this case  $\mu$  fixes  $\langle sZ \rangle$ ). So now assume that  $\langle s \rangle$  is not fixed by any non-trivial element of  $W$ .

Let  $X$  be the unique subgroup of  $H$  containing  $Z$  such that  $(\langle s, Z \rangle/Z)^\mu = X/Z$ . If  $r$  is not 3 we define  $\langle s \rangle^\lambda$  to be the unique  $r$ -Sylow subgroup of  $X$ . If  $r = 3$  and  $\langle s \rangle \geq Z$ , we put  $\langle s \rangle^\lambda = X$ . We are left with the case  $r = 3$  and  $\langle s \rangle \not\geq Z$ . Here we consider two cases. If there exists  $y$  in  $H$  such that  $y^3 = s$  (and this is always the case if  $\nu = \infty$ ), we put  $\langle s \rangle^\lambda = \text{Frat } Y$ , where  $Y$  is the unique subgroup of  $H$  containing  $Z$  such that  $(\langle y, Z \rangle/Z)^\mu = Y/Z$ . Finally suppose there does not exist  $y$  in  $H$  such that  $y^3 = s$ . This means  $\nu < \infty$ ,  $s$  has order  $3^\nu$ , and  $\nu \geq 2$ , since the  $W$ -orbit of  $\langle s \rangle$  has length 6. We are therefore left to define  $\lambda$  on the set  $\mathcal{A}$  previously defined. We write  $\mathcal{A}$  as the disjoint union of  $W$ -orbits in the following way.  $\mathcal{A} = X_1^W \cup (X_{1,1}^W \cup X_{1,2}^W \cup X_{1,3}^W) \cup \dots \cup (X_{m,1}^W \cup X_{m,2}^W \cup X_{m,3}^W)$ , where  $X_1 = \langle \text{diag}(a, a^{-1+3^{\nu-1}}, a^{-3^{\nu-1}}) \rangle$ ,  $m = (3^{\nu-2} - 1)/2$ , and  $X_{i,1}^3 = X_{i,2}^3 = X_{i,3}^3$  for each  $i = 1, \dots, m$ . We denote this last subgroup by  $Y_i$ . We define  $\lambda$  on  $X_1$  and the  $X_{i,j}$ 's, and extend the definition of  $\lambda$  on  $\mathcal{A}$ , requiring commutativity with the  $W$ -action. We put  $X_1^\lambda = X_1$ . For each  $i$  in  $\{1, \dots, m\}$ , we note that the  $W$ -orbit of  $Y_i$  has length 6, and that we have already defined  $Y_i^\lambda$ . Since  $\mu$  commutes with the  $W$ -action (cf. the theorem in [17]), there exists a unique  $\sigma(i)$  in  $\{1, \dots, m\}$ , and a unique  $w(i)$  in  $W$  such that  $Y_i^\lambda = Y_{\sigma(i)}^{w(i)}$ . We put  $X_{i,j}^\lambda = X_{\sigma(i),j}^{w(i)}$  for each  $j = 1, 2, 3$ . Hence we have defined the autoprojectivity  $\lambda$  of  $H$ , inducing  $\mu$  on  $H/Z$ , commuting with the  $W$ -action and fixing every subgroup of  $H$  fixed by a non-trivial element of  $W$ . Suppose now that  $s$  does not satisfy  $(*)'$ . With a procedure similar to the one used in Lemma 1.10, one can show that  $(\langle s, Z \rangle/Z)^\mu = \langle s, Z \rangle/Z$ . Hence  $\langle s \rangle^\lambda = \langle s \rangle$  if  $s$  is determined, and  $\langle s^3 \rangle^\lambda = \langle s^3 \rangle$  if  $s$  is almost determined.

By Theorem 2.7, there exists a unique element  $\varphi$  in  $\Gamma(G)$  inducing  $\lambda$  on  $H$  and therefore  $\mu$  on  $H/Z$ . Then the exceptional autoprojectivities  $\bar{\varphi}$  and

$f$  of  $G/Z$  both induce  $\mu$  on  $H/Z$ , so that they must coincide. We have therefore proved that  $\pi$  is always surjective. We now prove the rest of the assertions. If  $\nu = 0, 1, \infty$ , then, by Proposition 2.14,  $\pi$  is an isomorphism, and we are done. So suppose  $2 \leq \nu < \infty$ . To underline the fact that  $\varphi$  depends on  $f$ , we write  $\varphi_f$  instead of  $\varphi$ , and we put  $M = \{\varphi_f | f \in \Gamma(G/Z)\}$ . From a direct calculation it follows that the map  $f \mapsto \varphi_f$  is a homomorphism. Since  $\pi(\varphi_f) = f$ , it follows that  $\Gamma(G)$  is the semidirect product of  $\ker \pi$  and  $M$ , and that  $M$  is isomorphic to  $\Gamma(G/Z)$ . Then we are done by Proposition 2.14. ■

As a corollary of the proof of Proposition 2.14 we get

**COROLLARY 2.16.** *For every  $X$  in  $\mathcal{A}$ , there exists  $\varphi$  in  $\Gamma(G)$  such that  $X^\varphi \neq X$ .*

### 3. THE MAIN RESULT

In this section we shall use Theorem 2.13 to construct exceptional autoprojectivities of  $G$  for certain families of fields  $K$ . We first give some arithmetic conditions between the primes  $p$  and  $r$  such that every  $\varphi$  in  $\Gamma(G)$  induces the identity on  $H_r$ .

Let  $r$  be a prime different from  $p$ , and let  $n$  be in  $\mathbb{N}$ . We denote by  $\delta(n)$  the order of  $p$  in  $(\mathbb{Z}/r^n\mathbb{Z})^\times$  (hence  $\mathbb{F}_{p^{\delta(n)}}$  is the smallest subfield of  $\overline{\mathbb{F}}_p$  containing elements of order  $r^n$ ). We shall write  $\delta$  instead of  $\delta(1)$ .

**PROPOSITION 3.1.** *Let  $s$  be an element of order  $r^n$  of  $H$  not satisfying  $(*)'$ . Suppose  $v_r(|(K^\times)_r|) \geq n + 1$  and we are in one of the following situations:*

- (a)  $s$  is almost determined or  $s$  is determined but  $r \neq 3$ , and  $r^{n+1} \nmid p^{\delta(n)} - 1$ ;
- (b)  $r = 3$ ,  $n \geq 2$ ,  $s$  is determined and  $3^n \leq p^{\delta(n-1)} - 1$ .

*Then every cyclic subgroup of  $H$  of order  $r^{n+1}$  containing  $\langle s \rangle$  is generated by an element not satisfying  $(*)'$ .*

*Proof.* Let  $\alpha_i(s)^{p^m} = \alpha_j(s)$  with  $i < j$ . Let  $C$  be a cyclic subgroup of  $H$  of order  $r^{n+1}$  containing  $\langle s \rangle$ . Since  $\alpha_i(s)$  and  $\alpha_j(s)$  in this case have the same order, this must be the maximal possible order  $r^f$  among the orders of  $\alpha_1(s), \alpha_2(s), \alpha_3(s)$ . If  $r$  is not 3, then  $f = n$ . If  $r = 3$ , then we have  $f = n$  if  $\langle s \rangle \not\geq Z$  and  $f = n - 1$  if  $\langle s \rangle \geq Z$ . Suppose we are in case (a), so

that we have  $|\alpha_i(s)| = |\alpha_j(s)| = r^n$ . We put  $m_1 = m$ ,  $m_2 = m + \delta(n)$ ,  $\dots$ ,  $m_r = m + (r - 1)\delta(n)$ . Suppose  $r$  is not 3. For each  $k = 1, \dots, r$ , there exists an element  $t_k$  of order  $r^{n+1}$  such that  $\alpha_i(t_k)^{p^{m_i + (k-1)\delta(n)}} = \alpha_j(t_k)$ . Since  $r^{n+1} \nmid p^{\delta(n)} - 1$ , the groups  $\langle t_1 \rangle, \dots, \langle t_r \rangle$  are all distinct, so that there exists  $k$  such that  $C = \langle t_k \rangle$  and we are done. If  $r$  is 3, for each  $k$  there are 3 distinct subgroups  $\langle t_{k,1} \rangle, \langle t_{k,2} \rangle$ , and  $\langle t_{k,3} \rangle$  such that  $\alpha_i(t_{k,l})^{p^{m_i + (k-1)\delta(n)}} = \alpha_j(t_{k,l})$  for each  $l = 1, 2, 3$ . Then there exist a unique  $k$  and a unique  $l$  such that  $C = \langle t_{k,l} \rangle$ , and we are done.

Suppose now we are in case (b). Here we have  $|\alpha_i(s)| = |\alpha_j(s)| = 3^{n-1}$ . We put  $m_1 = m$ ,  $m_2 = m + \delta(n - 1)$ ,  $m_3 = m + 2\delta(n - 1)$ . For each  $k = 1, 2, 3$ , let  $t_k$  be an element of order  $3^{n+1}$  such that  $\alpha_i(t_k)^{p^{m_i + (k-1)\delta(n-1)}} = \alpha_j(t_k)$ . Since  $3^n \nmid p^{\delta(n-1)} - 1$ , the groups  $\langle t_1 \rangle, \dots, \langle t_3 \rangle$  are all distinct, so that there exists  $k$  such that  $C = \langle t_k \rangle$  and we are done. ■

LEMMA 3.2. *If  $r > 2$ ,  $a \equiv 1 \pmod r$  or  $r = 2$ ,  $a \equiv 1 \pmod 4$  then we have  $v_r(a^\beta - 1) = v_r(\beta) + v_r(a - 1)$ .*

*Proof.* See [13, p. 401, Formula (8)]. ■

THEOREM 3.3. *Let  $\varphi$  be in  $\Gamma(G)$ , and let  $s$  be an element of order  $r^n$  of  $H$  not satisfying (\*'). Let  $\nu = v_3(|(K^\times)_3|)$ . Suppose one of the following condition holds:*

- (i) *if  $r$  is at least 5,  $n = v_r(p^\delta - 1)$ ;*
- (ii)  *$r = 2$ ,  $n = v_2(p^{\delta(2)} - 1)$ .*
- (iii)  *$r = 3$ , then  $n = v_3(p^\delta - 1)$  if  $\langle s \rangle \not\cong Z$  and  $n - 1 = v_3(p^\delta - 1)$  if  $\langle s \rangle \cong Z$ .*

*Then  $\varphi$  fixes every cyclic  $r$ -subgroup of  $H$  containing  $s$  if  $s$  is determined. If  $s$  is almost determined, then  $\varphi$  fixes every cyclic subgroup containing  $s^3$  and not containing  $Z$  of order less than  $3^\nu$  (all of them if  $\nu = \infty$ ).*

*Proof.* Suppose  $s$  is determined. If  $r$  is not 3, by Lemma 3.2 we have  $\delta(n + j) = \delta(n)r^j$ , for every  $j$  in  $\mathbb{N}$ . By Proposition 3.1 and induction on  $j$ , every cyclic subgroup  $C$  of  $H$  containing  $\langle s \rangle$  is generated by a determined element. Hence  $\varphi$  fixes  $C$  by Proposition 1.11. If  $r$  is 3, by Lemma 3.2 we get  $\delta(n + j - 1) = \delta(n - 1)3^j$ , for every  $j$ . Then we conclude as in the previous case.

Suppose now that  $s$  is almost determined. If  $\nu = n$ , then we have  $\langle s^3 \rangle^\varphi = \langle s^3 \rangle$  by Proposition 1.11 and we are done. So assume  $\nu > n$ . We prove that  $\varphi$  fixes every cyclic subgroup  $C$  of  $H$  of order  $3^n$  containing  $s^3$ , and not containing  $Z$ . Lemma 3.2 and induction will then give the result for every  $C$  of order less than  $3^\nu$  and for all of them if  $\nu = \infty$ . Since

$C \leq \langle s \rangle \times Z$ ,  $C$  is generated by an almost determined element. By Proposition 3.1, every cyclic subgroup of  $H$  of order  $3^{n+1}$  containing  $C$  is generated by an almost determined element. Then by Proposition 1.11,  $\varphi$  fixes  $C$ . ■

**COROLLARY 3.4.** *Let  $\varphi$  be in  $\Gamma(G)$ , and let  $\nu = v_3(|(K^\times)_3|)$ .*

*If  $r$  is at least 5,  $r^2 \nmid p^{r-1} - 1$ , and  $s$  is an element of order  $r$  of  $H$  not satisfying  $(**')$ , then  $\varphi$  fixes every cyclic  $r$ -subgroup of  $H$  containing  $s$ .*

*If  $p \equiv 3$  or  $5 \pmod{8}$ , then  $\varphi$  fixes every 2-subgroup of  $H$ .*

*If  $p \equiv 2, 4, 5$ , or  $7 \pmod{9}$ , then  $\varphi$  fixes every cyclic 3-subgroup of  $H$  not containing  $Z$  of order less than  $3^\nu$  (all of them if  $\nu = \infty$ ). The same holds if  $p \equiv 8, 10, 17$ , or  $19 \pmod{27}$ .*

*If  $p \equiv 2, 4, 5$ , or  $7 \pmod{9}$ , then  $\varphi$  fixes every cyclic 3-subgroup of  $H$  containing  $Z$ .*

*Proof.* Suppose  $r \geq 5$ . Since  $\varphi$  commutes with the inner automorphisms, we may assume that  $s$  is determined. The condition  $r^2 \nmid p^{r-1} - 1$  implies  $v_r(p^r - 1) = 1$ , so that we are done by Theorem 3.3. Suppose now  $s$  is a 2-element of  $H$ . We already know that  $\varphi$  fixes every cyclic subgroup of order 2, 4, and 8, since the  $W$ -orbits of such groups have length 3. So assume  $s$  has order at least 16. Let  $s'$  be an element of order 4 in  $\langle s \rangle$  if  $p \equiv 5 \pmod{8}$ , and of order 8 if  $p \equiv 3 \pmod{8}$ . Suppose  $p \equiv 5 \pmod{8}$ . Every element of order 4 does not satisfy  $(**')$ , so we may suppose that  $s'$  is determined. We have  $\delta(2) = 1$ ,  $v_2(p - 1) = 2$ , so that by Theorem 3.3,  $\varphi$  fixes every cyclic 2-subgroup containing  $s'$ . Hence  $\varphi$  fixes  $\langle s \rangle$ . If  $p \equiv 3 \pmod{8}$ , from a direct calculation (see the next Section) it follows that every element of order 8 does not satisfy  $(**')$ . Then we conclude by Theorem 3.3, as  $\delta(2) = 2$ ,  $v_2(p^2 - 1) = 3$ .

Suppose finally that  $s$  is a 3-element of  $H$ . We note that every element of order 3 or of order 9 does not satisfy  $(**')$ . We first consider the case when  $\langle s \rangle \not\geq Z$ . If  $\nu = 1, 2$ , there is nothing to prove, since by Theorem 1.1(f),  $\varphi$  fixes every subgroup of order 3. So we assume  $\nu \geq 3$ , and  $s$  of order  $3^{\nu-1}$  if  $\nu \neq \infty$ , and  $s$  of order at least 9 if  $\nu = \infty$ . Let  $s', s''$  be elements of order respectively 3 and 9 in  $\langle s \rangle$ . We may suppose  $s'$  and  $s''$  are almost determined. If  $p \equiv 4, 7 \pmod{9}$ , we have  $\delta = 1$ ,  $v_3(p - 1) = 1$ . If  $p \equiv 2, 5 \pmod{9}$ , we have  $\delta = 2$ ,  $v_3(p^2 - 1) = 1$ . Then we conclude applying Theorem 3.3 to  $\langle s' \rangle$ . Suppose now that  $p \equiv \pm 1 \pmod{9}$ . If  $p \equiv 10, 19 \pmod{27}$ , we get  $\delta = 1$ ,  $v_3(p - 1) = 2$ . If  $p \equiv 8, 17 \pmod{27}$ , we get  $\delta = 2$ ,  $v_3(p^2 - 1) = 2$ . Again we conclude by Theorem 3.3 applied to  $\langle s'' \rangle$ .

Suppose now  $\langle s \rangle \geq Z$ . If  $s$  has order 3, then  $\langle s \rangle = Z$  and we are done. So suppose  $s$  has order at least 9, and  $p \equiv 2, 4, 5, 7 \pmod{9}$ . Let  $s'$  be an

element of order 9 in  $\langle s \rangle$ . We may assume  $s'$  is determined. Then  $\varphi$  fixes  $\langle s \rangle$  by Theorem 3.3 applied to  $\langle s' \rangle$ . ■

From the discussion so far developed, it is clear that to construct autoprojectivities of  $H$  satisfying the conditions of Theorem 2.13, we need a more explicit description of elements of  $H$  satisfying  $(**')$ . We recall that if  $r$  is a prime different from  $p$ , and  $n$  is in  $\mathbb{N}$ , we denoted by  $\delta(n)$  the order of  $p$  in  $(\mathbb{Z}/r^n\mathbb{Z})^\times$ .

**DEFINITION 3.5.** Let  $x, y$  be integers. We say that  $x, y$  are equivalent mod  $r^n$ , and we write  $x \sim y(r^n)$  (or  $x \sim y$  if there is no ambiguity) if there exists an integer  $\gamma$  with  $0 \leq \gamma < \delta(n)$  such that  $p^\gamma x \equiv y \pmod{r^n}$ .

This is clearly an equivalence relation. Also, if  $x, y \not\equiv 0 \pmod{r}$ , then  $x \sim y(r^n) \Leftrightarrow y/x$  lies in the subgroup of  $(\mathbb{Z}/r^n\mathbb{Z})^\times$  generated by  $p$ .

We fix an  $r$ -element  $s = \text{diag}(a, b, c)$  of  $H$  of order  $r^n$ . Since  $s$  satisfies  $(**')$  if and only if  $ws w^{-1}$  satisfies  $(**')$  for every  $w$  in  $W$ , we shall assume that  $a$  has order  $r^n$ . Then  $s = \text{diag}(a, a^h, a^{-1-h})$  for a certain integer  $h \pmod{r^n}$ . We put  $x = h - 1$ .

**PROPOSITION 3.6.**  $s$  does not satisfy  $(**')$  if and only if at least one of the following equivalences mod  $r^n$  holds:

$$x \sim \varepsilon(x + 3), \quad x \sim \varepsilon(2x + 3), \quad x + 3 \sim \varepsilon(2x + 3), \quad \text{with } \varepsilon = \pm 1.$$

*Proof.* This follows from a direct calculation. ■

If  $x$  is an integer with  $x \neq 0, -3$ , we put  $a_1(x) = (x + 3)/x$ ,  $a_2(x) = (2x + 3)/x$ ,  $a_3(x) = (2x + 3)/(x + 3)$ . Note that  $a_1(x)a_3(x) = a_2(x)$ . Whenever  $x$  and  $x + 3$  are invertible in  $\mathbb{Z}/r^n\mathbb{Z}$ , we shall consider the elements  $a_i(x)$  as elements of  $\mathbb{Z}/r^n\mathbb{Z}$ .

By Proposition 3.6,  $s$  does not satisfy  $(**')$  if and only if there exists an integer  $\gamma$  with  $0 \leq \gamma < \delta(n)$  such that  $x$  is a solution of one of the following linear equations mod  $r^n$ :

- (1)  $p^\gamma X = X + 3$ ,                    i.e.,  $(p^\gamma - 1)X = 3$ ,
- (2)  $p^\gamma X = -(X + 3)$ ,                i.e.,  $(p^\gamma + 1)X = -3$ ,
- (3)  $p^\gamma X = 2X + 3$ ,                    i.e.,  $(p^\gamma - 2)X = 3$ ,
- (4)  $p^\gamma X = -(2X + 3)$ ,                i.e.,  $(p^\gamma + 2)X = -3$ ,
- (5)  $p^\gamma(X + 3) = 2X + 3$ ,            i.e.,  $(p^\gamma - 2)X = 3(1 - p^\gamma)$ ,
- (6)  $p^\gamma(X + 3) = -(2X + 3)$ ,        i.e.,  $(p^\gamma + 2)X = -3(1 + p^\gamma)$ .



The problem is therefore reduced to studying the solutions of the above family  $\mathcal{F}_n$  of  $6\delta(n)$  equations (if  $\delta(n)$  is even and  $r$  is odd, then it is enough to study only  $3\delta(n)$  equations). It is clear that if  $r$  is not 3, each equation has at most one solution.

DEFINITION 3.7. Let  $y$  be an integer mod  $r^n$ . We denote by  $N_n(y)$  the number of equations in  $\mathcal{F}_n$  of which  $y$  is a solution.

COROLLARY 3.8.  $s$  satisfies  $(**')$  if and only if  $N_n(x) = 0$ .

We shall make use of the following

LEMMA 3.9. Let  $y$  be an integer mod  $r^n$ , and let  $A$  be a natural number. If for  $\delta(n) = A$  we have  $N_n(y) = 0$ , then whenever  $\delta(n)$  divides  $A$ , we have  $N_n(y) = 0$ .

*Proof.* This is obvious. ■

We describe more explicitly the situation when  $n = 1$ . We just write  $\delta$  for  $\delta(1)$ ,  $\mathcal{F}$  for  $\mathcal{F}_1$ , and  $N(y)$  for  $N_1(y)$ .  $H$  as  $r + 1$  subgroups of order  $r$ , which are permuted by  $W$ . Each of them, except  $\langle \text{diag}(1, a, a^{-1}) \rangle$ , has a generator of the form  $t_j = \text{diag}(a, a^j, a^{-1-j})$  for a unique integer  $j$  mod  $r$ . For convenience we put  $t_\infty = \text{diag}(1, a, a^{-1})$ , and denote by  $\Omega$  the set  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$ . If  $r = 2$ , there is just one  $W$ -orbit of length 3. If  $r = 3$ , then there is one orbit of length 3 and one orbit of a single element (the center of  $G$ ). If  $r \geq 5$  there are always two orbits of length 3 (the orbits of  $\langle t_0 \rangle$  and of  $\langle t_1 \rangle$ ), and there is one orbit of length 2 if and only if  $r \equiv 1 \pmod 3$  (the orbit of  $\langle t_\theta \rangle$ , where  $\theta^3 \equiv 1 \pmod r$ , but  $\theta \not\equiv 1 \pmod r$ ). All the other orbits have length six. If  $r = 2, 3$ , then  $s$  does not satisfy  $(**')$ . We assume  $r \geq 5$ . Each equation in  $\mathcal{F}$  has in particular at most one solution: we denote by  $x_i(\gamma)$  the solution of the  $i$ th equation corresponding to  $\gamma$  if this equation has a solution. Otherwise we put  $x_i(\gamma) = \infty$ . We denote by  $N(\infty)$  the number of equations with no solution (this notation is in fact consistent, as we could see introducing appropriate homogeneous coordinates for  $\Omega$ , and rewriting the equations (1)–(6) in terms of these coordinates). We define an action of  $W$  on  $\Omega$ . Let  $y$  be in  $\Omega$ ,  $Y = \langle t_{y+1} \rangle$ ,  $w$  in  $W$ . We put  $y^w = y'$ , where  $Y^w = \langle t_{y'+1} \rangle$  (convention:  $\infty = \infty - 1 = \infty + 1$ ). We get  $y^w = \{y, -y - 3, -y/(y + 1), -(2y + 3)/(y + 1), -(y + 3)/(y + 2), -(2y + 3)/(y + 2)\}$ . It is clear that the  $W$ -orbits of  $y$  and  $Y$  have the same length, and that  $N(y^w) = N(y)$  for every  $w$  in  $W$ . From the previous discussion, we always have the orbits  $\{0, -3, (r - 3)/2\}$  and  $\{\infty, -1, -2\}$ , and the orbit  $\{\theta - 1, \theta^2 - 1\}$  if  $r \equiv 1 \pmod 3$ . We are interested in the number  $m$  of orbits of length six. We have  $m = (r - 5)/6$  if  $r \not\equiv 1 \pmod 3$  and  $m = (r - 7)/6$  if  $r \equiv 1 \pmod 3$ . Let  $y_1, \dots, y_m$  be repre-

sentatives of each orbit of length six. We get the equations

$$\begin{aligned} 3N(0) + 3N(\infty) \\ + 6(N(y_1) + \cdots + N(y_m)) &= 6\delta \quad \text{if } r \not\equiv 1 \pmod{3}, \quad (\because) \\ 3N(0) + 3N(\infty) + 2N(\theta - 1) \\ + 6(N(y_1) + \cdots + N(y_m)) &= 6\delta \quad \text{if } r \equiv 1 \pmod{3}, \end{aligned}$$

with  $N(0), N(\infty) \geq 1$ , since  $0 = x_5(0)$  and  $\infty = x_1(0)$ . It is also clear that for every  $x$  in  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$  we have  $N(x) \in \{0, 1, 2, 3, 6\}$ ,  $N(0) \in \{1, 2\}$ , and  $N(\theta - 1) \in \{0, 3, 6\}$ .

*Observation 3.10.* In practice it is more convenient to study the equations (1)–(6) allowing the coefficients on the left hand side to be any element of a certain subgroup  $C$  of  $(\mathbb{Z}/r\mathbb{Z})^\times$ , and then analyse for which  $p$  we have  $\langle p \rangle \leq C$  (it is known (Corollary 3 on page 191 in [10]) that the polar density of the set of primes  $p$  in  $C$  is  $|C|/(r-1)$ ). It is obvious that the equations  $(\because)$  will then hold with  $|C|$  instead of  $\delta$ .

We also note that for certain primes  $p$  there exists integers  $x$  for which at least one of the  $\pm a_i(x)$  (as an element of  $\mathbb{Q}$ ) is an integral power of  $p$ . For these  $x$  it is clear that  $N(x) \neq 0$  for each  $r$ . The possibilities (besides  $x = 0, -1, -2, -3$ ) are

$$\begin{aligned} x = 3, -6 \quad &\text{for } p = 2 \text{ or } 3, \\ x = 1, -4 \quad &\text{for } p = 2 \text{ or } 5 \text{ (note that } -6 \in 3^{\mathbb{W}} \text{ and } -4 \in 1^{\mathbb{W}}). \end{aligned}$$

**PROPOSITION 3.11.** *Let  $\delta \leq m$ . Then for at least one  $i$  we have  $N(y_i) = 0$ .*

*Proof.* We have  $m \geq 1$ . Suppose  $N(y_j) > 0$  for each  $j$ . From the equations  $(\because)$  we get  $\delta \geq m + 1$ , a contradiction. ■

**PROPOSITION 3.12.** *Let  $r \equiv 1 \pmod{3}$ . If  $\delta$  divides  $(r-1)/3$ , then there exists  $y$  such that  $N(y) = 0$ .*

*Proof.* Suppose  $\delta = (r-1)/3$ . Then  $\delta$  is even, so that each  $N(z)$  is even. If there exists  $i$  such that  $N(y_i) = 0$ , then we are done. Otherwise we have  $N(0), N(\infty), N(y_i) \geq 2$  for each  $i$ . But then  $3N(0) + 3N(\infty) + 2N(\theta - 1) + 6(N(y_1) + \cdots + N(y_m)) = 6\delta$  implies  $N(0) = N(\infty) = N(y_i) = 2$  for each  $i$ , and  $N(\theta - 1) = 0$ , and we are done. By Lemma 3.9 we get the result for each  $\delta$  dividing  $(r-1)/3$ . ■

On the other hand the equations in  $\mathcal{F}$  can be used to prove the following statement.

PROPOSITION 3.13. *Suppose  $r \geq 5$ . If  $\delta = r - 1$  or  $(r - 1)/2$ , then we have  $N(x) \neq 0$  for each integer  $x$ . The same holds if  $\delta = (r - 1)/4$ , when  $r \equiv 5 \pmod 8$ . If moreover  $r^2 \nmid p^{r-1} - 1$ , then  $\Lambda_r = \{1\}$ .*

*Proof.* We prove the first part. One is reduced to show that in each case the subgroup  $\langle p \rangle$  of  $(\mathbb{Z}/r\mathbb{Z})^\times$  contains at least one among  $\pm a_1(x)$ ,  $\pm a_2(x)$ ,  $\pm a_3(x)$ , for every  $x$  in  $\mathbb{Z}/r\mathbb{Z}$  different from 0,  $-3$ ,  $-3/2$ . This is of course the case if  $\delta = r - 1$ . Also, since  $a_1(x)a_3(x) = a_2(x)$ , for at least one  $i$  we have  $a_i(x)^{(r-1)/2} = 1$ , so that  $a_i(x)$  lies in the subgroup  $C$  of index 2 in  $(\mathbb{Z}/r\mathbb{Z})^\times$ . Hence the result if  $\delta = (r - 1)/2$ . Moreover, if  $r \equiv 5 \pmod 8$ , then one of  $a_i(x)$  and  $-a_i(x)$  lies in  $C^2$ , and we get the result for  $\delta = (r - 1)/4$ . The second part now comes from Corollary 3.4. ■

We start now to consider the problem of constructing exceptional autoprojectivities. In the discussion so far developed, we have given a list of conditions that guarantee that a certain cyclic  $r$ -subgroup of  $H$  is fixed by every element of  $\Gamma(G)$ . We shall prove that if there exists a cyclic  $r$ -subgroup  $X$  of  $H$  which does not satisfy any of the above mentioned conditions (in the next definition we shall call "free" such a subgroup), then there exists an exceptional autoprojectivity of  $G$  not fixing  $X$ .

DEFINITION 3.14. Let  $s$  be an  $r$ -element of  $H$  and  $X = \langle s \rangle$ . We say that  $X$  is "free" if the  $W$ -orbit of  $X$  has length 6 and if one of the following conditions holds:

- (i)  $s$  satisfies  $(**')$ ,
- (ii)  $r = 3$ ,  $s$  does not satisfy  $(**')$ ,  $X \not\cong Z$ , and either  $X$  is maximal cyclic in  $H_3$ , or at least one (hence all) cyclic subgroup of order  $3|X|$  containing  $X$  is generated by an element satisfying  $(**')$ .

We can summarize the results of Section 1 in

PROPOSITION 3.15. *Let  $X$  be an  $r$ -subgroup of  $H$  which is not "free." Then  $X$  is fixed by every element of  $\Gamma(G)$ .*

OBSERVATION 3.16. We introduce a standard procedure to construct certain exceptional autoprojectivities of  $G$ . Suppose we have "free" subgroups  $E_0, E_1$  of  $H$  of order  $r^k$ , such that  $E_1 \geq E_0^r$  (we do not exclude  $E_0 = E_1$ ). Let  $\nu = \nu_r(|(K^\times)_r|)$ .

Let  $\mathcal{E}_0$  (respectively  $\mathcal{E}_1$ ) be the set of all cyclic subgroups of  $H_r$  containing  $E_0$  (respectively  $E_1$ ). Let us denote by  $E_{0,1}, \dots, E_{0,r}$  the  $r$  cyclic subgroups of order  $r^{k+1}$  containing  $E_0$ . If  $X$  is an element of  $\mathcal{E}_0$  of

order  $r^{k+n}$ , with  $n \geq 2$ , we write  $X = E_{0, i_1, i_2, \dots, i_n}$ , with  $i_1, \dots, i_n \in \{1, \dots, r\}$ , choosing the indices in order to have  $\Omega_{k+n-1}(X) = E_{0, i_1, i_2, \dots, i_{n-1}}$ . Similarly for  $E_1$ . Let  $X = E_{0, i_1, \dots, i_n}$  be in  $\mathcal{E}_0$ , and let  $\sigma = (\sigma_i)$  be in  $(S_r)^\mathbb{N}$ . We put  $X^\sigma = E_{1, \sigma_1(i_1), \dots, \sigma_n(i_n)}$ . Suppose  $X, Y$  are in  $\mathcal{E}_0$ . Then we have  $X^\sigma \leq Y^\sigma$  if and only if  $X \leq Y$ . Similarly for  $E_1$ . We distinguish two cases.

If  $E_0^W = E_1^W$ , for each  $\sigma$  in  $(S_r)^\mathbb{N}$  we define the autoprojectivity  $\lambda_\sigma$  of  $H$  to be the identity on  $H_q$  if  $q \neq r$ . Let  $X$  be a cyclic subgroup of  $H_r$ . We put  $X^{\lambda_\sigma} = X$  if  $\Omega_k(X)$  does not lie in  $E_0^W$ . Otherwise there exists a unique  $w$  in  $W$  such that  $X^w \in \mathcal{E}_0$ . We put  $X^{\lambda_\sigma} = X^{w\sigma w^{-1}}$ .

If  $E_0^W \neq E_1^W$ , for each  $\sigma, \tau$  in  $(S_r)^\mathbb{N}$  we define the autoprojectivity  $\lambda_{\sigma, \tau}$  of  $H$  to be the identity on  $H_q$  if  $q \neq r$ . Let  $X$  be a cyclic subgroup of  $H_r$ . We put  $X^{\lambda_{\sigma, \tau}} = X$  if  $\Omega_k(X)$  does not lie in  $E_0^W \cup E_1^W$ . Otherwise there exists a unique  $w$  in  $W$  such that  $X^w \in \mathcal{E}_0 \cup \mathcal{E}_1$ . We put  $X^{\lambda_{\sigma, \tau}} = X^{w\sigma w^{-1}}$  if  $X^w \in \mathcal{E}_0$ , and  $X^{\lambda_{\sigma, \tau}} = X^{w\tau w^{-1}}$  if  $X^w \in \mathcal{E}_1$ . In both cases  $\lambda_\sigma$  or  $\lambda_{\sigma, \tau}$  satisfies the hypothesis of Theorem 2.13, so that it can be uniquely extended to an element  $\varphi_\sigma$  or  $\varphi_{\sigma, \tau}$  of  $\Gamma(G)$ .

We have therefore proved in particular the following things. If  $\nu = \infty$ , then  $\Gamma(G)$  (and  $\Gamma(G/Z)$  by Proposition 2.9) contains a copy of  $(S_r)^\mathbb{N}$ : we just need to take  $E_0 = E_1$ , and consider the subgroup  $\{\varphi_\sigma | \sigma \in S_r\}$  of  $\Gamma(G)$ . Similarly, if  $k < \nu < \infty$ ,  $\Gamma(G)$  contains a copy of  $(S_r)^{\nu-k}$ .

On the other hand, if  $E_0 \neq E_1$ , then there exists  $\varphi$  in  $\Gamma(G)$  such that  $E^\varphi = E_1$  (we just take  $\varphi = \varphi_1$  or  $\varphi_{1,1}$ , and the construction works for every  $k \leq \nu \leq \infty$ ).

**LEMMA 3.17.** *Let  $X$  be a “free” subgroup of  $H$ . Then there exists a “free” subgroup  $Y$  of the same order of  $X$  containing  $X^r$  but different from  $X$ .*

*Proof.* Let  $r^n$  be the order of  $X$ . We put  $X^r = C$ .

Suppose the  $W$ -orbit of  $C$  has length less than 6. Then there exists  $w \neq 1$  such that  $C^w = C$ . Then we can take  $Y = X^w$  and we are done. Hence we suppose the  $W$ -orbit of  $C$  has length 6 (in particular  $n \geq 2$ ). We suppose for a contradiction that such a  $Y$  does not exist.

Suppose first  $r$  is not 3. If  $C$  is “free,” then every cyclic subgroup containing  $C$  is “free,” and we may take  $Y$  to be any of the cyclic subgroups of order  $|X|$  containing  $C$  and different from  $X$ . Hence  $C$  is not “free.” We may suppose  $C = \langle s \rangle$  with  $s = \text{diag}(a, a^h, a^{-1-h})$  with  $a$  of order  $r^{n-1}$ , and  $N_{n-1}(h-1) \neq 0$ . If  $r^n \nmid p^{\delta(n-1)} - 1$ , by Proposition 3.1,  $X$  is not “free.” Hence  $r^n | p^{\delta(n-1)} - 1$ . Suppose  $r \neq 2$ . By Lemma 3.2 we must have  $r^n | p^\delta - 1$ , so that each equation in  $\mathcal{F}_{n-1}$  satisfied by  $h-1$  can be lifted only to one equation in  $\mathcal{F}_n$ . If  $N_{n-1}(h-1)$  is 6, we must have  $-1 \equiv p^\gamma \pmod{r^{n-1}}$ , for a certain integer  $\gamma$ , so that  $N_n(y)$  is even for every integer  $y$ . Hence we get  $r \leq 4$ , which is a contradiction. Therefore

we are left with  $N_{n-1}(h-1) \leq 3$ , which again gives the contradiction  $r \leq 4$ . Thus we are done if  $r \neq 2$ .

Suppose  $r = 2$ . We must have  $n \geq 5$ , since the  $W$ -orbits of cyclic subgroups of order 2, 4, or 8 have length 3. By Lemma 3.2 we have  $2^n |p^{\delta(2)} - 1$ . If  $\delta(2) = 1$ , then  $C$  is conjugate either to  $\langle \text{diag}(a, a, a^{-2}) \rangle$  or to  $\langle \text{diag}(a, 1, a^{-1}) \rangle$ . If  $\delta(2) = 2$ , besides the previous possibilities,  $C$  may be conjugate to  $\langle \text{diag}(a, a^i, a^{-1-i}) \rangle$  with  $i = 2^{n-2} - 1$  or  $2^{n-2} + 1$  (i.e.,  $i^2 \equiv 1 \pmod{2^{n-1}}$ ). In all cases the  $W$ -orbit of  $C$  would be of length 3, and this is a contradiction.

We are left with the case  $r = 3$ . Suppose first that  $X$  is generated by an element not satisfying  $(**')$ . We may assume that this element is almost determined. If  $X$  is maximal cyclic in  $H_3$ , then we can take for  $Y$  any of the two cyclic subgroups of order  $3^n$  in  $X \times Z$  different from  $X$ , and we are done. So assume  $X$  is not maximal cyclic, but the cyclic subgroups  $X_1, X_2, X_3$  of order  $3|X|$  containing  $X$  are generated by elements satisfying  $(**')$ . By Proposition 3.1 we have  $3^{n+1} |p^{\delta(n)} - 1$ , so that  $3^{n+1} |p^\delta - 1$ , by Lemma 3.2. Since  $\delta = 1$  or  $2$ , the  $W$ -orbit of  $C$  has length 3, which is a contradiction. Hence  $X$  is generated by an element satisfying  $(**')$ . We have two cases. If  $X \geq Z$ , we may assume that  $C$  is generated by a determined element. By Proposition 3.1 we must have  $3^{n-1} |p^{\delta(n-2)} - 1$  (note that  $n \geq 3$ , since the  $W$ -orbit of a cyclic subgroup of order 9 containing  $Z$  has order 3). Hence by Lemma 3.2 we must have  $3^{n-1} |p^\delta - 1$ . But  $\delta = 1$  or  $2$ , and in both cases we get that  $C$  is conjugate to  $\langle \text{diag}(u, u, u^{-2}) \rangle$  whose  $W$ -orbit has length 3. This is a contradiction. Therefore we must have  $X \not\geq Z$ . But, if  $X_1, X_2$  are the cyclic subgroups of order  $3^n$  in  $X \times Z$  different from  $X$ , then both  $X_1, X_2$  are "free." This is the final contradiction. ■

**THEOREM 3.18.** *Let  $X$  be a "free" subgroup of  $H$ . Then there exists  $\varphi$  in  $\Gamma(G)$  such that  $X^\varphi \neq X$ . In particular  $\Gamma(G) \neq \{1\}$ .*

*Proof.* By Observation 3.16 we only need to prove that there exists a "free" subgroup  $Y$  of the same order of  $X$  containing  $X^r$  but different from  $X$ , and this comes from Lemma 3.17. ■

We give some arithmetic conditions between the primes  $p$  and  $r$  which ensure that the group  $A_r$  of automorphisms of  $M(H_r)$  induced by  $\Gamma(G)$  is not trivial.

**PROPOSITION 3.19.** *Let  $\nu_r = \nu_r(|(K^\times)_r|)$ . Suppose  $\nu_r \geq 1$  and one of the following conditions holds:*

- (i)  $r = 2, \nu_2 \geq 4$ , and  $p \equiv \pm 1 \pmod 8$ .
- (ii)  $r \geq 11$  and  $r | p^2 - 1$ .
- (iii)  $r \geq 5$  and  $r^2 | p^{r-1} - 1$ .

- (iv)  $r \equiv 1 \pmod{3}$ ,  $r|p^{(r-1)/3} - 1$ , and  $\nu_r \geq 2$ .
- (v)  $r = 3$ ,  $\nu_3 \geq 3$ , and  $p \equiv \pm 1 \pmod{9}$ .
- (vi)  $r = 3$  and  $2 \leq \nu_3 < \infty$ .
- (vii)  $r \equiv 1 \pmod{9}$  and  $r|p^{(r-1)/3} - 1$ .
- (viii)  $r \equiv 4, 7 \pmod{9}$ ,  $r|p^{(r-1)/3} - 1$ , and  $2^{(r-1)/3} \equiv 1 \pmod{r}$ .
- (ix)  $r \equiv 1 \pmod{12}$  and  $\delta = (r - 1)/6$ .

Then  $A_r$  is non-trivial.

*Proof.* In all cases, by Lemma 3.17 and Observation 3.16, we only need to prove the existence of a certain  $r$ -subgroup which is “free.” We recall that if  $a$  is an element of order  $r$ , and  $j$  is an integer, then  $t_j$  represents the element  $\text{diag}(a, a^j, a^{-1-j})$  of  $H$ .

(i) Let  $u$  be an element of order 8, and let  $s = \text{diag}(u, u^2, u^{-3})$ . Then  $p \equiv \pm 1 \pmod{8}$  implies that  $N_3(1) = 0$ , so that  $s$  satisfies  $(**')$ . Since the two cyclic subgroups of order 16 containing  $\langle s \rangle$  have  $W$ -orbit of length 6, they are both “free.”

(ii) Since  $r \geq 11$ , we get  $m \geq 1$ . Then  $\delta = 1$  or 2 implies that  $N(y_i) = 0$  for every  $i = 1, \dots, m$ . We can take  $X = \langle t_{y_{i+1}} \rangle$ , and we are done.

(iii) Since  $r^2|p^{r-1} - 1$ , there exists in  $K^\times$  an element  $u$  of order  $r^2$ . Let  $s = \text{diag}(u, 1, u^{-1})$ . Then at least one among the cyclic subgroups of order  $r^2$  containing  $s^r$  is “free.” Suppose on the contrary  $N_2(x) \neq 0$  for each  $x$  in  $\mathbb{Z}/r^2\mathbb{Z}$  with  $x \equiv -1 \pmod{r}$ . If  $N(-1) \neq 6$ , we have  $N(-1) \leq 3$  so that  $r \leq 3$  which is a contradiction. Hence we have  $N(-1) = 6$ , which means  $-1$  lies in the subgroup of  $(\mathbb{Z}/r\mathbb{Z})^\times$  generated by  $p$ . Hence  $N_2(y)$  is even for every integer  $y$ , and  $r \leq 3$  which is again a contradiction.

(iv) Since  $r|p^{(r-1)/3} - 1$ , by Proposition 3.12 there exists  $y$  such that  $N(y) = 0$ . If there exists such a  $y$  with  $W$ -orbit of length 6, we are done. Otherwise we must have  $N(\theta - 1) = 0$ , where  $\theta^3 \equiv 1 \pmod{r}$ , but  $\theta \not\equiv 1 \pmod{r}$ . Then every cyclic subgroup of order  $r^2$  except one containing  $\langle t_\theta \rangle$  is “free.”

(v) We can take  $X = \langle \text{diag}(u, u^{10}, u^{16}) \rangle$  where  $u$  has order 27, since  $\delta(3)|6$  implies that  $N_3(9) = 0$  (see the next section).

(vi) This follows from Corollary 2.16.

(vii) and (viii) Let  $\theta^3 \equiv 1 \pmod{r}$ , but  $\theta \not\equiv 1 \pmod{r}$ . We have  $a_1(\theta - 1) = \theta^2$ ,  $a_2(\theta - 1) = -\theta$  and  $a_3(\theta - 1) = -\theta^2$ . Hence we have  $N(\theta - 1) \neq 0$  if and only if  $\delta$  is divisible by 3. Suppose  $\delta = (r - 1)/3$ . If

$r \equiv 1 \pmod 9$ , we get  $N(\theta - 1) \neq 0$ , so that by Proposition 3.12 there exists  $i$  with  $N(y_i) = 0$ , and we are done. Suppose now  $r \equiv 4, 7 \pmod 9$ . Since  $2^{(r-1)/3} \equiv 1 \pmod r$ ,  $\pm 2$  lie in  $\langle p \rangle$ , so that  $N(\infty) = 6$ . This implies that there exists  $i$  with  $N(y_i) = 0$ . In both cases, by Lemma 3.9 we have  $N(y_i) = 0$  for every  $\delta$  dividing  $(r - 1)/3$ , and we are done.

(ix) Since  $-1 \in \langle p \rangle$ ,  $N(y)$  is even for every  $y$ . Suppose  $N(y_i) \neq 0$  for each  $i$  (note that  $m \geq 1$ ) we get  $r - 1 \geq 12 + 2(r - 7)$ , hence  $1 \geq r$  which is a contradiction. Therefore there exists  $i$  with  $N(y_i) = 0$ , and we are done. ■

In the previous section we considered the groups  $A_r$ , and we showed how each  $A_{r,k}$  decomposes as a certain semidirect product for each  $k$  in  $\mathbb{N}$ . Here we describe the groups  $A_{r,k}$  in a particular situation. First we assume that there are “free” subgroups of order  $r$ , and we let  $s$  be the number of  $i$ ’s for which  $N(y_i) = 0$ . Then  $A_{r,1} \cong (S_3)^s \rtimes S_s$  (cf. the description given in Proposition 2 in [17]). Suppose  $r \equiv 2 \pmod 3$  and  $r^2 \nmid p^{r-1} - 1$ . Let  $Y_1, \dots, Y_s$  be representatives of  $W$ -orbits of “free” subgroups of order  $r$ . We have  $\Gamma_{r,2} \cong (S_r)^s$ , since every cyclic  $r$ -subgroup  $C$  for which  $\Omega(C) \notin Y_i^W$  for some  $i$  in  $\{1, \dots, s\}$ , is fixed by every exceptional autoprojectivity by Corollary 3.4. By induction we get  $\Gamma_{r,k+1} \cong (S_r)^{sr^{k-1}}$ , for each  $k$  in  $\mathbb{N}$ . Since  $A_{r,k+1} \cong \Gamma_{r,k+1} \rtimes A_{r,k}$  for each  $k$ , the groups  $A_{r,k}$  are therefore completely described. Similarly one can deal also with the other cases. In particular, when  $v_r(p^{r-1} - 1) \geq 2$ , one has to take in account the fact that if for some  $x$  in  $\mathbb{Z}/r\mathbb{Z}$  we have  $N(x) = 3$ , then we have one of the following situations. There may exist a unique  $y$  in  $\mathbb{Z}/r^2\mathbb{Z}$  such that  $y \equiv x \pmod r$  and  $N_2(y) \neq 0$  (so that  $N_2(y) = 3$ ), or there may exist 3 different  $y, z, t \equiv x \pmod r$ , for which  $N_2 = 1$ .

We conclude this section by proving the announced result that every simple algebraic group  $G$  of type  $A_2$  over  $\overline{\mathbb{F}}_p$  (i.e.,  $G$  isomorphic either to  $SL_3(\overline{\mathbb{F}}_p)$  or to  $PGL_3(\overline{\mathbb{F}}_p)$ ) has autoprojectivities not induced by any automorphism. We shall also consider the behaviour of tori under exceptional autoprojectivities.

We make use of a result of A. Schinzel [14, Theorem 5]. For the convenience of the reader we state this result in the form we shall use it.

**THEOREM.** *Let  $f(t)$  be a polynomial over  $\mathbb{Q}$  and  $a$  an element of  $\mathbb{Q}^\times$ . If the congruence*

$$f(a^x) \equiv 0 \pmod r$$

*has a solution for almost all primes  $r$ , then the equation  $f(a^x) = 0$  has a solution in  $\mathbb{Q}$ .*

For our purposes we may assume that “almost all” means all but a finite number, even though the statement is true with wider assumptions.

**THEOREM 3.20.** *For every prime  $p$  the group  $SL_3(\overline{\mathbb{F}}_p)$  has autoprojectivities not induced by any automorphism.*

*Proof.* It is equivalent to prove that  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  is non-trivial.

Let  $f(t) = (t + a_1)(t - a_1)(t + a_2)(t - a_2)(t + a_3)(t - a_3)$  with  $a_1 = 5/2$ ,  $a_2 = 7/2$ ,  $a_3 = 7/5$ , and  $a = p$ . If the congruence  $f(p^x) \equiv 0 \pmod r$  has a solution for all primes  $r$  greater than 13, then there exists  $\gamma$  in  $\mathbb{Q}$  such that  $p^\gamma = \pm a_i$  for some  $i$ . In particular  $\gamma$  is an integer, so that  $a_i$  is an integral power of  $p$ . This is a contradiction. Hence there exists a prime  $r$  greater than 13 for which  $N(2) = 0$ . By Corollary 3.8 the element  $s = \text{diag}(u, u^3, u^{-4})$ , with  $u$  of order  $r$ , satisfies (\*\*'). The fact that  $r > 13$  ensures that  $\langle s \rangle$  is not fixed by any nontrivial element of  $W$ . Hence  $\langle s \rangle$  is “free,” and by Theorem 3.18,  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  is non-trivial. ■

*Remark.* In fact using Schinzel's theorem we can say much more. Given any  $x$  in  $\mathbb{Z} \setminus \{0, -1, -2, -3, 1, -4, 3, -6\}$  (cf. Observation 3.10) there always exists a prime  $r$  (even an infinite family of such primes) for which  $\langle \text{diag}(u, u^{x+1}, u^{-x-2}) \rangle$ , with  $u$  of order  $r$ , is “free.”

To prove the existence of primes  $r$  for which the group  $A_r$  of automorphisms of  $M(H_r)$  induced by  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  is non-trivial, we can follow an alternative way. Let us consider the Galois extension  $M = \mathbb{Q}(\xi, p^{1/3})$  of  $\mathbb{Q}$ , where  $\xi$  is a primitive 3-root of 1 in  $\mathbb{C}$ . It is well known (cf. Exercise 30 on page 91 or Theorem 43 in [10]) that there are infinitely many primes  $r$  which completely split over  $M/\mathbb{Q}$ . (They can be obtained in the following way. Let  $\alpha$  be an algebraic integer generating of  $M$  over  $\mathbb{Q}$ , for instance  $\alpha = \xi + p^{1/3}$ ,  $\mu$  the minimum polynomial of  $\alpha$  over  $\mathbb{Q}$ , and  $n$  an integer. Then, excluding the primes 3 and  $p$  (Theorems 31, 24, and 34 in [10]), any prime divisor of  $\mu(n)$  completely splits over  $\mathbb{Q}$ , and these are all.) Let  $r$  be one of these. Then we must have  $r \equiv 1 \pmod 3$ , and  $p$  is a cube in  $\mathbb{Z}/r\mathbb{Z}^\times$ . By Proposition 3.19(iv) we get  $A_r \neq \{1\}$  (in the next section we shall consider in more detail this situation).

**COROLLARY 3.21.** *For every prime  $p$ ,  $PGL_3(\overline{\mathbb{F}}_p)$  has autoprojectivities not induced by any automorphism.*

*Proof.* Since  $\overline{\mathbb{F}}_p$  is algebraically closed,  $PGL_3(\overline{\mathbb{F}}_p)$  and  $PSL_3(\overline{\mathbb{F}}_p)$  are isomorphic (as abstract groups). Then we are done by Theorem 2.15. ■

**THEOREM 3.22.**  *$\Gamma(SL_3(\overline{\mathbb{F}}_p))$  and  $\Gamma(PGL_3(\overline{\mathbb{F}}_p))$  are infinite and non-soluble.*

*Proof.* Let  $r$  be a prime greater than 13 such that if  $u$  is an element of  $\overline{\mathbb{F}}_p^\times$  of order  $r$ , then  $s = \text{diag}(u, u^3, u^{-4})$  satisfies (\*\*'). If we apply



Observation 3.16 with  $E_0 = E_1 = \langle s \rangle$ , we get that  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  (and  $\Gamma(PGL_3(\overline{\mathbb{F}}_p))$ ) contains a copy of  $(S_r)^\mathbb{N}$ , and we are done. ■

We can also show that  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  and  $\Gamma(PGL_3(\overline{\mathbb{F}}_p))$  are *non-periodic* groups. One way to see this is the following. From Schinzel’s theorem, we get an infinite sequence of primes  $r_1 < r_2 < \dots$ , such that for each  $i$  there exists a “free” subgroup  $\langle s_i \rangle$  of order  $r_i$ . By Observation 3.16 and Theorem 2.13, we can construct an exceptional autoprojectivity  $\varphi$  such that the restriction of  $\varphi$  to  $H_{r_i}$  has order  $r_i$ . Then  $\varphi$  has infinite order, and the same holds for the autoprojectivity  $\overline{\varphi}$  of  $\Gamma(PGL_3(\overline{\mathbb{F}}_p))$ .

We have another possibility. From the description of  $\Lambda_r$  as an inverse limit of the system  $(\Lambda_{r,k}, \pi_k)_{k \in \mathbb{N}}$ , and of  $\Lambda_{r,k+1}$  as the semidirect product of  $\Gamma_{r,k+1}$  and  $\Lambda_{r,k}$ , whenever  $H_r$  is infinite and it contains “free” subgroups, it is possible to show that there exists an element  $\lambda$  in  $\Lambda_r$  of infinite order.

We finally make an observation on the behaviour of *tori* of  $SL_3(\overline{\mathbb{F}}_p)$  under exceptional autoprojectivities.

In [5] we proved the following fact. If  $A$  is an abelian divisible subgroup of a connected reductive group  $G$  over  $\overline{\mathbb{F}}_p$ , then the closure  $\text{cl}(A^\psi)$  of  $A^\psi$  is a torus for every autoprojectivity  $\psi$  of  $G$  (Lemma 2.6). It then follows that the image of a maximal torus is a maximal torus (Proposition 2.7). Here we show that there exists a 1-dimensional torus  $S$  and an element  $\varphi$  of  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  such that  $S^\varphi$  is not closed, hence is not a torus.

Let  $r$  be a prime bigger than 13 such that if  $u$  is an element of  $\overline{\mathbb{F}}_p^\times$  of order  $r$ , then  $s = \text{diag}(u, u^3, u^{-4})$  satisfies  $(**')$ . We put  $u_1 = u$ , and for every  $n$  in  $\mathbb{N}$ , we choose an element  $u_n$  of  $\overline{\mathbb{F}}_p$  such that  $u'_{n+1} = u_n$ . Let  $z = 3 + a_1r + a_2r^2 + \dots$  be an  $r$ -adic integer. To  $z$  we associate the following subgroup of  $H_r$ . Let  $s_n = \text{diag}(u_n, u_n^z, u_n^{-1-z})$ , where the meaning of  $u_n^z, u_n^{-1-z}$  is the obvious one. We put  $X_z = \cup \langle s_n \rangle$ .  $X_z$  is isomorphic to  $C_{r^z}$ , and every such subgroup of  $T_r$  containing  $\langle s \rangle$  is of that form for a certain  $z$ . We take  $z = 3$ , and for  $z'$  any  $r$ -adic integer of the form  $3 + a_1r + a_2r^2 + \dots$ , for which there do not exist coprime integers  $a, b$  such that  $a = bz'$ . Therefore  $\text{cl}(X_z) = S$  is a 1-dimensional subtorus of  $T$ , while  $\text{cl}(X_{z'}) = T$ . By Observation 3.16, there exists  $\varphi$  in  $\Gamma(SL_3(\overline{\mathbb{F}}_p))$  such that  $X_z^\varphi = X_{z'}$ . Then  $S^\varphi$  is not closed, otherwise we would have  $S^\varphi = T$ . Hence  $S^\varphi$  is not a torus of  $SL_3(\overline{\mathbb{F}}_p)$ . Note that on the other hand the image of a torus under any (abstract) automorphism of  $SL_3(\overline{\mathbb{F}}_p)$  is a torus.

#### 4. FINAL REMARKS

In this section we shall give some examples which show how the results obtained so far may be used to describe  $\Gamma(G)$  in some concrete cases. We

TABLE I.  
 $r^n = 27, C$  the Subgroup of Order 6 in  $(\mathbb{Z}/27\mathbb{Z})^\times$

$c$	$x_1$	$x_3$	$x_5$
1	—	24	0
10	—	24	0
8	12	5, 14, 23	1, 10, 19
-1	12	-1, 8, 17	-2, 7, 16
-10	12	2, 11, 20	4, 13, 22
-8	—	24	0

TABLE II.  
 $r^n = 8, C = (\mathbb{Z}/8\mathbb{Z})^\times$

$c$	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
1	—	—	5	7	0	6
3	—	—	3	1	2	4
5	—	—	1	3	4	2
7	—	—	7	5	6	0

shall also make use of a result from the theory of elliptic curves to give an estimate on the number of “free”  $r$ -subgroups of  $H$  in a particular situation. We shall therefore obtain necessary and sufficient conditions between the primes  $p$  and  $r$  such that  $A_{r,1}$  is trivial. First see Tables I and II to justify the assertions given in the proof of Corollary 3.4 and Proposition 3.19 about the behaviour of 2-subgroups and of 3-subgroups of  $H$  (cf. Observation 3.10).

From Table I for each element  $x$  in the  $W$ -orbit  $\{3, 6, 9, 15, 18, 21\}$  we have  $N_3(x) = 0$ . If  $p \equiv \pm 1 \pmod 9$ , and there is an element  $v$  of order 27 in  $K^\times$ , then there exists  $\varphi$  in  $\Gamma(G)$  such that  $\langle \text{diag}(v, v^{10}, v^{16}) \rangle^\varphi = \langle \text{diag}(v, v^{19}, v^7) \rangle$  (cf. Proposition 3.19(v)).

From Table II, if  $p \equiv 3 \pmod 8$ , then every element of order 8 does not satisfy  $(**')$  (cf. Corollary 3.4). On the other hand, if  $p \equiv \pm 1 \pmod 8$ , we have  $N_3(2) = 0$ . Suppose  $K^\times$  contains an element  $v$  of order 16. There exists  $\varphi$  in  $\Gamma(G)$  such that  $\langle \text{diag}(v, v^3, v^{12}) \rangle^\varphi = \langle \text{diag}(v, v^{11}, v^4) \rangle$  (cf. Proposition 3.19(i)).

As usual, we assume  $K$  to be a subfield of  $\overline{\mathbb{F}}_p$ , and  $r$  a prime different from  $p$ . We write  $\delta = (r - 1)/d$ , for the order  $\delta$  of  $p$  in  $(\mathbb{Z}/r\mathbb{Z})^\times$ . In our discussion we shall always assume  $r \geq 5$ , since we have already described the behaviour of 2- and 3-subgroups of  $H$ . We shall describe for which  $d$   $A_{r,1}$  is trivial. When  $r \equiv 1 \pmod 3$ , we shall also determine for which  $d$  we

have  $N(x) \neq 0$  for every integer  $x$ . We use the notation we introduced in the previous section.

LEMMA 4.1. *Suppose  $\delta$  is even, and  $d$  is different from 1, 2, 3. Then there exists  $i$  such that  $N(y_i) = 0$ .*

*Proof.* Suppose for a contradiction that  $N(y_i) \neq 0$  for each  $i$ . Since  $\delta$  is even, we get  $N(y_i) \geq 2$  for each  $i$ , so that  $\delta \geq 2(1 + m)$  by the equations (1.1). In both cases  $r \equiv \pm 1 \pmod 3$ , we get a contradiction. ■

We are in the position to describe the situation when  $r \equiv 2 \pmod 3$ .

PROPOSITION 4.2. *Let  $r \equiv 2 \pmod 3$ ,  $r \geq 5$ . Then  $A_{r,1}$  is trivial if and only if*

$$\begin{aligned} d \in \{1, 2, 4\} & \quad \text{if } r \equiv 5 \pmod 8, \\ d \in \{1, 2\} & \quad \text{if } r \not\equiv 5 \pmod 8. \end{aligned}$$

*Proof.* Suppose  $d \in \{1, 2, 4\}$  if  $r \equiv 5 \pmod 8$ , or  $d \in \{1, 2\}$  if  $r \not\equiv 5 \pmod 8$ . Then we conclude by Proposition 3.13. Now assume  $A_{r,1}$  is trivial. By Proposition 3.11 and Theorem 3.18, we get  $\delta \geq m + 1$ , so that  $d < 6$ . Hence  $d \in \{1, 2, 4, 5\}$ . If  $d = 5$ , or if  $d = 4$  and  $r \equiv 1 \pmod 8$ , then  $\delta$  is even, and by Lemma 4.1 we get  $A_{r,1} \neq \{1\}$ . ■

To deal with the case  $r \equiv 1 \pmod 3$ , we argue as follows. We give an estimate on the number of integers  $x \pmod r$  for which  $N(x) = 0$ . Suppose  $\delta = (r - 1)/3$ , and let  $X = \{x \in \mathbb{Z}/r\mathbb{Z} \mid N(x) = 0\}$ .

We fix an element  $a$  in  $(\mathbb{Z}/r\mathbb{Z})^\times$  which is not a cube and we consider the elliptic curves

$$\begin{aligned} A: a^2w^3 - az^3 &= 1 \\ B: aw^3 - a^2z^3 &= 1 \end{aligned}$$

over  $\mathbb{Z}/r\mathbb{Z}$ . Let  $S(A), S(B)$  be respectively the set of solutions of  $A, B$ . For each  $(w, z)$  in  $S(A)$  we put  $\alpha(w, z) = 3/(az^3 - 1)$ , and for each  $(w, z)$  in  $S(B)$  we put  $\beta(w, z) = 3/(a^2z^3 - 1)$ . Suppose  $x = 3/(az^3 - 1)$  lies in  $\alpha(S(A))$ . It then follows that

$$\begin{aligned} (x + 3)/x &= az^3, & (2x + 3)/x &= a^2w^3, & (2x + 3)/(x + 3) \\ & & & & = a(w/z)^3, \end{aligned}$$

so that  $N(x) = 0$ . Similarly one can prove that if  $x$  lies in  $\beta(S(B))$ , then  $N(x) = 0$ . Moreover the subsets  $\alpha(S(A))$  and  $\beta(S(B))$  are disjoint subsets of  $X$ , and for every  $x$  in  $\alpha(S(A))$  (respectively in  $\beta(S(B))$ ),  $\alpha^{-1}(x)$

(respectively  $\beta^{-1}(x)$ ) consists of 9 elements. We now prove that  $X = \alpha(S(A)) \cup \beta(S(B))$ . Let  $x$  be in  $X$ . Then we have  $x \neq 0, -3, -3/2$ , so that each  $a_i(x)$  is defined and non-zero. If  $C$  is the set of cubes of  $(\mathbb{Z}/r\mathbb{Z})^\times$  (in our case  $C = \langle p \rangle$ ), we have  $(\mathbb{Z}/r\mathbb{Z})^\times = C \cup aC \cup a^2C$ . Since  $a_1(x)a_3(x) = a_2(x)$ , we must have either  $a_1(x)$  in  $aC$  and  $a_2(x)$  in  $a^2C$ , or  $a_1(x)$  in  $a^2C$  and  $a_2(x)$  in  $aC$ . Suppose we are in the first case. Then there exist  $z, w$  in  $\mathbb{Z}/r\mathbb{Z}$  such that  $a_1(x) = az^3$  and  $a_2(x) = a^2w^3$ . Then  $(w, z)$  lies in  $S(A)$ , and  $x$  lies in  $\alpha(S(A))$ , and similarly for the second case. Hence we get  $|X| = 2|S(A)|/9$ . By the Gauss–Hasse–Weil theorem (cf. [15, Chap. V, Theorem 1.1]), we have  $r + 1 - 2r^{1/2} \leq |S(A)| \leq r + 1 + 2r^{1/2}$ , so that

$$2(r + 1 - 2r^{1/2})/9 \leq |X| \leq 2(r + 1 + 2r^{1/2})/9.$$

**PROPOSITION 4.3.** *Let  $r \equiv 1 \pmod{3}$ ,  $r \geq 19$ . If  $\delta$  divides  $(r - 1)/3$ , then  $A_{r,1}$  is non-trivial.*

*Proof.* Suppose  $\delta = (r - 1)/3$ . By the above argument, if  $r \geq 19$ , it follows that there are at least 3 elements  $x$  for which  $N(x) = 0$ . Hence there exists  $i$  such that  $N(y_i) = 0$ . By Lemma 3.9, we have  $N(y_i) = 0$  for every  $\delta$  dividing  $(r - 1)/3$ , and we are done. ■

**THEOREM 4.4.** *Let  $r \equiv 1 \pmod{3}$ . Then  $A_{r,1}$  is trivial if and only if*

$$\begin{aligned} d \in \{1, 2, 3, 6\} & \quad \text{if } r = 7, \\ d \in \{1, 2, 3, 4\} & \quad \text{if } r = 13, \\ d \in \{1, 2, 4\} & \quad \text{if } r \equiv 5 \pmod{8}, r \neq 13, \\ d \in \{1, 2\} & \quad \text{if } r \not\equiv 5 \pmod{8}, r \neq 7. \end{aligned}$$

*Proof.* Suppose  $A_{r,1}$  is trivial. By Proposition 3.11 we get  $\delta \geq m + 1$ , so that  $d \leq 6$ . By Lemma 4.1,  $d$  is not 5. If  $r = 7$ , we are done. If  $r = 13$ , then  $d$  is not 6 by Proposition 3.19(ix). So we assume  $r \geq 19$ . By Proposition 4.3  $d$  is not 3 or 6. Moreover, if  $r \not\equiv 5 \pmod{8}$ ,  $d$  is not 4 by Lemma 4.1. On the other direction, if  $r \geq 19$ , we are done by Proposition 3.13. If  $r = 13$  we note that for  $d = 3$  we get  $N(1) = 2$ , so that there are no “free” subgroups of order 13. Since there are no  $W$ -orbits of length 6 in  $\Omega(H_7)$ , we are done. ■

When  $r \equiv 1 \pmod{3}$ , it is also useful to know in which cases besides having  $N(y_i) \neq 0$  for each  $i$ , we also have  $N(\theta - 1) \neq 0$ . It is only in this situation that if  $r^2 + p^{r-1} - 1$  and  $\nu_r \geq 2$ , we have  $A_r = \{1\}$ .

PROPOSITION 4.5. *Let  $r \equiv 1 \pmod 3$ . Then  $N(x) \neq 0$  for each integer  $x$  if and only if*

$$\begin{aligned} d \in \{1, 2, 4\} & \quad \text{if } r \equiv 5 \pmod 8, \\ d \in \{1, 2\} & \quad \text{if } r \not\equiv 5 \pmod 8. \end{aligned}$$

*Proof.* Suppose  $N(x) \neq 0$  for each integer  $x$ . From (.) we get  $d < 6$ . By Lemma 4.1,  $d$  is not 5, and it is not 4 if  $r \not\equiv 5 \pmod 8$ . Moreover if  $d = 3$  and  $N(y_i) \neq 0$  for each  $i$ , then we have  $N(y_i) \geq 2$  for each  $i$ , so that  $N(\theta - 1) = 0$ , and this is a contradiction. The other implication comes from Proposition 3.13. ■

One way of analysing the equations (.) is to calculate for a fixed  $\delta$ , how many  $x$  in  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$  have  $N(x) = 6$ . Since  $N(x)$  is even if and only if  $\delta$  is even, we assume  $\delta$  even (otherwise we consider  $2\delta$ ). We know that  $N(0) = N(-3) = N(-3/2) = 2$ . So assume  $x$  different from  $0, -3, -3/2$ . Since  $a_1(x)a_3(x) = a_2(x)$ , it is equivalent to studying for which  $x$  we have  $a_1(x)$  and  $a_2(x)$  in  $\langle p \rangle$ . Let  $y = 1 + 3/x$ . Then  $a_1(x) = y$  and  $a_2(x) = y + 1$ . Therefore the number  $M$  of  $x$  in  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$  for which  $N(x) = 6$  equals the cardinality of the set  $\langle p \rangle \cap (\langle p \rangle - 1)$  (and this is of course in relation with the number of solutions of the equation  $w^d - z^d = 1$ ). Since we have  $N(x^w) = N(x)$  for every  $x$  in  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$  and every  $w$  in  $W$ , and  $N(\infty) = 6$  if and only if  $2$  lies in  $\langle p \rangle$ , we get the following relations (+).

If  $r \equiv 2 \pmod 3$ , then

$$\begin{aligned} M &\equiv 3 \pmod 6 & \text{if } 2 \in \langle p \rangle, \\ M &\equiv 0 \pmod 6 & \text{if } 2 \notin \langle p \rangle. \end{aligned}$$

If  $r \equiv 1 \pmod 3$ , then  $N(\theta - 1) = 6$  if and only if  $3|\delta$ . Hence

$$\begin{aligned} M &\equiv 0 \pmod 6 & \text{if } 2 \notin \langle p \rangle \text{ and } 3 \nmid \delta \\ M &\equiv 3 \pmod 6 & \text{if } 2 \in \langle p \rangle \text{ and } 3 \nmid \delta \\ M &\equiv 2 \pmod 6 & \text{if } 2 \notin \langle p \rangle \text{ and } 3|\delta \\ M &\equiv 5 \pmod 6 & \text{if } 2 \in \langle p \rangle \text{ and } 3|\delta. \end{aligned}$$

In particular, if  $r \equiv 1 \pmod 3$  and  $\delta = (r - 1)/3$ , we get information on the number of solutions of the elliptic equation  $w^3 - z^3 = 1$ .

We conclude by giving a list of examples. We consider the prime  $r$  rather than  $p$ . We give Table III to justify the first example. For the others we just state the results. We always assume  $\nu_r \geq 1$ .

(1) We consider  $r = 5$ .

Hence from Table III,  $N_2(4) = N_2(19) = 0$ . Suppose  $p = 7$ . We get  $\delta = 4$ , and  $25|7^4 - 1$ . Since  $K^\times$  contains elements of order 5, it contains

TABLE III.  $r^n = 25$ ,  $C$  the Subgroup of Order 4 in  $(\mathbb{Z}/25\mathbb{Z})^\times$

$c$	$x_1$	$x_3$	$x_5$
1	—	-3	0
7	13	—	—
-1	11	-1	-2
-7	9	8	14

an element  $v$  of order 25. There exists  $\varphi$  in  $\Gamma(G)$  such that  $\langle \text{diag}(v, v^5, v^{19}) \rangle^\varphi = \langle \text{diag}(v, v^{20}, v^4) \rangle$  (cf. Proposition 3.19(iii)). Therefore  $A_{5,1}$  is trivial, while  $A_{5,2}$  is not.

(2)  $r = 7$ .  $A_{7,1}$  is always trivial. Suppose  $\nu_7 \geq 2$  and  $7^2 \nmid p^6 - 1$ . If  $\delta = 3, 6$ , then  $A_7 = \{1\}$ . If  $\delta = 1, 2$ , we get  $A_{7,2} \cong (C_3 \times C_3) \rtimes C_2$ .

(3)  $r = 13$ . If  $\delta = 3, 6, 12$ , we have  $A_{13,1} = \{1\}$ . If  $\delta = 1, 2$ , then  $A_{13,1} \cong S_3$ . If  $\delta = 4$ , we get  $N(0) = N(\infty) = N(1) = 2$ ,  $N(\theta - 1) = 0$ . Hence  $A_{13,1}$  is trivial, but  $A_{13,2} \geq (C_3)^4 \rtimes S_4$  if  $\nu_{13} \geq 2$  ( $A_{13,2} \cong (C_3)^4 \rtimes S_4$  if and only if  $13^2 \nmid p^{12} - 1$ ).

(4)  $r = 521$ . If  $\delta = 260$ , we have  $N(0) = 2$ ,  $N(\infty) = 6$ ,  $N(y_1) = \dots = N(y_s) = 6$ ,  $N(y_{s+1}) = \dots = N(y_{s+t}) = 2$ ,  $s = 21$ ,  $t = 65$ . If  $\delta = 130$ , then  $N(0) = 2$ ,  $N(\infty) = 2$ ,  $N(y_1) = \dots = N(y_s) = 6$ ,  $N(y_{s+1}) = \dots = N(y_{s+t}) = 2$ ,  $N(y_{s+t+1}) = \dots = N(y_{s+t+u}) = 0$ , where  $s = 6$ ,  $t = 46$ ,  $u = 34$ . The number of  $x$  in  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$  for which  $N(x) = 6$  is 129 if  $\delta = 260$ , and 36 if  $\delta = 13$  (cf. the list (+)).

(5)  $r = 3$ ,  $p = 109$ . Let  $u$  be an element of order 9 in  $K^\times$ , and let  $s = \langle \text{diag}(u, u^3, u^{-4}) \rangle$ . Then  $s$  is almost determined but every cyclic subgroup of order 27 containing  $s$  is generated by an element satisfying  $(**')$ . There exists  $\varphi$  in  $\Gamma(G)$  such that  $\langle \text{diag}(u, u^3, u^{-4}) \rangle^\varphi = \langle \text{diag}(u, u^6, u^{-7}) \rangle$ . We have  $\Gamma(SL_3(109)) \cong (S_3 \rtimes C_2) \times C_2 \times C_2$  and  $\Gamma(PSL_3(109)) \cong C_2 \times C_2$ .

(6)  $p = 2$ ,  $r = 31$ . Then  $\delta = 5$ . We already know that  $N(1) = N(-4) \neq 0$  and  $N(3) = N(-6) \neq 0$ . We have  $N(0) = 1$ ,  $N(\infty) = 3$ ,  $N(\theta - 1) = 0$ ,  $N(2) = 0$ , and  $N(1) = N(3) = N(10) = 1$ .  $A_{31,1} \cong S_3$ .

(7)  $p = 3$ ,  $r = 41$ . Then  $\delta = 8$ . We know that  $N(3) \neq 0$ . We have  $N(0) = N(\infty) = 2$ ,  $N(10) = N(3) = N(1) = 2$ ,  $N(11) = N(2) = N(5) = 0$ .  $A_{41,1} \cong (S_3)^3 \rtimes S_3$ . If  $r = 1093$ , we get  $\delta = 7$ ,  $N(3) = 1$ ,  $N(1) = 0$ . There are 6  $W$ -orbits of length 6 for which  $N = 1$ , and 175  $W$ -orbits of length 6 with  $N = 0$ .

(8)  $p = 5$ ,  $r = 31$ . Then  $\delta = 3$ . We know that  $N(1) \neq 0$ . We have  $N(0) = N(\infty) = 1$ ,  $N(\theta - 1) = 3$ ,  $N(1) = 1$ , and  $N(2) = N(3) = N(10) = 0$ .  $A_{31,1} \cong (S_3)^3 \rtimes S_3$ .

(9)  $\Gamma(SL_3(17)) \cong C_2 \times C_2$  (cf. [3]).  $\Gamma(SL_3(27)) = \{1\}$  (cf. [4]).  
 $\Gamma(SL_3(19)) \cong C_2$  and  $\Gamma(PSL_3(19)) = \{1\}$ .

(10) We consider the number  $M(r)$  of  $x$  in  $\mathbb{Z}/r\mathbb{Z} \cup \{\infty\}$  for which  $N(x) = 6$ . Suppose  $\delta = (r - 1)/3$ . We have  $M(67) = 6$ ,  $M(31) = 3$ ,  $M(19) = 2$ , and  $M(109) = 11$ .

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