

## Covering certain wreath products with proper subgroups

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(Communicated by C. W. Parker)

**Abstract.** For a non-cyclic finite group  $X$  let  $\sigma(X)$  be the least number of proper subgroups of  $X$  whose union is  $X$ . Precise formulas or estimates are given for  $\sigma(S \wr C_m)$  for certain non-abelian finite simple groups  $S$  where  $C_m$  is a cyclic group of order  $m$ .

### 1 Introduction

For a non-cyclic finite group  $X$  let  $\sigma(X)$  be the least number of proper subgroups of  $X$  whose union is  $X$ . Let  $S$  be a non-abelian finite simple group, let  $\Sigma$  be a non-empty subset of  $S$ , and let  $m$  be a positive integer. Let  $\alpha(m)$  be the number of distinct prime divisors of  $m$ . Let  $\mathcal{M}$  be a non-empty set of maximal subgroups of  $S$  with the following properties (provided that such an  $\mathcal{M}$  exists):

- (0) if  $M \in \mathcal{M}$  then  $M^s \in \mathcal{M}$  for any  $s \in S$ ;
- (1)  $\Sigma \cap M \neq \emptyset$  for every  $M \in \mathcal{M}$ ;
- (2)  $\Sigma \subseteq \bigcup_{M \in \mathcal{M}} M$ ;
- (3)  $\Sigma \cap M_1 \cap M_2 = \emptyset$  for every distinct pair of subgroups  $M_1$  and  $M_2$  of  $\mathcal{M}$ ;
- (4)  $\mathcal{M}$  contains at least two subgroups that are not conjugate in  $S$ ;
- (5)  $m \geq 2$  and

$$\max \left\{ (1 + \alpha(m)) |S|^{m/l}, \max_{\substack{H \notin \mathcal{M} \\ H < S}} |\Sigma \cap H| |H|^{m-1} \right\} \\ \leq \min \left\{ \left( \sum |\Sigma \cap M_1| |\Sigma \cap M_2| \right) |S|^{m-2}, \min_{M \in \mathcal{M}} |\Sigma \cap M| |M|^{m-1} \right\},$$

where  $l$  is the smallest prime divisor of  $m$  and the sum is over all pairs  $(M_1, M_2) \in \mathcal{M}^2$  with  $M_1$  not conjugate to  $M_2$ .

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The research of the second author was supported by a Marie Curie International Reintegration Grant within the 7th European Community Framework Programme and partially by grants OTKA T049841 and OTKA NK72523.

Let  $\mathcal{N}$  denote a covering for  $S$ , that is, a set of proper subgroups of  $S$  whose union is  $S$ .

**Theorem 1.1.** *Using the notation and assumptions introduced above we have*

$$\alpha(m) + \sum_{M \in \mathcal{M}} |S : M|^{m-1} \leq \sigma(S \wr C_m) \leq \alpha(m) + \min_{\mathcal{N}} \sum_{M \in \mathcal{N}} |S : M|^{m-1}.$$

We state and prove two direct consequences of Theorem 1.1. Recall that  $M_{11}$  is the Mathieu group of degree 11.

**Corollary 1.2.** *For every positive integer  $m$  we have*

$$\sigma(M_{11} \wr C_m) = \alpha(m) + 11^m + 12^m.$$

Let  $\text{PSL}(n, q)$  denote the projective special linear group of dimension  $n$  over a field of order  $q$ .

**Corollary 1.3.** *Let  $p$  be a prime at least 11 and  $m$  be a positive integer with smallest prime divisor at least 5. Then*

$$\sigma(\text{PSL}(2, p) \wr C_m) = \alpha(m) + (p + 1)^m + (p(p - 1)/2)^m.$$

The ideas of the proof of Theorem 1.1 together with the ideas in [1] can be used to find a formula for  $\sigma(\text{PSL}(n, q) \wr C_m)$  holding for several infinite series of groups  $\text{PSL}(n, q) \wr C_m$  for  $n \geq 12$ . However, since such an investigation would be quite lengthy, we do not pursue it in this paper.

Let  $A_n$  be the alternating group of degree  $n$  where  $n \geq 5$ . The ideas of the proof of Theorem 1.1 together with the ideas in [9] can be used to find a formula and some estimates for  $\sigma(A_n \wr C_m)$  in various cases.

**Theorem 1.4.** *With the notation and assumptions introduced above, let  $n > 12$ . If  $n$  is congruent to 2 modulo 4 then*

$$\sigma(A_n \wr C_m) = \alpha(m) + \sum_{\substack{i=1 \\ i \text{ odd}}}^{(n/2)-2} \binom{n}{i}^m + \frac{1}{2^m} \binom{n}{n/2}^m.$$

*If  $n$  is not congruent to 2 modulo 4, then*

$$\alpha(m) + \frac{1}{2} \sum_{\substack{i=1 \\ i \text{ odd}}}^n \binom{n}{i}^m \leq \sigma(A_n \wr C_m).$$

In some sense Theorem 1.4 extends a theorem of [9], namely that  $2^{n-2} \leq \sigma(A_n)$  if  $n > 9$  with equality if and only if  $n$  is congruent to 2 modulo 4.

Finally we establish the following result using the ideas of Theorem 1.1.

**Theorem 1.5.** *With the notation and assumptions introduced above, let  $n$  be a positive integer with a prime divisor at most  $\sqrt[3]{n}$ . Then  $\sigma(A_n \wr C_m)$  is asymptotically equal to*

$$\alpha(m) + \min_{\mathcal{A}} \sum_{M \in \mathcal{A}} |A_n : M|^{m-1}$$

as  $n$  goes to infinity.

Theorem 1.1 and Corollaries 1.2, 1.3 are independent of the classification of finite simple groups (CFSG). Theorems 1.4 and 1.5 do depend on CFSG, but with more work using [10] instead of [8] one can omit CFSG from the proofs.

There are many papers on the topic of covering of groups with proper subgroups, beginning with [11] which appeared in 1926. The systematic study of the invariant  $\sigma(X)$  was initiated in [3]. More recent papers on this topic include [5], [7] and [12].

A finite group  $X$  is called  $\sigma$ -elementary (or  $\sigma$ -primitive) if for any proper, non-trivial normal subgroup  $N$  of  $X$  we have  $\sigma(X) < \sigma(X/N)$ . The  $\sigma$ -elementary groups play a crucial role in determining when  $\sigma(X)$  can equal a given positive integer  $n$  for some finite group  $X$ . The groups that we consider in this paper are  $\sigma$ -elementary. Giving good lower bounds for  $\sigma(X)$  for  $\sigma$ -elementary groups  $X$  will help answer the problem of determining the density of the set of positive integers  $n$  for which there exists a finite group  $G$  with  $n = \sigma(G)$ .

## 2 On subgroups of product type

Let  $S$  be a non-abelian finite simple group, and let  $G = S \wr C_m$  be the wreath product of  $S$  with the cyclic group  $C_m$  of order  $m$ . Denote by  $\gamma$  a generator of  $C_m$ . If  $M$  is a maximal subgroup of  $S$  and  $g_1, \dots, g_m$  are elements of  $S$ , the normalizer in  $G$  of

$$M^{g_1} \times \dots \times M^{g_m} \leq S^m = \text{soc}(G)$$

is called a subgroup of product type. A subgroup of product type is maximal in  $G$  (but we will not use this fact in the paper). In the following we take the subscripts of the elements  $g_i$  and  $x_i$  modulo  $m$ .

**Lemma 2.1.** *Let  $M$  be a maximal subgroup of  $S$ , and let  $k \in \{1, \dots, m-1\}$ . Let  $g_1, \dots, g_m$  be elements of  $S$  with  $g_1 = 1$ . Choose  $\gamma := (1, 2, \dots, m)$ . The element  $(x_1, \dots, x_m)\gamma^k$  belongs to  $N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  if and only if*

$$x_{i-k} \in g_{i-k}^{-1} M g_i \quad \text{for all } i \in \{1, \dots, m\}.$$

In particular, if  $t$  is any positive integer at most  $m$  and  $(x_1, \dots, x_m)\gamma^k$  belongs to  $N_G(M \times M^{g_2} \times \dots \times M^{g_m})$ , then

$$x_t x_{k+t} x_{2k+t} \dots x_{(l-1)k+t} \in M^{g_t},$$

where  $l = m/(m, k)$ .

*Proof.* The element  $(x_1, \dots, x_m)\gamma^k$  normalizes  $M^{g_1} \times M^{g_2} \times \dots \times M^{g_m}$  if and only if

$$(M^{g_1 x_1} \times M^{g_2 x_2} \times \dots \times M^{g_m x_m})\gamma^k = M^{g_1} \times M^{g_2} \times \dots \times M^{g_m}.$$

The permutation  $\gamma^k$  sends  $i$  to  $i + k$  modulo  $m$ , so the condition becomes the following:

$$M^{g_{1-k} x_{1-k}} \times M^{g_{2-k} x_{2-k}} \times \dots \times M^{g_{m-k} x_{m-k}} = M^{g_1} \times M^{g_2} \times \dots \times M^{g_m}.$$

That is,

$$g_{i-k} x_{i-k} g_i^{-1} \in M \quad \text{for all } i \in \{1, \dots, m\}.$$

Multiplying on the right by  $g_i$  and on the left by  $g_{i-k}^{-1}$  we obtain

$$x_{i-k} \in g_{i-k}^{-1} M g_i \quad \text{for all } i \in \{1, \dots, m\}.$$

Let  $t \in \{1, \dots, m\}$ . The inclusion with  $x_t$  on the left-hand side says that  $x_t \in g_t^{-1} M g_{k+t}$ ; the one with  $x_{k+t}$  on the left-hand side says that  $x_{k+t} \in g_{k+t}^{-1} M g_{2k+t}$ , and so on. By multiplying these together in this order we obtain that  $x_t x_{t+k} x_{t+2k} \dots x_{t+(l-1)k} \in M^{g_t}$ , where  $l$  is the smallest number in  $\{1, \dots, m\}$  such that  $m$  divides  $lk$ , that is,  $l = m/(m, k)$ .  $\square$

### 3 An upper bound for $\sigma(S \wr C_m)$

**Proposition 3.1.** *Let  $S$  be a non-abelian finite simple group, let  $\mathcal{N}$  denote a covering for  $S$ , let  $m$  be a fixed positive integer, and let  $\alpha(m)$  denote the number of distinct prime factors of  $m$ . Then*

$$\sigma(S \wr C_m) \leq \alpha(m) + \min_{\mathcal{N}} \sum_{M \in \mathcal{N}} |S : M|^{m-1}.$$

*Proof.* The bound is clearly true for  $m = 1$ . Assume that  $m > 1$ .

The idea is to construct a covering of  $S \wr C_m$  which consists of exactly

$$\alpha(m) + \min_{\mathcal{N}} \sum_{M \in \mathcal{N}} |S : M|^{m-1}$$

proper subgroups.

There are  $\alpha(m)$  maximal subgroups of the group  $S \wr C_m$  containing its socle. Choose all of these to be in the covering. Then we are left to cover all elements of the form  $(x_1, \dots, x_m)\gamma^k$  with each  $x_i$  in  $S$ , where  $C_m = \langle \gamma \rangle$ , and  $k$  is coprime to  $m$ . It suffices to show that such elements can be covered by the subgroups of the form

$$N_G(M \times M^{g_2} \times M^{g_3} \times \dots \times M^{g_m})$$

where  $M$  varies in a fixed cover  $\mathcal{N}$  of  $S$  and the elements  $g_i$  vary in  $S$ , because for each fixed  $M$  in  $\mathcal{N}$  we have  $|S : M|$  choices for  $M^{g_i}$  for each  $i \in \{2, \dots, m\}$ .

By Lemma 2.1,  $(x_1, \dots, x_m)\gamma^k$  belongs to  $N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  if and only if

$$x_{i-k} \in g_{i-k}^{-1} M g_i \quad \text{for all } i \in \{1, \dots, m\},$$

with  $g_1 = 1$ . The first condition is  $x_{1-k} \in g_{1-k}^{-1} M$ . Choose  $g_{1-k} = x_{1-k}^{-1}$ . Then move to the condition  $x_{j-k} \in g_{j-k}^{-1} M g_j$  with  $j = 1 - k$ , i.e.  $x_{1-2k} \in g_{1-2k}^{-1} M g_{1-k}$ , and rewrite it using the information  $g_{1-k} = x_{1-k}^{-1}$  to get  $x_{1-2k} x_{1-k} \in g_{1-2k}^{-1} M$ . Choose  $g_{1-2k} = x_{1-k}^{-1} x_{1-2k}^{-1}$ . Continue this process for  $m/(m, k) = m$  iterations, using Lemma 2.1 (recall that  $m$  is coprime to  $k$ ). Choose

$$g_{1-jk} = x_{1-k}^{-1} x_{1-2k}^{-1} \dots x_{1-jk}^{-1} \quad \text{for all } j \in \{1, \dots, m-1\}.$$

At the  $m$ th iteration we get the relation

$$x_{1-mk} x_{1-(m-1)k} \dots x_{1-2k} x_{1-k} \in g_{1-mk}^{-1} M.$$

But  $g_{1-mk} = g_1 \in M$ , so to conclude it suffices to choose an  $M$  from  $\mathcal{N}$  which contains the element  $x_{1-mk} x_{1-(m-1)k} \dots x_{1-2k} x_{1-k}$ .  $\square$

#### 4 On subgroups of diagonal type

Let  $S$  be a non-abelian finite simple group. Let  $m$  be a positive integer at least 2 and let  $t$  be a divisor of  $m$  which is less than  $m$ . For positive integers  $i$  and  $j$  with  $1 \leq i \leq t$  and  $2 \leq j \leq m/t$  let  $\varphi_{i,j}$  be an automorphism of  $S$ . For simplicity, let us denote the matrix  $(\varphi_{i,j})_{i,j}$  by  $\varphi$ . Let

$$\Delta_\varphi = \{(y_1, \dots, y_t, y_1^{\varphi_{1,2}}, \dots, y_t^{\varphi_{t,2}}, \dots, y_1^{\varphi_{1,m/t}}, \dots, y_t^{\varphi_{t,m/t}}) \mid y_1, \dots, y_t \in S\};$$

this is a subgroup of  $S^m = \text{soc}(G)$  where  $G = S \wr C_m$ . The subgroup  $N_G(\Delta_\varphi)$  is called a subgroup of diagonal type.

Consider the restriction to  $N_G(\Delta_\varphi)$  of the natural projection of  $G$  onto  $C_m$ . Any element of  $C_m$  has preimage of size at most  $|\Delta_\varphi| \leq |S|^{m/l}$  where  $l$  is the smallest prime divisor of  $m$ .

#### 5 Definite unbeatability

The following definition was introduced in [9].

**Definition 5.1.** Let  $X$  be a finite group. Let  $\mathcal{H}$  be a set of proper subgroups of  $X$ , and let  $\Pi \subseteq X$ . Suppose that the following four conditions hold on  $\mathcal{H}$  and  $\Pi$ :

- (1)  $\Pi \cap H \neq \emptyset$  for every  $H \in \mathcal{H}$ ;
- (2)  $\Pi \subseteq \bigcup_{H \in \mathcal{H}} H$ ;
- (3)  $\Pi \cap H_1 \cap H_2 = \emptyset$  for every distinct pair of subgroups  $H_1$  and  $H_2$  of  $\mathcal{H}$ ;
- (4)  $|\Pi \cap K| \leq |\Pi \cap H|$  for every  $H \in \mathcal{H}$  and  $K < X$  with  $K \notin \mathcal{H}$ .

Then  $\mathcal{H}$  is said to be definitely unbeatable on  $\Pi$ .

For  $\Pi \subseteq X$  let  $\sigma(\Pi)$  be the least cardinality of a family of proper subgroups of  $X$  whose union contains  $\Pi$ . The next lemma is straightforward so we state it without proof.

**Lemma 5.2.** *If  $\mathcal{H}$  is definitely unbeatable on  $\Pi$  then  $\sigma(\Pi) = |\mathcal{H}|$ .*

It follows that if  $\mathcal{H}$  is definitely unbeatable on  $\Pi$  then  $|\mathcal{H}| = \sigma(\Pi) \leq \sigma(X)$ .

### 6 Proof of Theorem 1.1

By Proposition 3.1, to prove Theorem 1.1 it is sufficient to establish the lower bound of the statement.

Fix a positive integer  $m$  at least 2, let  $S$  be a non-abelian finite simple group, and let  $\Sigma$  and  $\mathcal{M}$  be as in the Introduction (satisfying Conditions (0)–(5)). As before, let  $G = S \wr C_m$ .

Let  $\Pi_1$  be the set consisting of all elements  $(x_1, \dots, x_m)\gamma$  of  $G$  with the property that  $x_1 \dots x_m \in \Sigma$  and let  $\mathcal{H}_1$  be the set consisting of all subgroups  $N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  with the property that  $M \in \mathcal{M}$ . For fixed  $M \in \mathcal{M}$  put

$$\Sigma_M = \Sigma \cap \left( \bigcup_{s \in S} M^s \right).$$

Note that, by Conditions (0) and (3) of the Introduction,  $\Sigma_M \cap \Sigma_K = \emptyset$  if  $M$  and  $K$  are non-conjugate elements of  $\mathcal{M}$ . Let  $\Pi_2$  be the set consisting of all elements  $(x_1, \dots, x_m)\gamma^r$  of  $G$  with the property that  $r$  is a prime divisor of  $m$  and that  $x_1 x_{r+1} \dots x_{m-r+1}$  is in  $\Sigma_M$  and  $x_2 x_{r+2} \dots x_{m-r+2}$  is in  $\Sigma_K$ , where  $M$  and  $K$  are not conjugate in  $S$ . Finally, let  $\mathcal{H}_2$  be the set consisting of all maximal subgroups of  $G$  containing the socle of  $G$ . Put  $\Pi = \Pi_1 \cup \Pi_2$  and  $\mathcal{H} = \mathcal{H}_1 \cup \mathcal{H}_2$ . By Lemma 5.2 and the remark following Lemma 5.2, the following proposition finishes the proof of Theorem 1.1.

**Proposition 6.1.** *The set  $\mathcal{H}$  of subgroups of  $G$  is definitely unbeatable on  $\Pi$ .*

*Proof.* First let us prove Condition (1) of Definition 5.1. Let  $H$  be an arbitrary subgroup in  $\mathcal{H}_1$ . Suppose that  $H = N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  for some  $M \in \mathcal{M}$  and  $g_2, \dots, g_m \in S$ . Let  $\pi$  be an element of  $\Sigma \cap M$ . (Such an element exists by Con-

dition (1) of the Introduction.) Let  $x_1 = g_2, x_2 = g_2^{-1}g_3, \dots, x_{m-1} = g_{m-1}^{-1}g_m$ , and  $x_m = x_{m-1}^{-1} \dots x_2^{-1}x_1^{-1}\pi$ . Then, by Lemma 2.1, the element  $(x_1, \dots, x_m)\gamma$  is in  $H$  (and also in  $\Pi_1$ ). Let  $H$  be an arbitrary subgroup in  $\mathcal{H}_2$ . Let the index of  $H$  in  $G$  be  $r$  for some prime divisor  $r$  of  $m$ . Then  $H$  contains every element of  $\Pi_2$  of the form  $(x_1, \dots, x_m)\gamma^r$ .

Next let us prove Condition (2). Let  $(x_1, \dots, x_m)\gamma$  be an arbitrary element of  $\Pi_1$ . We will show that there exists an  $H \in \mathcal{H}_1$  which contains  $(x_1, \dots, x_m)\gamma$ . We know that  $x_1x_2 \dots x_m \in \Sigma$ . By Condition (2) of the Introduction, there exists an  $M \in \mathcal{M}$  with the property that  $x_1x_2 \dots x_m \in M$ . Now let

$$g_2 = x_1, g_3 = x_1x_2, \dots, g_m = x_1x_2 \dots x_{m-1}.$$

Then  $H = N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  contains  $(x_1, \dots, x_m)\gamma$  by Lemma 2.1. Now let  $(x_1, \dots, x_m)\gamma^r$  be an arbitrary element of  $\Pi_2$ . This is contained in the maximal subgroup  $H$  of index  $r$  in  $G$  containing the socle of  $G$ . We see that  $H$  is contained in  $\mathcal{H}_2$ .

Now we show that Condition (3) is satisfied. Notice that, by construction (by the second half of Lemma 2.1 and by Condition (4) of the Introduction),  $\Pi_1 \cap H_2 = \emptyset$  and  $\Pi_2 \cap H_1 = \emptyset$  for all  $H_1 \in \mathcal{H}_1$  and  $H_2 \in \mathcal{H}_2$ . Hence it is sufficient to show that  $\Pi_1 \cap H_1 \cap H_2 = \emptyset$  for distinct subgroups  $H_1$  and  $H_2$  in  $\mathcal{H}_1$  and also that  $\Pi_2 \cap H_1 \cap H_2 = \emptyset$  for distinct subgroups  $H_1$  and  $H_2$  in  $\mathcal{H}_2$ . The latter claim is clear by considering the projection map from  $G$  to  $C_m$ , hence it is sufficient to show the former claim. First notice that if  $M$  and  $K$  are two distinct elements of  $\mathcal{M}$  and  $g_2, \dots, g_m, k_2, \dots, k_m$  are arbitrary elements of  $S$ , then

$$\Pi_1 \cap N_G(M \times M^{g_2} \times \dots \times M^{g_m}) \cap N_G(K \times K^{k_2} \times \dots \times K^{k_m}) = \emptyset,$$

by Lemma 2.1 and by Condition (3) of the Introduction. Finally let  $M$  be fixed and let

$$\Pi_1 \cap N_G(M \times M^{g_2} \times \dots \times M^{g_m}) \cap N_G(M \times M^{k_2} \times \dots \times M^{k_m}) \neq \emptyset$$

for some elements  $g_2, \dots, g_m, k_2, \dots, k_m$  of  $S$ . Then by Lemma 2.1, for every index  $i$  with  $2 \leq i \leq m$ , we have  $Mg_i = Mk_i$  (just consider the products  $x_1 \dots x_j$  for all positive integers  $j$  with  $1 \leq j \leq m-1$  where  $(x_1, \dots, x_m)\gamma$  is in the intersection of  $\Pi_1$  with the two normalizers) from which it follows that  $M^{g_i k_i^{-1}} = M$ . This finishes the proof of Condition (3) of Definition 5.1.

To show that Condition (4) of Definition 5.1 is satisfied, it is necessary to make three easy observations based on the following folklore lemma.

**Lemma 6.2.** *A maximal subgroup of  $G = S \wr C_m$  either contains the socle of  $G$ , or is of product type, or is of diagonal type.*

If  $L$  is a maximal subgroup of  $G$  containing the socle of  $G$  then

$$|\Pi \cap L| = \left( \sum |\Sigma \cap M_1| |\Sigma \cap M_2| \right) |S|^{m-2}$$

where the sum is over all pairs  $(M_1, M_2) \in \mathcal{M}^2$  such that  $M_1$  is not conjugate to  $M_2$  in  $S$ . If  $L$  is of product type, then  $|\Pi \cap L| = |\Sigma \cap M| |M|^{m-1}$  where  $M$  is such that  $L = N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  for some elements  $g_2, \dots, g_m$  of  $S$ . Finally if  $L$  is of diagonal type, then  $|\Pi \cap L| \leq (1 + \alpha(m)) |S|^{m/l}$  where  $l$  is the smallest prime divisor of  $m$ . Putting these observations together, Condition (5) of the Introduction gives Condition (4) of Definition 5.1.  $\square$

### 7 Proof of Corollary 1.2

Corollary 1.2 is clear for  $m = 1$  by [9], so let us assume that  $m \geq 2$ .

Let  $\mathcal{M}$  be the set of all 11 conjugates of the maximal subgroup  $M_{10}$  of  $M_{11}$  together with all 12 conjugates of the maximal subgroup  $\text{PSL}(2, 11)$  of  $M_{11}$ . It is easy to check that  $\mathcal{M}$  is a covering for  $M_{11}$ , hence, by the upper bound of Theorem 1.1, we have  $\sigma(M_{11} \wr C_m) \leq \alpha(m) + 11^m + 12^m$ .

Let  $\Sigma$  be the subset of  $M_{11}$  consisting of all elements of orders 8 or 11. To prove Corollary 1.2 it is sufficient to show that  $\Sigma$  and  $\mathcal{M}$  satisfy the six conditions of the statement of Theorem 1.1.

By [6], the maximal subgroups of  $M_{11}$  are the following:  $M_{10}$ ,  $\text{PSL}(2, 11)$ ,  $M_9 : 2$ ,  $S_5$ , and  $M_8 : S_3$ , and that for these we have the following:

- $M_{10}$  has order 720, it contains 180 elements of order 8 and no element of order 11; no element of order 8 is contained in two distinct conjugates of  $M_{10}$ ;
- $\text{PSL}(2, 11)$  has order 660, it contains no element of order 8 and 120 elements of order 11; no element of order 11 is contained in two distinct conjugates of  $\text{PSL}(2, 11)$ ;
- $M_9 : 2$  has order 144, it contains 36 elements of order 8 and no element of order 11;
- $S_5$  has order 120, it contains no element of order 8 and no element of order 11;
- $M_8 : S_3$  has order 48, it contains 12 elements of order 8 and no element of order 11.

This verifies the first five conditions of the statement of Theorem 1.1. Now let us compute the four expressions involved in Condition (5):

- $(1 + \alpha(m)) |S|^{m/l} \leq (1 + \alpha(m)) |S|^{m/2} = (1 + \alpha(m)) (\sqrt{7920})^m$ ;
- $\max_{H \notin \mathcal{M}, H < S} |\Sigma \cap H| |H|^{m-1} = 36 \cdot 144^{m-1}$ ;
- $(\sum |\Sigma \cap M_1| |\Sigma \cap M_2|) |S|^{m-2} = 2 \cdot 132 \cdot 180 \cdot 120 \cdot 7920^{m-2}$  since we have

$$2 \cdot 12 \cdot 11 = 2 \cdot 132$$

choices for the pair  $(M_1, M_2)$ ;

- $\min_{M \in \mathcal{M}} |\Sigma \cap M| |M|^{m-1} = 120 \cdot 660^{m-1}$ .



We have then to prove that

$$\begin{aligned} &\max((1 + \alpha(m))7920^{m/2}, 36 \cdot 144^{m-1}) \\ &\leq \min(2 \cdot 132 \cdot 180 \cdot 120 \cdot 7920^{m-2}, 120 \cdot 660^{m-1}). \end{aligned}$$

Clearly the right-hand side is  $120 \cdot 660^{m-1}$  and it is bigger than  $36 \cdot 144^{m-1}$ , so we have to prove that

$$(1 + \alpha(m))7920^{m/2} \leq 120 \cdot 660^{m-1}.$$

After rearranging, taking roots, and using the fact that  $(1 + \alpha(m))^{1/m} \leq \sqrt{2}$  we obtain that it suffices to prove the inequality

$$\sqrt{2} \frac{\sqrt{7920}}{660} \leq \left(\frac{120}{660}\right)^{1/m}.$$

Since the right-hand side of the previous inequality is increasing with  $m$ , it suffices to assume that  $m = 2$ . But then the inequality becomes clear.

### 8 Proof of Corollary 1.3

Note that Corollary 1.3 is clear for  $m = 1$  by [2].

Let  $p \geq 11$  be a prime and assume that the smallest prime divisor  $l$  of  $m$  is at least 5.

Let  $\mathcal{M}$  be the set of all  $p + 1$  conjugates of the maximal subgroup  $C_p \rtimes C_{(p-1)/2}$  of  $\text{PSL}(2, p)$  together with all  $p(p - 1)/2$  conjugates of the maximal subgroup  $D_{p+1}$  of  $\text{PSL}(2, p)$ . It is easy to check that  $\mathcal{M}$  is a covering for  $\text{PSL}(2, p)$ , hence, by the upper bound of Theorem 1.1, we have

$$\sigma(\text{PSL}(2, p) \wr C_m) \leq \alpha(m) + (p + 1)^m + (p(p - 1)/2)^m.$$

Let  $\Sigma_1 \subseteq \text{PSL}(2, p)$  be a set of  $p^2 - 1$  elements each of order  $p$  with the property that every element of  $\Sigma_1$  fixes a unique point on the projective line and that  $(\Sigma_1 \cap M) \cup \{1\}$  is a group of order  $p$  for every conjugate  $M$  of  $C_p \rtimes C_{(p-1)/2}$ . Let  $\Sigma_2$  be the set of all irreducible elements of  $\text{PSL}(2, p)$  of order  $(p + 1)/2$ . Put  $\Sigma = \Sigma_1 \cup \Sigma_2$ . To prove Corollary 1.3 it is sufficient to show that  $\Sigma$  and  $\mathcal{M}$  satisfy the six conditions of the statement of Theorem 1.1.

By [4] the maximal subgroups of  $\text{PSL}(2, p)$  are the following:

- $C_p \rtimes C_{(p-1)/2}$ ;
- $D_{p-1}$  if  $p \geq 13$ ;
- $D_{p+1}$ ;
- $A_5, A_4$ , and  $S_4$  for certain infinite families of  $p$ .

Since  $p \geq 11$ , no element of  $\Sigma$  is contained in a subgroup of the form  $A_5$ ,  $A_4$ , or  $S_4$ . Moreover since  $(p + 1)/2$  and  $p$  do not divide  $p - 1$ , no element of  $\Sigma$  is contained in a subgroup of the form  $D_{p-1}$ . Similarly, it is easy to see that no element of  $\Sigma_1$  is contained in a conjugate of  $D_{p+1}$  and no element of  $\Sigma_2$  is contained in a conjugate of  $C_p \rtimes C_{(p-1)/2}$ .

By the above and similar considerations, it follows that the first five conditions of the statement of Theorem 1.1 hold.

Before computing the four expressions involved in Condition (5) let us note two things. If  $M$  is a maximal subgroup of the form  $D_{p+1}$ , then  $|\Sigma \cap M| = \varphi((p + 1)/2)$  where  $\varphi$  is Euler's function. Moreover, if  $M$  is conjugate to  $C_p \rtimes C_{(p-1)/2}$ , then  $|\Sigma \cap M| = p - 1$ .

The following statements hold:

- $(1 + \alpha(m))|S|^{m/l} \leq (1 + \alpha(m))((1/2)p(p^2 - 1))^{m/5};$
- $\max_{H \notin \mathcal{A}} |\Sigma \cap H| |H|^{m-1} = 0;$
- $\left( \sum |\Sigma \cap M_1| |\Sigma \cap M_2| \right) |S|^{m-2}$   
 $= 2(p + 1)(p(p - 1)/2)\varphi((p + 1)/2)(p - 1)((1/2)p(p^2 - 1))^{m-2};$
- $\min_{M \in \mathcal{A}} |\Sigma \cap M| |M|^{m-1}$   
 $= \min(\varphi((p + 1)/2)(p + 1)^{m-1}, (p - 1)(p(p - 1)/2)^{m-1})$   
 $= \varphi((p + 1)/2)(p + 1)^{m-1}.$

We reduce easily to proving the inequality

$$(1 + \alpha(m))(p(p^2 - 1)/2)^{m/5} \leq \varphi((p + 1)/2)(p + 1)^{m-1}.$$

Using the fact that  $(1 + \alpha(m))^{1/m} \leq \sqrt{2}$  we obtain that it suffices to show that

$$\sqrt{2} \frac{(p(p^2 - 1)/2)^{1/5}}{p + 1} \leq \left( \frac{\varphi((p + 1)/2)}{p + 1} \right)^{1/m}.$$

Since the right-hand side is increasing with  $m$ , it suffices to assume that  $m = 5$ . By taking 5th powers of both sides we obtain

$$2\sqrt{2}p(p^2 - 1) \leq (p + 1)^4.$$

But this is clearly true for  $p \geq 11$ .

### 9 Alternating groups

From this section on we will deal with the special case when  $S$  is the alternating group  $A_n$ . We will repeat some of the definitions in more elaborate form.

For each positive integer  $n \geq 5$  which is not a prime we define a subset  $\Pi_0$  of  $A_n$  and a set  $\mathcal{H}_0$  of maximal subgroups of  $A_n$ . (These sets  $\Pi_0$  and  $\mathcal{H}_0$  will be close to the sets  $\Sigma$  and  $\mathcal{M}$  of the Introduction.)

Let  $n$  be odd (and not a prime). In this case let  $\Pi_0$  be the set of all  $n$ -cycles of  $A_n$  and let  $\mathcal{H}_0$  be the set of all maximal subgroups of  $A_n$  conjugate to  $(S_{n/p} \wr S_p) \cap A_n$  where  $p$  is the smallest prime divisor of  $n$ .

Let  $n$  be divisible by 4. In this case let  $\Pi_0$  be the set of all  $(i, n - i)$ -cycles of  $A_n$  (permutations of  $A_n$  which are products of two disjoint cycles one of length  $i$  and one of length  $n - i$ ) for all odd  $i$  with  $i < n/2$  and let  $\mathcal{H}_0$  be the set of all maximal subgroups of  $A_n$  conjugate to some group of the form  $(S_i \times S_{n-i}) \cap A_n$  for some odd  $i$  with  $i < n/2$ .

Let  $n$  be congruent to 2 modulo 4. In this case let  $\Pi_0$  be the set of all  $(i, n - i)$ -cycles of  $A_n$  for all odd  $i$  with  $i \leq n/2$  and let  $\mathcal{H}_0$  be the set of all maximal subgroups of  $A_n$  conjugate to some group of the form  $(S_i \times S_{n-i}) \cap A_n$  for some odd  $i$  with  $i < n/2$  or conjugate to  $(S_{n/2} \wr S_2) \cap A_n$ .

**Theorem 9.1** (Maróti, [9]). *With the notation above  $\mathcal{H}_0$  is definitely unbeatable on  $\Pi_0$  provided that  $n \geq 16$ .*

### 10 Wreath products

Let  $m$  be a fixed positive integer (which can be 1). Let  $G = A_n \wr C_m$  and let  $\gamma$  be a generator of  $C_m$ . Let  $\Pi_1$  be the set consisting of all elements  $(x_1, \dots, x_m)\gamma$  of  $G$  with the property that  $x_1 \dots x_m \in \Pi_0$  and let  $\mathcal{H}_1$  be the set consisting of all subgroups  $N_G(M \times M^{\gamma^2} \times \dots \times M^{\gamma^m})$  with the property that  $M \in \mathcal{H}_0$ . If  $m = 1$ , then set  $\Pi = \Pi_1$  and  $\mathcal{H} = \mathcal{H}_1$ . From now on, only in the rest of this paragraph, suppose that  $m > 1$ . For  $n$  odd let  $\Pi_2$  be the set consisting of all elements  $(x_1, \dots, x_m)\gamma^r$  of  $G$  with the property that  $r$  is a prime divisor of  $m$  and that  $x_1 x_{r+1} \dots x_{m-r+1}$  is an  $n$ -cycle and  $x_2 x_{r+2} \dots x_{m-r+2}$  is an  $(n - 2)$ -cycle. For fixed  $M \in \mathcal{H}_0$  put

$$\Pi_{0,M} = \Pi_0 \cap \left( \bigcup_{g \in A_n} M^g \right).$$

(Depending on  $M$ , and on the parity of  $n$ ,  $\Pi_{0,M}$  is the set of  $n$ -cycles or the set of  $(i, n - i)$ -cycles with  $i \leq n/2$  contained in the union of all conjugates of some  $M$  in  $\mathcal{H}_0$ .) For  $n$  even let  $\Pi_2$  be the set consisting of all elements  $(x_1, \dots, x_m)\gamma^r$  of  $G$  with the property that  $r$  is a prime divisor of  $m$  and that  $x_1 x_{r+1} \dots x_{m-r+1} \in \Pi_{0,M}$  and  $x_2 x_{r+2} \dots x_{m-r+2} \in \Pi_{0,K}$  where  $M$  and  $K$  are not conjugate in  $A_n$ . Finally, let  $\mathcal{H}_2$  be the set consisting of all maximal subgroups of  $G$  containing the socle of  $G$ . Put  $\Pi = \Pi_1 \cup \Pi_2$  and  $\mathcal{H} = \mathcal{H}_1 \cup \mathcal{H}_2$ .

**Proposition 10.1.** *If  $m = 1$ , then  $\mathcal{H}$  is definitely unbeatable on  $\Pi$  for  $n \geq 16$ . If  $m > 1$ , then  $\mathcal{H}$  is definitely unbeatable on  $\Pi$  for  $n > 12$  provided that  $n$  has a prime divisor at most  $\sqrt[3]{3}$ .*

For  $m = 1$  there is nothing to show. Suppose that  $m > 1$ .

Using the ideas in Section 6, it is easy to show that  $\Pi$  and  $\mathcal{H}$  satisfy Conditions (1), (2), and (3) of Definition 5.1. (Condition (3) of Definition 5.1 is satisfied since, for example for  $n$  odd, no conjugate of  $(S_{n/p} \wr S_p) \cap A_n$  contains an  $(n - 2)$ -cycle where  $p$  is the smallest prime divisor of  $n$ .) Hence, to prove Proposition 10.1, it is sufficient to verify Condition (4) of Definition 5.1. This will be done in the next three sections.

### 11 Some preliminary estimates

Some of the following lemma depends on the fact that  $a!(n - a)! \geq b!(n - b)!$  whenever  $a$  and  $b$  are integers with  $a \leq b \leq n/2$ .

**Lemma 11.1.** *Let  $n$  be odd (and not a prime). Then*

$$|\Pi \cap H_1| = |\Pi_1 \cap H_1| = (1/(2^{m-1}n))((n/p)!^p)^m$$

for  $H_1 \in \mathcal{H}_1$  where  $p$  is the smallest prime divisor of  $n$ , and

$$|\Pi \cap H_2| = |\Pi_2 \cap H_2| = (2/(n(n - 2)))|A_n|^m$$

for  $H_2 \in \mathcal{H}_2$ . Let  $n$  be divisible by 4. Then

$$|\Pi \cap H_1| = |\Pi_1 \cap H_1| \geq (((n/2) - 2)!((n/2)!)) \left( \frac{(((n/2) - 1)!((n/2 + 1)!))}{2} \right)^{m-1}$$

for  $H_1 \in \mathcal{H}_1$ . Let  $n$  be congruent to 2 modulo 4. Then

$$|\Pi \cap H_1| = |\Pi_1 \cap H_1| \geq (1/2^{m-1})(((n/2) - 1)!((n/2)!))^{2m-2}$$

for  $H_1 \in \mathcal{H}_1$ . Finally, let  $n$  be even. Then

$$|\Pi \cap H_2| = |\Pi_2 \cap H_2| \geq \frac{4}{3(n - 1)(n - 3)} |A_n|^m$$

for  $H_2 \in \mathcal{H}_2$ .

*Proof.* This follows from the above and from the observations made when dealing with Condition (4) of Definition 5.1 while proving Theorem 1.1. The last statement follows from counting  $(1, n - 1)$ -cycles and  $(3, n - 3)$ -cycles (twice).  $\square$

**Lemma 11.2.** *Suppose that  $n \geq 5$ .*

(1) *If  $n$  is odd (and not a prime), then*

$$(1/(2^{m-1}n))((n/p)!^p p!)^m \leq (2/(n(n-2)))|A_n|^m,$$

hence  $\min_{H \in \mathcal{H}} |\Pi \cap H| = (1/(2^{m-1}n))((n/p)!^p p!)^m$ .

(2) *If  $n$  is divisible by 4, then*

$$(((n/2) - 2)!((n/2)! \left( \frac{(((n/2) - 1)!((n/2) + 1)!}{2} \right)^{m-1}) \leq \frac{4}{3(n-1)(n-3)} |A_n|^m,$$

hence

$$\min_{H \in \mathcal{H}} |\Pi \cap H| = (((n/2) - 2)!((n/2)! \left( \frac{(((n/2) - 1)!((n/2) + 1)!}{2} \right)^{m-1}.$$

(3) *If  $n$  is congruent to 2 modulo 4, then*

$$(1/2^{m-1})(((n/2) - 1)!^2((n/2)!)^{2m-2} \leq \frac{4}{3(n-1)(n-3)} |A_n|^m,$$

hence

$$\min_{H \in \mathcal{H}} |\Pi \cap H| \geq (1/2^{m-1})(((n/2) - 1)!^2((n/2)!)^{2m-2}.$$

*Proof.* (1) After rearranging, the inequality becomes  $n - 2 \leq |S_n : (S_{n/p} \wr S_p)|^m$  which is clearly true.

(2) After rearranging, the inequality becomes

$$\frac{6(n-1)(n-3)}{(n+2)(n-2)} < 6 \leq \left( \frac{|S_n|}{|S_{(n/2)-1} \times S_{(n/2)+1}|} \right)^m$$

which is clearly true.

(3) After rearranging, the inequality becomes

$$\frac{6(n-1)(n-3)}{n^2} < 6 < \binom{n}{n/2}^m$$

which is clearly true.  $\square$

## 12 The case when $K$ is a subgroup of diagonal type

Let  $K$  be a subgroup of  $G$  of diagonal type. Note that  $K \notin \mathcal{H}$ . We would like to show that  $|\Pi \cap K| \leq |\Pi \cap H|$  for every  $H \in \mathcal{H}$ . We have  $|\Pi \cap K| \leq (1 + \alpha(m))|A_n|^{m/2}$ .

We need Stirling's formula.

**Theorem 12.1** (Stirling’s formula). *For all positive integers  $n$  we have*

$$\sqrt{2\pi n}(n/e)^n e^{1/(12n+1)} < n! < \sqrt{2\pi n}(n/e)^n e^{1/(12n)}.$$

The declared aim of proving the inequality  $|\Pi \cap K| \leq |\Pi \cap H|$  for every  $H \in \mathcal{H}$  is achieved through the next lemma. We also point out that the right-hand sides of the inequalities of the following lemma come from Section 11.

**Lemma 12.2.** *Let  $m \geq 2$ . The following hold.*

(1) *Let  $n$  be odd with smallest prime divisor  $p$  at most  $\sqrt[3]{n}$ . Then*

$$(1 + \alpha(m))(n!/2)^{m/2} \leq (1/(2^{m-1}n))(((n/p)!)^p p!)^m.$$

(2) *Let  $n$  be divisible by 4 and larger than 8. Then*

$$(1 + \alpha(m))(n!/2)^{m/2} \leq ((n/2) - 2)!((n/2)!) \left( \frac{(((n/2) - 1)!((n/2) + 1)!)}{2} \right)^{m-1}.$$

(3) *Let  $n$  be congruent to 2 modulo 4 and larger than 10. Then*

$$(1 + \alpha(m))(n!/2)^{m/2} \leq (1/2^{m-1})((n/2) - 1)!^2((n/2)!)^{2m-2}.$$

*Proof.* (1) It is sufficient to prove the inequality

$$\left( \frac{n}{2}(1 + \alpha(m)) \right)^{2/m} \leq \frac{((n/p)!)^{2p} p!^2}{2n!}.$$

For this it is sufficient to see that

$$n(1 + \alpha(m))^{2/m} \leq \frac{((n/p)!)^{2p}}{n!}.$$

Substituting Stirling’s formula (Theorem 12.1) on the right-hand side, we see that it is sufficient to show that

$$n(1 + \alpha(m))^{2/m} \leq \frac{(2\pi(n/p))^p (n/pe)^{2n}}{\sqrt{2\pi n}(n/e)^n e^{1/(12n)}}.$$

Since  $3 \leq p \leq \sqrt[3]{n}$  and  $e^{1/(12n)} < 2$ , it is sufficient to prove

$$n(1 + \alpha(m))^{2/m} \leq \frac{(2\pi n^{2/3})^3 (n^{2/3}/e)^{2n}}{2\sqrt{2\pi n}(n/e)^n}.$$

Since  $(1 + \alpha(m))^{2/m} \leq 2$  it is sufficient to see that

$$\frac{\sqrt{2\pi}}{2\pi^3} \leq \frac{n^{(1/3)n+(1/2)}}{e^n}.$$

But this is true for  $n \geq 27$ .

(2) After rearranging the inequality and taking roots we get

$$(1 + \alpha(m))^{2/m} (n!/2) \leq \left(\frac{8}{n^2 - 4}\right)^{2/m} \left(\frac{((n/2) - 1)!((n/2) + 1)!}{2}\right)^2.$$

Since  $(1 + \alpha(m))^{2/m} \leq 2$  and  $8/(n^2 - 4) \leq (8/(n^2 - 4))^{2/m}$ , it is sufficient to see that

$$\frac{n^2 - 4}{2} n! \leq (((n/2) - 1)!((n/2) + 1)!)^2.$$

Since  $\binom{n}{(n/2)-1} \leq 2^{n-1}$ , it is sufficient to prove

$$(n^2 - 4)2^{n-2} \leq ((n/2) - 1)!((n/2) + 1)!.$$

But this is true for  $n \geq 12$ .

(3) After rearranging the inequality and taking roots we see that it is sufficient to show

$$4(1 + \alpha(m))^{2/m} (n/2)^{4/m} (n!/2) \leq ((n/2)!)^4.$$

Since  $(1 + \alpha(m))^{2/m} \leq 2$  and  $(n/2)^{4/m} \leq (n/2)^2$ , it is sufficient to see that

$$n^2 n! \leq ((n/2)!)^4.$$

But this can be seen by induction for  $n \geq 14$ .  $\square$

### 13 The case when $K$ is a subgroup of product type

Let  $K$  be a subgroup of  $G$  of product type such that  $K \notin \mathcal{H}$ . We would like to show that  $|\Pi \cap K| \leq |\Pi \cap H|$  for every  $H \in \mathcal{H}$ .

Suppose that  $K = N_G(M \times M^{g_2} \times \cdots \times M^{g_m})$  where  $M$  is a maximal subgroup of  $A_n$ . If  $M$  is an intransitive subgroup then  $\Pi \cap K = \emptyset$ , by construction of  $\Pi$  and  $\mathcal{H}$ , hence there is nothing to show in this case.

In the next paragraph and in Lemma 13.3 we will make use of the following fact taken from [8].

**Lemma 13.1.** *For a positive integer  $n$  at least 8 we have*

$$((n/a)!)^a a! \geq ((n/b)!)^b b!$$

*whenever  $a$  and  $b$  are divisors of  $n$  with  $a \leq b$ .*

Let  $M$  be a maximal imprimitive subgroup of  $A_n$  conjugate to  $(S_{n/a} \wr S_a) \cap A_n$  for some proper divisor  $a$  of  $n$ . Let  $n$  be odd (and not a prime). Then  $\Pi_2 \cap K = \emptyset$  since  $M$  does not contain an  $(n - 2)$ -cycle. In this case

$$|\Pi \cap K| = |\Pi_1 \cap K| = (1/(2^{m-1}n))(((n/a)!)^a a!)^m \leq (1/(2^{m-1}n))(((n/p)!)^p p!)^m$$

and we are done by Lemma 11.2(1).

Now let  $n$  be even. In this case  $a \geq 3$ .

**Lemma 13.2.** *Let  $n$  be even and let  $a$  be the smallest divisor of  $n$  larger than 2. If  $n > 10$ , then  $n((n/a)!)^a a! \leq 2((n/2)!)^2$ .*

*Proof.* If  $n = 2a$ , then we must consider the inequality  $2^a \leq (a - 1)!$ . This is clearly true if  $a > 5$ , hence if  $n > 10$ . Thus we may assume that  $3 \leq a \leq n/4$ .

The lemma is true for  $10 < n \leq 28$  by inspection. From now on we assume that  $n \geq 30$ .

Applying Stirling’s formula (see Theorem 12.1), we see that it is sufficient to verify the inequality

$$n(\sqrt{2\pi(n/a)})^a (n/ae)^n e^{a^2/(12n)} \sqrt{2\pi a} (a/e)^a e^{1/(12a)} \leq 2\pi n (n/2e)^n e^{2/(6n+1)}.$$

After rearranging factors we obtain

$$2^n (2\pi(n/a))^{a/2} e^{a^2/(12n)} \sqrt{2\pi a} (a/e)^a e^{1/(12a)} \leq a^n 2\pi e^{2/(6n+1)}.$$

After taking natural logarithms and rearranging terms we obtain

$$a\left(\frac{\ln(2\pi)}{2} + \frac{\ln n}{2} + \frac{\ln a}{2} + \frac{a}{12n} - 1\right) + \left(\frac{\ln a}{2} + \frac{1}{12a} - \frac{\ln(2\pi)}{2} - \frac{2}{6n+1}\right) \leq n(\ln a - \ln 2).$$

By the assumption  $3 \leq a \leq n/4$  and by dividing both sides of the previous inequality by  $\ln n$  we see that it is sufficient to prove

$$\begin{aligned} & a\left(1 + \frac{\ln(2\pi)}{2 \ln n} + \frac{1}{48 \ln n} - \frac{1}{\ln n}\right) + \left(\frac{1}{2} + \frac{1}{36 \ln n} - \frac{\ln(2\pi)}{2 \ln n} - \frac{2}{(6n+1) \ln n}\right) \\ & \leq \frac{n}{\ln n} (\ln a - \ln 2). \end{aligned}$$

Since

$$\frac{\ln(2\pi)}{2 \ln n} + \frac{1}{48 \ln n} - \frac{1}{\ln n} < 0 \quad \text{and} \quad \frac{1}{36 \ln n} - \frac{\ln(2\pi)}{2 \ln n} - \frac{2}{(6n+1) \ln n} < 0,$$

it is sufficient to prove

$$\frac{a + 0.5}{\ln a - \ln 2} \leq \frac{n}{\ln n}. \tag{1}$$



This is true for  $a = 3, 4,$  and  $5$  (provided that  $n \geq 30$ ). Hence we assume that  $7 \leq a \leq n/4$ .

The function on the left in the inequality (1) increases when  $x > 6$ , hence it is sufficient to prove the inequality when  $a = n/4$ . But that holds for  $n \geq 30$ . The proof of the lemma is now complete.  $\square$

By Lemma 2.1, we have  $|\Pi \cap K| \leq (1 + \alpha(m))|M|^m$ . The left-hand sides of Lemmas 13.3 and 13.4 are upper bounds for  $(1 + \alpha(m))|M|^m$  in various cases.

**Lemma 13.3.** *Let  $n$  be even and let  $a$  be the smallest divisor of  $n$  larger than 2. Let  $m \geq 2$ . Then for  $n > 10$  we have the following.*

(1) *If  $n$  is divisible by 4, then*

$$(1 + \alpha(m)) \left( \frac{((n/a)!)^a a!}{2} \right)^m \\ \leq (((n/2) - 2)!((n/2)!) \left( \frac{(((n/2) - 1)!((n/2) + 1)!)}{2} \right)^{m-1}.$$

(2) *If  $n$  is congruent to 2 modulo 4, then*

$$(1 + \alpha(m)) \left( \frac{((n/a)!)^a a!}{2} \right)^m \leq (1/2^{m-1})(((n/2) - 1)!)^2((n/2)!)^{2m-2}.$$

*Proof.* By Lemma 13.2 it is sufficient to show that both displayed inequalities follow from the inequality

$$n((n/a)!)^a a! \leq 2((n/2)!)^2.$$

Indeed, the first displayed inequality becomes

$$(1 + \alpha(m)) \left( \frac{((n/a)!)^a a!}{2} \right)^m \leq \frac{8}{n^2 - 4} \left( \frac{(((n/2) - 1)!((n/2) + 1)!)}{2} \right)^m.$$

Since  $(1 + \alpha(m))^{1/m} \leq \sqrt{2}$  and  $(2\sqrt{2})/n \leq (8/(n^2 - 4))^{1/2} \leq (8/(n^2 - 4))^{1/m}$ , it is sufficient to see that

$$(n/2)((n/a)!)^a a! \leq ((n/2) - 1)!((n/2) + 1)!.$$

But this proves the first part of the lemma since

$$((n/2)!)^2 < ((n/2) - 1)!((n/2) + 1)!.$$

After rearrangement of the factors in the second displayed inequality of the statement of the lemma, the inequality becomes

$$(1 + \alpha(m))((n/a)!^a a!)^m \leq (8/n^2)(n/2)!^{2m}.$$

Considerations like those in the previous paragraph show that this latter inequality follows from the inequality  $n((n/a)!^a a!) \leq 2((n/2)!)^2$ .  $\square$

Now let  $M$  be a maximal primitive subgroup of  $A_n$ . We know that  $|M| < 2.6^n$  by [8]. The following lemma is necessary for our purposes.

**Lemma 13.4.** *Let  $n > 12$  and  $m \geq 2$ .*

(1) *If  $n$  is odd with smallest prime divisor  $p$  at most  $\sqrt[3]{n}$  then*

$$(1 + \alpha(m))2.6^{nm} \leq (1/(2^{m-1}n))((n/p)!^p p!)^m.$$

(2) *If  $n$  is divisible by 4, then*

$$(1 + \alpha(m))2.6^{nm} \leq (((n/2) - 2)!((n/2)!) \left( \frac{(((n/2) - 1)!((n/2) + 1)!)}{2} \right)^{m-1}.$$

(3) *If  $n$  is congruent to 2 modulo 4, then*

$$(1 + \alpha(m))2.6^{nm} \leq (1/2^{m-1})(((n/2) - 1)!)^2((n/2)!)^{2m-2}.$$

*Proof.* By Lemma 12.2, there is nothing to prove for  $n \geq 17$  since

$$(1 + \alpha(m))2.6^{nm} < (1 + \alpha(m))(n!/2)^{m/2}$$

holds for  $n \geq 17$ . One can check the validity of the inequalities for  $n = 16$  and  $n = 14$  by hand.  $\square$

The results of the previous three sections, together with Lemma 6.2, complete the proof of Proposition 10.1.

#### 14 A lower bound for $\sigma(A_n \wr C_m)$

In this section we show that if  $n > 12$  and  $n$  is not congruent to 2 modulo 4, then

$$\alpha(m) + \frac{1}{2} \sum_{\substack{i=1 \\ i \text{ odd}}}^n \binom{n}{i}^m < \sigma(A_n \wr C_m).$$

To see this for  $n$  divisible by 4 and  $n > 12$ , notice that

$$\alpha(m) + \frac{1}{2} \sum_{\substack{i=1 \\ i \text{ odd}}}^n \binom{n}{i}^m = \sigma(\Pi) \leq \sigma(A_n \wr C_m).$$

Let  $n > 12$  be odd. By [9] we may assume that  $m > 1$ . In this case we clearly have

$$\alpha(m) + \frac{1}{2} \sum_{\substack{i=1 \\ i \text{ odd}}}^n \binom{n}{i}^m < 2^{nm-m-1}.$$

Hence it is sufficient to show that  $2^{nm-m-1} \leq \sigma(A_n \wr C_m)$ .

We have  $|\Pi_1| = (n-1)!(n!/2)^{m-1}$ . Let  $H = N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  for some maximal subgroup  $M$  of  $A_n$  and some elements  $g_2, \dots, g_m \in A_n$ . If  $M$  is intransitive, then  $\Pi_1 \cap H = \emptyset$ . If  $M$  is imprimitive, then, by Lemma 13.1,

$$|\Pi_1 \cap H| \leq (1/(n2^{m-1}))(n/p)!^{mp} p!^m$$

where  $p$  is the smallest prime divisor of  $n$ . If  $M$  is primitive, then, by the statement just before Lemma 13.4,  $|\Pi_1 \cap H| \leq 2.6^{nm}$ . Now let  $H$  be a subgroup of  $G$  of diagonal type. Then  $|\Pi_1 \cap H| \leq (n!/2)^{m/2}$ . If  $H$  is a maximal subgroup of  $G$  containing the socle of  $G$ , then  $\Pi_1 \cap H = \emptyset$ . Let  $\mathcal{M}$  be a minimal cover (a cover with least number of members) of  $G$  containing maximal subgroups of  $G$ . Let  $a$  be the number of subgroups in  $\mathcal{M}$  of the form  $N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  where  $M$  is imprimitive. Let  $b$  be the number of subgroups in  $\mathcal{M}$  of the form  $N_G(M \times M^{g_2} \times \dots \times M^{g_m})$  where  $M$  is primitive. Let  $c$  be the number of subgroups in  $\mathcal{M}$  of diagonal type. Then

$$a \cdot (1/(n2^{m-1}))(n/p)!^{mp} p!^m + b \cdot 2.6^{nm} + c \cdot (n!/2)^{m/2} \geq (n-1)!(n!/2)^{m-1}.$$

From this we see that

$$\frac{(n-1)!(n!/2)^{m-1}}{\max\{(1/(n2^{m-1}))(n/p)!^{mp} p!^m, 2.6^{nm}, (n!/2)^{m/2}\}} \leq \sigma(G)$$

if  $n$  is not a prime, and

$$\frac{(n-1)!(n!/2)^{m-1}}{\max\{2.6^{nm}, (n!/2)^{m/2}\}} \leq \sigma(G)$$

if  $n$  is a prime. Hence to finish the proof of this section, we just need

**Lemma 14.1.** *Let  $n$  be odd with  $n > 12$  and let  $m > 1$ .*

(1) *If  $p$  is the smallest prime divisor of  $n$  and  $p < n$  then*

$$2^{nm-m-1} \leq \frac{(n!)^m}{(n/p)!^m p!^m}.$$

(2) *In general*

$$2^{nm-2} \leq \frac{(n-1)!(n!)^{m-1}}{2 \cdot 6^{nm}},$$

(3) *and*

$$2^{nm-(m/2)-2} \leq (n-1)!(n!)^{(m/2)-1}.$$

*Proof.* (1) It is sufficient to prove the inequality

$$2^{n-1} \leq \frac{n!}{(n/p)!^p p!}$$

for  $n \geq 15$ . This is true by inspection for  $15 \leq n < 99$ . Hence assume that  $n \geq 99$ . Applying Stirling's formula (see Theorem 12.1) three times to both sides of the inequality

$$2^{n-1} (n/p)!^p p! \leq n!$$

we obtain

$$2^{n-1} \sqrt{2\pi(n/p)}^p (n/pe)^n e^{1/(12(n/p))} \sqrt{2\pi p} (p/e)^p e^{1/12p} \leq \sqrt{2\pi n} (n/e)^n e^{1/(12n+1)}.$$

Since  $e^{1/(12(n/p))} e^{1/12p} < 2$  and  $e^{1/(12n+1)} > 1$ , it is sufficient to prove the inequality

$$2^n \sqrt{2\pi(n/p)}^p (n/pe)^n \sqrt{2\pi p} (p/e)^p \leq \sqrt{2\pi n} (n/e)^n.$$

After rearranging factors and applying the estimate  $3 \leq p \leq \sqrt{n}$  we see that it is sufficient to prove

$$2^n \sqrt{2\pi n/3}^{\sqrt{n}} \sqrt{2\pi\sqrt{n}} (\sqrt{n}/e)^{\sqrt{n}} \leq 3^n \sqrt{2\pi n}.$$

After taking logarithms of both sides of the previous inequality and rearranging terms, we get

$$(\sqrt{n}/2) \ln(2\pi n/3) + (1/2) \ln(2\pi\sqrt{n}) + \sqrt{n} \ln(\sqrt{n}/e) \leq n \ln(3/2) + (1/2) \ln(2\pi n).$$

After further rearrangements we obtain

$$(\sqrt{n} - (1/4)) \ln n \leq \ln(3/2)n + \sqrt{n}(1 - (\ln(2\pi/3)/2)).$$

After dividing both sides of the previous inequality by  $\sqrt{n}$  and evaluating the logarithms we see that it is sufficient to prove  $\ln n \leq 0.4\sqrt{n} + 0.63$  for  $n \geq 99$ . But this is clearly true.

(2) After rearrangement the inequality becomes  $(n/4)2^{nm} \leq (n!)^m/2.6^{nm}$ . Hence it is sufficient to show that  $(\sqrt{n}/2)5.2^n \leq n!$ . But this is true for  $n \geq 13$ .

(3) Rearranging the inequality we get  $(n/4)2^{nm-(m/2)} \leq (n!)^{m/2}$ . Hence it is sufficient to see that  $(n/8)4^n \leq n!$ . But this is true for  $n \geq 13$ .  $\square$

### 15 Proofs of Theorems 1.4 and 1.5

Let us first show Theorem 1.4. Suppose that  $n$  is congruent to 2 modulo 4. If  $n \geq 10$ , then  $\sigma(A_n) = 2^{n-2}$ , by [9]. Hence we may assume that  $m > 1$  (and  $n > 10$ ). In this case, by Proposition 10.1,  $\mathcal{H}$  is definitely unbeatable on  $\Pi$  and  $\mathcal{H}_0$  is a covering for  $A_n$ . Hence

$$\alpha(m) + \sum_{M \in \mathcal{H}_0} |A_n : M|^{m-1} = |\mathcal{H}| = \sigma(\Pi) \leq \sigma(G) \leq \alpha(m) + \sum_{M \in \mathcal{H}_0} |A_n : M|^{m-1},$$

by Proposition 3.1. Finally, it is easy to see that

$$\alpha(m) + \sum_{M \in \mathcal{H}_0} |A_n : M|^{m-1} = \alpha(m) + \sum_{\substack{i=1 \\ i \text{ odd}}}^{(n/2)-2} \binom{n}{i}^m + \frac{1}{2^m} \binom{n}{n/2}^m.$$

This (together with the previous section) proves Theorem 1.4.

From now on assume that  $n$  is either at least 16 and divisible by 4 or odd with a prime divisor at most  $\sqrt[3]{n}$ . In this case  $\mathcal{H}$  is definitely unbeatable on  $\Pi$  by Proposition 10.1. This gives us the lower bound

$$\alpha(m) + \sum_{M \in \mathcal{H}_0} |A_n : M|^{m-1} \leq \sigma(G).$$

Let the set  $\mathcal{H}_3$  of maximal subgroups of  $A_n$  be defined as follows. If 4 divides  $n$ , then let  $\mathcal{H}_3$  be the set of all subgroups conjugate (in  $A_n$ ) to  $(S_{n/2} \wr S_2) \cap A_n$ . If  $n$  is odd, then let  $\mathcal{H}_3$  be the set of all subgroups conjugate (in  $A_n$ ) to some subgroup  $(S_k \times S_{n-k}) \cap A_n$  for some  $k$  with  $k \leq n/3$ . Then  $\mathcal{H}_0 \cup \mathcal{H}_3$  is a covering for  $A_n$ . Hence, by Proposition 3.1, this gives us the upper bound

$$\sigma(G) \leq \alpha(m) + \sum_{M \in \mathcal{H}_0} |A_n : M|^{m-1} + \sum_{M \in \mathcal{H}_3} |A_n : M|^{m-1}.$$

Hence to prove Theorem 1.5, it is sufficient to see that the fraction

$$f(n, m) = \frac{\sum_{M \in \mathcal{K}_3} |A_n : M|^{m-1}}{\sum_{M \in \mathcal{K}_0} |A_n : M|^{m-1}}$$

tends to 0 as  $n$  tends to infinity.

If  $n$  is divisible by 4, then

$$f(n, m) = \frac{\left( (1/2) \binom{n}{n/2} \right)^m}{(1/2) \sum_{\substack{i=1 \\ i \text{ odd}}}^n \binom{n}{i}^m}$$

which clearly tends to 0 as  $n$  tends to infinity.

Finally, if  $n$  is odd with smallest prime divisor  $p$  at most  $\sqrt[3]{n}$ , then

$$f(n, m) = \frac{\sum_{i=1}^{\lfloor n/3 \rfloor} \binom{n}{i}^m}{(n! / ((n/p)! p!))^m} \leq \frac{\sum_{i=1}^{\lfloor n/3 \rfloor} \binom{n}{i}^m}{2^{nm-m}} \leq \frac{(\sum_{i=1}^{\lfloor n/3 \rfloor} \binom{n}{i})^m}{2^{nm-m}}$$

which again tends to 0 as  $n$  tends to infinity.

This proves Theorem 1.5.

**Acknowledgements.** Thanks are due to Andrea Lucchini for helpful comments and to the anonymous referee for a careful reading of an early version of this paper.

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Received 4 September, 2009

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