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Abstract	Agricultural landscapes cover a significant part of the Earth. In floodplains, we can find large areas dedicated to intensive agriculture. However, also on hills and mountains, agricultural activity can be relevant from the socio-economic point of view. Nowadays, such areas are increasingly under threat because of global environmental changes. Widespread growing rainfall aggressiveness due to climate change, in addition to land abandonment, lack of structural maintenance, and in some cases unsuitable agronomic practices are exposing steep-slope agricultural landscapes to increased hazard of landslides. A		

suitable hazard assessment and zonation of these phenomena would help better management of such agricultural landscapes. The purpose of this article is to provide an overview of this relevant problem focusing on (i) the contribution of remote sensing technologies (e.g., LiDAR and UAV photogrammetry) in mapping the investigated processes, and (ii) discussing advances and limitations of susceptibility modelling.

Keywords Landslide - Remote sensing - Modelling - Landscape - Agriculture

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### Landslides in Steep-Slope Agricultural Landscapes

Paolo Tarolli, Anton Pijl, and Sara Cucchiaro

#### Abstract

Agricultural landscapes cover a significant part of the 10 Earth. In floodplains, we can find large areas dedicated to 11 intensive agriculture. However, also on hills and moun-12 tains, agricultural activity can be relevant from the 13 socio-economic point of view. Nowadays, such areas 14 are increasingly under threat because of global environmental changes. Widespread growing rainfall aggressive-16 ness due to climate change, in addition to land 17 abandonment, lack of structural maintenance, and in 18 some cases unsuitable agronomic practices are exposing 19 steep-slope agricultural landscapes to increased hazard of 20 landslides. A suitable hazard assessment and zonation of 21 these phenomena would help better management of such 22 agricultural landscapes. The purpose of this article is to 23 provide an overview of this relevant problem focusing on 24 (i) the contribution of remote sensing technologies (e.g., 25 LiDAR and UAV photogrammetry) in mapping the 26 investigated processes, and (ii) discussing advances and 27 limitations of susceptibility modelling. 28

#### **Keywords**

Landslide • Remote sensing • Modelling • Landscape • 32 Agriculture 33

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#### 35 36 Background

Agricultural land use is responsible for an unprecedented 37 transformation of natural environments worldwide, with vast 38 and long-term impacts on geomorphology and soil proper-39 ties (Baartman et al. 2012). Cultivated areas typically 40

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involve reduced soil cover and cohesion, which particularly 41 in steep-slope environments greatly affect soil erosion and 42 slope instability (Koulouri and Giourga 2007; Prosdocimi \_43 et al. 2016; Tarolli and Straffelini 2020). Several researchers around the world have studied the increased landslide sus-45 ceptibility of cultivated hillslopes, and several factors related 46 to agricultural practices (i.e. not considering climate or slope \_47 steepness) have been discussed. Agricultural transformation \_48 affects soil stability due to the removal of permanent 49 deep-rooted vegetative cover (Perotto-Baldiviezo et al. 2004), while the natural soil structure is affected due to land \_51 levelling (DeGraff and Canuti 1988; Ramos et al. 2007). In 52 addition, an unsuitable or degraded terracing or drainage 53 system can further aggravate landslide hazard (Tarolli et al. \_54 2014). This is often related to the lack of maintenance as a result of land abandonment and loss of labour, as widely 56 reported for Mediterranean (Arnáez et al. 2017; Cevasco 57 et al. 2014; Tarolli et al. 2014) and Asian steep-slope agricultural areas (Gerrard and Gardner 2002; Raj Khanal and 59 Watanabe 2006). Other factors include the cultivation and subsequent reactivation of dormant landslides (Sugawara 61 2013), and the construction of agricultural roads for machinery (Tarolli et al. 2015). The latter is able to divert 63 runoff and create concentrated patterns of water flow, which 64 are often related to the initiation of landslides (as illustrated in Fig. 1).

The high landslide hazard in agricultural areas can have considerable impact on production and human safety. Nonetheless, reliable inventories are missing for many marginalised steep rural areas around the world. Modern developments in remote sensing, computer technologies and models may help contributing to this. In this work, we discuss the opportunities and challenges of remote sensing techniques, digital terrain analysis and landslide modelling, based on literature and few original examples.

Fig. 1 Landslides that occurred after an intense rainfall event in a typical steep-slope vineyard terraced landscape. Landslide crowns are highlighted with a white dashed line (Photographs by P. Tarolli)

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#### 76 77 Remote Sensing

#### 78 Techniques

Landslide inventory maps are generally limited in terms of 79 spatial coverage and time period (Guzzetti et al. 2012), 80 which can partly be attributed to the intensive mapping 81 methods used in the past (Galli et al. 2008). However, there 82 is a strong potential to address this gap by the use of modern 83 remote sensing techniques, which allow more accurate 84 topographic analysis by use of faster and cheaper surveys 85 (Tarolli 2014). The most recent platform that proved highly 86 successful is the use of an Unmanned Aerial Vehicle (UAV, 87 sometimes referred to as UAS or RPAS) and the parallel 88 development of Structure from Motion (SfM) photogram-89 metry technique (Giordan et al. 2018), which allows 90 high-accuracy surveying by low-cost applications of a sim-91 ple drone mounted with a non-metric camera (Fig. 2). This 92 platform rapidly gained popularity for mapping topographic 93 features, as it is flexible to deploy in varying conditions 94 (including difficult-to-access sites) and is able to capture 95 complex geomorphologic features (Eltner et al. 2016; Cuc-96 chiaro et al. 2018). The typical coverage of light-weight 97 UAVs is in the order of tens of hectares and is optimal for 98 agricultural conditions (Colomina and Molina 2014; Pijl 99 et al. 2020). Indeed, specific examples of UAV-based 100 analysis of agricultural slope failure can be found, e.g. for 101 monitoring mass-movements (Lucieer et al. 2014; Turner 102 et al. 2015), or for the detection and modelling of terrace 103 failures (Pijl et al. 2019). 104



**Fig. 2** Schematic illustration of the creation of a high-resolution Digital Terrain Model (DTM) by remote sensing techniques of Unmanned Aerial Vehicles (UAVs) or Light Detection and Ranging (LiDAR) instruments (Sample dataset from original data by the authors)

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Another modern remote sensing technique that is commonly used for high-resolution topographic mapping is Light Detection and Ranging (LiDAR) from a terrestrial or aerial platform (Fig. 2). It is probably one of the most popular technique in landslide research, by providing highly-detailed topographic information for the detection, monitoring or modelling of slope failure (Jaboyedoff et al. 2012; Scaioni et al. 2014). Although LiDAR involves considerably higher deployment costs than UAV-based surveys (Guzzetti et al. 2012), it offers a major advantage over the latter due to its ability to retrieve multi-layered return signals and resulting ground detection in densely vegetated areas (Tarolli 2014). As such, it is probably the most established platform for geomorphologic research, and countless examples can be found of LiDAR-based research of agricultural landslides, e.g. in Italian terraced landscapes (Preti et al. 2018a; Tarolli et al. 2014, 2015; Giordan et al. 2017; Brandolini et al. 2018).

#### 123 Digital Terrain Analysis

The improving spatial accuracy of topographic data (e.g. 124 from UAV or LiDAR source) also allows higher accuracy in 125 the detection of landslides and their geomorphology. Basic 126 2-D digital terrain analysis can be used to support visual 127 detection, while facilitating more advanced purposes such as 128 volume estimation, multi-temporal monitoring and suscep-129 tibility modelling (as discussed in the following chapter). 130 Several authors have tested the use of terrain derivatives 131 such as slope, surface roughness or curvature for the auto-132 matic delineation of geomorphologic features using a sta-133 tistical threshold (McKean and Roering 2004; Booth et al. 134 2009; Tarolli et al. 2012). In this work, we illustrate this 135 concept using an original topographic data sample, consist-136 ing of a road-induced landslide (Fig. 3). The shaded 0.05-m 137 DTM clearly shows how the landslide crown is captured, 138 appearing as a dark edge at the road side. This crown pre-139 sents a disruption with respect to the surrounding geomor-140 phology, as can be identified using the surface roughness 141 index (Fig. 3), here calculated according to Cavalli et al. 142 (2008) using a 31-cell kernel. Similarly, high values of 143 maximum landform curvature indicate convex terrain ele-144 ments (according to Evans 1979 using a 31-cell kernel); and 145 the statistic threshold approach by Sofia et al. (2014) has 146 been be used to automatically extract the landslide crown 147 (Fig. 3). This approach could rapidly be applied over large 148 extents, hence becoming a powerful tool for automatic 149 geomorphologic feature extraction. 150

#### Modelling

For estimating the susceptibility, or spatial probability of 153 slope failures occurrence, numerous models are available 154 (Guzzetti et al. 2005), but the number of publications on 155 landslide hazard assessment in steep agricultural slopes is 156 still rather modest. In this anthropic context, further variables 157 must be considered than the classic ones used to better 158 describe the landslide triggering processes. Here, slope 159 failure hazard is not only dependent on meteorological 160 events and geotechnical land attributes, but also agricultural 161 practices and human activities can strongly affect the 162 occurrence of instability phenomena (Shrestha et al. 2004). 163 For example, Perotto-Baldiviezo et al. (2004) developed and 164 tested a spatial model for predicting the spatial distribution 165 of landslide hazard in steep areas of Honduras considering 166 four model variables as slope, aspect, stream proximity and 167 land cover type. Their results highlighted how. As the slope 168 increased, the percentage of land affected by landslides, 169 increased sharply on cropland when the soils were saturated. 170 This indicated that agricultural activity and the associated <u>1</u>71 removal of deep-rooted permanent vegetation increased the 172 landslide hazard on steep sites. Jaiswal et al. (2010) also 173 proposed a probabilistic landslide model to quantify hazard 174 of first-time slope failure on natural slopes and tea planta-175 tions, underlining how agricultural and constructional 176 activities make an area more susceptible to landslides. At the 177 local scale, numerical simulations must consider the pres-178 ence of widespread agricultural practices such as terraces 179 that heavily influence the hydrological processes (Gallart 180 et al. 1994; Preti et al. 2018a) and can trigger superficial 181 mass-movements when they collapse. Camera et al. (2014)182 analyzed the processes that can lead to failure. They used a 183 numerical modelling of groundwater movement and related 184 stability analysis, to provide pore water pressure distribu-185 tions, which are generated by different rainfall amounts, as 186 parameters for a stress-strain analysis that can directly 187 determine the influence of various rainfall parameters on 188 dry-stone wall stability. Penna et al. (2014) evaluated the 189 predictive power of the quasi-dynamic shallow landslide 190 model QD-SLaM (Tarolli et al. 2008) to simulate shallow 191 landslide locations in a small-scale steep-slope watershed. 192 The study area represented a typical anthropogenic 193 Mediterranean landscape, with terraces (partly abandoned), 194 roads and a village. The applied landslide model did not 195 incorporate the description of road-related or terrace-related 196 failures, thus highlighting its limits in the correct interpre-197 tation of hydrological processes in an anthropogenic context. 198 The model predictive power was shown to be 199

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**Fig. 3** Example of a landslide induced by a runoff pathway on a curving agricultural road (top-left, yellow lines). Additionally, illustrated are the shaded high-resolution DTM derived by UAV-SfM workflow (top-right), and two terrain derivatives: the roughness index (bottom-left) and the maximum landform curvature (bottom-right). The latter shows the automatically extracted landslide crown using a threshold approach (red outline). (Sample topographic dataset with 0.05-m spatial resolution by the authors)

DTM-resolution dependent. The use of a coarser resolution 200 had a smoothing effect on terrain attributes, and therefore on 201 predictive model performance. The authors concluded that to 202 realize the full potential of high-resolution topography thus 203 including anthropogenic geomorphic features, more exten-204 sive work is needed to specifically identify the extent of the 205 artificial structures and their impact on shallow landsliding 206 processes. Tarolli et al. (2015) used LiDAR elevation data 207 for a detailed hydro-geomorphological analysis of terraced 208 vineyards. The geomorphic Relative Path Impact Index 209 (RPII) was tested in two vineyards to identify 210 terrace-induced and road-induced erosion. Using such an 211 index, the authors then simulated different scenarios of soil 212 conservation measures, establishing the optimal solution to 213 reduce erosion. The results highlighted the effectiveness of 214 high-resolution topography in the analysis of erosion at the 215 local scale of terraced vineyards when surface water flow is 216 the main factor triggering the instabilities. An example of the 217 predictive power of RPII in the recognition of potential slope 218 failure in a steep-slope terraced site is shown in Fig. 4, 219 where we considered the case study of Fig. 1. 220

Preti et al. (2018b) proposed a more specific model able to describe hydrological processes in terraced landscapes. In detail, they analyzed the destabilizing pressures acting on the retaining dry-stone walls in the most critical portion of each terrace. The results showed good capability of the model to



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**Fig. 4** Relative Path Impact Index (RPII) calculated for the case study shown in Fig. 1 using a 1-m LiDAR-derived DTM. Surface flow modifications induced by an agricultural road and consequent landslide (warm colours) match well with the location of the observed landslide (plus symbol), thus confirming the predictive power of the index for the detection of slope failure in this context

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predict the distribution and intensity of stress on the dry-stone wall over time and space. This stress was related to the combined earth pressure and hydrostatic pressure (water accumulation), without the occurrence of soil saturation. A better understanding of the main hydrological processes that govern surface and subsurface water flow pathways and that are responsible for terrace failure is essential for appropriate water resource management and rural landscape maintenance in terraced areas (Preti et al. 2018a). Such insights could support landowners and land planners in managing these complex and fragile environments.

#### Final Remarks

Climate change (e.g., the increase of rainfall intensity) and 239 changing societal trends (e.g., land abandonment) are 240 aggravating land degradation in agricultural landscapes, 241 resulting in increased mass movements that should not be 242 neglected. Not only does this affect agricultural production, 243 it also poses a risk to local communities of people. Several 244 approaches for the spatial analysis of such processes are 245 available, however high-resolution topography derived by 246 modern remote sensing techniques (e.g., SfM photogram-247 metry using UAV images, or airborne or terrestrial LiDAR 248 data) is highly recommended for the detection of the char-249 acteristic local-scale geomorphic features usually visible 250 with sub-meter DTM grid cell size. In addition, it is also 251 recommended to improve the understanding of the main 252 hydrological processes that govern surface and subsurface 253 water flow pathways. Existing modelling approaches seem 254 to be not optimal for a satisfactory understanding of such 255 processes. Indeed, to meet the full potential of 256 high-resolution topography, they should be designed to 257 include the anthropogenic geomorphic features and model 258 their impact on the physical processes or vice versa. More 259 recently, few authors developed some advances along this 260 line. This will absolutely be a future challenge for scientific 261 studies in this field. Novel insights from research could 262 support land owners and land planners in managing these 263 complex and fragile landscapes, in order to preserve cultural 264 heritage, ecosystem services, and food safety while main-265 taining the economic and environmental sustainability. 266

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<b>448</b> 450 449	AQ1	Kindly note that the cross citation of reference 'Koulouri et al. (2007), Arnáez et al. (2011)' has been changed to 'Koulouri and Giourga (2007), Arnáez et al. (2017)' so that this citation matches the list. Please check and confirm.	
451			

# MARKED PROOF

## Please correct and return this set

Please use the proof correction marks shown below for all alterations and corrections. If you wish to return your proof by fax you should ensure that all amendments are written clearly in dark ink and are made well within the page margins.

Instruction to printer	Textual mark	Marginal mark
Leave unchanged Insert in text the matter indicated in the margin	••• under matter to remain k	
Delete	<ul> <li>/ through single character, rule or underline</li> <li>or</li> <li>through all characters to be deleted</li> </ul>	of or of
Substitute character or substitute part of one or more word(s)	/ through letter or	new character / or new characters /
Change to italics Change to capitals	<ul> <li>under matter to be changed</li> <li>under matter to be changed</li> </ul>	
Change to small capitals Change to bold type	<ul> <li>under matter to be changed</li> <li>under matter to be changed</li> </ul>	<b>—</b>
Change to bold italic	$\overline{\mathbf{x}}$ under matter to be changed	∽∽∕ —
Change italic to upright type	(As above)	<i>∓</i> 4∕
Change bold to non-bold type	(As above)	ntr V or V
Insert 'superior' character	l through character or $k$ where required	under character e.g. $\mathring{\gamma}$ or $\mathring{\chi}$
Insert 'inferior' character	(As above)	k over character e.g. $k_2$
Insert full stop	(As above)	0
Insert comma	(As above)	,
Insert single quotation marks	(As above)	Ўог Ҳ and/or Ўог Ҳ
Insert double quotation marks	(As above)	У́or Ӽ́and/or У́or Ӽ́
Insert hyphen	(As above)	H
Start new paragraph	_ <b>_</b>	_ <b>_</b>
No new paragraph	لے	<u>ل</u>
Transpose	<u>с</u> л	
Close up	linking Characters	$\bigcirc$
Insert or substitute space between characters or words	/ through character or k where required	Y
Reduce space between characters or words	between characters or words affected	$\uparrow$