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Shemish chitos	san potential in wine clarification	2
Veronica Vendramin <sup>1</sup> , Gai	a Spinato 1 and Simone Vincenzi 1.2*	3
	<ol> <li>Centre for Research in Viticulture and Enology (CIRVE), University of Padova, Viale XXVIII Aprile 14, 31015 Conegliano (TV), Italy; veronica.vendramin@unipd.com, gaia.spinato@studenti.unipd.it</li> <li>Department of Agronomy, Food, Natural resources, Animals and Environment (DAFNAE), University of Padova, Viale dell'Università 16, 35020 Legnaro (PD), Italy; simone.vincenzi@unipd.it</li> <li>* Correspondence: simone.vincenzi@unipd.it; tel.: +39 0438 453711.</li> </ol>	4 5 6 7 8
	Chitosan extracted from crustacean raw material could represent a new, high- efficient tool for wine clarification.	9 10
<b>Citation:</b> Lastname, F.; Lastname, F.; Lastname, F. Title. <i>Appl. Sci.</i> <b>2021</b> , <i>11</i> , x. https://doi.org/10.3390/xxxxx Academic Editor: Firstname Last- name	Abstract: Chitosan is a chitin-derived fiber, extracted from the shellfish shells, a by-product of fish industry, or from fungi grown in bioreactors. In oenology, it is used for the control of <i>Brettanomyces spp.</i> , for the prevention of ferric, copper and protein casse and for clarification. The International Organisation of Vine and Wine established the exclusive utilization of fungal chitosan to avoid the eventuality of allergic reactions. This work focuses on the differences between two chitosan categories, fungal and animal chitosan, characterizing several samples in terms of chitin content and degree of deacetylation. In addition, different acids were used to dissolve chitosans, and their effect on viscosity and on the efficacy in wine clarification were observed. Results demonstrated that, even if fungal and animal chitosans shared similar chemical properties (deacetylation degree and chitin content), they showed different viscosity depending on their molecular weight but also on the acid used to dissolve them. A significant difference was discovered on their fining properties, as animal chitosans showed a faster and greater sedimentation compared to the fungal ones, independently from the acid used for their dissolution. This suggests that physical-chemical differences in the molecular structure occur between the two chitosan categories and that this affects significantly their technologic (oenological) properties. Keywords: Fungal chitosan; animal chitosan; wine clarification; dissolving acid comparison.	11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27
name Received: date Accepted: date Published: date <b>Published: Note:</b> MDPI stays neu- tral with regard to jurisdictional claims in published maps and insti- tutional affiliations. <b>Expression</b> <b>Copyright:</b> © 2021 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses /by/4.0/).	Chitin is the most abundant polysaccharide on earth after cellulose. Chitin is composed by 2-acetamido-2-deoxy-b-D-glucose (N-acetylglucosamine) units linked by $\beta(1 \rightarrow 4)$ bounds and it is organized in layers of polysaccharide sheets. The sheets are composed by multiple parallel chitosan chains that could assume three different crystalline forms ( $\alpha$ , $\beta$ , $\gamma$ ). However, chitin is synthesized by a large number of living organisms, such as arthropods and insects (exoskeletons), crustacean (shells), algae, plants and fungi (cell walls) [1] mainly in its $\alpha$ -form, i. e. it is organized in parallel chitin chains structured in anti-parallel sheet. Differently, $\beta$ -chitin, composed by chitin chains arranged in parallel sheet, and $\gamma$ -chitin, a mixture of the previous two forms, are quite rare. For the extraction of chitin and its derivatives at the industrial scale, two principal sources of $\alpha$ -chitin are suitable, such as shellfish and fungi. Annually the seafood industry produced about 106 Tons of waste [2], most of which is destined to composting or to the conversion into low value products, namely animal feed or fertilizers [3]. As alternative, by-products such as the shellfish shell could be directed to the component recovery, and chitosan (the deacetylated form of chitin, CTS) represents one of the best possibilities for their re-qualification. Concerning that, approximately 2000 Tons of chitosan is produced every year and its principal sources of extraction are shrimp and crab shell residues [3].	28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44

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Besides, fungi represent an alternative abundant source of chitin and chitosan that could be extracted from both mycelium and spores [4]. Elsoud and El Kady [4] reported the first 46 attempts to begin a multiple added-value compounds production from fungi that involves chitin and other compounds. It was estimated that more than 60% of the biotech 48 industries use fungi in different processes such as brewing and baking, as well food, antibiotics, pharmaceuticals, organic acid, and enzyme production, and that, only for citric acid production, Aspergillus niger cultivation results in an annual waste of ~80 kTon of 51 mycelium [5]. In the choice of the source, it should be considered that the chitin structure, 52 its percentage and purity vary in reason of the anatomical structure in which it is located. 53 As example, the exoskeleton of shellfish is composed by chitin (20–30% w/w), proteins (20–40% w/w), minerals (30–60% w/w) [2], and by pigments and lipid in traces [6]. Insects, 55 instead, present chitin both in the exoskeleton and in inner parts, such as tracheal system, 56 that contain catecholamines -o-quinones allowing cross-link between protein and chitin 57 (36-62% w/w dry weight of chitin [2]). Instead, the fungal cellular wall consists of chitin (15 to 18%),  $\beta$ -glucans (37%), lipids (19%) and several other sugars (8 to 15%, Figure 1) [7]. 59 However, it was demonstrated that these percentages could vary among species and life stage [8].



Chitin isolation from natural material follows three steps that are different between	73
fungal and animal CTS: the first- which could be called "pre-treatment"-consists in the	74
raw material washing, drying, and smashing. In the case of shellfish, in this step minerals	75
are removed by an acid washing-(generally sulfuric acid, hydrochloric acid, acetic acid,	76
nitric acid, and formic acid is used), natural pigments are eliminated by means of organic	77
or inorganic solvents <del>such as acetone<u>while</u> an</del> <u>alkaline wash is used</u> -sodium hypochlorite	78
<del>ahydioga peroidean daedium hydioideisued lo</del> torem oxeproteinsglycoproteinsand branched polysachaides Instead for the fungal	79
chitosan extraction an enzymatic pre-treatment of the raw materials to hydrolyze the	80
β-glucans or, alternatively, an optimized alkaline hydrolysis at low alkali concentration	81
were suggested. <u>However</u> , Sietsma and colleagues [8] demonstrated that <u>not all the</u>	82
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called "deacetylation", is performed with low amount of substrate (1:30-1:40 <i>w</i> / <i>v</i> ) at high	84
alkali concentration (NaOH 1–4 M), high temperature (80–121°C) and for a short contact	85
ha <b>fa ishin de nagiqa</b> fa kikin ishi kika ila kika ila niga ishi yika bi kika ke pipipipi yika da kikingin da na di na kika ila kikina tika i	86
step, called "post-treatment", generally occurs as a low concentration acid washing	87

(HCl, H2SO4, or, frequently, acetic acid at a concentration of 0.5–2% v/v for fungi and 2–10% v/v for crus-88 taceans) that permits the recovery of deacetylated chitin (chitosan) leaving behind in-89 solublechitin Thise struction is performed at (60.95°C) over 3.16 hours. In fung; the residual chitin is typically associated to the β-glucars 90 through covalent bonds that make difficult its recover without degradation. The amount 91 of insoluble chitin-glucan complex could easily reach 16% of the total β-glucans [8]. After 92 the first washing, the chitosan- acid solution is brought to pH 10 to precipitate the CTS. 93 Finally, the precipitate is washed, commonly with a mixture of water, ethanol or acetone, 94 and dried. However, several variants of this general protocol could be found in literature 95 [2-4] according to the producers manufacturing process. Chitosan demonstrates high 96 plasticity and thus it can be prepared in different forms, namely as films, gels, beads and 97 nanoparticles [9,1,10]. CTS could be used in several sectors, such as medicine, cosmetics, 98 agriculture and food [1,11] in the light of the high number of its valuable properties, such 99 as its biodegradability, biocompatibility and low toxicity. CTS exhibits high potential as 100 antimicrobial and antioxidant agent as well, it could be used in the preparation of films 101 that act as barrier against chemical-physical changes and the properties that possesses by 102 itself could be further enhanced through the combination with other useful compounds 103 (i.e. silver, catechins or organic acids) [11]. In winemaking, a pioneering work [12] 104 demonstrated the possibility of using chitosan to remove phenolic compounds and in-105 crease the oxidative stability of white wines. Few years later, chitin and its derivatives 106 were suggested to remove specific wine proteins (i.e. class IV grape chitinases) [13]. The 107 authors found that addition of chitin reduced the wine haze of 50% even at 1 g/L and that 108 109 20 g/L were sufficient to achieve 80% of potential haze removal. Chitosan has been admitted by the International Organisation of Vine and Wine (OIV) and European Com-110 mission since 2009 and 2011, respectively [1214-1416]. Since then, it spread as fining agent for 111 different purposes, i.e. regulation of iron and copper excess, reduction of heavy metals or 112 possible contaminants (as example ochratoxin) and inhibition of unwanted microbial 113 growth, especially Brettanomyces spp.. 114

Today, OIV permits only the use of fungal chitosan (from A. niger), in order to avoid al-115 lergenic reaction due to the crustacean material, even if the functionality and the struc-116 ture of the chitosan derived from crustaceans and fungi are declared identical by the 117 producers. Several studies tried to define the details for the optimization of chitosan ex-118 traction [157,168, 5]. The most determinant chemical characters for chitosan are the 119 deacetylation degree and the molecular weight. Previous studies discovered that the acid 120 (organic or inorganic) used for chitosan dissolution manifests an effect on chitosan 121 properties, such as the viscosity, mainly through the interaction with -NH2 charged res-122 idue [197]. The acids used for dissolution were supposed to contribute in different way to 123 other chitosan properties, enhancing its antifungal activity [2018] or the interaction with 124 other compounds [1921], as example. In this work the efficiency of chitosan on wine 125 clarification has been evaluated comparing animal and fungal chitosans. As first, an 126 overall of 10 commercial samples have been characterized for degree of deacetylation 127 and chitosan purity. Moreover, samples have been dissolved into four different acid so-128 lutions with the aim to define whether and how this could influence viscosity and chi-129 tosan abilities in the wine fining. 130

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- 2. Materials and Methods
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   2.1. Chemicals and reagents
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Hydrochloric acid, acetic acid, malic and succinic acid, sodium hydroxide were purchased from Sigma-Aldrich (Milano, Italy). Water of HPLC grade was obtained by a Milli-Q system (Millipore Filter, Bedford, MA, USA). 2.2. Chitosan samples

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 Ten chitosans (CTS) were used for the comparison. Samples belong to two distinguished
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 groups based on their origin, i.e. "MC" identified chitosans obtained from Aspergillus
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 niger culture (samples F1, F2, F3, F4) while "SC" identified chitosan derived from shrimp
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	Supplier Commercial Origin Other Calculated M					
	oupplier	name/code	Origin	omer	(kDa)*	
= 4	14.4	name/code				
<u>F1</u>	Kytozime	Kiofine	<u>A.niger</u>		<u>33</u>	
<u>F2</u>	<u>Chibio</u>	<u>GBS009</u>	<u>A.niger</u>	High density	<u>84</u>	
<u>F3</u>	<u>Chibio</u>	<u>GBS008</u>	<u>A.niger</u>	<u>Chitosan</u>	<u>30</u>	
				oligosaccharide		
<u>F4</u>	Beijing Wisapple		<u>A.niger</u>		<u>49</u>	
	Biotech Co. LTD					
<u>A5</u>	Qingdao Yunzhou	Lot. 150912		Food Grade	<u>173</u>	
	Biochemistry Co. LTD			<u>(100-200 kDa)</u>		
<u>A6</u>	Sigma Aldrich	<u>48165</u>	Crab	Highly viscous	<u>478</u>	
<u>A7</u>	<u>Fluka</u>	<u>50494</u>	<u>Shrimp</u>	Low viscous	<u>51</u>	
<u>A8</u>	Beijing Wisapple	WA20170522	<u>Shrimp</u>		<u>282</u>	
	Biotech Co. LTD					
<u>A9</u>	Qingdao Yunzhou	Lot. 150520-2	<u>Shrimp</u>	Industry grade	<u>244</u>	
	Biochemistry Co. LTD			<u>(100-200 kDa)</u>		
<u>A10</u>	Qingdao Yunzhou	Lot. 150520-3	<u>Shrimp</u>	Industry grade	<u>228</u>	
	Biochemistry Co. LTD			<u>(200-300 kDa)</u>		

<u>A more detailed description of the products is reported in Table 1.</u>

# Table 1

2.3. Chitosan deacetylation degree

The deacetylation degree was determined by titration as described by [242], titration method I. Chitosan (0.2 g) was dissolved into 20 mL of HCl 0.1 N and 25 mL of distilled water keeping the sample shaken at room temperature for 30 min. Then, other 25 mL of water were added, and the sample was kept at the same condition for additional 30 min. Finally, sample solution was titrated adding NaOH 0.1 N by automatic titrator (Hanna Instrument, Villafranca Padovana, Italy). The degree of deacetylation (DDA) was determined by the equation:

#### DDA(%)= 2.03\*(V2-V1)/ [m+0.0042\*(V2-V1)]

where V2 and V1 are volumes of NaOH corresponding to the two inflection points. Each<br/>titration curve has been determined 3 times.1542.4. Chitosan content156

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CTS content of all the samples was determined <i>ex novo</i> as described by [234]. Five milli- grams of chitosan powder were added to 400 $\mu$ L of 10% $v/v$ NaNO <sub>2</sub> and 10% $v/v$ KHSO <sub>4</sub> (in the ratio of 1:1) and kept at room temperature for 15 min. After 3-Methyl-2-benzothiazolinone hydrazone (MBTH) 0.5% m/v addition and sample boil- ing, 500 $\mu$ L of FeCl <sub>3</sub> ·6H <sub>2</sub> O 0.83% <i>m/v</i> were promptly added. Samples were then cooled at room temperature and 100 $\mu$ L of each sample was transferred to a well of a 96-well mi- croplate for the quantification at 650 nm in microplate reader (Molecular Devices, Menlo Park, CA, USA) and expressed as glucosamine equivalent. Data were expressed as per- centage of chitosan on effective weight and quantification repeated 3 times per sample. 2.5. Viscosity	157 158 159 160 161 162 163 164 165 166
Viscosity was chosen as parameter to evaluate chitosan molecular weight [224]. Analysis was performed using Ubbelohde Viscometer type 1C (3-60 cS). Samples (1% chitosan $w/v$ ) were diluted 20 times in the selected buffer (acetic acid, succinic acid, malic acid and hydrochloric acid at 1% $v/v$ ) before starting the measurement, in order to assure that the efflux time remain below 300 sec. Samples were placed in thermostatic bath at 25°C until thermal equilibrium and then the time required for the efflux was measured in 2 replicates. 2.6. Molecular weight determination	167 168 169 170 171 172 173 174
The intrinsic viscosity of chitosan was determinate according to the methodology of [25]. The chitosan (0.050 g) was dissolved in 100 mL of 2 % HAc/0.2M NaAc, and the viscosity was measured in triplicate using an Ubbelohde glass capillary viscometer, with a viscosity range from 2.000 to 10.000 CSt (Fungilab, ASTM size 4, Sant Feliu del Llobregat, Barcelona) in a constant-temperature water bath at 25 ± 0.01 °C. The capillary diameter used was 0.63 mm. Solution concentrations were adjusted based on the viscosity of the samples and the flow through time was kept in the range of 100-150 s. Five different concentrations were tested, and the calculation of intrinsic viscosity was obtained by common intercept of both Huggins and Kraemer plots.	175 176 177 178 179 180 181 182 183 184 185
Clarification was performed on Glera base wine furnished by Scuola di Enologia di Conegliano "G.B. Cerletti" (Conegliano, Italy) which was chosen by the results of a pre- liminary instability test. Chitosans were dissolved into four 1% v/v organic acids (malic, acetic, succinic and hydrochloric acid) at the 1% w/v concentration and let homogenize for 2 h stirring at room temperature. Wine was divided in 500 mL bottles in which 5 g/hL of chitosan were added singularly to the bottles, in 3 independent technical replications. Clarification was monitored measuring turbidity of the samples kept at room temperature -(nephelometer HI 83749, Hanna Instrument, Villafranca Padovana, Italy) after 30 min, 2, 4 and 24 h after the chitosan addition collecting 10 mL of treated wine from the bottle center. 2.68 Statistical analyses	186 187 188 189 190 191 192 193 194 195 196
R software (R version 3.0.1) was used for statistical analysis. Differences were evaluated by One-way ANOVA, Welch-ANOVA and Kruskal–Wallis H test depending on data distribution. Post-hoc analyses Tukey HSD test and Games-Howell test were used for ANOVA and Welch-ANOVA respectively, while Dunn test with Holm correction was chosen as Kruskal–Wallis post hoc test. Statistical significance was attributed with p-value <0.05 or confidence interval of 0.95.	197 198 199 200 201 202
3. Results and discussion 3.1 Chitosan deacetylation	203 204

The degree of deacetylation (DDA) is a useful tool for identifying chitosan structural rigidity and its polymer conformation, in addition it is directly connected to chitosan (CTS) number of positive charges [2224] and thus to its cross-linking attitude [2326]. The high number of charged amino groups arranged on the chitosan surface facilitates its dissolution in acid solutions and guarantees a general greater functionality, i.e. the control of microorganism, the binding of lipids, the improving of immune response and the cytotoxic activity [2427]. Nevertheless, the DDA is strongly affected by the CTS production method in the light of the variation in the extraction protocols [4] that acquired even more importance when chitosans derived from different original material are considered as the case of the samples here studied. Nevertheless, the identification of original raw material cannot be sufficient to describe chitosan deacetylation and therefore, as first, selected chitosan underwent a preliminary test which define their deacetylation degrees.



Overall, samples evidenced a degree of deacetylation varying between 70 to 95%, the 219 common interval expected for commercial chitosan. CTSs could be categorized into three 220 groups, i.e. "low" degree of deacetylation when DDA is ranging between 55-70%, "me-221 dium" when comprise between 70-85% and "high" when achieve 85-95% of DDA [2427]. 222 So far, the "ultrahigh" degree of deacetylation -DDA above 95%- is difficult to reach 223 through industrial process. Figure 2 show that A9 and A10 achieved the "high" value of 224 DDA, with 86.3 and 87.7% respectively, while A6 evidenced the lowest level of deacety-225 lation with 70% of DDA. The other samples were ascribed to the "medium" group. The 226 comparison among samples highlighted a statistically significant difference between A6 227 and A9 and A10, with the latter grouped together (F<sub>(9,19)</sub>=2.668, p=0.034). It should be 228 noted that A6 was not completely dissolved in the buffer solution before the test, and that 229 certainly influenced the result. 230 231

3.2 Chitosan purity

As previously stated, the origin of raw material determines chitosan physical properties. 232 In fact, the choice of extraction protocol is based on the raw material origin and could 233 change considerably the purity of the final extract [5]. As reported by Sietsma and col-234 leagues [8], fungal CTS could present an insoluble percentage of  $\beta$ -glucan-chitin complex. 235 Therefore, samples purity was determined by the depolymerization of chitosan into its 236 glucosamine monomers followed by their spectrometric quantification. The amount of 237

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Data show that in all the cases sample purity was closed to 100%, with F1 and F3 as the <del>ss-<u>less</u> pure at about 87%. Statistical analyses confirmed that there was no difference</del> among samples neither between the two groups of fungal- (MC) and animal-derived-(SC) chitosans.

### 3.3. Chitosan viscosity

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As known, viscosity reflects molecular characteristic of chitosan, namely the molar mass 248 and the surface charge [242, 258]. The molecular weight was calculated as reported by [25] and is reported in Table 1. An evident lower molecular weight was registered for 250 MC, probably depending on the enzymatic treatment necessary to reduce the glucan 252 content on the polysaccharide extract from fungi. Regarding SC, the calculated molecular weights were generally in agreement with those, when available, declared by the sup-253 pliers, except for the sample A9. As previously mentioned, also DDA reveals a strict correlation with chitosan viscosity, but also in addition to the distribution of charges which could play an important role, modifying conformational behavior of chitosan. 256 New and colleagues [296] suggested that animal and fugal chitosan could differ for CTS charge distribution. Hence, studied samples were evaluated for the viscosities expressed 258 when dissolved in four different acids, namely acetic, malic, succinic and hydrochloric acid. Previous experimental studies explored the effect that the dissolution acid could have on chitosan viscosity [1820, 2730], however these workthat work did not compare several chitosan neither chitosans of different origin.

# Figure 4

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Statistical analyses revealed a significant difference among acid and categories, together with their interaction as evident by the Figure 4. Data highlights a different trend between animal and fungal chitosan upon the acid change. Moreover, shell-derived CTSs manifested higher variability than the MC, which, as expected from their low calculated <u>molecular weights</u>, –actually did not differ in viscosity from the respective controls.





Figure 5 represents the time requested for the solutions to throw the glass capillary, which means that high values correspond to high viscosities. Figure 5 is focused on the animal chitosan behavior because this category evidenced the major variability. In all the cases, CTSs revealed the highest density when dissolved in acetic acid and thus it was the motofile the gible and the motofile the gible and the motofile the motofile of the motofile of the motofile of the gible and the motofile of the gible and the motofile of the motofile of the gible o

[n]= KM<sub>v</sub>#

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<u>viscosity n</u> is described by the equation:		280
$a = [DA/(pH \mu)]$	<del>(2)</del>	

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> where DA is degree of acetylation, pH is the pH and  $(\mu)$  the solution ionic strength, 283 demonstrating a direct relationship between the dissolution media and the chitosan vis-284 cosity. The differences recorded from the comparison of chitosan viscosities among the 285 four dissolving acids confirmed this interaction between CTS and the dissolution system. 286 As a matter of fact, while deacetylation could be influenced by the chitosan manufac-287 turing as reported by Bajaj and colleagues [2318], and therefore it could explain the dif-288 ferences among chitosans, it should be assumed as constant when comparing the same 289 chitosan sample dissolved in different acids. As explained by Kasaai et al. [224], low pH 290 should lead to a higher degree of expansion of chitosan due to electrostatic repulsions, 291 reducing the mobility of its structure, causing an increase in the viscosity. Unexpectedly, 292 for each CTS, viscosities decreased following the pH lowering order (2.8, 2.6, 2.3, 0.6 for 293 acetic, succinic, malic and HCl respectively). However, it was also demonstrated that the 294 intrinsic viscosity decreases with the increase of the ionic strength, as the chain became 295 more flexible and compact with a reduction of the repulsive potential owing to the 296 masking effect of anions [2932]. Hydrochloric acid possesses the highest ionic strength, 297 followed by the two diprotic acids (succinic and malic acids) and by the acetic acid. Ac-298 cording to that, CTSs showed a reduction in viscosity when dissolved into diprotic acids 299 and even greater when dissolved into hydrochloric acid. Moreover, Figure 5 highlights 300 an interesting variability among chitosans in the response to acid change, which could 301 depend to the -NH2 groups available on the CTS surface. According to Cho et al. [329], 302 the viscosity decreases because of the shielding effect of anions on the positively charged 303 amino groups, that in one hand induces a strong reduction of the repulsive potential but, 304 on the other hand, increases the risk of flocculation and precipitation. In agreement with 305 this, one of the studied samples (A6) showed an uncomplete dissolution in all the acids. 306

#### 3.4 Wine clarification performance

Several works explored the effects that different solvents have on chitosan properties, 309 testing, as example, antimicrobial activities against bacteria and mold [303, 2018], 310 CTS-membrane properties and hydrophobicity [314], CTS-film water vapor permeability 311 [17], resistance and elasticity [352]. However, no studies explored whether and how the 312 choice of the acid used for CTS dissolution influences wine clarification. Clarification is a 313 process that occurs in nature, is linked to the flocculation and precipitation of suspended 314 colloids and chitosan is known to enhance this process by the instability generated by the 315 interaction between colloids and NH2 residues of chitosan [336]. Chitosan physiochemi-316 cal characteristics, such as degree of deacetylation and molecular mass as well, affect the 317 clarification results [3437]. Studied samples evidenced heterogeneities for both DDA and 318 molecular mass and the viscosity test indicated that dissolution acid could affect chitosan 319 molecular conformation. Therefore, a clarification test was performed comparing fungal 320 and animal-derived chitosan dissolved into the four acids. Turbidity (3593 NTU at the 321 beginning) was recorded at 30 min, 2 h, 4 h and 24 h. After 24 h all the samples demon-322 strated a very low turbidity- loweron average 112 NTU-than 100 NTU, including \_in the 323 control- that make difficult the comparison. Therefore, that point was excluded from 324 further considerations 325

Figure 6

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Even though chitosan has been studied as wine fining in previous works [38, 39], only the 328 effects on the final wine composition were analysed, without deepening its flocculation 329 and clarification capacity. In addition this is the first time that animal and fungal chi-330 tosans are compared in the wine clarification: the results here reported evidenced, that 331 fungal CTSs remove efficiently the colloids during the treatment, however, data showed 332 a clear distinction between chitosan categories ( $\chi^{2}(2) = 49.83$ , p<0.01), with fungal chite 333 <u>CTS</u> that reduce the wine turbidity already after 30 min -<u>by</u> about 25%- and keep on 334 lowering it in the successive hours (Figure 6)- Nevertheless, and \_-animal chitosan-CTS 335 showed showing a surprising clarification capacity by dropping the NTU value 30 min-336 after the treatment of \_about 60%. - Even though the need of an acidic environment for 337 chitosan dissolution is well known, the first chitosan-based products proposed for the 338 enology sector were supplied as a powder to be prepared in water or directly in wine. 339 Only recently the market started to propose "soluble" chitosans, which already contains 340 the acidic component needed for their dissolution. In most cases hydrochloric acid is 341 used (chitosan hydrochloride, CAS 70694-72-3) but other inorganic and organic acids can 342 be used for the same scope. For this reason, the effect of four dissolving acids, choosing 343 among those compatible with the wine environment, on the clarification capacity was 344 also studied. Relationship between CTS and the dissolving acid was evaluated more 345 specifically at two time point, namely after 4 h for fungal CTS and after 2 h for animal 346 CTS, according to the significant statistical difference detected between two successive 347 time points ( $F_{(2, 141)}$ = 15.3, p=<0.001 and  $F_{(2, 213)}$ =12.76, p=<0.001 respectively). 348

Figure 7

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# Commentato [VS1]: Non capisco la statistica.

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Figure 7 reports the turbidity values achieved from samples 4 hours after the treatment 351 with MC. Statistical analyses revealed a significant difference among samples effect\_\_that 352 is independently from the dissolving acid, expressed by different number above the 353 groups. More in detail, samples outcomes depend only in two out of four cases by the 354 interaction to the second s 355 <del>ziated to the samples\_were used.,</del> These findings\_\_suggest\_ing 356 acids (SA, HCl) are that sample the variations concerning depend from the mechanism of the primary 357 amines protonation and probably from the of-CTS charge density [3235]. No correlation 358 between the calculated molecular weight and the clarification capacity was found, as the 359 two MC with the best clarifying capacity (F2 and F3) were those with the highest (84 kDa) 360 and the lowest (30 kDa) molecular weight, respectively.-361



Animal chitosan allowed a greater clarification than MC at all the time points (Figure 6). 364 Figure 8 shows the comparison of SC behavior after 2 h of treatment because after that 365 point the chitosan clarification rate decreased. As expressed before, in animal CTS the 366 manufacturing process varies in several steps, such as demineralization and deproteini-367 sation besides to deacetylation. Bajaj and colleagues [2831] demonstrated that the alkaline 368 deproteinization performed for 2 h could induced CTS backbone breaking even at 65°C, 369 or less for longer treatment, while the comparison of the deacetylations revealed a less 370 clear effect on CTS, confirming that the "pre-treatment" participates to define CTS mo-371 kulames Howeritised with the manufacture the station of the statio 372

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and the clarification capacity was evidenced. This indicates that other factors are more relevant for determining CTS clarification property. Based on literature, two main parameters seem to strongly affect colloids- CTS interaction, namely the chitosan DDA and the pH of reaction [363]. However, A8 demonstrated a degree of deacetylation similar to A7 (Figure 2) while its clarification power is considerably lower. Concerning pH, this factor should be excluded because the experiment was carried out at the same pH value for all the chitosans as only one wine was used. The shellfish chitosans comparison registered a statistically significant effect of the dissolving acid (showed in Figure 8 by different capital letters), together with a significant interaction between sample and acid\_ (sample x acid, F (15,48) = 15.092, p<0.01). This indicated is demonstrated by the fact that in Lin five out of six \_cases (namely A5, A6, A7, A9 and A10) the dissolving \_acids had an effect on CTS clarifying capacity (expressed as lowercases letters in Figure 8) while but-this influence was not consistent confirmed in the A8, which demonstrated a sensible reduction in clarification capability in comparison to the others. amples and However, with the exception of A6 and A10, its the degree of that effect was negligible. Probably the nature of this interaction could be attributed to the specific charge distribution on the chitosan surface.

#### 4. Conclusions

Chitosan is a natural polymer that has been approved spread quite recently as a fining agent for microbial control, metal chelation, reduction of contaminants and clarification in oenology. Clarification property is strictly connected to chitosan property of binding colloids, such as protein, polyphenols, polysaccharides and metal ions. In this work, for the first time the physical effect of chitosan on clarification rate and efficiency has been tested in wine., The origin of raw material and consequently the manufacturing process required for the chitosan extraction and purification, together with the efficiency in its deacetylation, are determining in the clarification results. To date, oenological codex permits only the use of chitosan derived from fungi that, as here demonstrated, possess low efficiency respect to the shellfish extracted chitosan. This work, for the first time, demonstrated that even under equal condition of deacetylation and purity, the origin significantly affect clarification properties of CTS as SC and MC are clustered separately despite the heterogeneities found within the categories. The reason of this phenomenon should be searched in the production process that probably leads to different molecular weight and charge distribution on CTS surface. At present, no evidence of health risk in the use of animal-derived chitosan has been registered, while the recovery of useful molecule from industrial waste is generally recommended. Besides, it should be considered that chitosan from other sources, such as insect-derived chitosan, actually represent a potential source for a new generation of fining agents. Moreover, in this work was also evidenced that, differently from what registered for other application, the dissolving acid 410 did not significantly influence the clarification efficiency.

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Data Availability Statement: The data presented in this study are openly available in [repository 422 name e.g., FigShare] at [doi], reference number [reference number] 423

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# Figure and Table legends

Table 1

#### Characteristics of chitosans used for the experiments. \*The MW was measured as reported below in Material and Methods,

#### Figure 1

General structure of fungal cell wall.

## Figure 2

Deacetylation degree of chitosan. Mean of three replications (in percentage) and standard deviations are expressed. Black bars: fungal-derived chitosan, light grey bars: crustacean-derived chitosan. Capital letters represent statistical groups

#### Figure 3

Sample purity. Mean (in percentage) and standard deviations of three replications are expressed. Black bars: fungal-derived chitosan, light grey bars: crustacean-derived chitosan.

#### Figure 4

Chitosans viscosity. Mean and standard deviations (three replications for each sample) are expressed. Black bars: fungal-derived chitosan, light grey bars: animal-derived chitosan, white bars: corresponding acid solution (control). Capital letters represent statistical significant differences among dissolving acids (p<0.05), no letter means the absence of significance). 547

#### Figure 5

Animal-derived chitosan viscosities in four acids. Mean and standard deviations of two replications are expressed. Dark colors bars: acid viscosities, light colors bars: chitosan viscosities. Capital letters represent statistical significant differences among chitosans dissolved into the same acid (p<0.05).

#### Figure 6

Clarification of Glera wine. Turbidities of treated and untreated wine are compared. Category mean and standard deviations (three replications for each chitosan sample) are expressed. Capital letters represent statistical significant differences among dissolving acids and stars express statistical difference between successive time points (p<0.05).

#### Figure 7

Fungal-derived chitosan clarification after 4 h. Mean and standard deviations of three replications are expressed. Capital letters represent statistical significant differences among samples dissolved into the same acid (p<0.05, no letter means the absence of significance), numbers represent statistically different sample groups. AA: acetic acid, MA: malic acid, SA: succinic acid, HCI: hydrochloric acid.

#### Figure 8

Animal-derived chitosan clarification after 2 h. Mean and standard deviations of three replications are expressed. Capital letters represent statistical significant differences among samples dissolved into the same acid (p<0.05), lowercases letters represent statistical significant differences between acids used in the sample dissolution. AA: acetic acid, MA: malic acid, SA: succinic acid, HCl: hydrochloric acid.

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