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RESEARCH ARTICLE

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- ² The immune suppressive
- microenvironment of human gliomas
- depends on the accumulation of bone
- marrow-derived macrophages in the center
 of the lesion
- [Q1, 7 Laura Pinton¹, Elena Masetto¹, Marina Vettore², Samantha Solito², Sara Magri², Marta D'Andolfi², Paola Del Bianco¹,
 8 Giovanna Lollo^{3,4}, Jean-Pierre Benoit⁵, Hideho Okada^{6,7}, Aaron Diaz⁶, Alessandro Della Puppa⁸
 - and Susanna Mandruzzato^{1,2*}

18 Abstract

Background: Systemic and local immune suppression plays a significant role in glioma progression. Glioma microenvironment contains both brain-resident microglial cells (MG) and bone marrow-derived macrophages (BMDM), but the study of their functional and immune regulatory activity has been hampered until now by the lack of markers allowing a proper identification and isolation to collect pure populations.

23 **Methods:** Myeloid and lymphoid infiltrate were characterized in grade II, III and IV gliomas by multicolor flow

- cytometry, along with the composition of the cell subsets of circulating myeloid cells. Macrophages were sorted and tested for their immunosuppressive ability. Moreover, following preoperative administration of 5-aminolevulinic acid to
- tested for their immunosuppressive ability. Moreover, following preoperative administration of 5-aminolevulinic acid to patients, distinct areas of tumor lesion were surgically removed and analyzed, based on protoporphyrin IX fluorescence emission.
- Results: The immune microenvironment of grade II to grade IV gliomas contains a large proportion of myeloid cells
 and a small proportion of lymphocytes expressing markers of dysfunctional activity. BMDM and resident MG cells were
 characterized through a combination of markers, thus permitting their geographical identification in the lesions, their
- sorting and subsequent analysis of the functional characteristics. The infiltration by BMDM reached the highest
- percentages in grade IV gliomas, and it increased from the periphery to the center of the lesion, where it exerted a
- strong immunosuppression that was, instead, absent in the marginal area. By contrast, MG showed little or no suppression. Functional differences, such as iron metabolism and phagocytosis, characterized resident versus bloodderived macrophages. Significant alterations in circulating monocytes were present in grade IV patients, correlating with accumulation of tumor macrophages.
- 35 with accumulation of tumor macrophages.
- 36 Conclusions: Grade IV gliomas have an alteration in both circulating and tumor-associated myeloid cells and, 37 differently from grade II and III gliomas, show a significant presence of blood-derived, immune suppressive
- 38 macrophages. BMDM and MG have different functional properties.
 - Keywords: Innate immunity, Tumor microenvironment, Tumor immunology, Immunological tolerance, Brain cancer

Q2

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40 Introduction

The concept of the immune privilege of the CNS has re-41 cently been revised and it appears now that local im-42 munity can adapt to a peculiar environment, directed by 43 a flexible blood brain barrier and by the presence of un-44 45 conventional lymphatic vessels [1, 2]. Indeed, local im-46 munity in the CNS is completely subverted by a growing tumor, as documented by the presence of a leukocyte in-47 filtrate in different brain tumors [3]. Another peculiarity 48 of the CNS is the presence of microglia (MG) cells, resi-49 dent macrophages fulfilling the role of immune surveil-50 51 lance and removal of debris, with a distinct ontogenesis compared to bone-marrow derived macrophages 52 (BMDM) that heavily infiltrate tumors [4, 5]. 53

Primary brain tumors are heterogeneous not only in 54 their genetic and metabolic composition, but also in 55 their microenvironment. In glioblastoma (GBM), the 56 presence and role of leukocyte infiltrating cells has been 57 addressed in both mouse models and in human tumors. 58 Elegant genetic mouse models have demonstrated that 59 BMDM and MG are both present in gliomas and possess 60 distinct transcriptional and chromatin states [6], and 61 that during GBM growth there is an influx of myeloid 62 63 cells in the tumor microenvironment [3, 7], which represents the main source of tumor-infiltrating macrophages. 64 65 However, it is unclear to what extent a mouse model can recapitulate the human counterpart, given the het-66 erogeneity of GBM. Also in grade II and III glioma pa-67 tients, an infiltrate of myeloid origin mainly constituted 68 69 of macrophages was documented [8, 9] and associated 70 to shorter overall survival (OS) [10] or correlated to the 71 pathological grade [11]. However, in all the studies performed in grade II to IV glioma patients, the precise 72 identification of human MG cells from BMDM lacked 73 74 or was limited to morphological evaluation coupled with immunohistochemical analysis [12], or to subtle differ-75 ences in staining intensity of myeloid markers by flow 76 cytometry, due to the lack of differentially expressed 77 markers on the two cell types [7]. Recently, the addition 78 of CD49D marker has been proposed to discriminate 79 MG from BMDM [6, 10]. 80

Given these constraints, the presence and relevance to 81 tumor progression of BMDM and of resident MG is un-82 83 clear in human gliomas. We sought to analyze the immune infiltrate in II, III and grade IV gliomas from 84 85 freshly resected tissues, and to isolate and characterize MG from BMDM. Taking advantage of 5-aminolevulinic 86 acid (5-ALA) administration to grade IV glioma (glio-87 blastoma, GBM) patients prior to surgery, which leads 88 89 to intracellular accumulation of fluorescent porphyrins 90 [13], we analyzed separate areas of tumor lesions, from 91 which we sorted both macrophage populations, thus enlightening their different immunological and functional 92 characteristics. 93

Methods

Patient characteristics

Patients were recruited at the Department of Neurosurgery, Padova University Hospital, Italy and their characteristics are shown in Table 1. The ethical committee of the IOV-IRCCS and of Padova University Hospital approved all experiments and all patients gave their informed consent. The studies were conducted in accordance with the Declaration of Helsinki.

Blood and tumor samples

Peripheral blood was drawn from patients either at surgery before anesthesia induction, or the day before surgery, and immediately processed. For functional assays peripheral blood mononuclear cells (PBMCs) were isolated by density gradient centrifugation on Ficoll-Paque PLUS (GE Healthcare-Amersham, NJ, USA), as previously described [14].

All tumors were processed immediately after resection 111 by enzymatic digestion, using human Tumor Dissociation Kit (Miltenyi Biotec) and following manufacturer's 113 instructions for soft tumors. Before digestion, tissues 114 were extensively washed with 0.9% sodium chloride solution to remove peripheral blood. 116

Multiparametric flow cytometry

Peripheral blood was stained with monoclonal antibodies 118 to analyze the presence of different myeloid cell popula- 119 tions. Staining procedure and immunophenotyping 120 standardization were the same reported in [15] and are 121

Table 1 Participant characteristics

	Glioma grade ^a		Meningioma ^b	Controls	t1.2	
			IV			t1.3
Sex (n)						t1.4
Male	7	8	54	3	24	t1.5
Female	6	4	22	10	11	t1.6
Median age	42	50	59	57	59	t1.7
Range	24–70	29–73	27–79	43–74	36-84	t1.8
IDH status(n)						t1.9
WT	1	3	72	NA	NA	t1.10
Mutated	12	9	4	NA	NA	t1.11
MGMT (n)						t1.12
Nonmethylated	0	4	35	NA	NA	t1.13
Methylated	6	4	38	NA	NA	t1.14
NA	7	4	3	NA	NA	t1.15
Steroid	no	yes	yes	yes	no	t1.16
^a For grade II, $n = 13$ patients and 13 tissue samples. For Grade III, $n = 12$ patients and 12 tissue samples. For Grade IV, $n = 76$ samples and $\frac{114}{114}$					t1.17 t1.18 t1.19	

^bGrade I and II

n = number

t1.1 Q3

t1.20

t1 21

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Т1

J1

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described in Additional file 1, containing the list of anti-bodies list for cell subset analysis.

124 Cell suspension from glioma tissues after enzymatic di-

125 gestion was labelled with different antibody mixtures to

126 characterize myeloid and lymphocyte subsets as reported

127 in Additional file 1: Table S1.

128 Isolation of myeloid cell subsets and immunosuppressive129 assay

CD45⁺/CD33^{high}/CD49D⁺/HLA-DR⁺ Live or live 130 CD45⁺/CD33^{high}/CD49D⁻/HLA-DR⁺ cell subsets were 131 separated by FACS sorting (BD FACS ARIA III). The 132 purity of each fraction was > 90%. Immunosuppressive 133 activity of myeloid cells isolated either from the periph-134 eral blood or from tumor, was performed as detailed in 135 Additional file 1 and previously described [16]. 136

137 Cytospin preparation and may-Grünwald-Giemsa (MGG)138 staining

139 Sorted cells were centrifuged (Shandon Cytospin 3 cen-

140 trifuge) on microscope slides, and cytospins were stained

141 and analyzed as reported in [16].

142 RNA-sequencing

143 CD11b⁺ cells were obtained by immunomagnetic cell
144 sorting using anti-CD11b microbeads (Miltenyi Biotec),
145 following manufacturer's instruction, or by immunopan146 ning. Single-cell RNA sequencing and data processing
147 were performed as previously described [10].

148 Statistics

149 The Mann-Whitney and the Student t-test were used as appropriate to evaluate statistically significant variations be-150 tween groups of samples. To control the False-151 Discovery-Rate during multiple comparisons, *p*-values were 152 adjusted using the Benjamini-Hochberg procedure. All tests 153 were two-sided and a P < 0.05 was considered statistically 154 significant. Absence of significance was not reported for brevity. Spearmann correlation and linear regression model 156 were used to test the association between parameters. 157

Statistical analyses were performed using the Sigmaplot
software (Systat Software Inc., CA, USA) and RStudio
(RStudio: Integrated Development for R. RStudio, Inc.,
Boston, MA).

162 **Results**

163 Leukocyte infiltrate with immunosuppressive features

164 increases from grade II to grade IV gliomas

165 To evaluate the immune web at the tumor site, we per-166 formed a detailed analysis of the leukocyte infiltrate by 167 multicolor flow cytometry (Additional file 1: Table S1₇) 168 in tumor tissues from untreated grade II, III and IV gli-169 oma patients (Table 1), processed immediately after re-170 section. We found a recurrent presence of $CD45^+$ leukocytes infiltrating human gliomas, increasing signifi-171 cantly in grade IV gliomas (median 19.6% grade II vs 172 28.6% grade III vs 40.3% grade IV). Of note, the majority 173 of infiltrating leukocytes consisted of CD33⁺ myeloid 174 cells (91.2, 92.2 and 85.6% in grade II, III and IV gliomas 175 respectively), mainly composed by CD33⁺/HLA-DR⁺ 176 macrophages (mean of 85.2% in grade II, 84.3% in grade 177 III and 64.7% in GBM), and by a lower percentage of 178 CD33^{dim}/HLA-DR⁻ polymorphonuclear cells (PMNs, 179 10.8% in grade II, 10.2% in grade III and 15.8% in GBM) 180 (Fig. 1a). T cells were also present, although in a small 181 amount, but both CD4⁺ (defined as CD3⁺/CD8⁻) and 182 CD8⁺ T cells increased significantly from grade II to 183 grade IV gliomas (Fig. 1b), paralleled by a significant ex-184 pression of PD-1, increasing from grade II to grade IV 185 (mean of 45.4% vs 73.9% vs 79.0% in grade II, III and IV 186 for CD3⁺CD8⁻ cells, and 64.8% vs 74.9% vs 80.4% in 187 grade II, III and IV for CD3⁺CD8⁺ cells, respectively), as 188 shown in Fig. 1c. Another molecule associated to T cell 189 dysfunction, LAG-3, was present on all T cells infiltrat-190 ing grade II to IV gliomas, although at lower levels than 191 PD-1, and its expression peaked in grade III gliomas 192 (Fig. 1d). 193

As far as PD-L1 expression in the glioma microenvir-194 onment is concerned, the highest expression was present 195 on CD45⁻ cells, with a progressive and significant in-196 crease from grade II to grade IV gliomas, as already re-197 ported at transcriptional level by Wang et al. [17]. 198 However, PD-L1 expression was also present on macro-199 phages, in line with previous results [18, 19], with a sig-200 nificant increase in grade IV tumors (Fig. 1e). PMNs 201 show a lower PD-L1 expression as compared to macro-202 phages and CD45⁻ cells and no statistically significant 203 differences were observed. Interestingly, when we con-204 sidered CD8⁺ T cells expressing PD-1 higher than 60% 205 (39 out of 45 GBM cases), we observed a significant cor-206 relation with PD-L1-expressing macrophages (Fig. 1f), 207 but not with PMN and with CD45⁻ cells. 208

Identification of a set of markers distinguishing microglia209from bone marrow- derived macrophages210

Given the abundance of macrophages in GBM infiltrate, 211 we set out to identify brain resident MG from circulating 212 monocytes that migrate to the tumor site. Until now, an 213 unequivocal phenotypic and functional distinction be-214 tween these two cell types is missing, and one marker 215 differentially expressed between MG and BMDM is 216 CD49D [6, 20]. We thus began to discriminate macro- 217 phages with this marker, in conjunction with CD45, 218 CD33 and HLA-DR. This analysis revealed the presence 219 of two main myeloid cell subsets identified as CD45⁺/ 220 CD33⁺/HLA-DR⁺/CD49D⁺, corresponding to BMDM, 221 and CD45⁺/CD33⁺/HLA-DR⁺/CD49D⁻, corresponding 222 to MG cells (Fig. 1g). After cell sorting, morphological 223

F1



f1.6	(See figure on previous page.)
f1.7	Fig. 1 Distribution of tumor-infiltrating leukocytes in gliomas. a and b Box Plots show the median, 25th and 75th percentile of the frequency of
f1.8	tumor-infiltrating leukocytes, whiskers extend to 1.5 inter-quartile range and outliers are shown by dots. Grade II gliomas are yellow, grade III
f1.9	gliomas orange and GBM red ($n = 13$ for CD45 ⁺ , CD33 ⁺ , CD33 ⁺ , HLA-DR ⁺ , CD33 ^{dim} /HLA-DR ⁻ cells in grade II gliomas, $n = 12$ for grade III gliomas
f1.10	and $n = 51$ in GBM; $n = 10$ for CD3 ⁺ , CD4 ⁺ , CD8 ⁺ cells in grade II gliomas, $n = 6$ for grade III gliomas and $n = 46$ for GBM patients). CD45 ⁺ cells
f1.11	were gated among live cells, CD33 ⁺ cells among CD45 ⁺ leukocytes, myeloid subsets CD33 ⁺ /HLA-DR ⁺ and CD33 ^{dim} /HLA-DR ⁻ were gated on
f1.12	CD33 ⁺ cells, while lymphocytes on CD33 ⁻ /SSC ^{low} cells. c PD-1 and (d) LAG-3 expression in CD3 ⁺ CD8 ⁻ and CD3 ⁺ CD8 ⁺ cells in gliomas ($n = 9$ for
f1.13	grade II gliomas, $n = 5$ for grade III gliomas and $n = 47$ for GBM). e PD-L1 expression in CD33 ⁺ /HLA-DR ⁺ ($n = 10$ for grade II gliomas, $n = 7$ for
f1.14	grade III gliomas and $n = 50$ for GBM), CD33 ^{dim} /HLA-DR ⁻ ($n = 9$ for grade II gliomas, $n = 7$ for grade III gliomas and $n = 50$ for GBM), and CD45 ⁻
f1.15	cells ($n = 10$ for grade II gliomas, $n = 7$ for grade III gliomas and $n = 46$ for GBM). f Linear regression model between PD-L1 expression in CD33 ⁺ /
f1.16	HLA-DR ⁺ cells and PD-1 expression in CD8 ⁺ T cells ($p = 0,00683$). g Macrophage subset identification based on CD33 ^{high} , PMN (CD33 ^{hit} /SSC ^{high})
f1.17	cells) exclusion and CD49D and HLA-DR markers in GBM (left plot). The two populations were purified by FACS sorting and MGG stained (right
f1.18	images). h Intensity of morphological parameters (left histogram), CD45, CD33, HLA-DR (middle histogram), and PpIX expression (right histogram;
f1.29	n = 23, for CD45 analysis $n = 14$) in BMDM (green) and MG (blue). Mann-Whitney test, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.001$

evaluation of cytospins of these subsets indicated that the 224 two populations have distinct morphological characteris-225 tics; compared to resident MG CD49D⁻ cells, CD49D⁺ 226 BMDM are larger cells (22.7 µm as mean diameter of 227 BMDM vs 11.5 µm of MG), with an abundant and vacuo-228 lated cytoplasm, and smaller nucleus-to-cytoplasm ratio, a 229 230 typical morphology of tissue macrophages (Fig. 1g). These morphological differences were also confirmed by flow cy-231 tometry, as BMDMs show a significantly higher 232 forward-scatter (FSC) than MG (Fig. 1h). Moreover, the 233 two macrophage populations had a characteristic pheno-234 235 type, since BMDMs express HLA-DR, CD45, CD33 markers at higher levels than MG cells (Fig. 1h middle 236 panel), thus permitting their unambiguous identification. 237

BMDM infiltration in GBM is responsible for the 238

239 immunosuppressive gradient stemming from the tumor core 240

GBM growth follows a multilayer pattern of lesion spread 241 driven by hypoxia and characterized by a central necrotic 242 area [21] and a marginal area [22-25]. We analyzed differ-243 ent layers of the tumor mass to understand if myeloid cell 244 infiltrate differs between the center and the marginal 245 areas. Tissue sampling was performed by 5-ALA assisted 246 surgery combined with MRI-neuronavigation. Following 247 preoperative administration of 5-ALA, fluorescent proto-248 249 porphyrin IX (PpIX) is synthesized and can be visualized under violet light with different fluorescence intensities, 250 allowing the identification of the central necrotic area 251 252 (core), corresponding to the inner non fluorescent tissue, an intermediate area, brightly fluorescent (intense), and a 253 254 marginal area corresponding to a dimly fluorescent tissue (margin), (Fig. 2a). A representative example of this ana-F2 255 lysis is shown in Fig. 2b, demonstrating the coexistence of 256 257 BMDM and MG in the lesion, but with different propor-258 tions in the center or in the marginal area. Collectively, in 259 30 patients in which the three matched tissues were individually analyzed, BMDM represented 15.5% +/- 3.9% 260 $(\text{mean} \pm \text{SE})$ of total macrophages in the margin, but their 261 concentration rose in the center of the lesion, both in the 262

core (64.2 \pm -5.1%) and in the intense fluorescent area 263 (59.5 + / - 5.3%) (Fig. 2c). On the contrary, the presence of 264 MG cells in the GBM microenvironment followed inverse 265 proportions in the center versus the marginal area (27.3% 266 +/-4.9% in the core, 35.9% +/-5.5% in the intense fluor-267 escent area and 77.9% + - 3.9% in the margin, Fig. 2c). 268 We then evaluated the presence of macrophages in grade 269 II and III glioma tissues, in which surgery was performed 270 without 5-ALA. In grade III tumors, resected samples cor-271 responded to enhancing regions at T1-Weighted Images 272 with gadolinium, while grade II gliomas were without con-273 trast enhancement. We observed that the presence of 274 BMDM in grade II and III gliomas was low or absent 275 (mean of 13.7% in grade II and 12.6% in grade III gliomas; 276 Fig. 2c, right histograms), with an infiltration profile simi-277 lar to that of the marginal area of GBM tissue. IDH status 278 had no impact on the MG/BMDM infiltrate: in 76 GBM 279 analyzed, 4 samples were mutated, but with no significant 280 difference in terms of macrophage composition; the same 281 applies to grade II, in which only one sample out of 13 an-282 alyzed was wild type, and to grade III, which harbored 3 283 wild type samples out of the 12 collected, but no major 284 differences were observed in terms of immune cell 285 composition. 286

Taken together, these results suggest that BMDM ac-287 cumulation characterizes grade IV gliomas, and that 288 these cells progressively infiltrate in the lesion from the 289 marginal to the central area. We tested the hypothesis 290 that macrophages possess an immune suppressive activ-291 ity conditioned not only by the ontogeny, but also by the 292 context of the tumor microenvironment. To this end, we 293 sorted BMDM and MG cells both in the center and in 294 the marginal tumor area, and tested their ability to inter-295 fere with the proliferation of activated T cells. Results 296 from these experiments revealed that when BMDMs are 297 located in the core of the lesion, they possess an im-298 munosuppressive activity (range, 21.3-78.4%), which is 299 higher than that exerted by MG cells sorted from the 300 same central part of the lesion (range, 4.9–46.5%). In the 301 marginal part of the tumor, instead, both populations 302



f2.6	(See figure on previous page.)
f2.7	Fig. 2 MG and BMDM characterization in different glioma areas and analysis of their immune suppressive activity. a Surgical microscopic view
f2.8	under blue light (left panel) and preoperative Magnetic Resonance T1-weighted image after gadolinium administration (right panel) of a patient
f2.9	with a left deep GBM. Different fluorescence intensities are detected in distinct tumor areas: a bright fluorescence corresponds to the ring tumor
f2.10	enhancement at MRI, a dim fluorescence is present in the peritumoral infiltration, lack of fluorescence is in the central necrotic area. b
f2.11	Representative flow cytometry panels and (c), cumulative data of BMDM (green) and MG (blue) cells in three tumor layers identified by 5-ALA
f2.12	fluorescence in GBM tissues ($n = 24$ core, $n = 30$ intense fluorescence, $n = 19$ marginal samples) (left histograms) and from grade II ($n = 11$) and III
f2.13	(n = 9) glioma patients (right plots). d Immunosuppressive activity of BMDM (green) and of MG (blue) isolated by FACS sorting from the central
f2.14	intense fluorescence layer, or from the surrounding peritumoral space of GBM patients ($n = 7$ for BMDM in the center, $n = 4$ for MG in the center;
f2.15	n = 3 for BMDM in the margin, 4 for MG in the margin). MG tested from grade II ($n = 2$) and grade III ($n = 3$) gliomas. Comparison by Mann-
f2.18	Whitney test, *** <i>p</i> < 0.001

showed a reduced suppressive activity (Fig. 2d, left histo-303 grams), thus highlighting that BMDMs acquire immune 304 suppressive ability as they migrate to the center of the 305 lesion. We also evaluated the functional activity of 306 sorted MG cells in grade II and III gliomas, the main 307 macrophage population in these tumor tissues, and ob-308 served that these cells have a negligible immunosuppres-309 310 sive function in both grade II and in grade III gliomas ranging from 0 to 11% (Fig. 2d, right histogram). 311

312 These results highlight that the immunosuppression present in GBM tumor microenvironment depends on 313 the infiltration of BMDM in the central part of tumor 314 mass and that BMDMs and MG have an intrinsic differ-315 ent tolerogenic capability. 316

PpIX fluorescence emission: A new tool to identify 317

immunosuppressive macrophages in GBM tissues 318

Taking advantage of 5-ALA administration to GBM pa-319 320 tients, we analyzed fluorescence emission of PpIX in tumor 321 tissue by tumor cells, evaluated as CD45⁻ in the center of the lesion, but also from all the leukocyte cell subsets. Con-322 trary to expectations that 5-ALA is mainly metabolized by 323 tumor cells, we observed that the strongest emission of 324 325 fluorescence was from the macrophages in all three layers, with different intensity between MG and BMDM in each 326 layer (Fig. 3 a and b); the fluorescence of PpIX from F3 327 BMDMs was always brighter than that of MG cells. PpIX 328 emission can thus be used as a cell marker capable of dis-329 criminating between the two populations (Fig. 1h, right 330 331 panel) along with morphological markers (FSC and SSC, Fig. 1h, left panel) and with CD45, CD33, HLA-DR (Fig. 1g, 332 middle histograms). To evaluate if the combination of the 333 334 previously described markers could lead to the unambiguous discrimination of BMDM and MG, we performed an 335 336 unsupervised T-Distributed Stochastic Neighbor Embedding (t-SNE) analysis by combining all these parameters, 337 and we obtained the clusterization of live cells present at 338 the tumor site (Fig. 3c, first left plot). By gating on the two 339 340 main clusters (Fig. 3-c, blue and green area in the upper 341 part) and analyzing the expression of the single markers, we could clearly identify the phenotype of MG and BMDM, 342 thus reinforcing our results on the identification of macro-343 phage subsets with this marker combination (Fig. 3c). 344

The progressive increase in the accumulation of 345 5-ALA-induced PpIX in BMDM from the marginal to 346 the central area suggests not only that iron metabolism 347 is sustained in myeloid-infiltrating cells, but also that it 348 is higher than that of tumor cells. To evaluate the role of 349 iron metabolism in the tumor microenvironment of 350 GBM, we analyzed an external data set of eight cases of 351 GBM that were profiled following CD11b selection ei-352 ther via magnetic beads or immunopanning and sub-353 jected to single-cell RNA sequencing [10]. Classification 354 of macrophage lineage and of tumor cells was performed 355 using previously described gene signature [10]. Analysis 356 of the expression of the genes implicated in iron metab-357 olism in BMDM, MG and tumor cells showed a signifi-358 cant overexpression of many of these genes in BMDM, 359 compared to MG cells (Fig. 4). In fact, genes involved in 360 F4 iron uptake (CD163 and TFRC), storage (FTL, HAMP, 361 ACO1 and NCOA4), metabolism (FECH, UROS, UROD, 362 HMBS, CPOX and ALAD), and catabolism (BLVRA and 363 HMOX1) are all overexpressed in BMDM (Fig. 4). Col-364 lectively, these results highlight that BMDM possess a 365 sustained iron-recycling metabolism. 366

BMDM are target of preferential incorporation by lipid 367 nanocapsules in the tumor microenvironment of grade IV 368 gliomas 369

We previously demonstrated that lipid nanocapsules 370 (LNCs) loaded with a cytotoxic drug efficiently target 371 immune suppressive monocytic cells in the blood of 372 melanoma patients [26]. We thus evaluated the in- 373 corporation of fluorochrome-labeled DiD LNCs by 374 the cell suspensions obtained after dissociation of the 375 central area of the GBM tissue with intense PpIX 376 fluorescence, in which immune suppressive myeloid 377 cells are abundant. Results indicate that lymphocytes, 378 PMN and CD45⁻ cells have a low internalization cap-379 ability, while DiD fluorescence is increased in macro-380 phages populations, in particular in BMDMs, that 381 show significantly higher levels of uptake as compared 382 to MG. The immunosuppressive BMDM population is 383 therefore the preferential target of this nanocarrier 384 system. (Fig. 5 a, b). 385 F5



f3.6 (See figure on previous page.) f3.7 Fig. 3 Fluorescence emission of PpIX from cell subsets in the tumor microenvironment. a Representative flow cytometry plot and (b) cumulative

f3.8 analysis of 5-ALA fluorescence emission by CD45⁻ cells (pink), MG (blue) and BMDM (green) (n = 15 core samples, n = 21 intense fluorescence samples and n = 13 margin samples). **c** t-SNE analysis of live cells infiltrating the intense fluorescence layer (n = 11 samples) of GBM patients. f3.9 f3.10 Combined analysis on the following parameters: CD45, CD33, HLA-DR, CD49D, PPIX. In the two main clusters obtained after t-SNE analysis (blue f3.11 and green populations), the expression of the single markers was analyzed (blue and green histograms). Comparison by Mann-Whitney f3.14 f3.15 test, **p < 0.01

BMDM infiltration in GBM tissues is sustained by 386

circulating monocytes 387

388 Since immunosuppressive macrophages that infiltrate GBM are blood-derived, we investigated the characteris-389 tics of circulating monocytic cells in the same patients 390 and, as previously reported [7, 27], observed a higher 391 percentage of circulating monocytes compared to a 392 group of age and gender matched healthy donors (HD) 393 F6 394 (Fig. 6a). As an additional comparison, we also took into account a group of patients with WHO grade I and II 395 meningioma (Table 1) and observed a significantly 396 higher percentage of monocytes in GBM patients only 397 (Fig. 6a). Given that preoperative steroids are adminis-398 tered to both meningioma and GBM patients, this result 399 indicates that monocyte alterations strictly depend on 400 tumor type, and rule out the contribution of steroid 401 402 treatment.

14

12.

We next evaluated in detail the composition of blood 403 monocytes to discriminate the three main subsets that 404 are classical monocytes (C=CD14⁺/CD16⁻), intermediate 405 (I=CD14⁺/CD16⁺) and on non-classical subsets 406 (NC=CD14⁻/CD16⁺) (Fig. 6b), and further analyzed the 407 expression of CCR2 on their surface (Fig. 6d), as it is 408 known that CCL2 chemokine promotes monocyte accumu-409 lation at the tumor site, and has already been implicated in 410 the recruitment of myeloid cells in glioma [28, 29]. Results 411 indicate a decrease of intermediate monocytes (Fig. 6c) but 412 a significant increase of CCR2⁺ cells among the same sub- 413 set (Fig. 6e), thus suggesting that this population is actively 414 recruited at tumor site. We then tested the presence of a 415 significant association between the levels of circulating and 416 tumor-infiltrating myeloid cells and observed a positive cor- 417 relation between the percentage of circulating classical 418 monocytes and that of macrophages at tumor site (Fig. 6f), 419



**:

f4.3 f4.4 f4 5



f5.6 f5.7 f5.8 f5.9

f5.1

in line with the current hypothesis that classical monocytes 420 are the first to be recruited from the bone marrow and have 421 the potential to give rise to intermediate monocytes first 422 and later to non-classical monocytes [30]. 423

Discussion 424

Recently, the immune microenvironment in gliomas has 425 426 been the subject of intense research. A great impulse has been given by deconvolution studies, which, with the use 427 of a bioinformatic approach, showed that MG and BMDM 428 possess a different transcriptional program [10, 31]. Our 429 430 work extends previous studies as follows: first, we were 431 able to isolate and test the immune suppressive ability of BMDM and MG, going beyond the transcriptional profile 432 and testing their effective immunoregulatory function; 433 second, by exploiting an imaging surgical technique, we 434

documented the regional contribution to immune sup-435 pression of each macrophage cell subset, and third, we 436 demonstrated that isolated microglia cells from grade II 437 and III gliomas lack immunosuppressive activity. Collect-438 ively, these results indicate that macrophages' tolerogenic 439 properties in GBM depend not only by ontogeny, but also 440 by the regional distribution in the tumor area. 441

The characterization of BMDM and MG after cell 442 sorting revealed that blood-derived macrophages were 443 bigger in size and were more complex than their resi-444 dent counterparts (Fig. 1 g and h), a morphological fea-445 ture in line with the presence of what appears to be 446 remnants of ingested cells, revealing different phagocytic 447 capacities as highlighted by LNC incorporation. Based 448 on previous microscopic and morphological studies, 449 both BMDM and MG were considered activated cells in 450 a growing brain tumor [32-34]. However, our results 451 demonstrate that such cells differ, at least as far as their 452 immunosuppressive activity and phagocytic activity is 453 concerned. In fact, resident macrophages participate 454 only marginally in the phenomenon of immune suppres-455 sion, and this aspect suggests that blood monocytes re-456 cruited to the tumor are already committed to a 457 program of immune suppression. However, they only ac-458 quire full immune suppressive ability in the center of the 459 lesion, and not in the surrounding region. In this regard 460 the concept of "heritage" carried by these cells, due to 461 their different origin and identified by the CD49D 462 marker, is of translational importance because it shows 463 that BMDMs are endowed with a high immunosuppres-464 sive potential, a trait that renders them particularly 465 harmful for patient outcomes, but at the same time it 466 also highlights a potential target of intervention to block 467 their activity and/or recruitment. 468

Local immunosuppression in GBM also extends to the 469 presence of checkpoint inhibitors on T cells and to their 470 ligands on tumor cells and on innate immune cells, thus 471 showing that multiple mechanisms contribute to the im-472 mune suppressive microenvironment in these patients. 473 Interestingly, these checkpoints show lower expression 474 in grade II and III gliomas, in line with previous results 475 on PD-L1 [17, 35], but our results also expanded the 476 analysis on the T cell counterpart. The functional associ-477 ation in GBM of a small, but recurrent population of T 478 cells, bearing a high PD-1 expression, and of its ligand 479 on macrophages (Fig. 1f), suggests that this axis plays a 480 significant role in the suppression exerted by tumor 481 macrophages. Given the low number of IDH mutant pa-482 tients among the GBM group and vice versa for grade II 483 and III gliomas, PD-L1 and PD-1 distribution segregates 484 with patients IDH status, as previously reported [35]. 485

The presence of a recurrent immunosuppressive infil-486 trate in grade IV gliomas should be taken into account 487 in new clinical studies of immunotherapy, as a large 488





clinical trial with immune checkpoint inhibitors did not 489 prove its efficacy [36]. Moreover, despite the possibility 490 to induce neoantigen-specific T cells in vaccinated GBM 491 patients, capable to successfully traffic to the tumor site, 492 such T cells are insufficient to induce clinically relevant 493 494 responses [37, 38]. Thus, blocking the immune suppression in these patients appears a necessary step to stimu-495 late an efficient anti-tumor immune response, and 496 targeting the myeloid infiltrate in GBM represents a new 497 therapeutic strategy for future clinical studies, in com-498 499 bination with immune stimulation.

Our data show that the functional differences between 500 MG and BMDM extend beyond the immune suppression 501 task, since their iron-related metabolism also shows signifi-502 503 cant differences in these macrophages. Iron metabolism is an important hallmark for macrophages, and it is not sur-504 prising that blood-derived cells maintain such characteris-505 tics when recruited to the tumor lesion. Moreover, several 506 studies suggest that tumor cells exploit this macrophage 507 ability to supply iron to the tumor [39, 40]. In fact, in re-508 sponse to inflammatory conditions, macrophages increase 509 the iron storage, while in the tumor microenvironment they 510 release iron, which is required to sustain tumor survival 511 and growth [40]. Whether the iron metabolism is linked to 512 the program of immune suppression remains to be ex-513 514 plored, but our data open up the possibility of targeting this circuit with drugs interfering with this pathway. 515

The alteration of the myeloid compartment in GBM pa-516 tients is also confirmed by the decrease of circulating 517 518 CD16⁺ intermediate monocytes showing an increase of 519 CCR2 expression. It is currently believed that classical monocytes are the first cell subset to transit from the bone 520 marrow to the circulation, and they have the potential to 521 give rise to intermediate monocytes, and later to 522 non-classical monocytes [30]. Therefore, the reduction in 523 524 intermediate monocytes present in GBM patients, coupled with the simultaneous increase in CCR2 expression in this 525 cell subset, suggests the possibility that CD14⁺/CD16⁺ 526 monocytes represent the cell subset actively recruited to 527 the tumor in GBM patients. This hypothesis is in line with 528 529 the positive correlation between the percentage of classical monocytes present in the peripheral blood of GBM pa-530 tients and the percentage of macrophages in the tumor 531 532 (Fig. 6f), thus suggesting an active process of monocyte recruitment dictated by GBM milieu, in line with murine 533 534 fate mapping studies [6]. It thus appears that circulating myeloid cells also have a critical role in GBM, as they are 535 the source sustaining the accumulation in the lesion and 536 537 therefore blocking macrophage recruitment to the tumor 538 might represent another strategy to limit tumor growth.

539 Conclusions

540 In this study, we demonstrate the presence of an exten-541 sive immunosuppressive microenvironment in GBM, but not in grade II and III gliomas, due to the presence of 542 blood-derived macrophages, expressing PD-L1, and of T 543 cells showing markers associated to impaired T cell 544 function. Our study shows that macrophages of bone 545 marrow origin migrate to the tumor site and accumulate 546 in the central area of GBM, exerting a strong immune 547 suppression, while resident microglia exerts low or no 548 immunosuppressive function. Microglia constitutes the 549 majority of macrophages in grade II and III gliomas and 550 is devoid of significant immunosuppressive activity. Be-551 sides the tolerogenic properties, differences exists be- 552 tween resident versus blood-derived macrophages, such 553 as iron metabolism and ability to efficiently internalize a 554 nanocarrier system that could be used to target them at 555 tumor site. 556

Additional file

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Additional file 1: Table S1. Cell populations analyzed in tumor tissues of glioma patients. Supplementary materials and methods. Description of patient characteristics, multiparametric flow cytometry, functional assay, t-SNE analysis and experiments with nanoparticles. (DOCX 22 kb)

Abbreviations

566 5-ALA: 5-aminolevulinic acid: ACO1: Aconitase 1: ALAD: Aminolevulinate Dehydratase; BLVRA: Biliverdin Reductase A; BMDM: bone marrow-derived 567 macrophages; CCL2: C-C Motif Chemokine Ligand 2; CCR2: C-C chemokine 568 receptor type 2; CNS: central nervous system; CPOX: coproporphyrinogen 569 oxidase; FACS: Fluorescence-Activated Cell Sorting; FECH: Ferrochelatase; 570 571 FLAIB: Fluid Attenuated Inversion Recovery: FMO: Fluorescence Minus One: 572 FSC: Forward scatter; FTL: Ferritin light chain; GBM: Glioblastoma; HAMP: Hepcidin Antimicrobial Peptide: HD: Healthy donor: 573 HMBS: Hydroxymethylbilane Synthase; HMOX1: Heme Oxygenase 1; 574 IDH: Isocitrate dehydrogenase; LAG-3: lymphocyte-activation gene 3; 575 576 LNC: lipid nanocapsules; MFI: mean fluorescence intensity; MG: microglia; MGG: May-Grunwald Giemsa; MNG: meningioma; MRI: Magnetic Resonance 577 578 Imaging; NCOA4: Nuclear Receptor Coactivator 4; OS: Overall Survival; PBMC: Peripheral blood mononuclear cell; PD-1: Programmed cell death 579 protein 1; PD-L1: Programmed death-ligand 1; PMN: Polymorphonuclear 580 cells; PpIX: Protoporphyrin IX; scRNAseq: Single-cell RNA sequencing; 581 SSC: Side-scatter; TFRC: Transferrin receptor protein 1; t-SNE: T-Distributed 582 Stochastic Neighbor Embedding; UROD: Uroporphyrinogen Decarboxylase; 583 UROS: Uroporphyrinogen III Synthase; WHO: World Health Organization 584

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Availability of data and materials	591
All data generated or analyzed during this study are included in this	592
published article and its supplementary information files.	593
Authors' contributions	594
LP, MV, EM, SM, MDA performed the experiments and analyzed the data. SM	595
and LP wrote the manuscript. SS performed cell sorting, analyzed data and	596
discussed the results. PDB performed statistical analysis. GL and JPB	597
produced lipid nanocapsules and discussed the data. HO and AD provided	598

discussed the results. PDB performed statistical analysis. GL and JPB 597 produced lipid nanocapsules and discussed the data. HO and AD provided 598 and discussed data, made critical revision and were involved in manuscript 599 writing. ADP performed neurosurgery, provided clinical information and 600 discussed the results. SM designed the research, handled funding and 601 supervised the work. All the Authors read and approved the manuscript. 602

603 Ethics approval and consent to participate

The study was approved by the ethical committee of the IOV-IRCCS and of 604

- 605 Padova University Hospital in compliance with the Helsinki Declaration. 606 Informed consent was obtained from all individual participants included
- 607 in the study.

608 Consent for publication

609 Not applicable.

610 **Competing interests**

The authors declare that they have no competing interests. 611

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