The interactions of wine polysaccharides with aroma compounds, tannins, and proteins, and their importance to winemaking

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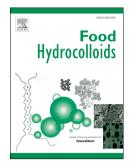
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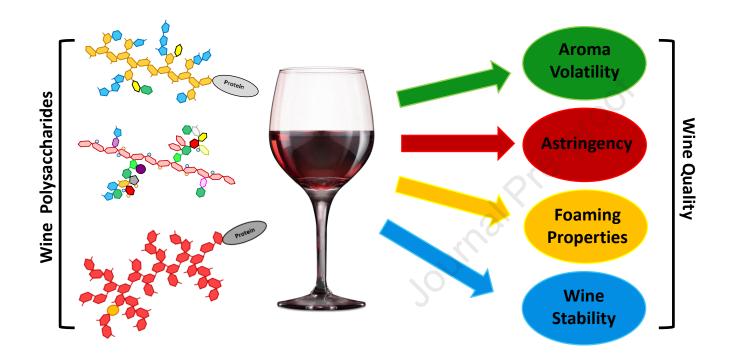
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Author Statement

Hayden Jones-Moore: Conceptualization, Writing - Original Draft **Rebecca E. Jelley**: Conceptualization, Writing - Review & Editing, Supervision **Matteo Marangon**: Conceptualization, Writing - Review & Editing, Supervision **Bruno Fedrizzi**: Conceptualization, Writing - Review & Editing, Supervision

Journal Prevention



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2	and their importance to winemaking.
3	
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14 *<u>1.0 Abstract</u>*

15 A current research interest of the wine industry is the improvement of wine quality by producing wines to meet 16 the consumers' demands and desires. However, this requires an understanding of the complex chemical matrix 17 and the nature of interactions between molecular components of the wine. Wine polysaccharides are 18 macromolecules whose presence and interactions with other wine components can lead to the modulation of 19 technological and organoleptic wine quality attributes. Indeed, grape and yeast derived polysaccharides play a 20 major role in modulating wine astringency through interactions with exogenous salivary protein-tannin complexes 21 formed within the oral cavity. Polysaccharides participate in the formation of colloidal particles through their 22 interactions with wine tannins and proteins, with crucial implications on the clarity and stability of finished wines. 23 Additionally, polysaccharides modulate wine aroma volatility and foaming. The extent to which they influence 24 these attributes is dependent on the concentration and physico-chemical properties of all the species involved in 25 these interactions. Overall, the structure, size and type of the polysaccharides are key components governing the 26 success and intensity of their interactions with other species. Therefore, to better understand the relevance of 27 polysaccharides in wine, this review discusses the molecular interactions facilitated by these species and details 28 their potential roles within the wine matrix.

29 Highlights

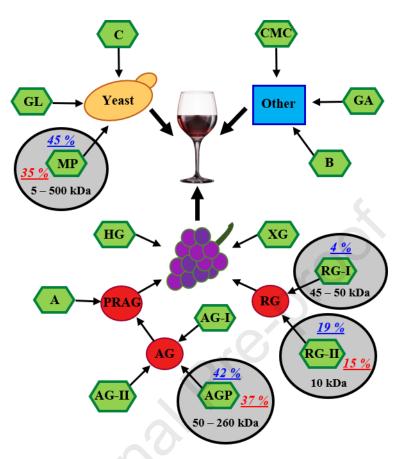
- 30 1. Elevated glycoprotein concentrations can cause aroma retention.
- 31 2. Polysaccharides can improve foam stability in sparkling wines.
- 32 3. Physico-chemical parameters of cell wall polysaccharides and tannins can influence their extractability33 during winemaking.
- 34 4. Interactions with endogenous tannin and protein complexes can influence the haze potential of wine.
- 35 5. Polysaccharides can play an important role in modulating the astringency of wine.
- 36 Keywords
- 37 Polysaccharides, wine, stability and organoleptic properties, aroma compounds, tannins and proteins.

38 <u>2.0 Introduction</u>

39 Wine polysaccharides are macromolecules that originate from several sources. It is widely acknowledged that 40 wine polysaccharides can typically be categorised into two classes, they are either grape or yeast derived and are 41 further classified into three families. These include (i) polysaccharides rich in arabinose and galactose (PRAG) 42 including arabinogalactans (AG-I and AG-II) and arabinogalactan proteins (AGP), (ii) rhamnogalacturonans (RG-43 I and RG-II), both of which are derived from the pectocellulosic cell walls of grape berries, and (iii) mannoproteins 44 (MP) which are released from yeast cells during fermentation and ageing on lees (Guadalupe, Ayestarán, 45 Williams, & Doco, 2014; Jones-Moore, Jelley, Marangon, & Fedrizzi, 2021; Martínez-Lapuente, Guadalupe, & 46 Ayestarán, 2019; Unterkofler, Muhlack, & Jeffery, 2020; Vidal, Williams, Doco, Moutounet, & Pellerin, 2003). 47 There are many polysaccharides present in the grape berry, however, many do not survive maceration and/or 48 alcoholic fermentation processes during winemaking and are enzymatically degraded or precipitated; thus the 49 most abundant grape derived polysaccharides found in wine are AGP and RG-II (and sometimes RG-I) (González-50 Royo, et al., 2013; Jones-Moore, et al., 2021; Vidal, et al., 2003). AGP is a hydroxyproline-rich glycoprotein 51 whose protein moiety is attached to the saccharide backbone via a $(1 \rightarrow 4)$ -B-D-galactose linkage. The backbone 52 is composed of β -D-galactose (1 \rightarrow 3) residues with branched substitutions of β -D-galactose (1 \rightarrow 6) residues. 53 Further substitutions can be observed on these branches including $(1 \rightarrow 3)$ and $(1 \rightarrow 5)$ linked α -L-arabinose, (1 54 \rightarrow 4) linked α -L-rhamnose and (1 \rightarrow 6) linked β -D-glucuronic acid. (Guadalupe, et al., 2014; Jones-Moore, et al., 55 2021) RG-II is a highly conserved polysaccharide containing a backbone of $(1 \rightarrow 6)$ linked β -D-glucuronic acid 56 residues and four different, branched side chains. These side chains contain glycosidic linkages and glycosyl 57 residues unique to plant polysaccharides, allowing for easy identification during compositional analysis 58 (Guadalupe, et al., 2014). Interestingly, RG-II can form complexes with metals such as boron, through its apiosyl-59 residues to yield a borate-diol ester linkage allowing RG-II to exist as a dimer (dRG-II) (Guadalupe, et al., 2014; 60 Jones-Moore, et al., 2021). Yeast and yeast derivatives can release significant amounts of polysaccharides in the 61 form of mannoproteins, glucans and mannans, mainly originating from cell wall material (Ayestarán, Guadalupe, 62 & León, 2004; Escot, Feuillat, Dulau, & Charpentier, 2001). Mannoproteins are glycoproteins with a backbone 63 of $(1 \rightarrow 6)$ linked α -D-mannose, which is often highly branched with other mannose residues connected through 64 α -D-mannose (1 \rightarrow 2) or α -D-(1 \rightarrow 3)-mannose linkages. Further background information detailing wine 65 polysaccharide structure and profiles are discussed in reviews published by Guadalupe et al. and Jones-Moore et 66 al. (Guadalupe, et al., 2014; Jones-Moore, et al., 2021).

67 Other wine polysaccharides can originate from Botrytis cinerea, which may be desirable in some cases (König 68 H., 2017), or from exogenous additions including gum Arabic (Apolinar-Valiente, Salmon, et al., 2021; Apolinar-69 Valiente, et al., 2020; Nigen, et al., 2019) and carboxymethylcellulose (CMC) (Sommer, Weber, & Harbertson, 70 2019). Wine polysaccharides can exist between the molecular weights of 5–800 kDa (Guadalupe, et al., 2014; 71 Martínez-Lapuente, et al., 2019), and are present in wine at concentrations between 0 and 2 g L^{-1} but these values 72 are highly dependent on factors including vintage, variety, climate, the winemaking stage and winemaking 73 techniques employed (Doco, Brillouet, & Moutounet, 1996; Guadalupe & Ayestarán, 2007; Guadalupe, et al., 74 2014; Martínez-Lapuente, et al., 2019). Several authors have measured the proportion of each polysaccharide 75 class within certain wines. Vidal et al. have reported that Carignan noir wines included in their study were 76 composed of 42% AGP, 35% MP, 19% RG-II and 4% RG-I (Vidal, et al., 2003) and Ayestarán et al. identified

- that red Tempranillo wines were composed of 45% MP, 37% AGP and 15% RG-II (Ayestarán, et al., 2004).
- 78 Figure 1 below summarises some of the key details discussed above.



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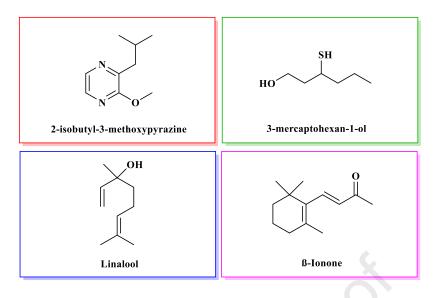
- 80 Figure 1. A summary illustration of wine polysaccharides and their respective origins.
- 81 The green hexagons represent the polysaccharides, and the red circles show the families that these polysaccharides
- 82 belong to. The polysaccharides highlighted by the grey circles are the most abundant in wine. The percentages represent
- 83 their reported compositions in Carignan noir wine (Vidal, et al., 2003) in blue and in Tempranillo in red (Ayestarán,
- et al., 2004). The respective molecular weights of these polysaccharides are also given in kDa (Guadalupe, et al., 2014;
 Martínez-Lapuente, et al., 2019).
- A: Arabin, AG: Arabinogalactan, AG-I: Arabinogalactan-I, AG-II: Arabinogalactan-II, AGP: Arabinogalactan
 Protein, B: Bacteria, C: Chitin, CMC: Carboxymethylcellulose, GA: Gum Arabic, GL: Glucans, HG:
 Homogalacturonan, MP: Mannoprotein, PRAG: Polysaccharides Rich in Arabinose and Galactose, RG:
 Rhamnogalacturonan, RG-I: Rhamnogalacturonan-I, RG-II: Rhamnogalacturonan-II, XG: Xyloglucan.
- 90 The recent review article by Jones-Moore *et al.* discussed the many factors influencing polysaccharide profile of
- 91 grape and wine, including their evolution and the most crucial steps affecting them throughout vinification (Jones-
- 92 Moore, et al., 2021).
- 93 Due to their colloidal nature, wine polysaccharides are known interact with many other important wine
- 94 components, including aroma compounds, polyphenols, and proteins. However, as wine polysaccharides are
- 95 incredibly complex structures, not all polysaccharides exhibit the same behaviour with respect to the examined
- 96 wine; in particular their influence on wine processes, stability and organoleptic attributes is dependent on the type
- 97 and concentration of polysaccharide present (Guadalupe, et al., 2014).
- 98 Polysaccharides in wine have been reported to play important roles in the stabilisation of other molecules within

- 99 the medium and in the perceived organoleptic properties of the beverage including astringency (Boulet, et al.,
- 100 2016; Brandão, et al., 2017; Brandão, Silva, et al., 2020; Susana Soares, et al., 2020), aroma (Dufour & Bayonove,
- 101 1999; Jouquand, Ducruet, & Giampaoli, 2004; Villamor, Evans, & Ross, 2013; Villamor & Ross, 2013) and clarity
- 102 (De Iseppi, et al., 2021; Gazzola, Van Sluyter, Curioni, Waters, & Marangon, 2012; Waters, Pellerin, & Brillouet,
- 103 1994a, 1994b). However, their influence on the medium during the winemaking process and towards the
- 104 organoleptic properties depends not only on the concentration and type of polysaccharide, but also on the presence
- 105 and quantity of other wine components known to interact with them such as proteins, phenolics and volatiles
- 106 (Guadalupe, et al., 2014).
- A fundamental understanding of the interactions of polysaccharides within the wine matrix and the consequentimplications on the quality parameters of the beverage is crucial for oenologists. Such an understanding can assist
- 109 with the stylisation of their wines, improving quality, and expand the range of successful wines to satisfy consumer
- 110 desires. This review examines and discusses the important interactions of wine polysaccharides with other wine
- 111 components, with a focus on their potential roles and implications on the stability and organoleptic properties of
- 112 wine.

113 3.0 Molecular interactions of polysaccharides in wine and their implications

114 3.1 Interaction of polysaccharides with aroma compounds

- 115 The aromatic quality of any given wine is an essential organoleptic characteristic governing its overall success and appeal. There are a variety of identifiable aroma compounds within wine, of which four compounds of several 116 117 of the major aroma groups (methoxypyrazines, sulfur compounds, terpenes and isoprenoids) are highlighted in 118 Figure 2, but a more comprehensive list of aroma compounds are examined in a review published by Villamor et 119 al. (Villamor & Ross, 2013). In model systems, polysaccharides generally supress aroma release, either indirectly 120 through a modification of the viscosity of the medium, or by direct molecular interaction with aroma compounds 121 (Jouquand, et al., 2004). Polysaccharides have been reported to individually interact with aroma compounds 122 (Villamor, Evans, Mattinson, & Ross, 2013). Zhu et al. (Fengmei Zhu, Du, & Li, 2016) have discussed many 123 factors that can influence the profile of organic volatile compounds within wines, but the scope of their review 124 does not encompass the influence of polysaccharides on aroma substances. 125 The impact native wine polysaccharides have on the aroma compounds of wine has been investigated by several
- research groups. Will *et al.* examined the potential interactions of yeast derived MP with aroma compounds in Riesling wine but reported no significant differences in the aroma profile of wines enriched with MP at concentrations levels ranging between $600-1500 \text{ mg L}^{-1}$ (Will, Pfeifer, & Dietrich, 1991). However, Lubbers *et*
- 129 *al.* (Lubbers, Charpentier, Feuillat, & Voilley, 1994; Lubbers, Voilley, Feuillat, & Charpentier, 1994) investigated
- 130 MP, yeast cell walls and volatile compounds in model wines and came to a different conclusion, observing that
- 131 MP and yeast walls did have an impact on the volatility of aroma compounds, reporting that these interactions
- 132 were dependent on the physico-chemical nature of the volatile compound.



133

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Figure 2. An example of four chemical classes of aroma compounds present in wines, illustrating the chemical structures of a
 methoxypyrazine, a sulfur compound, a terpene and an isoprenoid (Villamor & Ross, 2013).

136 The retention of hexanoate and β -ionone by MP was identified by Lubbers *et al.* and this was attributed to an

- 137 increased protein content of the polysaccharide. The protein portion of a polysaccharide of the MP was therefore
- thought to be an important factor in governing interactions with volatile aroma compounds of wine (Lubbers,
- 139 Charpentier, et al., 1994; Lubbers, Voilley, et al., 1994). During further research Lubbers *et al.* observed that the

141 for MP. It was thought that increasing the hydrophobicity of both the aroma compound and protein portion of the

hydrophobic nature of the volatile compound seemed to be an important factor governing their binding affinity

- 142 MP could enhance the interactions and binding of these two species, thus increasing retention and decreasing
- volatility of aroma compounds (Lubbers, Charpentier, et al., 1994).
- 144 Dufour *et al.* (Dufour, et al., 1999) examined the influence of pectic polysaccharides on aromatic volatility, testing 145 the interactions of MP, AGP, monomer RG-II (mRG-II) and dimer RG-II (dRG-II) with a selection of volatile 146 compounds (ethyl hexanoate, isoamyl acetate, hexanol and diacetyl). Corroborating earlier research, increased 147 MP concentrations (1000 mg L^{-1}) reduced the volatility of aroma compounds (e.g. a -12% decrease for ethyl 148 hexanoate), with Dufour *et al.* noting that uronic acid-rich polysaccharides would often "salt out" or precipitate
- the two volatile esters (isoamyl acetate and ethyl hexanoate) in model wines. Overall, the volatility of these esters was not affected by the addition of any polysaccharides at concentrations between 5 and 20 mg L^{-1} , which is not
- 151 surprising or significant considering how low these concentrations values are, being much lower than those
- 152 normally recorded in wine. However, at increased concentration (1000 mg L^{-1}) these two compounds were
- somewhat supressed in the presence of the protein-containing polysaccharides AGP and MP (Dufour, et al., 1999).
 This observation is in agreement with the hypotheses proposed by Lubbers *et al.* (Lubbers, Charpentier, et al.,
- 155 1994; Lubbers, Voilley, et al., 1994), further supporting the idea that it is the protein portion of the MP and AGP
- that is responsible for the retention of volatile aroma species in wine.
- 157 To complicate things further, wine polysaccharides are known to interact with endogenous wine proteins and
- tannins to form different colloidal species (Marassi, et al., 2021; Mateus, Carvalho, Luís, & de Freitas, 2004), so
- 159 colloids are a possible candidate responsible for the binding and retention of aroma compounds. Given the
- 160 involvement of proteins in colloid formation and given that colloids are generally stabilised by the hydrophilic

- 161 portions of the polysaccharide molecules, the interactions between aroma compounds and the protein portion of
- the colloids must also be governed by the differences in accessibility of the volatiles to the hydrophobic binding
- sites of the protein portion of the colloids. The involvement of polysaccharides in the colloidal stability of a wine
- will be discussed in following sections (Sections 3.3 and 3.4).
- 165 Mitropoulou *et al.* corroborated findings by Lubbers *et al.* and Dufour *et al.* suggesting that the structure and
- physico-chemical properties of the polysaccharide and aroma compound are important regarding their interactions
 (Mitropoulou, Hatzidimitriou, & Paraskevopoulou, 2011). Jouquand *et al.* also reported that the retention of aroma
- 168 compounds in the presence of polysaccharides seemed to be linked to their hydrophobic nature (Jouquand, et al.,
- 2004). These conclusions suggest that increasing the polysaccharide content could induce preferential binding of
- the most hydrophobic aroma compounds, and also suggests that a saturation effect may exist within the wine
- 171 regarding the interaction of these two species (Mitropoulou, et al., 2011).

172 3.2 Polysaccharide involvement in foam formation and stability for sparkling wines

173 The foaming properties of sparkling wines are considered key quality attributes that can govern its success, 174 attractiveness and overall appeal (Martínez-Lapuente, Guadalupe, Ayestarán, & Pérez-Magariño, 2015). The foam 175 properties have been linked to the chemical composition of the beverage; with proteins being the first component 176 identified to have an impact due to their intrinsic surfactant properties. This has been supported by several research 177 groups (Blasco, Viñas, & Villa, 2011; Martínez-Rodríguez & Pueyo, 2009; Vincenzi, Crapisi, & Curioni, 2014), 178 but it has been reported that certain proteins have varying effects on the foam. Some proteins are reported to be 179 good foam formers, yet poor stabilisers, and others poor foam formers but good stabilisers (Andrés-Lacueva, 180 Lamuela-Raventós, Buxaderas, & de la Torre-Boronat, 1997; Andrés-Lacueva, López-Tamames, Lamuela-181 Raventós, Buxaderas, & de la Torre-Boronat, 1996; Cilindre, Liger-Belair, Villaume, Jeandet, & Marchal, 2010; 182 Richard Marchal & Jeandet, 2009; Martínez-Lapuente, et al., 2015). Andrés-Lacueva et al. concluded that the 183 presence of proteins and acids in wine resulted in the production of a larger foam volume; however, increasing 184 their content resulted in poorer foam stability (Andrés-Lacueva, et al., 1996). A review by Blasco et al. discussed 185 the role of yeast, yeast mannoproteins and other species in foam formation, also noting that foam production and 186 stability depend on other endogenous grape proteins such as the highly glycosylated vacuolar invertase (Blasco, 187 et al., 2011). Dufour et al. concluded that the foaming extent of a wine appeared to be correlated to the protein

- 188 content of the polysaccharides present (Dufour, et al., 1999).
- 189 Furthermore, Andrés-Lacueva et al. and Cilindre et al. discussed how wine variety and ageing can affect foaming 190 properties (Andrés-Lacueva, et al., 1997; Cilindre, et al., 2010). The CO₂ content of a sparkling wine is a crucial parameter influencing effervescence, and Cilindre et al. discovered a significant loss of the CO₂ content during 191 192 ageing, supporting the observation of poorer foaming properties in older sparkling wines. Cilindre et al. also 193 suggested that the presence of colloidal material (namely grape derived proteins) is essential in producing 194 satisfactory foam formation and stability. Andrés-Lacueva et al. noted that the best Chardonnay foams contain 195 higher quantities of total and neutral polysaccharides, as well as soluble proteins and polyphenols. This finding 196 was attributed to the presence of yeast glycoproteins (MP) and other components deriving from yeast autolysis, a 197 fundamental process in the elaboration of bottle-fermented sparkling wines. Vincenzi et al. supported these 198 findings reporting that high molecular weight yeast glycoproteins (MP) gave the highest "foamability", yet grape 199 derived glycoproteins and proteins did not have an impact on the foam (Kemp, Alexandre, Robillard, & Marchal, 200 2015; Vincenzi, et al., 2014). However, Vincenzi et al. did claim that there were cooperation effects present

201 between the grape and yeast derived glycoproteins with regards to the "foamability" when combined in the model 202 systems. Martínez-Lapuente et al. reported that anthocyanins and amino acids contributed positively towards 203 foams height and stability parameters, while tannins contributed in a negative manner (Martínez-Lapuente, et al., 204 2015). MP and grape derived polysaccharides were identified as poor foam formers but possessed good foam 205 stabilising properties. Furthermore, all polysaccharide fragments had positive influences on foam stabilisation, 206 but grape derived polysaccharides gave a greater correlation coefficient than yeast derived polysaccharides 207 (Martínez-Lapuente, et al., 2015), contradicting findings from Vincenzi et al. (Vincenzi, et al., 2014). Overall 208 Martínez-Lapuente et al. claimed that the role of polysaccharides in foam stabilisation was attributable to the 209 protein moieties of the glycoproteins, which when present at the gas/liquid interface could interact with other 210 species. It was argued that these interactions (a mix of electrostatic, hydrogen and covalent bonding) could lead 211 to the formation of a strong visoelastic film highly resistant to tension, thus preventing the coalescence of bubbles 212 and improving foam stability (Blasco, et al., 2011; Martínez-Lapuente, et al., 2015). However, more research 213 needs to be conducted to further support these observations.

214 Recently, Apolinar-Valiente et al. demonstrated that Acacia gum additions improved the foamability of sparkling 215 base wines treated with bentonite (Apolinar-Valiente, Salmon, et al., 2021; Apolinar-Valiente, et al., 2020). 216 Bentonite, a wine fining agent used to prevent protein haze formation, flocculates wine proteins via electrostatic 217 interactions, consequently causing diminished foaming properties of a wine (Cosme, Fernandes, Ribeiro, Filipe-218 Ribeiro, & Nunes, 2020; Dambrouck, Marchal, Cilindre, Parmentier, & Jeandet, 2005; R. Marchal, Chaboche, 219 Douillard, & Jeandet, 2002; Van Sluyter, et al., 2015). However, additions of Acacia gum can achieve partial 220 foam recovery from this process and, unsurprisingly the foamability recovery was dependent not only on the gum 221 fractions, but also the wine composition. The increased foamability reported by Apolinar-Valiente et al. was 222 suggested to be facilitated by possible hydrophobic interactions between PRAG and Acacia gum fractions, as the 223 foaming parameters of a wine are highly dependent on the charge characteristics and structural properties of the 224 macromolecules involved (Martínez-Lapuente, et al., 2015). Additional information on sparkling wines is covered 225 in comprehensive reviews published by Kemp et al. which identifies the many factors involved at the different 226 stages of bottle-fermented sparkling wines, the chemical components and mechanisms behind foam formation and 227 stabilisation, discussing how these factors and components can influence overall quality (Kemp, et al., 2015; 228 Kemp, et al., 2019).

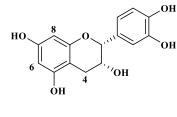
229 3.3 Interactions of polysaccharides with proanthocyanidins

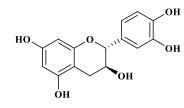
230 Tannins, or proanthocyanidins, are highly reactive, amphipathic polyphenol compounds characterised as either condensed or hydrolysable. Tannins can be considered quality indicators among red wines, critical for establishing 231 232 several sensory attributes. They play a vital role in pigmentation and are present in the vegetative tissue of the 233 grapevine and many other plant species (Smith, McRae, & Bindon, 2015). Anthocyanins, a sub-group of 234 flavonoids, are phytochemicals present in grape berries responsible for the colour of grapes and thus wines. 235 Tannins are known to interact with anthocyanins to stabilise and enhance the colour of a finished wine (Bautista-236 Ortín, Fernández-Fernández, López-Roca, & Gómez-Plaza, 2007; Cheynier, et al., 2006; Springer, Sherwood, & 237 Sacks, 2016), a topic discussed in detail by Freitas et al. (Freitas, Fernandes, Oliveira, Teixeira, & Mateus, 2017). 238 Tannins are located in the skin and seeds, (in particular in the vacuoles of the cells) of grape berries and during 239 vinification, like polysaccharides, these polyphenols can be extracted and are present in the final wine (Bindon,

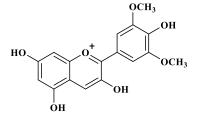
240 Li, Kassara, & Smith, 2016; Bourvellec & Renard, 2012; Le Bourvellec, Guyot, & Renard, 2009; Renard,

241 Watrelot, & Le Bourvellec, 2017; Ruiz-Garcia, Smith, & Bindon, 2014; Smith, et al., 2015; Watrelot, Le Bourvellec, Imberty, & Renard, 2014). Tannins are almost-always present in higher concentrations in red wines 242 243 than in white wines, a consequence of the extensive maceration step during red winemaking (Ducasse, et al., 2010; 244 Smith, et al., 2015; Unterkofler, et al., 2020). Many reactions occur throughout different stages of vinification that 245 contribute to the evolution of tannins and increased complexity of the finished wine (Smith, et al., 2015). Tannins 246 are key contributors to the ageing potential and structure of wine, taste, colour, and mouthfeel (e.g. astringency, 247 hotness) (Gawel, Smith, Cicerale, & Keast, 2018) of the beverage, all important organoleptic characteristic of red 248 wines (García-Estévez, et al., 2017; Riou, Vernhet, Doco, & Moutounet, 2002; Smith, et al., 2015; Vidal, et al., 2004; Watrelot, Schulz, & Kennedy, 2017). An important intrinsic property of tannins is their ability to self-249 250 aggregate to form a polymeric structure, which in grapes is often more uniform, however in wine, these polymeric 251 tannin structures possess greater chemical complexity, as highlighted in Figure 3. Monomers such as (-)-252 epicatechin and (+)-catechin are linked by C4-C8 or C4-C6 interflavan linkages, with mean degrees of 253 polymerisation (mDP) reported to be 18 in grape seeds and 28 in skins (Pinelo, Arnous, & Meyer, 2006; Riou, et 254 al., 2002). Tannins have been reported to exhibit several health benefits in humans (Rauf, et al., 2019), acting as 255 antioxidants (Koleckar, et al., 2008) and anticarcinogens (Cai, et al., 2017). Further information and discussion 256 focussed on red wine tannins and the analytical techniques used to characterise and quantify them can be found 257 in the comprehensive reviews published by Smith et al. (Smith, et al., 2015), Zhu (Fan Zhu, 2018), Hanlin et al.

(Hanlin, Hrmova, Harbertson, & Downey, 2010) and Le Bourvellec *et al.* (Bourvellec, et al., 2012).



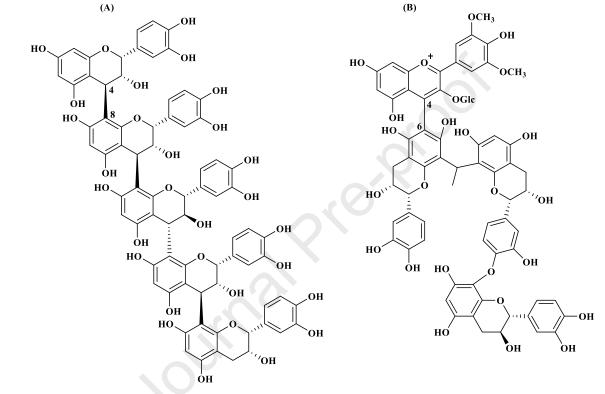




(-)-Epicatechin

(+)-Catechin





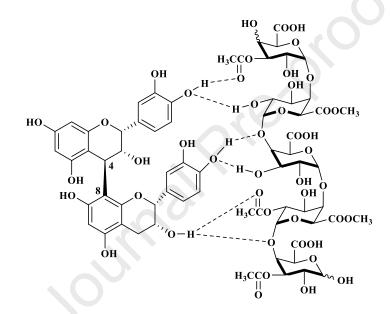
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Figure 3. Grape seed and skin tannins undergo structural evolution during the winemaking and ageing processes. This figure illustrates an example of a more uniform, <u>grape derived tannin (A)</u> structure showing C4-C8 linkages, in comparison to a more complex <u>wine tannin</u> (B) structure showing the presence of C4-C6 linkages, with an example of some respective monomers above (Hanlin, et al., 2010; Smith, et al., 2015).

264 The colloidal behaviour of wine components is an important concept for oenology and studying wine colloids is 265 a challenging task often due to their innate instability (Marassi, et al., 2021). Polysaccharides have been reported to interact with tannins and can act as "protective colloids" (Pellerin & Cabanis, 1998; Waters, et al., 1994a, 266 267 1994b). Riou et al. performed a study to explore this by investigating the influence of wine polysaccharides on 268 tannin aggregation using several polysaccharides fractions (AGP acidic and neutral, mRG-II, dRG-II and MP) 269 purified from red wines (Riou, et al., 2002). Dynamic light scattering (DLS) analysis showed that procyanidins 270 (condensed tannins) do spontaneously aggregate into colloidal-sized particulates in the presence of tannins at 271 concentrations consistent with those commonly found in red wines. This finding was in agreement with Saucier 272 et al. who identified polymeric tannin colloids of 400 nm diameter in model systems (Saucier, Bourgeois, Vitry, 273 Roux, & Glories, 1997). Following the addition of the purified wine polysaccharides, Riou et al. discovered that 274 none of the fractions (AGP acidic and neutral, mRG-II, dRG-II or MP) prevented initial tannin aggregation, but

275 some did influence the particle size evolution. It was identified that mRG-II and neutral AGP fractions had no 276 impact on tannin aggregation, even at increased concentrations of tannins; however, acidic AGP and MP notably 277 inhibited particle size growth. Interestingly, Riou et al. concluded that dRG-II strongly enhanced tannin 278 aggregation, suggesting a co-aggregation between the dimer of RG-II and tannins. An example illustration of 279 tannin interactions (only hydrogen bonding is shown) with an acidic polysaccharide fraction is presented in Figure 280 4. As mentioned earlier, acidic AGP and MP inhibited the further growth of colloidal tannin aggregates, whereby 281 two hypotheses are proposed to explain this phenomenon. The first was the competition of polysaccharides with 282 other polyphenol compounds interfering with further tannin aggregation, and the second was the adsorption of 283 polysaccharides onto particles formed by tannins, which would prevent further particle evolution (Marassi, et al., 284 2021; Riou, et al., 2002). Similar hypotheses have also been used to explain the potential interactions of 285 polysaccharides in tannin-protein association (Brandão, Fernandes, et al., 2020; Brandão, et al., 2017; Brandão,

286 Silva, et al., 2020; Ruiz-Garcia, et al., 2014; Watrelot, et al., 2017).



287

Figure 4. An example of the proposed hydrogen bonding that exists between the galacturonic acid backbone of pectic polysaccharides
 and monomeric units of the tannin structure (Hanlin, et al., 2010).

290 Work by Mateus et al. supported this hypothesis through highlighting the potential colloidal formation system in 291 model wine that was assessed by nephelometry using BSA, grape seed tannins and carbohydrates (Mateus, et al., 292 2004). Recently, Marassi et al. confirmed this hypothesis by analysing, for the first time, colloids in their native 293 state in real red wines using a specifically developed asymmetrical flow-field flow fractionation (AF4)-294 multidetection and fractionation method. In this way, the authors showed that polysaccharides are always present 295 in the tannin colloidal particles found in real wine samples and demonstrated that polysaccharides bind to tannin 296 and protein aggregates, "coating" these particles to form a stable colloid that would otherwise precipitate (Marassi, 297 et al., 2021).

Poncet-Legrand *et al.* investigated how the molecular weight of MP could influence their interactions with tannin
aggregates (Poncet-Legrand, Doco, Williams, & Vernhet, 2007). It was shown that at typical wine conditions the
low to medium molecular weight species (50 kDa) acted as more efficient stabilising agents through steric

301 stabilisation, with high molecular weight species imparting no influence. These polysaccharides were said to act

302 as stabilising agents by preventing flocculation of tannin aggregates.

303 Investigations by Watrelot et al. utilising alternative techniques such as isothermal titration calorimetry (ITC) and 304 absorption analysis, examined the interactions between pectic polysaccharides and procyanidins at two different 305 degrees of polymerisation (DP), DP9 and DP30 (Watrelot, Le Bourvellec, Imberty, & Renard, 2013; Watrelot, et 306 al., 2014). The associations between pectic polysaccharides and procyanidins are known to be a combination of 307 hydrophobic interactions and hydrogen bonding (Smith et al., 2015; Watrelot et al., 2014), with the hairy regions, 308 a term used to describe the highly branched polysaccharides of pectin (RG-II and AGP), interacting preferentially 309 with highly polymerised tannins (DP30). No differences were observed between association constants for lower 310 degrees of polymerisation (DP9) (Watrelot, et al., 2014). Watrelot et al. observed no interactions between mRG-311 II or dRG-II at DP9 with only very weak interactions confirmed at DP30, concluding that RG-II does not bind 312 tannins efficiently. Furthermore, Watrelot et al. observed obvious aggregation of protein-rich neutral AGP with 313 procyanidins at DP30, contradicting findings outlined by Riou et al. (Riou, et al., 2002). The discrepancy between 314 these results could be attributed to the medium in which Riou et al. conducted their experiments. The ionic strength 315 of the medium could drastically influence the results obtained as high ionic strength is known to induce the self-316 aggregation of tannins and also limit the aggregation potential of neutral AGP (Watrelot, et al., 2014). Overall 317 Watrelot et al. concluded that interactions between pectic polysaccharides and tannins do exist and increase with 318 greater degrees of polymerisation. These interactions are also dependent on the physico-chemical properties of 319 the neutral sugars side chains of pectins, with a greater association observed between arabinans and 320 arabinogalactans than with rhamnogalacturonans (Watrelot, et al., 2013, 2014). Corroborating these findings, 321 Thongkaew et al. reported that affinity of tannins for polysaccharides increased as the tannin DP increased, 322 identifying that polysaccharides must have the appropriate properties to efficiently undergo complexation with 323 tannins (Thongkaew, Gibis, Hinrichs, & Weiss, 2014). Using transmission electron microscopy techniques, 324 Mamet et al. noticed that the addition of pectic polysaccharides to solutions of tannin aggregates (DP5 and DP26) 325 decreased the average particle size of the aggregate, indicating that pectic polysaccharides can hinder the self-326 aggregation of tannins. This highlighted that the strength of these interactions was dependent on the structural 327 characteristics of the polysaccharides, but the complex formation between the two species was governed by 328 hydrophobic interactions and hydrogen bonding (Mamet, Ge, Zhang, & Li, 2018).

329 *3.3.1 Tannin interactions with grape cell walls and cell wall polysaccharides.*

330 The interaction of tannins with cell wall components has important oenological implications regarding 331 extractability of grape derived compounds. Le Bourvellec et al. discovered that the presence of tannins in the cell 332 wall limited the extractability of polysaccharides and that the presence of methylated galacturonans within the 333 pectic polysaccharide network further enhanced the binding of tannins (Le Bourvellec, et al., 2009). Furthermore, 334 Le Bourvellec et al. claimed that modifications to the protein content of the cell wall had no impact on the 335 adsorption of tannins, thus suggesting that it is the polysaccharides that play a crucial role in the mediation of 336 tannin-cell wall interactions (Bourvellec, et al., 2012). Ethanol has been shown to interfere with tannin-cell wall 337 interactions through a decrease in solvent polarity in an aqueous environment and thus, leading to disruptions in 338 hydrophobic interactions (Hanlin, et al., 2010; Le Bourvellec, Guyot, & Renard, 2004). This suggests that 339 interactions between tannins and cell walls are less pronounced in a wine medium than they are in an aqueous 340 medium.

341 Ruiz-Garcia et al. studied the influence of selective polysaccharide extraction on the binding properties of tannins

342 with the cell wall, identifying that the bulk of cell wall-tannins are bound to a relatively minor component of the 343 cell wall (Ruiz-Garcia, et al., 2014). Hemicellulose fractions were found to have a high intrinsic binding capacity 344 for tannins, with cellulose and lignin by weight having the lowest, which could be explained by the difference in 345 composition and structures of the polysaccharides, as alluded to earlier with findings from Watrelot et al. (Ruiz-346 Garcia, et al., 2014; Watrelot, et al., 2013, 2014). The selective removal of galacturonan-rich pectic 347 polysaccharides from the cell wall resulted in the greatest reduction in tannin-cell wall binding. This demonstrated 348 a higher propensity of tannins to associate with galacturonic acid-rich components of the cell wall, suggesting a 349 strong relationship between the pectic cell wall composition and tannin-cell wall affinity, which is supported by 350 other research (Bindon, et al., 2016; Bourvellec, et al., 2012; Le Bourvellec, et al., 2009; Watrelot, et al., 2014). 351 It has also been identified that grape skin ripeness can influence the adsorption of tannins to cell wall components 352 (Renard, et al., 2017). Ruiz-Garcia et al. proposed that all cell wall fractions displayed a preference for the highest 353 molecular weight tannins, suggesting there is selectivity with regards to tannin binding; polysaccharides exhibit 354 greater affinities for tannins that are of a greater degree of polymerisation (Renard, et al., 2017; Ruiz-Garcia, et 355 al., 2014; Watrelot, et al., 2013, 2014). These findings are important as they identify a possible limiting step for 356 the solubility and extraction of polysaccharides and tannins, thus implying that the cell wall structure could be 357 modified to alter the extractability of these compounds with techniques that manipulate pectin and hemicellulose 358 having the biggest influence on extractions. This could allow for strategic implementations during the commercial 359 operations of winemaking to optimise tannin extraction based on the desired outcome of the final wine (Ruiz-360 Garcia, et al., 2014). Interestingly, the use of insoluble cell wall polysaccharides as endogenous fining agent as a 361 potential replacement for animal and plant based fining agents are also being investigated (Marangon, Vincenzi, 362 & Curioni, 2019).

363 3.3.2 Inactive yeast additions as a source of polysaccharides; their interactions, and their implications.
364 In recent years, a large variety of commercial inactivated, dry yeast products have been gaining popularity in
365 enology, primarily as an additional source of polysaccharides, in the form of mannoproteins. These
366 polysaccharides are normally released from active yeast material during fermentation or during ageing on lees
367 (Jones-Moore, et al., 2021). However, their release from lees is often slow, thus alternatives are being studied
368 with the intention of producing wines with similar characteristics to those aged on lees (Del Barrio-Galán, Pérez369 Magariño, Ortega-Heras, Guadalupe, & Ayestarán, 2012).

370 These additives can increase the polysaccharide content of wine, improve mouthfeel and have positive 371 implications on wine colour, and foamability (Del Barrio-Galán, Pérez-Magariño, Ortega-Heras, Williams, & 372 Doco, 2011; González-Royo, et al., 2013; González-Royo, et al., 2017; Mekoue Nguela, Vernhet, Sieczkowski, 373 & Brillouet, 2015). González-Royo et al. trialled the use of yeast strains with a greater capacity for releasing polysaccharides and supplementation with inactive yeast derivatives to favour the release of polysaccharides. 374 375 They identified a 32% increase in polysaccharides within wines fermented using a 'high polysaccharide-releasing' 376 yeast strain and up to a 20% increase in polysaccharides in wines supplemented with inactive yeasts. The treated 377 wines were also less bitter than the controls (González-Royo, et al., 2013).

378 Del Barrio-Galán et al. investigated the polysaccharide content of some commercial, inactive yeast derivatives

and their influence on Verdejo and Tempranillo wines, noting that their content and composition was dependent

380 on the manufacturing process and the purity of the product (Del Barrio-Galán, et al., 2011). Supplemented red

- wines had reduced 'green' tannins, increased 'palate softness', and stabilised colour, more notably when additives
 with a higher release of neutral polysaccharides were employed (Del Barrio-Galán, et al., 2012).
- 383 To corroborate findings from González-Royo et al., all wines with yeast additions exhibited a statistically 384 significant increase in the concentration of total and neutral polysaccharides (Del Barrio-Galán, et al., 2012; Del 385 Barrio-Galán, et al., 2011). However, during ageing, all treated wines exhibited a notable decrease in the 386 abundance of these polysaccharides; a decrease that could be attributed to their interaction and complexation with 387 other compounds to form unstable, colloidal species that could precipitate (Del Barrio-Galán, et al., 2011; 388 Guadalupe, et al., 2007; Guadalupe & Ayestarán, 2008). Furthermore, Del Barrio-Galán et al. observed that yeast 389 lees and other yeast derivatives can also adsorb phenolic compounds, consequently reducing their concentration 390 in the final wine in comparison to controls. This reduction was dependent on the treatment, the phenolic 391 compound, and the stage of vinification or ageing process. Moreover, their results suggested that interaction and 392 adsorption does not occur immediately after supplementation but is time dependent (Del Barrio-Galán, et al.,
- **393** 2011).
- Interestingly, Pérez-Magariño *et al.* did not observe any differences in the content of phenolic compounds in sparkling Verdejo and Godello wines treated with inactive yeast derivatives (Pérez-Magariño, et al., 2015). However, the addition of yeast derivatives with the highest mannoprotein content and greatest purity significantly modified the aroma composition, maintaining higher concentrations of terpenes, whilst enhancing the fruity
- aromas of both wines.
- 399 As mentioned in section 3.3.1, grape tannins have a very strong affinity for grape cell wall polysaccharides. 400 Research from Mekoue Nguela et al. identified a very strong affinity and high adsorption potential for grape seed 401 and skin tannins towards yeast cells (Mekoue Nguela, Sieczkowski, Roi, & Vernhet, 2015). Yet interestingly, they 402 identified that the chemical evolution of grape tannins to wine tannins during winemaking and ageing (Figure 3) 403 influenced the affinity and adsorption of these compounds to yeast products, with grape tannins having a higher 404 affinity towards yeast derivatives than wine tannins (Mekoue Nguela, Sieczkowski, et al., 2015). More 405 specifically, yeast 'whole cell' products had a greater capacity for irreversible adsorptions of grape and wine 406 tannins in comparison to yeast 'cell wall' products only (Mekoue Nguela, Vernhet, et al., 2015). This reiterates 407 that the type of yeast derivative treatment is important for determining the release of polysaccharides and the 408 respective adsorption properties of the yeast derivative.

409 3.4 Protein interactions with polysaccharides & tannins

Proteins are generally present in relatively low concentrations in wine and provide little nutritional value in the finished beverage. However, they have crucial technological and economic importance in winemaking, influencing aroma, foam properties and the overall the stability and clarity of a wine. Previous sections established that grape and yeast derived glycoproteins play an important role in the aroma volatility, and the foaming properties of sparkling wine beverages. This section will detail the role of endogenous and exogenous proteins and their interactions and implications during winemaking procedures.

416 *3.4.1 Endogenous proteins role in haze, precipitation, and sediment formation: Colloidal importance*

- 417 Tannins, by definition are protein binding agents, can act as multi-dentate ligands to bridge proteins or complexes
- to create aggregates (Carvalho, et al., 2006) and can be responsible for unwanted haze formation. Tannins have
- 419 been identified to interact with endogenous wine proteins. Bindon *et al.* highlighted a potential mechanism for the

loss of grape-extracted tannins from wine during winemaking, concluding that up to 50% of grape-extracted 420 421 tannins could be lost as a result of complexation and precipitation with grape soluble proteins (Bindon, et al., 422 2016). The clarity of a finished wine is an important property that contributes to its overall success (Waters, et al., 423 1994a, 1994b), however, naturally occurring grape derived proteins, in particular pathogenesis-related (PR) 424 proteins such as thaumatin-like proteins (TLP) and chitinases (Gazzola, et al., 2012), can become unstable over 425 time and begin to aggregate and precipitate to form unwanted sediments or haze (Van Sluyter, et al., 2015; Waters, 426 et al., 1994a). PR protein concentrations are generally low in healthy plants, however along with other 427 hydroxyproline-rich glycoproteins (e.g. extensin and AGP), these molecules are involved in the plants primary 428 defence mechanism and can spike in concentration in response to stress-related events such as wounding or 429 pathogenic attack (Ferreira, Piçarra-Pereira, Monteiro, Loureiro, & Teixeira, 2001; Nunan, Sims, Bacic, 430 Robinson, & Fincher, 1998; Ribeiro, et al., 2006; Van Sluyter, et al., 2015; Waters, et al., 2005). Therefore, 431 harvesting and maceration treatments during early winemaking steps could increase the release of these proteins, 432 increasing their presence in grapes. This causes complications as these proteins are resistant to the low pH of wine 433 and proteolysis, and consequently are able to survive winemaking processes (Ferreira, et al., 2001).

434 Protein-induced tannin precipitation can be detrimental to the success of a finished wine as red wines with low

- 435 tannin concentrations, due to precipitation, are correlated with lower bottle prices and poorer consumer ratings 436 due to impaired mouthfeel associated with low astringency (Springer & Sacks, 2014). Springer et al. discovered 437 that an American interspecific hybrid (Vitis spp.) yielded a wine with lower tannin concentrations than European 438 wine varieties (Vitis vinifera) (Springer, et al., 2014) and later, Springer et al. observed that these hybrids 439 contained a high content of PR proteins (Springer, et al., 2016). It was concluded that the elevated contents of PR 440 proteins react with tannins causing aggregation, leading to precipitation and thus poor tannin retention in the final 441 wine. Springer et al. suggested that exogenous additions of condensed tannins (CT) could remedy this issue and 442 during their investigations, it was demonstrated that retention of exogenous CT added to a finished wine was 443 inversely correlated with the concentration of wine protein (Springer, et al., 2016).
- 444 During the 1990s, Waters et al. reported the identification and characterisation of a wine AGP (Waters, et al., 445 1994b) and a yeast MP (Waters, et al., 1994a) that were able to alter the colloidal state of a wine. Waters et al. 446 provided evidence suggesting that the AGP reduced heat-induced protein haze and the yeast MP acted as a "protective colloid", thereby reducing protein haze spoilage by decreasing haze particle size. These glycoproteins 447 448 offered a potential alternative to some fining techniques and provided a role for yeast MP in the wine medium 449 (Waters, et al., 1994a). However, more recently there have been discrepancies and contradictions in the literature 450 involving the role of polysaccharides as protective colloids. Research from Moine-Ledoux et al. and Brown et al. 451 supported the role of MP as protective colloids, reporting improvements in protein stability in the presence of MP 452 (Moine-Ledoux & Dubourdieu, 1999). Brown et al. concluded that the overexpression of specific genes coding 453 for haze protective MP from different yeast strains resulted in greater haze protective activity (Brown & Stockdale, 454 2007). However, research by Mesquita et al. (Mesquita, et al., 2001) reported that wine polysaccharides adversely 455 affected haze formation through increasing protein instability. More recently, Gazzola et al. compared several 456 types of wine proteins and their ability to form hazes and concluded that chitinases, a class of wine protein 457 identified to be the most susceptible to aggregation, formed the largest haze particulates as determined by scanning 458 ion occlusion sensing (SIOS). Colloidal properties were not significantly altered by the presence of wine 459 polysaccharides or polyphenols (Gazzola, et al., 2012). Furthermore, TLP showed large variability in aggregative

- and colloidal behaviour, with some isoforms of TLP increasing in particle size in the presence of polysaccharides
- and polyphenols, while others reduced in size in their presence (Gazzola, et al., 2012). Additionally, Gazzola *et al.* argued that isoforms of the same protein can have different intrinsic haze potentials, a finding later corroborated
- 463 by Marangon *et al.* (Marangon, Sluyter, Waters, & Menz, 2014). This potentially explains why some wines such
- 464 as Sauvignon blanc, are reported to be more susceptible to protein haze than others like Chardonnay, resulting in
- 465 conflicting ideas between haze formations and the ability of other compounds to modulation their aggregation and
- 466 haze potential (Gazzola, et al., 2012). Overall, the type of protein was a more important factor governing the haze
- 467 potential than the presence of other components in the medium, such as polysaccharides.
- Addition of exogenous AGP from Acacia *senegal* gum can been utilised as a protective colloid, primarily to prevent the precipitation of pigmented compounds in red wine. Nigen *et al.* investigated the role of AGP in this colloidal stability, discovering that the protective activity was dependent on the protein content of AGP and the accessibility of polypeptide backbone; the higher the protein content and the more accessible the polypeptide
- 472 backbone, the more efficient the colloidal stability (Nigen, et al., 2019).
- 473 With the reported benefits of polysaccharides in wine, in particular MP, Guadalupe et al. performed a study which 474 examined the potential compositional effects of the addition of an exogenous, commercial MP on Tempranillo 475 wines (Guadalupe, et al., 2008). They observed that the added MP had no influence on the content of grape derived 476 polysaccharides, but more importantly reported a decrease in high molecular weight MP during vinification, 477 coinciding with a decreased tannin content. This suggested a co-aggregation and precipitation of MP with tannins; 478 Guadalupe et al. concluded that MP did not act as stabilising colloids under the conditions studied. The colloidal 479 properties and stability of wine is currently a popular research topic, knowledge involving the underlying 480 mechanisms is scarce, however it is widely agreed that polysaccharides have a major role in this process. More 481 research is required to understand the extent to which they are involved.

482 *3.4.2 Interactions involving exogenous proteins: Fining agents*

- 483 The sediment and haze associated with wine protein and tannin aggregations can be removed by the addition of 484 exogenous fining agents during the clarification processes of winemaking. These techniques provide clarity, and 485 stability to a wine, modifying its organoleptic characteristics, however, some of these techniques can be costly, 486 and prove detrimental to wine quality (Ferreira, et al., 2001).
- 487 Fining agents are generally proteinaceous products, utilising the advantageous, intrinsic protein-binding properties 488 of tannins for these procedures. Egg albumin, milk caseinates and fish gelatine are common organic fining agents, 489 but some inorganic agents such as bentonite, carbon and polyvinylpolypyrrolidone (PVPP) are also widely used. 490 Peñas et al. discusses common wine fining agents and the implications arising from their use in their review 491 (Peñas, Di Lorenzo, Uberti, & Restani, 2015). Allergenic repercussions associated with the use of animal proteins 492 as fining agents for some consumers are a concern even if trace amounts of material are carried through 493 vinification. The use plant proteins during wine fining has gained attention in recent years as a more allergenic 494 and vegan-friendly option for winemakers. Thus, wine fining using plant proteins has become more popular and
- 495 its adoption and implications are discussed extensively by Marangon *et al.* (Marangon, et al., 2019). Other
- 496 literature exploring wine haze and clarification has been published by Mierczynska-Vasilev *et al.* (Mierczynska-
- 497 Vasilev & Smith, 2015), Van Sluyter *et al.* (Van Sluyter, et al., 2015) and Cosme *et al.* (Cosme, et al., 2020). In
- 498 their recent publications, Sommer *et al.* and Marassi *et al.* investigated wine colloidal stability confirming that
- 499 wine polysaccharides do indeed play a major role in this aspect (Marassi, et al., 2021; Sommer, et al., 2019). Wine

500 polysaccharides could have negative implications for the effectiveness of wine fining treatments, as one could 501 question whether fining agents can function effectively when the tannins they target are buried in a colloid in wine 502 polysaccharides (Marassi, et al., 2021; Van Sluyter, et al., 2015); an important concept that should be explored 503 further.

504 3.4.3 Interactions involving exogenous proteins: Salivary proteins

505 It has been identified that tannins can interact and form complexes with salivary proteins (McRae & Kennedy, 506 2011), which has important implications involving the organoleptic properties of the beverage (García-Estévez, 507 et al., 2017; Gombau, et al., 2019; Gyémánt, et al., 2009; Ployon, et al., 2018; Alba María Ramos-Pineda, et al., 508 2017; A. M. Ramos-Pineda, et al., 2019; Sarni-Manchado, Chevnier, & Moutounet, 1999; Susana Soares, et al., 509 2011; Vidal, et al., 2004; Watrelot, et al., 2017). Much research has been performed to highlight and understand 510 the influence of wine polysaccharides on this tannin-protein complex (Brandão, Fernandes, et al., 2020; Brandão, 511 et al., 2017; Brandão, Silva, et al., 2020; Chong, Cleary, Dokoozlian, Ford, & Fincher, 2019; García-Estévez, et 512 al., 2017; Lei, et al., 2019; Mateus, et al., 2004; Quijada-Morín, Williams, Rivas-Gonzalo, Doco, & Escribano-513 Bailón, 2014; Vidal, et al., 2004; Watrelot, et al., 2017). Soares et al. summarises the techniques used in the 514 literature to analyse these interactions (Susana Soares, et al., 2020). The ability of wine polysaccharides to 515 influence tannin-protein interactions was recently examined by Watrelot et al. using high performance liquid 516 chromatography (HPLC) and ultraviolet-visible spectroscopy (UV-Vis) techniques to quantify the degree of 517 protein precipitation, which they termed "tannin-activity" (Watrelot, et al., 2017). The chemical profiles of several 518 Cabernet Sauvignon (CS) and Pinot noir (PN) wines from different regions were analysed, noting that CS wine 519 tannins had a larger DP than the PN wines. CS wines also had a higher tannin-activity than PN wines, which 520 follows the trend reported in earlier literature whereby tannins with increasing DP resulted in greater tannin 521 affinity towards polysaccharides (Watrelot, et al., 2014; Watrelot, et al., 2017). Overall, the interactive ability 522 (tannin-activity) of tannins towards a hydrophobic surface was not altered significantly following the addition of 523 wine polysaccharides (Watrelot, et al., 2017). This statement supported their hypothesis that tannin-524 polysaccharide interaction was of non-covalent nature and could be disrupted by HPLC conditions, a finding also 525 corroborated by Marassi et al. (Marassi, et al., 2021). However, despite reporting no significant differences, most 526 interactions that did occur, did so when the polysaccharide concentrations were double that of the tannins, and 527 further concluded that tannins had a greater affinity to bind with proteins compared to self-aggregation (Watrelot, 528 et al., 2017).

529 Recently, more advanced techniques such as synchrotron radiation circular dichroism (SCRD) (Di Gaspero, et al., 530 2020), saturation transfer difference-NMR (STD-NMR) (Brandão, et al., 2017; García-Estévez, et al., 2017) and 531 MALDI-TOF (García-Estévez, et al., 2017) have been utilised to examine protein-polyphenol interactions and 532 any influences polysaccharides have on these interactions. Anthocyanins are a key family of polyphenols present 533 in red wine and García-Estévez et-al. hypothesised whether pyranoanthocyanins, the pigment compounds 534 responsible for the colours in red wine, could influence other organoleptic characteristics such as flavour or 535 astringency (García-Estévez, et al., 2017). García-Estévez et al. investigated the interactions between the red wine 536 pyranoanthocyanins, pyranomalvidin-3-glucoside (vitisin B), pyranomalvidin-3-glucoside-catechol, and 537 pyranomalvidin-3-glucoside-epicatechin (Figure 5), and a family of acidic proline-rich salivary proteins (aPRP). 538 The aPRP are the most abundant PRP in human saliva and have been identified to be the most reactive towards

- tannins (García-Estévez, et al., 2017), thus investigations were performed to understand the nature of the interactions, the potential mechanisms with additional compounds and any important implications as result of
- 540 interactions, the

542

541 these events.

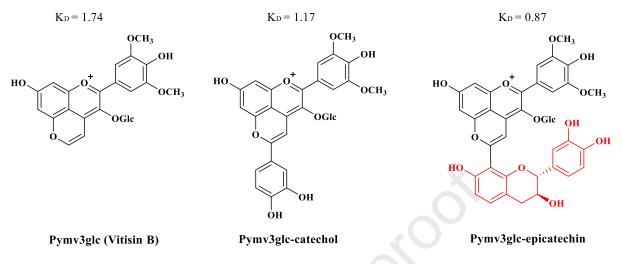


Figure 5. An illustration of the three polyphenols studied by Garcia Estevez *et al.* with their respective dissociation constants (K_D).
The lower the K_D, the greater the binding affinity for the given protein, thus Pymv3glc-epicatechin, containing a "tannin structural unit" (shown in red) bound with the greatest affinity. Pymv3glc = Pyranomalvidin-3-*O*-Glucoside (García-Estévez, et al., 2017).

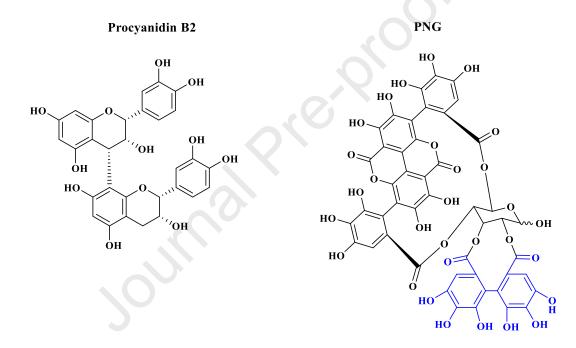
- 546 The interactions and complexes formed between aPRP and polyphenols were analysed using MALDI-TOF and 547 STD-NMR to determine the dissociation constants (K_D) based on the molecular weights and proton signals of the 548 complexes obtained. The higher the K_D value, the lower the binding affinity for aPRP. García-Estévez et al. 549 concluded that different polyphenol compounds form a different number of aggregates with aPRP, stating that the 550 presence of other moieties, such as the tannin "structural unit" monomer epicatechin (highlighted in red in Figure 551 5), resulted in a greater binding affinity with proteins, reflected by their K_D values. Pymv3glc-epicatechin showed 552 the greatest interaction with aPRP, concluding that the presence of "structural units" of tannins in other polyphenol 553 compounds, such as pyranoanthocyanins, could induce interactions with proteins, thus influencing the 554 organoleptic properties of the wine (García-Estévez, et al., 2017). These findings corroborated research from 555 Mamet et al. who identified that the presence of gallate moieties in highly polymerised tannins could enhance the 556 affinity of highly methylated pectic polysaccharides. Using UV-Vis techniques, Mamet et al. noticed that 557 increasing the degree of esterification (DE) of the polysaccharide and the DP of the tannin increased absorbance, 558 correlating to increased interactions between the two moieties (Mamet, et al., 2018).
- 559 Soares et al. highlighted that polysaccharides can have varying propensity for tannin-protein binding and interact 560 through different mechanisms of actions depending on their physico-chemical properties (Susana Soares, Mateus, & de Freitas, 2012). The deeper implications of these findings were investigated by Brandão et al. where possible 561 562 interactions of wine polysaccharides AGP and RG-II on salivary protein-tannin complexes were examined using 563 HPLC and STD-NMR (Brandão, et al., 2017). The two salivary proteins, aPRP and P-B peptide and the tannins 564 studied are depicted in Figure 6. Brandão et al. concluded that both polysaccharide fractions were successful at 565 inhibiting or reducing the aggregation or interaction of protein-polyphenol complexation, operating by two 566 different mechanisms dependent on the structural components of the complex (Brandão, et al., 2017). These 567 mechanisms can be competitive in nature (Riou, et al., 2002) or through the formation of a ternary complex

568 (protein-polyphenol-polysaccharide (Brandão, et al., 2017; Mateus, et al., 2004; Susana Soares, et al., 2012),

569 Figure 7 serves to illustrate these proposed mechanisms.

Polysaccharide interactions with the P-B peptide complex were thought to occur through a ternary mechanism, 570 571 whereby polysaccharides surround the complex, enhancing its solubility (Brandão, et al., 2017). With respect to 572 the aPRP complex, polysaccharide interactions were a combination of ternary and competitive interactions. 573 Furthermore, their chromatographic data confirmed that that RG-II was the most effective polysaccharide at 574 preventing aPRP precipitation for both tannins studied. However, for the P-B peptide, the ability of the 575 polysaccharide to interact with the complex was dependent on the tannin present, suggesting, as in agreement with 576 prior conclusions, that the structural components of both the tannin and the protein govern the interactive ability 577 the polysaccharides have towards the complex (Brandão, et al., 2017; García-Estévez, et al., 2017). It would be 578 safe to conclude that the physico-chemical components of the polysaccharide would further influence these

579 interactions (Riou, et al., 2002; Watrelot, et al., 2014).



580

Figure 6. The structures of the two polyphenol compounds studied by Brandão *et al.* (Brandão, et al., 2017). The blue highlights the
 hexahydroxydiphenoyl (HHDP) moiety, a constituent of some ellagitannins, a diverse type of hydrolysable tannins.

Further work by Brandão *et al.* (Brandão, Fernandes, et al., 2020; Brandão, Silva, et al., 2020) directed towards the specific interaction mechanism of wine polysaccharides confirmed that RG-II fractions exhibit inhibitory effects of protein-tannin complexation by the competitive mechanism. However, they also discovered that this RG-II interaction was absent in the presence of sodium ions in the wine matrix, suggesting that mineral ion content or even ionic strength of the matrix could be a factor in governing polysaccharide interactions (Watrelot, et al., 2014).

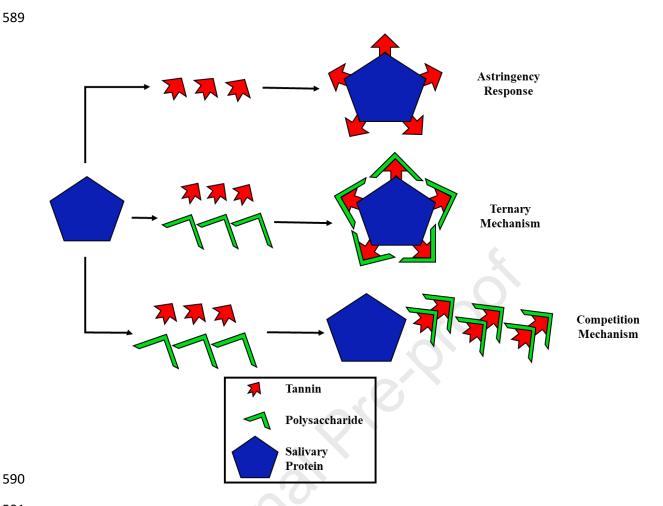


Figure 7. The proposed mechanisms for how polysaccharides interact with the protein-tannin complex to modulate the astringency
 response and perception. Adapted from Mateus *et al.* (Mateus, et al., 2004), de Freitas *et al.* (de Freitas & Mateus, 2012).

Brandão *et al.* concluded that AGP fractions interacted with protein complexes through both aforementioned mechanisms. However, these interactions were further dependent on the saliva sample, suggesting even further variability for possible interactions due to the intrinsic variations of genetic composition in humans (Brandão, Silva, et al., 2020). Arguably, this factor could result in discrepancies in the perceived intensities of organoleptic characteristic, such as taste or astringency between people. Overall, Brandão *et al.* concluded that the interactions between polysaccharides and tannin-proteins are predominately hydrophobic in nature (Brandão, Fernandes, et al., 2020).

- 600 Following on from this research, Lei et al. (Lei, et al., 2019) utilised an array of analytical techniques to investigate 601 the influence of wine polysaccharides on flavan-3-ols-BSA protein complexes. Lei et al. also wanted to 602 understand any potential structural and conformational changes in protein structure that occur because of 603 polysaccharide interaction. MP and RG-II were the major polysaccharides to alter the interactions of flavan-3-ols 604 with BSA and the interaction of ternary complexed structures was enhanced with increasing concentration of the 605 respective polysaccharide. When the DP of flavan-3-ols ranged between 5 and 7, the secondary structure of the 606 protein was changed from a predominantly α -helical structure to a less uniform, curled structure. Consequently, 607 protein precipitation increased (Lei, et al., 2019). These results are interesting and perhaps counter-intuitive, as
- 608 mentioned earlier, polysaccharides have been shown to preferentially bind and interact with polyphenols with a

high DP (Renard, et al., 2017; Ruiz-Garcia, et al., 2014; Watrelot, et al., 2017), thus a DP between 5 and 7 is
relatively low compared to other literature. However, the literature in question only focused on the binding of
polysaccharides with polyphenols and perhaps the protein moiety does facilitate polysaccharide binding.
Considering the results from Lei *et al.* were obtained using bovine serum albumin, it would be interesting to
discover if the chemical structure of human salivary proteins followed similar behaviour.

614 4.0 The role of polysaccharides in the modulation of astringency

- The interaction between salivary PRP and plant tannins within the oral cavity and their subsequent precipitation 615 616 influences the organoleptic perception of many food and beverages (Murray, Williamson, Lilley, & Haslam, 617 1994). Astringency is a tactile, trigeminal sensation defined as a drying, puckering and shrinking of the mouth epithelia within the oral cavity (Riou, et al., 2002; Vidal, et al., 2004), involving the activation of G-protein 618 619 coupled signalling of trigeminal ganglion neurons (Schöbel, et al., 2014), and is an important organoleptic 620 characteristic of alcoholic beverages such as wine and cider. Some classes of astringent agents include the salts 621 of multivalent metallic cations, dehydrating agents, and acids; however, the major classes include tannins and 622 other smaller polyphenolic compounds. The mechanism behind this sensation is not fully understood, and is 623 currently under investigation (Canon, et al., 2021), yet it is said to be attributed to the precipitation of salivary 624 proteins elicited by astringent agents, which reduce the lubrication and increase the friction within the oral cavity, 625 with many claiming to experience a "chalky" or rough sensation (Vidal, et al., 2004). However, it has been noted 626 that not all astringent agents interact and precipitate salivary proteins (Schwarz & Hofmann, 2008), as this event 627 is often related to the structure and physico-chemical nature of both the astringent and the salivary protein. 628
- Scollary *et al.* (Scollary, Pásti, Kállay, Blackman, & Clark, 2012), de Freitas *et al.* (de Freitas, et al., 2012) and
 more recently, García-Estévez *et al.* (*García-Estévez, María Ramos-Pineda, & Teresa Escribano-Bailón, 2018*)
- 630 have completed comprehensive reviews on the astringency response explaining the event in more detail. Figure 8
- 631 was adapted from this work to illustrate an example of the molecular interactions between polysaccharides and
- 632 protein-tannin complexes.

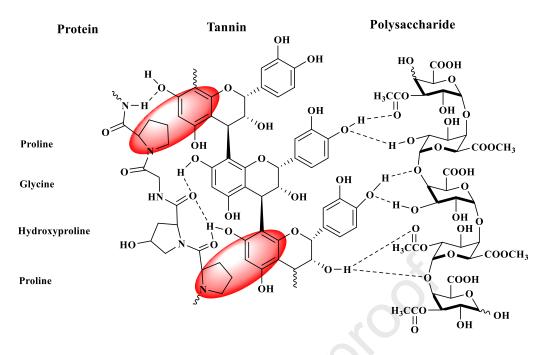


Figure 8. An example illustration of the proposed interactions between the polysaccharide-tannin-protein complex. Hydrogen bonding
is represented by the dashed lines and the red circles highlight the potential areas for hydrophobic interactions. Adapted from Scollary *et al. (Scollary, et al., 2012)* and de Freitas *et al. (de Freitas, et al., 2012)*. Note that hydrophobic interactions between tannins and
polysaccharides are hypothesised to exist but are not included in the diagram due to insufficient information.

638 Vidal et al. compared the astringent characteristics of unripe fruit to a young wine (Vidal, et al., 2004). During 639 maturation both entities experience a decrease in astringent characteristic (Ozawa, Lilley, & Haslam, 1987), with 640 older wines showing decreases in their astringency properties during ageing due to the transformation and 641 evolution of tannins to less bitter and less astringent species (Singleton & Noble, 1976; Vidal, et al., 2004). Vidal 642 et al. also investigated the role of certain components of a model wine and their impacts on the "mouth-feel". The 643 results from a panel of fifteen trained judges suggested that an increased tannin concentration correlated to an 644 increased astringency perception; however, this perception was reduced in the presence of RG-II. It was noted 645 that MP, AGP and RG-II significantly increased "mouth-fullness" and RG-II reduced the astringent perception. 646 Further observations included a decrease in the perception of dryness and chalkiness attributed to RG-II in the 647 absence of MP and AGP, yet this was not observed in its presence. Additionally, RG-II had no effect on bitterness 648 in the absence of MP, but enhanced it in its presence, suggesting that RG-II inhibited the reduction of bitterness 649 caused by MP (Vidal, et al., 2004).

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651 Several authors have highlighted rapidly growing interest in the development of instrument or cellular-based 652 analysis alternatives to the traditional assessment of astringency in food and beverage by trained, experienced 653 sensory panels (Mo, Chen, & Wang, 2019; Simoes Costa, Costa Sobral, Delgadillo, Cerdeira, & Rudnitskaya, 654 2015; Sónia Soares, et al., 2019). The main drawbacks of sensory panels are that they can be time-consuming, 655 expensive and subjective (Boulet, et al., 2016; Simoes Costa, et al., 2015). Several authors have recently attempted 656 to examine correlations between the sensory attributes of different grape varieties and their chemical composition 657 (Arapitsas, et al., 2020; Parpinello, et al., 2019; Piombino, et al., 2020). Piombino et al. investigated the diversity 658 of astringency of eleven different Italian cultivar wines to discover any correlations between 'in-mouth' sensory 659 properties and the chemical composition of the grapes. Patterns of astringent features were found to exist; however

660 it was noted that their correlations could not predict the perception of all astringency distinctions based on the

661 total phenols or tannins present in the wine (Piombino, et al., 2020).

- 662 Simoes Costa et al. investigated the astringency response using an electronic tongue, based on potentiometric and 663 voltammetric sensors, chemical parameters and Fourier transform infrared spectroscopy (FTIR) to quantify 664 phenolics in red, white, and rosé wine, and to measure the astringency perception. Simoes Costa et al. concluded 665 that astringency is a complicated phenomenon that cannot be defined only by the concentration of polyphenols
- 666 present in the wine, also identifying that different compounds are responsible for the astringency perception in
- 667 red, rosé and white wines (Simoes Costa, et al., 2015).
- 668 Boulet et al. built prediction models based on multilinear regression using UV-Vis and chemical analysis to 669 propose faster methods of identifying correlations between wine composition and astringency perception. Their 670 model illustrated that astringency was strongly correlated with tannin precipitation with BSA. The models 671 indicated a negative relationship between polysaccharides and astringency, and interestingly, a positive 672 relationship between oligosaccharides and astringency perception. Perhaps the smaller size of these entities could
- 673 be the reason behind these oligosaccharide results, essentially, they are not large enough to modulate astringency
- 674 by acting through any of the mechanisms highlighted in Figure 7. Boulet et al. also identified that RG-II reduced
- 675 astringency more efficiently in comparison to the total polysaccharides and PRAG groups, also noting that
- 676 polysaccharides can directly interact with proteins, which could further influence astringency perception (Boulet,
- 677 et al., 2016; de Kruif, Weinbreck, & de Vries, 2004).
- 678 Quijada-Morin et al. examined the relationship of the perceived astringency of red Tempranillo wines and their 679 polysaccharide content, attempting to identify any trends in their composition associated with this phenomenon 680 (Quijada-Morín, et al., 2014). Quijada-Morin et al. highlighted that all families (PRAG, RG-II and MP) positively 681 influenced astringency perception, with RG-II and MP having the strongest influence. This suggested that the 682 branched structures of these complex polysaccharides and the presence of unusual glycosidic linkages could be 683 related to the decreased astringency perception. Overall however, there was no clear trend associated with glycoysl 684 residues and astringency, with the role of oligosaccharide fractions in this perception remaining unclear (Apolinar-685 Valiente, Williams, & Doco, 2021). The glycosyl residues and oligosaccharide fractions are all found within the 686 polysaccharide structures present in the wine, yet they do not elicit a response, primarily because these fractions
- 687 are too small to encapsulate the colloidal protein-tannin complex associated with reduced astringency. Thus, the
- 688 ability of polysaccharides to soothe astringency primarily comes down to their size and tridimensionality, which
- 689 is especially true for MP and RG-II (Quijada-Morín, et al., 2014).
- 690 Manjón et al. studied the molecular mechanisms by which MP may modulate the astringency perception elicited 691 by tannins. Manjón et al. experimented with three different commercial yeast MP, with varying protein and
- 692 saccharide composition, to identify possible links between MP composition and the mechanism of action
- 693
- modulating astringency; however, no definitive correlation could be established. All three MP reduced astringency
- 694 but to different extents and through different mechanisms (Manjón, Brás, García-Estévez, & Escribano-Bailón, 695 2020).
- 696 RG-II may act as a modulating agent for the perceived astringency of the beverage (Boulet, et al., 2016; Vidal, et
- 697 al., 2004). This suggests that polysaccharides could have a role as fining or stabilising agents to help to improve
- 698 wine stability and organoleptical properties through doping or enriching techniques, potentially modifying
- 699 astringent wines (Hanlin, et al., 2010), assisting in the production of stylistic wines with tailored properties or

- traits. However, despite the attractive potential for oenologists, the observations and evaluations discussed prior
- indicate more research is required to completely understand the intricate and complicated nature of the wine matrix
- and its components, suggesting there is a crucial, yet delicate balance between the composition and quantity of
- molecular components with respect to overall sensory properties of the final wine. Overall, there is compelling
- vidence that in theory polysaccharides from wine can modulate astringency perception, but through making use
- of recent advances in knowledge and technology in the fields of oenology and chemistry, more research should
- be conducted into this relationship.

707 <u>Conclusion</u>

- 708 Polysaccharides are an important macromolecule within the wine medium and originate from either grape or yeast 709 derived matter. Polysaccharides can interact with many endogenous wine species such as aroma compounds, 710 tannins and proteins, but also with exogenous species such as salivary protein complexes. This review has 711 highlighted the fundamental importance of these interactions regarding the proposed roles of polysaccharides 712 towards influencing the organoleptic properties of the beverage. Knowledge gained from these observations could 713 affect decision making during the vinification process. Polysaccharides have potential in astringency modulation, protein haze control, foam stability and modulating aroma volatility. However, it is crucial that a complete 714 715 understanding of the intrinsic properties and the reactive nature of wine polysaccharides, including an 716 understanding of the delicate balance between wine components, exists as an enrichment of a wine with a certain 717 species to enhance or elicit a particular beneficial trait could in fact have detrimental effects on other aspects of 718 the wine. Advances in instrumentation and techniques are paving the way for deeper investigations into the
- 719 molecular interactions and subsequent understanding of the roles of wine components.

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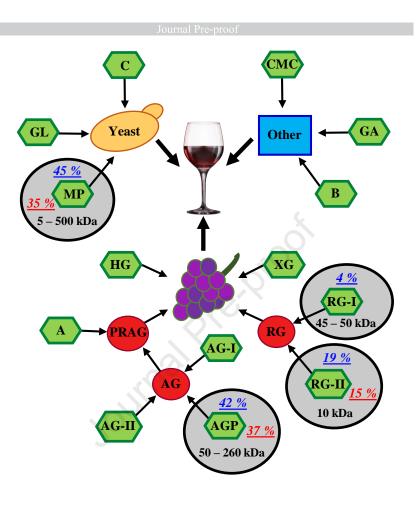
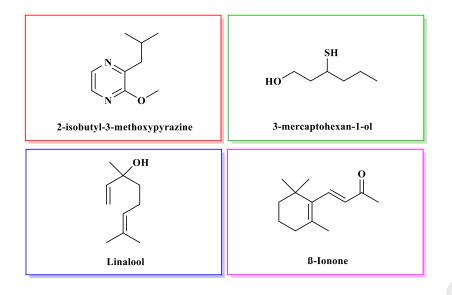
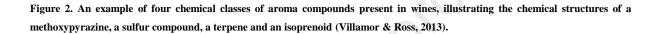
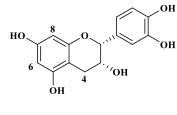


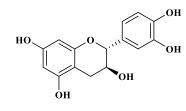
Figure 1. Α summary illustration of wine polysaccharides and their respective origins. The green hexagons represent the polysaccharides, and the red circles show the families that these polysaccharides belong to. The polysaccharides highlighted by the grey circles are the most abundant in wine. The percentages represent their reported compositions in Carignan noir wine (Vidal, et al., 2003) in blue and in Tempranillo in red (Ayestarán, et al., 2004). The respective molecular weights of these polysaccharides are also given in kDa (Guadalupe, et al., 2014; Martínez-Lapuente, et al., 2019). A: Arabin, AG: Arabinogalactan, AG-I: Arabinogalactan-I, AG-II: Arabinogalactan-II, AGP: Arabinogalactan Protein, B: Bacteria, C: Chitin, CMC: Carboxymethylcellulose, GA: Gum Arabic, GL: Glucans, HG: Homogalacturonan, MP: Mannoprotein, PRAG: Polysaccharides Rich in Arabinose and Galactose, RG: Rhamnogalacturonan, RG-I: Rhamnogalacturonan-I, RG-II: Rhamnogalacturonan-II, XG: Xyloglucan.

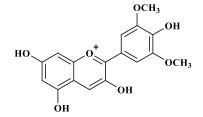




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(-)-Epicatechin

(+)-Catechin



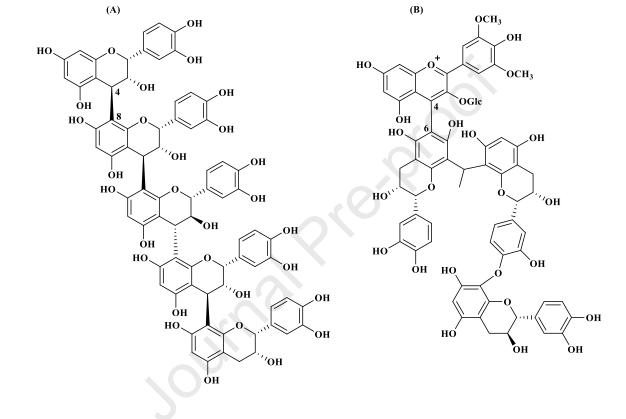


Figure 3. Grape seed and skin tannins undergo structural evolution during the winemaking and ageing processes. This figure illustrates an example of a more uniform, <u>grape derived tannin (A)</u> structure showing C4-C8 linkages, in comparison to a more complex <u>wine tannin</u> (B) structure showing the presence of C4-C6 linkages, with an example of some respective monomers above (Hanlin, et al., 2010; Smith, et al., 2015).

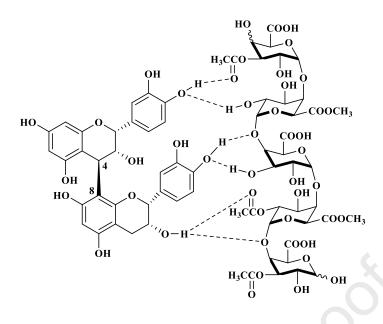


Figure 4. An example of the proposed hydrogen bonding that exists between the galacturonic acid backbone of pectic polysaccharides and monomeric units of the tannin structure (Hanlin et al., 2010).

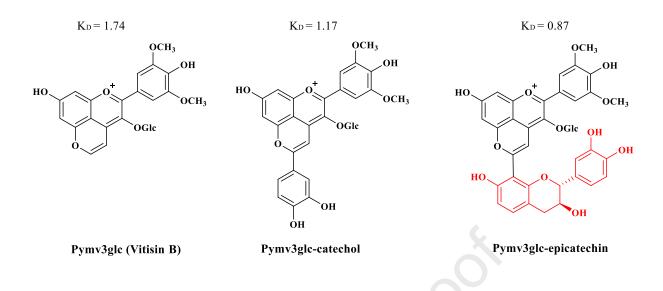
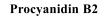
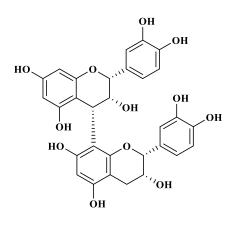


Figure 5. An illustration of the three polyphenols studied by Garcia Estevez *et al.* with their respective dissociation constants (K_D). The lower the K_D , the greater the binding affinity for the given protein, thus Pymv3glc-epicatechin, containing a "tannin structural unit" (shown in red) bound with the greatest affinity. Pymv3glc = Pyranomalvidin-3-O-Glucoside (García-Estévez et al., 2017).

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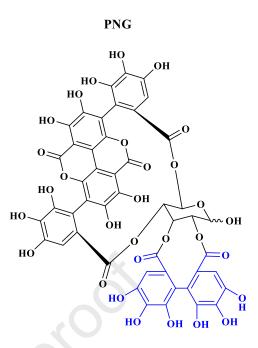


Figure 6. The structures of the two polyphenol compounds studied by Brandão *et al.* (Brandão et al., 2017). The blue highlights the hexahydroxydiphenoyl (HHDP) moiety, a constituent of some ellagitannins, a diverse type of hydrolysable tannins.

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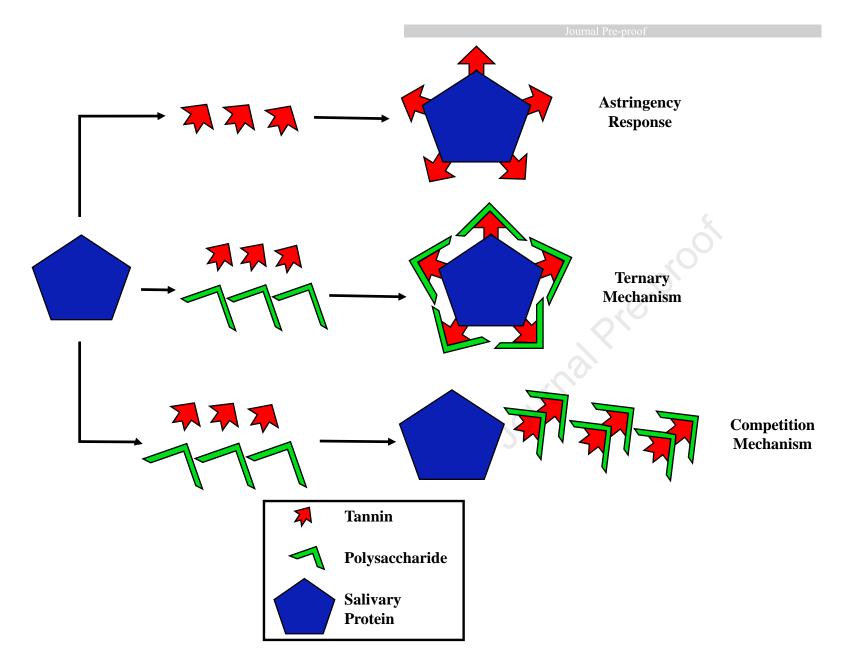


Figure 7. The proposed mechanisms for how polysaccharides interact with the protein-tannin complex to modulate the astringency response and perception. Adapted from Mateus et al. (Mateus et al., 2004), de Freitas & Mateus, 2012).

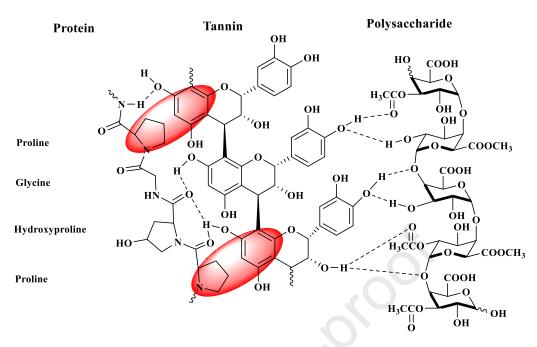


Figure 8. An example illustration of the proposed interactions between the polysaccharide-tannin-protein complex. Hydrogen bonding is represented by the dashed lines and the red circles highlight the potential areas for hydrophobic interactions. Adapted from Scollary *et al.* (Scollary *et al.*, 2012) and de Freitas *et al.* (de Freitas & Mateus, 2012). Note that hydrophobic interactions between tannins and polysaccharides are hypothesised to exist but are not included in the diagram due to insufficient information.

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Highlights

- 1. Elevated glycoprotein concentrations can cause aroma retention.
- 2. Polysaccharides can improve foam stability in sparkling wines.
- 3. Physico-chemical parameters of cell wall polysaccharides and tannins can influence extractability during winemaking.
- 4. Interactions with endogenous tannin and protein complexes can influence the haze potential of wine.
- 5. Polysaccharides can play an important role in modulating the astringency of wine.

Journal Prevention

Conflict and Declaration of Interest

Title: The interactions of wine polysaccharides with aroma compounds, tannins, and

proteins, and their importance to winemaking.

Authors: Hayden R. Jones-Moore, Rebecca E. Jelley, Matteo Marangon and Bruno Fedrizzi

Conflict of Interest: none Declarations of interest: none