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Metabolic profiling of early-lactation dairy cows using milk mid-infrared spectra

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ABSTRACT

Metabolic disorders in early lactation have negative effects on dairy cow health and farm profitability. One method for monitoring the metabolic status of cows is metabolic profiling, which uses associations between the concentrations of several metabolites in serum and the presence of metabolic disorders. In this cross-sectional study, we investigated the use of midinfrared (MIR) spectroscopy of milk for predicting the concentrations of these metabolites in serum. Between July and October 2017, serum samples were taken from 773 early-lactation Holstein Friesian cows located on 4 farms in the Gippsland region of southeastern Victoria, Australia, on the same day as milk recording. The concentrations in sera of β -hydroxybutyrate (BHB), fatty acids, urea, Ca, Mg, albumin, and globulins were measured by a commercial diagnostic laboratory. Optimal concentration ranges for each of the 7 metabolites were obtained from the literature. Animals were classified as being either affected or unaffected with metabolic disturbances based on these ranges. Milk samples were analyzed by MIR spectroscopy. The relationships between serum metabolite concentrations and MIR spectra were investigated using partial least squares regression. Partial least squares discriminant analyses (PLS-DA) were used to classify animals as being affected or not affected with metabolic disorders. Calibration equations were constructed using data from a randomly selected subset of cows (n = 579). Data from the remaining cows (n = 194) were used for validation. The coefficient of determination (R^2) of serum BHB, fatty acids, and urea predictions were 0.48, 0.61, and 0.90, respectively. Predictions of Ca, Mg, albumin, and globulin concentrations were poor $(0.06 \le R^2 \le 0.17)$. The PLS-DA models could predict elevated fatty acid and urea concentrations with an ac-

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curacy of approximately 77 and 94%, respectively. A second independent validation data set was assembled in March 2018, comprising blood and milk samples taken from 105 autumn-calving cows of various breeds. The accuracies of BHB and fatty acid predictions were similar to those obtained using the first validation data set. The PLS-DA results were difficult to interpret due to the low prevalence of metabolic disorders in the data set. Our results demonstrate that MIR spectroscopy of milk shows promise for predicting the concentration of BHB, fatty acids, and urea in serum; however, more data are needed to improve prediction accuracies.

Key words: mid-infrared spectral prediction, metabolic profile, ketosis, energy balance

INTRODUCTION

Metabolic disorders in early lactation have significant negative effects on dairy cow health and welfare as well as farm profitability (Suthar et al., 2013; McArt et al., 2015). The most commonly described metabolic disorders are ketosis, hypocalcemia, and hypomagnesemia. Subclinical metabolic disorders, which are not associated with obvious clinical signs, are of particular interest due to their relatively high prevalence and significant effects on animal welfare and performance (Macrae et al., 2006; McArt et al., 2012; Suthar et al., 2013). Identification of subclinical disorders can also allow for timely management interventions to prevent the development of clinical disease.

One way of monitoring the metabolic health and nutritional status of dairy cows is serum metabolic profile testing, which employs well-established epidemiological associations between the concentrations of several metabolites in serum and the presence of both subclinical and clinical metabolic disorders (Payne et al., 1970; Ospina et al., 2010a). The metabolites evaluated in metabolic profile testing vary, but often include BHB and fatty acids as indicators of energy balance, albumin and BUN as indicators of protein status, globulins as an indicator of chronic inflammatory disease, and Ca and Mg as indicators of macromineral status (Whitaker, 2004; Anderson, 2009). Epidemiological studies have demonstrated that elevated concentrations of BHB and fatty acids in early lactation are associated with an increased risk of subsequent negative health events and reduced production (Ospina et al., 2010b; Chapinal et al., 2012; Sordillo and Raphael, 2013). Blood urea nitrogen concentration is of increasing interest, as it (1) gives an indication of RDP intake and the ratio of RDP to energy in the ration, and (2) has been demonstrated to be a useful indicator of an animal's nitrogen utilization efficiency and excretion (Kohn et al., 2005; Kume et al., 2008).

Critical concentration thresholds are used to define the optimum concentration range for of each metabolite employed in a metabolic profile test. Concentrations falling outside this range are associated with detrimental downstream health and production outcomes (Ospina et al., 2010b). Herd prevalence thresholds are similarly defined as the proportion of animals with metabolite concentrations outside the optimum range, above which detrimental herd-level health and production outcomes are seen (Ospina et al., 2010b; Chapinal et al., 2012). The aim of metabolic profiling is therefore not necessarily to identify individual sick animals, but to gain objective information on the nutritional status and metabolic health of a herd by estimating the prevalence of metabolic disorders.

Despite the advantages of metabolic profile testing, blood testing animals on a regular basis is invasive, logistically challenging, and costly. Given the ready availability of milk, its use as a biofluid to monitor the health and nutritional status of dairy cows has been widely investigated (Hamann and Krömker, 1997). In early lactation a milk fat-to-protein ratio of greater than 1.4 (Schoolnik, 2016) and 2.0 (Toni et al., 2011) have been described as indicators of negative energy balance and subclinical ketosis, respectively, and changes in milk fat-to-lactose and milk fat-to-protein ratios in early lactation have been suggested as early indicators of disease (Paudyal et al., 2016). Milk urea nitrogen is routinely used by nutritionists to monitor and optimize protein nutrition (Jonker et al., 2002; Nousiainen et al., 2004). More recently, mid-infrared (MIR) spectroscopy of milk has shown promise for assessing more complex animal health traits (Gengler et al., 2016). Several authors have demonstrated that MIR spectral data can be used to screen for subclinical ketosis through identification of ketone bodies in milk (de Roos et al., 2007; van Knegsel et al., 2010; Grelet et al., 2016) and to estimate energy balance in early lactation (McParland et al., 2011). Attempts have also been made to estimate the concentration of serum biomarkers of energy balance using milk MIR spectra (Gelé et al., 2015; Belay et al., 2017a; Pralle et al., 2018).

The aim of our study was to determine if MIR spectral data, obtained from routine milk recording in commercial dairy herds, could be used to predict the concentration of metabolites routinely employed in serum metabolic profiling, with sufficient accuracy to provide useful information on the metabolic health of early-lactation dairy cows. We also aimed to assess the robustness of MIR prediction equations by validating our results with data collected from a herd managed under a different production system and in a different season. If sufficiently accurate, milk MIR predictions of serum biomarkers may help to improve the health, welfare, and productivity dairy cattle by (1) allowing early identification of metabolic disease and (2) providing high throughput and cost-effective phenotypes for genetic evaluation of complex animal health traits.

MATERIALS AND METHODS

All procedures were conducted in accordance with the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes (National Health and Medical Research Council, 2013). Approval to proceed was obtained from the Agricultural Research and Extension Animal Ethics Committee (Department of Economic Development, Jobs, Transport and Resources, Attwood, Victoria, Australia).

Sample Collection

Data Set 1. A single blood sample was taken from 773 spring-calving Holstein-Friesian cows in early lactation (between 5 and 49 DIM) on the same day as milk recording, between July and October 2017. The cows were located on 4 farms (farms A, B, C, and D) in the Gippsland region of southeastern Australia. All 4 farms operated a seasonal calving system, with the majority of cows calving in a short period of time to align the peak nutritional demands of the herd with maximal pasture availability. The farms implemented a feeding system reliant on grazed pasture plus other forages, with more than 1 t of a cereal grain per cow per year fed in the parlor at milking time. Two of the farms (farms C and D) operated rotary milking platforms, which allowed blood samples to be collected during milking. Samples were taken immediately after milking on the other 2 farms. Samples were collected after the morning milking on farm A, after the afternoon milking on farm B, and during the afternoon milking on farms C and D.

Blood was collected from the coccygeal vein into 10-mL serum clot activator vacutainer tubes (Becton Dickinson, Franklin Lakes, NJ). Samples were allowed to clot for a minimum of 1 h at room temperature befor centrifugation at $1,200 \times g$ for 10 min at 18°C. All samples were processed within 6 h of collection. Serum samples were refrigerated at 4°C then transported on ice to Regional Laboratory Services (Benalla, Victoria, Australia) within 24 h of collection. Samples were analyzed for concentrations of BHB, fatty acids, BUN, total Ca, Mg, total protein, and albumin using a Kone 20 XT clinical chemistry analyzer (Thermo Fisher Scientific, Waltham, MA), with reagents supplied by Randox Laboratories (Crumlin, UK) for fatty acids, BUN, Ca, and Mg, and Regional Laboratory Services (Benalla, Victoria, Australia) for BHB, albumin, and total protein. Globulin concentrations were calculated as total protein concentration minus albumin concentration. Milk samples were collected as part of routine milk recording by the Herd Improvement Co-Operative Australia (Maffra, Victoria, Australia). Samples were preserved with SomaGlo (proprietary formulation, Bentley Instruments, Chaska, MN) and analyzed fresh using MIR spectroscopy (Bentley Instruments NexGen FTS Combi) by TasHerd Pty Ltd. (Hadspen, Tasmania, Australia).

Farm E Independent Validation Data Set. To test the robustness of MIR-prediction equations, a second independent validation data set was assembled in March 2018. This data set comprised a further 105 blood and milk samples taken from cows of different breeds, managed under a different production system, and calving in a different season (autumn as opposed to spring). The farm was located in the Gippsland region of southeastern Australia, and the herd consisted of Jersey, Australian Red, Holstein-Friesian, and crossbred cows. Cows were fed a diet consisting of grazed chicory, a ration of pasture silage, cottonseed and canola meal, and a wheat-barley grain mix fed in the parlor at milking time. Blood samples were collected immediately after the afternoon milking. Blood and milk samples were analyzed using the same protocols described for data set 1.

Statistical Analysis

Effect of Week of Lactation, Parity and Farm on Metabolite Concentrations. Fixed effects models were constructed to evaluate the effect of weeks in milk, parity, and farm, on the concentrations of each metabolite:

$$y_{ijkl} = \mu + WIM_i + P_j + F_k + e_{ijkl}, \qquad [1]$$

where y is the metabolite concentration (BHB, fatty acids, Ca, Mg, urea, albumin, and globulin), μ is the mean, *WIM* is weeks in milk (from 1 to 8), P is parity (primiparous vs. multiparous), F is the effect of farm, and e is the random error term. Phenotypic correlations between metabolite concentrations were investigated by calculating the Pearson correlations between the residuals of each model.

Optimum metabolite concentration ranges were defined based on thresholds obtained from the literature and are shown in Table 1. Each metabolite concentration for every animal was classified as being either within or outside the defined optimum range, thus converting each continuous metabolite concentration variable into a binary trait. The prevalence of each metabolic disorder was then calculated as the percentage of animals that had a metabolite concentration outside the optimum range.

MIR Predictions. All MIR spectral data analysis was performed with Matlab R2017a (MathWorks, Natick, MA) utilizing the PLS Toolbox (Eigenvector Research, Manson, WA).

Preprocessing of Metabolite Concentrations. The distributions of serum metabolite concentrations were visually assessed for normality using frequency histograms. The fatty acid and BHB concentration distributions were both skewed, with lower values over-represented; this type of distribution leads to decreased accuracy in predicting high values in partial least squares (**PLS**) regression (Grelet et al., 2016), so a logarithmic (10) transformation was applied to BHB

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		Optimum concentration of serum metabolites					
Metabolite	Reference	Lower threshold	Upper threshold				
BHB (mmol/L)	McArt et al., 2012; Compton et al., 2015		1.2				
Fatty acids (mmol/L)	Ospina et al., 2010a		0.7				
Ca (mmol/L)	DeGaris and Lean, 2008	2.0					
Mg (mmol/L)	Anderson, 2009	0.62					
Urea (mmol/L)	Butler et al., 1996; Macrae et al., 2006	1.7	6.78				
Albumin (g/L)	Whitaker, 2004	30					
Globulin (g/L)	Whitaker, 2004		50				

concentrations and a square root transformation was applied to fatty acid concentrations (Figure 1).

Preprocessing of Spectra. The MIR spectra were expressed in absorbance, with 899 spectral points between 649 and 3,998 cm⁻¹. Preliminary analysis of the spectral data was conducted using principal component analysis. No outliers were identified in the data set. Spectral regions associated with the O–H bending and stretching regions of water were excluded (Afseth et al., 2010; Belay et al., 2017a). This left 538 spectral wavelengths between 928 and 1,596 as well as 1,693 and 3,025 cm⁻¹ for the subsequent chemometric analysis. The MIR spectra were preprocessed with Savitzky–Go-lay second derivative transformation and smoothing, removal, of linear trend and autoscaling (Eigenvector, 2018).

Calibration and Validation. The relationships between blood metabolite concentrations and milk MIR spectra were investigated using PLS regression analysis. Partial least squares discriminant analysis (**PLS-DA**) was used to classify animals as being either affected or not affected with a metabolic disorder based on the aforementioned binary metabolic profile classifications.

Calibration equations were constructed using a randomly allocated subset of data set 1, which consisted of serum metabolic profile results and MIR spectral data from 579 animals (hereafter referred to as the calibration data set). These calibration equations were used for all subsequent analyses. The data from the remaining 194 animals from data set 1 were used for external validation (hereafter referred to as the random validation data set). The calibration and random validation data sets were designed to have a representative number of samples from each farm and parity category (primiparous or multiparous) and were balanced for DIM.

The number of latent variables (\mathbf{LV}) included in each calibration model was based on maximizing the percentage of variance captured while minimizing the root mean square error of cross-validation (\mathbf{RMSE}_{CV}) . The optimum number of LV was determined for each calibration model by examining a plot of \mathbf{RMSE}_{CV} as a function of number of LV.

Each calibration model was assessed for over-fitting using a permutation test with 50 iterations. Permutation testing of regression models involved randomly reordering the y block, and nominally assigning an incorrect y value to each vector of x values (Eigenvector, 2018). For example, with our data this involved randomly assigning an incorrect serum metabolite concentration



Figure 1. (a) Frequency distribution of untransformed serum BHB concentrations, (b) serum BHB concentrations following Log_{10} transformation, (c) untransformed serum fatty acids, and (d) serum fatty acid concentrations following square root transformation.

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				DIM	
Data	No. of cows	% Primiparous	Mean	Minimum	Maximum
Data set 1					
Farm A	315	27	18.3	5	49
Farm B	132	27	20.6	5	39
Farm C	147	12	21.2	5	39
Farm D	179	18	29.4	6	52
Calibration and random validation data sets					
Calibration	579	22	21.6	5	49
Random validation	194	23	22.4	5	48
Total					
Data set 1 subtotal	773	22	21.8	5	49
Independent validation data set					
Farm E	105	33	29.5	11	46
All data					
Total	878	23	22.7	5	49

Table 2. Number of cows with metabolic profiles and milk mid-infrared spectral data by farm and by data set, including stage of lactation (DIM means and ranges) and percentage of animals in their first lactation

(the y value) to an MIR absorbance spectrum (a vector within the x block). The model was then rerun using the original parameters, but with the randomly aligned data. This process was repeated 50 times and the results obtained using the randomly assorted data were compared with the results of the correctly aligned data. A Wilcoxon signed-rank test was then used to assess the probability that the original model was significantly different from those built using the randomly assorted data (Eigenvector, 2018). A P-value of less than 0.05 indicated that the original model was significantly different to the random models and was therefore unlikely to be over-fitted.

Validation was performed in 3 ways. (1) Cross-validation was performed on the calibration data set (n = 579) using a venetian blinds method (which splits the data into 20 subsets and performs cross-validation on 2 samples per subset). (2) External validation was carried out using the random external validation data set (n = 194) and (3) external validation was done using the farm E independent validation data set (n = 105).

The accuracy of PLS models was assessed using the coefficient of determination (\mathbf{R}^2) and the root mean square error (\mathbf{RMSE}) . The accuracy of PLS-DA models was assessed by calculating the sensitivity, specificity, classification error (\mathbf{CE}) , and the area under the receiver operator curve (\mathbf{AUC}) .

RESULTS

Descriptive Statistics

Details of the animals included in the analysis are summarized in Table 2. Of the 878 animals included in the analysis, 36% (315 cows) were from farm A. The remaining 563 animals were evenly distributed between the remaining 4 farms. Of the animals sampled, 78% (682 cows) were in the first 30 d of lactation, which is the period of highest risk for development of metabolic disorders (LeBlanc et al., 2006). The overall percentage of primiparous animals in the data set was 23%, with a range of approximately 12 to 33% between farms.

The identity of the farm had a significant effect (P < 0.05) on the concentration of all metabolites. The number of weeks after a cow had calved had a significant effect on BHB, fatty acid, BUN, magnesium, and globulin concentrations. Parity had a significant effect on the concentration of all metabolites except those of fatty acids and albumin.

Descriptive statistics for the concentrations of each metabolite measured are summarized in Table 3. The distribution of metabolite concentrations in the calibration and random validation data sets were very similar; however, we found considerable differences in the distributions of fatty acid and urea concentrations between the calibration data set and the farm E independent validation data set.

Corrected mean metabolite concentrations for each 7-d period are shown in Figure 2. Both BHB and fatty acid concentrations were highest immediately postcalving and decreased over time. The concentrations of the remaining 5 metabolites exhibited an increasing trend over the 7-wk period. Calcium, urea, and albumin concentrations peaked at wk 7 postcalving, and globulin concentrations peaked at wk 5 postcalving. Magnesium concentrations peaked at wk 3 postcalving, then plateaued.

The number and percentages of animals with metabolite concentrations outside optimal ranges are shown in Table 4. A total of 56% (489 cows) had 1 or more metabolites outside optimal ranges. Aberrant protein concentrations were the most prevalent disorder observed, with 39% (339 cows) having either elevated serum urea (31%) or globulin (3%) concentrations or low albumin (8%) concentrations. Less than 2% of animals had serum urea concentration below the optimal range (<1.7 mmol/L), and this disorder is not discussed beyond this point. A total of 23% of animals (205 cows) had 1 or more energy metabolites outside of optimal ranges. Less than 2% (15 cows) had BHB concentrations greater than 1.2 mmol/L, with a peak incidence of 20% (2/10) at 35 DIM. A total of 22% (199 cows) had fatty acids concentrations greater than 0.7 mmol/L, with a peak incidence of 67% (18/27) at 8 DIM. Of the 15 hyperketonemic cows, 6 did not have a concurrent elevation in fatty acids concentrations. The prevalence of hypocalcemia and hypomagnesemia were less than 2 (15 cows) and 1% (6 cows), respectively.

Phenotypic correlations between serum metabolite concentrations, corrected for fixed effects outlined in model 1, are shown in Table 5. Significant (P < 0.01) positive correlations were observed between BHB and fatty acids (0.32), Ca and albumin (0.39), Mg and albumin (0.34), urea and Mg (0.1), and urea and albumin (0.26). Significant negative correlations were noted between BHB and Ca (-0.10), fatty acids and Ca (-0.22), fatty acids and urea (-0.12), fatty acids and globulins (-0.09), Ca and globulins (-0.11), Mg and globulins (-0.21), urea and globulins (-0.16), and albumin and globulins (-0.41).

MIR Calibration and Validation

The R^2 and RMSE of PLS regression models investigating the relationships between blood metabolite con-

centrations and MIR spectra from milk samples are shown in Table 6. The R^2 of cross-validation (\mathbf{R}_{CV}^2) and random validation (\mathbf{R}_{RV}^2) for serum BHB predictions were 0.53 and 0.48, respectively. Predictions of serum fatty acids concentration were slightly more accurate, with an R_{CV}^2 of 0.56 and an R_{RV}^2 of 0.61. The RMSE_{CV} and RMSE of random validation (**RMSE**_{RV}) of BHB and fatty acids predictions were 0.11 and 0.12, and 0.15 and 0.14, respectively. The most promising results were for predictions of serum urea concentration, which had R_{CV}^2 and R_{RV}^2 of 0.90, RMSE_{CV} of 0.75, and RMSE_{RV} of 0.82. The accuracy of models predicting serum Ca, Mg, and globulin concentrations were poor, with R_{CV}^2 and R_{RV}^2 values less than 0.15. The model predicting serum albumin concentration performed slightly better, with R_{CV}^2 of 0.23 and R_{RV}^2 of 0.17. The accuracies of prediction models when applied to

The accuracies of prediction models when applied to the farm E independent validation data set (reported as \mathbf{R}_{IV}^2 and \mathbf{RMSE}_{IV}) are also reported in Table 6. The \mathbf{R}_{IV}^2 of BHB and fatty acids predictions were similar to the \mathbf{R}_{CV}^2 , at 0.60 and 0.45, respectively. The \mathbf{RMSE}_{IV} of BHB and fatty acids predictions were 0.11 and 0.14, respectively, both very close to the respective \mathbf{RMSE}_{RV} and \mathbf{RMSE}_{CV} values. The \mathbf{R}_{IV}^2 for prediction of serum urea concentration however was only 0.35, which was considerably lower than the \mathbf{R}_{RV}^2 (0.90). The \mathbf{RMSE}_{IV} of urea prediction was 1.53 mmol/L, almost double the \mathbf{RMSE}_{RV} (0.82 mmol/L). The models predicting serum albumin, globulin, Ca, and Mg concentrations all performed extremely poorly when applied to the independent validation data set, with \mathbf{R}_{IV}^2 values between 0.00 and 0.03.

Table 3. Mean and SD (in parentheses) of metabolite concentrations for each farm, the calibration data set, and the random validation and independent farm E validation data sets

					Metabolite			
Data	N^1	BHB	Fatty acids	Ca	Mg	Urea	Albumin	Globulin
Data set 1								
Farm A	315	0.56(0.22)	0.75(0.33)	2.33(0.15)	0.98(0.11)	5.72(1.37)	33.59(2.23)	39.14(5.28)
Farm B	132	0.49(0.19)	0.31(0.21)	2.32(0.12)	0.96(0.12)	4.94(1.52)	35.13(2.05)	37.07(6.22)
Farm C	147	0.37(0.16)	0.51(0.29)	2.37(0.16)	0.99(0.10)	2.77(0.74)	32.40(2.13)	40.49(5.63)
Farm D	179	0.66(0.24)	0.26(0.14)	2.33(0.13)	1.02(0.10)	9.00(1.23)	32.69(2.41)	40.00(6.44)
Randomly assigned calibration and validation data sets		. ,						
Calibration	579	0.53(0.21)	0.51(0.34)	2.33(0.14)	0.99(0.11)	5.80(2.40)	33.37(2.42)	39.33(6.03)
Validation	194	0.54(0.29)	0.53(0.34)	2.34(0.14)	0.98(0.11)	5.75(2.50)	33.55(2.34)	38.98(5.48)
Total		· · · ·		· · · ·	· · /	· · /	· · /	. ,
Data set 1 subtotal	773	0.53(0.23)	0.51(0.34)	2.34(0.14)	0.98(0.11)	5.79(2.43)	33.42(2.40)	39.24(5.89)
Independent validation data set		· · · · ·	· · · ·	· · · · ·	· · · ·	· · /	· · · ·	· · · ·
Farm E	105	0.56(0.26)	0.29(0.26)	2.43(0.13)	1.10(0.10)	3.75(0.78)	34.60(2.30)	37.18(4.87)
All data		· · · ·	· · · ·	· · · · ·	· · · ·	~ /	· · · ·	· · · ·
Total	878	$0.54 \ (0.23)$	0.49(0.34)	2.35(0.14)	1.00(0.12)	5.54(2.38)	33.56(2.42)	39.00(5.81)

¹Number of cows in the data set.

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The results of PLS-DA models, where affected and unaffected groups were defined using previously described metabolic profile thresholds, are shown in Table 7. Models for the prediction of elevated BHB and globulin concentrations, as well as low Ca, Mg, and albumin concentrations, were deemed to be overfitted and therefore not significant (P > 0.05) based on pairwise Wilcoxon signed rank permutation testing. Models for the prediction of elevated fatty acids and urea concentrations, however, were highly significant (P < 0.001). The sensitivity and specificity for the prediction of elevated fatty acids concentrations when applied to the random validation data set were 73 and 81%, respectively, and the CE was 23% and the AUC was 0.87. The MIR predicted prevalence of elevated serum fatty acids concentrations was 35%. The sensitivity and specificity of the prediction of elevated urea concentrations in the random validation data set were 90 and 98%, respectively, and the CE was 6% and the AUC was 0.98. The MIR-predicted prevalence



Figure 2. Estimated marginal means (\pm SEM) of serum metabolite concentrations for each 7-d period, corrected for farm identification and parity.

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					Metabol	ite		
Data	N^1	BHB	Fatty acids	Ca	Mg	Urea	Albumin	Globulin
Data set 1								
Farm A	315	8(3)	162(51)	4(1)	3(1)	78(25)	24(8)	9(3)
Farm B	132	1(1)	5(4)	4(3)	2(2)	18 (14)	2(2)	4(3)
Farm C	147	1(1)	27(18)	1(1)	1(1)	0 ` ´	22(15)	7(5)
Farm D	179	3(2)	1(1)	4(2)	0	175(98)	21(12)	8 (4)
Randomly assorted calibration and validation data sets				()			. ,	
Calibration	579	8(1)	139(24)	11(2)	3(1)	202(35)	54(9)	24(4)
Validation	194	5(3)	56(29)	2(1)	3(2)	69 (36)	15(8)	4(2)
Data set 1 subtotal	773	13(2)	195(25)	13(2)	6(1)	271(36)	69 (9)	28(8)
Independent external validation data set			~ /		~ /	· · · ·	× /	()
Farm E	105	2(2)	4(4)	2(2)	0	0	4(4)	1(1)
All data								~ /
Total	878	15(2)	199(23)	15(2)	6(1)	271 (31)	73(8)	29(3)

Table 4. The number and percentage (in parentheses) of animals with serum metabolite concentrations outside optimum ranges for each farm, the calibration data set, and the random validation and independent farm E validation data sets

¹Number of cows in the data set.

of elevated serum urea concentrations in the random validation data set was 33%.

The accuracy of PLS-DA models, when validated using the farm E independent data set, are also shown in Table 7. The sensitivity and specificity for the prediction of elevated fatty acid concentrations were 25 and 90%, respectively, and the CE was 42% and the AUC was 0.82. The MIR-predicted prevalence of elevated fatty acids in this data set was 11%. The sensitivity and specificity of independent validation for the prediction of elevated urea concentrations were 100 and 89%, respectively, and the CE was 6%. The AUC could not be calculated, as no positive results (serum urea concentration >6.8 mmol/L) were recorded. The predicted prevalence of elevated urea concentrations was 11%.

DISCUSSION

Serum concentrations of BHB, fatty acids, Ca, Mg, urea, albumin, and globulin, as measured by colorimetric methods, are routinely used to assess the metabolic health of dairy cows. Although some studies have investigated the use of MIR spectroscopy of milk to predict serum BHB and fatty acids concentrations, to the best of our knowledge this is the first study to investigate the use of MIR spectral data to predict serum concentrations of all the above metabolites. We believe this is also the first reported use of PLS-DA models to classify animals as being either affected or not affected with metabolic disorders directly from MIR spectra. Our results indicate that MIR spectral data may be a useful predictor of serum BHB, fatty acids, and urea concentrations, but not Ca, Mg, albumin, or globulin concentrations. The performance of both PLS and PLS-DA models were affected by the distribution of the calibration and validation data sets, and larger and more diverse data sets are required to improve the accuracy of predictions.

Prevalence of Metabolic Disorders

No recent studies have investigated the epidemiology of metabolic disorders in the Australian dairy herd; thus, all concentration thresholds used in ou study are based on work undertaken in New Zealand, Europe, and the United States. It should be noted that our study was not intended as an epidemiological investigation, and prevalence data are presented principally to

Table 5. Pearson correlations between serum metabolite concentrations, corrected for weeks in milk, farm identification, and parity

Item	Fatty acids	Ca	Mg	Urea	Albumin	Globulin
BHB Fatty acids Ca Mg Urea Albumin	0.32*	-0.10^{*} -0.22^{*}	$-0.03 \\ -0.06 \\ 0.08$	$\begin{array}{c} 0.07 \\ -0.12^{*} \\ 0.08 \\ 0.1^{*} \end{array}$	$-0.02 \\ 0.01 \\ 0.39^* \\ 0.34^* \\ 0.26^*$	$\begin{array}{r} -0.07 \\ -0.09^* \\ -0.11^* \\ -0.21^* \\ -0.16^* \\ -0.41^* \end{array}$

*P < 0.01.

MID-INFRARED METABOLIC PROFILING

			Cross- (n	validation ³ $= 579$)	Randon (n	$1 \text{ validation}^4 = 194)$	$\begin{array}{c} \text{Farm E} \\ (n \end{array}$	validation ⁵ = 105)
Metabolite	P-value ¹	No. of LV^2	$\mathrm{R}^2_{\mathrm{CV}}$	$\mathrm{RMSE}_{\mathrm{CV}}$	R^2_RV	$\mathrm{RMSE}_{\mathrm{RV}}$	R^2_IV	$\mathrm{RMSE}_{\mathrm{IV}}$
BHB	< 0.001	6	0.53	0.11	0.48	0.12	0.60	0.11
Fatty acids	< 0.001	8	0.56	0.15	0.61	0.14	0.45	0.14
Urea	< 0.001	20	0.90	0.75	0.90	0.82	0.35	1.53
Calcium	< 0.001	4	0.08	0.14	0.12	0.13	0.03	0.18
Magnesium	< 0.001	5	0.06	0.11	0.08	0.10	0.01	0.17
Albumin	< 0.001	5	0.23	2.14	0.17	2.18	0.02	2.40
Globulin	< 0.001	3	0.12	5.67	0.06	5.42	0.00	5.57

Table 6. Results of partial least square regression models for the prediction of serum metabolite concentrations using milk mid-infrared spectra

 $^1P\mbox{-}value$ for pairwise Wilcoxon signed rank test.

²Number of latent variables (LV) included in the model.

 ${}^{3}R_{CV}^{2}$ = coefficient of determination of cross-validation; $RMSE_{CV}$ = root mean square error of cross-validation.

 ${}^{4}R_{RV}^{2}$ = coefficient of determination of random external validation; $RMSE_{RV}$ = root mean square error of random external validation.

 ${}^{5}R_{IV}^{2}$ = coefficient of determination of independent validation; RMSE_{IV} = root mean square error of independent validation.

illustrate the data used to develop and validate PLS-DA models.

The prevalence of hyperketonemia in our data set was 2% (15 cows), which is considerably lower than values reported in studies undertaken in New Zealand, Europe, and North America (McArt et al., 2012; Compton et al., 2014; Suthar et al., 2013). The low prevalence may have been because only approximately 4% of animals (34 cows) in our data set were in the first week of lactation, which McArt et al. (2012), demonstrated to be the period of highest hyperketonemia incidence. This was an unavoidable consequence of our study design, which involved convenience sampling on the day of routine milk recording in commercial herds. Furthermore, farmers with seasonal calving herds are often reluctant to record milk in early lactation, as it is generally a busy time of year. The timing of sampling, during or

immediately after concentrate feeding, may also have affected our results, as BHB concentrations are known to vary over time, when access to feed is not constant, and to peak 4 to 5 h after feeding (Oetzel, 2004). All 5 farms were well-managed and implemented good transition cow management practices, which are known to minimize the incidence of ketosis. The prevalence of elevated fatty acid concentrations was 23% (199 cows), with a peak incidence of 67% (18/27) at 6 d after calving. This was consistent with the results of Ospina et al. (2010b), who found that 65% of herds sampled had greater than 15% prevalence of elevated fatty acid concentration (>0.70 mmol/L) in cows between 3 and 14 DIM. Elevated fatty acid concentrations are arguably more significant than elevated BHB concentrations, as fatty acid concentrations have been demonstrated to be more stable over time than BHB concentrations

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 Table 7. Results of partial least square discriminant analysis models for the classification of serum metabolite concentrations based on metabolic profile thresholds using milk mid-infrared spectra

				$\begin{array}{l} \text{Cross-validation} \\ (n = 579) \end{array}$				Random validation $(n = 194)$				Independent validation $(n = 105)$			
Metabolite	P-value ¹	LV^2	Sens^3	Spec^4	CE^5	AUC^6	Sens	Spec	CE	AUC	Sens	Spec	CE	AUC	
BHB	>0.05	4	1.00	0.94	0.03	0.97	0.40	0.93	0.33	0.92	1.00	0.83	0.03	0.99	
Fatty acids	< 0.001	5	0.82	0.75	0.22	0.85	0.73	0.81	0.23	0.87	0.25	0.90	0.42	0.82	
Urea	< 0.001	20	0.81	0.91	0.15	0.94	0.90	0.98	0.06	0.98	1.00	0.89	0.06		
Calcium	>0.05	2	0.36	0.72	0.46	0.61	0.00	0.76	0.62	0.51	1.00	0.06	0.47	0.75	
Magnesium	>0.05	2	0.33	0.93	0.37	0.61	0.00	0.91	0.55	0.54	1.00	0.95	0.02		
Albumin	>0.05	3	0.67	0.67	0.33	0.73	0.53	0.64	0.41	0.59	0.25	0.99	0.38	0.85	
Globulin	>0.05	5	0.46	0.73	0.41	0.63	0.75	0.76	0.24	0.87	1.00	0.42	0.29	0.99	

 $^1P\text{-}\mathrm{value}$ for pairwise Wilcoxon signed rank test.

²Number of latent variables (LV) included in the model.

³Sensitivity.

⁴Specificity.

⁵Classification error.

⁶Area under the receiver operator characteristic curve.

(Eicher et al., 1999) and have a higher association with subsequent adverse health events (Ospina et al., 2010a; Sordillo and Raphael, 2013).

A considerable percentage of animals sampled (31%) had serum urea concentrations greater than 6.8 mmol/L, whereas less than 2% had a urea concentration less than 1.7 mmol/L. These results are consistent with animals grazing rapidly growing forage with high levels of RDP (Macrae et al., 2006). Urea concentrations in serum can be used to monitor RDP intake and the ratio of RDP to energy in the ration (Roseler et al., 1993; Macrae et al., 2006). Low blood urea concentrations can indicate insufficient RDP intake, whereas high serum urea concentrations can indicate excessive RDP intake, often in the form of high protein pasture. Both insufficient and excessive RDP intake are known to limit milk production, the former due to reduced rumen microbial protein synthesis and the latter due to the significant metabolic cost of removing and detoxifying excess nitrogenous by-products from the rumen (Waghorn and Wolff, 1984; Ulyatt, 1997). Elevated serum urea concentrations before AI have also been suggested to have a negative effect on reproductive performance (Raboisson et al., 2017). Several studies have used serum urea concentrations to predict nitrogen efficiency and urinary nitrogen excretion in cattle (Kohn et al., 2005; Kume et al., 2008), which is becoming an environmental concern for the global dairy industry.

Our results indicate that metabolic disorders are prevalent in the Australian dairy herd, but further studies are required to better understand the epidemiology of early-lactation metabolic disorders. Further work is also required to determine appropriate serum metabolic profile concentration thresholds and herd-level thresholds for Australia's diverse dairy production systems.

PLS Regression Models for Predicting Serum Metabolite Concentrations

The accuracy of our MIR prediction model for serum BHB was moderate ($0.48 \leq R^2 \leq 0.60$), which was better than those reported by Belay et al. (2017a) and similar to those reported by Smith et al. (2016) and Pralle et al. (2018). The moderate accuracy may have been in part due to the low prevalence of elevated serum BHB concentrations in our data set. The skewed distribution of the data likely resulted in lower prediction accuracy of higher BHB concentrations. Despite this, the R_{IV}^2 was higher than the R_{CV}^2 and the R_{RV}^2 , suggesting that the model may be a useful indicator of ketosis risk when applied to independent data. This finding was supported by fact that the RMSE of all 3 validation methods were similar (0.11–0.12 mmol/L). The reported accuracies of MIR predictions of milk ketone bodies are considerably higher than the accuracies of MIR serum BHB predictions (de Roos et al., 2007; Grelet et al., 2016); however, serum BHB is considered to be a superior biomarker of ketosis (Duffield et al., 1997; Denis-Robichaud et al., 2014). Whether a less-accurate predictor of the gold standard biomarker is superior to a higher accuracy predictor of a lessvaluable biomarker requires further investigation. This discussion is further complicated by the fact that, arguably, no true gold standard tests exist for many of the animal health traits being investigated (Krogh et al., 2011).

Serum fatty acid concentrations are routinely used to quantify the degree of fat mobilization, and therefore the magnitude of negative energy balance in early lactation (Ospina et al., 2010a). Few studies, however, have investigated the use of MIR of milk for predicting serum fatty acid concentrations. The R_{RV}^2 of our fatty acids prediction was 0.61, which is similar to the result of Smith et al. (2016), who reported a correlation coefficient of 0.80 ($R^2 = 0.64$) between measured serum fatty acid concentration and MIR-predicted fatty acids concentration. McParland et al. (2011) were able to predict computed energy balance with reasonable accuracy $(R^2 = 0.56)$, but they noted that their prediction equations were not robust when applied to data obtained from cows managed differently to the animals in the reference population (McParland et al., 2012). Similarly, the accuracy of our fatty acids prediction was lower when applied to the independent farm E validation data set $(R^2 = 0.45)$, but may still be a useful indicator of energy balance.

Mid-infrared is routinely used to predict MUN concentrations (Gengler et al., 2016) with good accuracy. Serum and milk urea concentrations are linearly correlated (Moore and Varga, 1996), so it follows that the MIR prediction of serum urea concentration had the highest coefficient of determination of all the metabolite models we tested. The accuracy of the urea prediction model, when applied to data from farm E, was considerably lower than when applied to the random external validation data. This may have been due to differences in the distribution of urea concentrations between the farm E independent data set and the reference population data set (see Table 3), as the range of data is known to have a significant effect on the R^2 of PLS regression models (Davies and Fearn., 2006). Further validation with larger, more varied data sets is required to better understand these results. The MIR predictions of serum urea may be accurate enough to be a useful indicator of the protein nutrition of a herd. Large-scale predictions of serum urea concentrations could also be used to identify variation in nitrogen efficiency and excretion between individuals. This could be exploited in breeding programs to lower the environmental impact of dairy cattle and could also be considered as a way to increase the accuracy of genomic predictions of feed efficiency due to the high energy cost of removing excess nitrogenous by-products from the rumen. The MIR predictions of serum urea concentration could also help manage the nitrogen output of the global dairy industry, which is becoming an increasingly important environmental issue.

Mid-infrared spectroscopy has been used successfully to quantify the concentration of several milk proteins, including case α_{S1} -CN, whey protein, and β -LG, with reasonable accuracy (De Marchi et al., 2009; Bonfatti et al., 2011; McDermott et al., 2016); however, MIR predictions of serum albumin and globulin concentrations in our study were extremely poor. Similarly, MIR spectral data has been used to estimate the Ca and P concentration of milk with reasonable accuracy (Toffanin et al., 2015); however, its ability to predict serum Ca and Mg concentrations was poor. Studies have demonstrated that animals suffering from subclinical hypocalcemia showed significant changes in their serum proteome (Wang et al., 2016; Fan et al., 2017). Given that changes in serum albumin and globulin concentrations could not be identified with milk MIR spectra, it follows that changes in serum proteome associated with hypocalcemia are also not detectable using milk MIR spectral analysis. This may suggest that changes in serum protein concentrations are not reflected in milk composition, or that a significant delay occurs between changes in serum metabolome and subsequent changes in milk composition. It should also be noted that we found considerably less variation in the concentrations of these metabolites (see Table 2) compared with the concentrations of BHB, fatty acids, and urea. As discussed previously, the distribution of data is known to have a significant effect on the accuracy of calibration models. Our results highlight the need for further work to investigate the relationships between the proteomes and metabolomes of serum and milk.

Several authors have observed that many milk MIR predictions of animal health traits are not sufficiently accurate to provide useful information on the health status of individual animals (de Roos et al., 2007; van der Drift et al., 2012; Grelet et al., 2016). These predictions may, however, be considered accurate enough to employ in genomic evaluations, as described by Bastin et al. (2016) and Bonfatti et al. (2017). The accuracy of our MIR prediction of serum BHB was better than that of Belay et al. (2017b), who used MIR predictions of serum BHB to investigate the genetic parameters of ketosis and the genetic relationships between serum BHB concentration and milk production traits. Similarly, our MIR prediction of serum fatty acids concentration had comparable accuracy to the MIR prediction of energy balance reported by McParland et al. (2015), who found favorable correlations between MIR-predicted and measured energy balance. As well as forming the basis of new traits, Pryce et al. (2016) suggested that MIR-predicted traits could be included in multitrait models to improve the accuracy of existing genomic predictions, but exactly how accurate such MIR predictions need to be to provide useful phenotypic information requires further investigation.

PLS-DA for Classifying Animals Based on Metabolic **Profile Testing Thresholds**

The aim of metabolic profile testing is to gain objective information on the nutritional status and metabolic health of a herd by estimating the prevalence of certain metabolic disorders. This requires a diagnostic test that can classify animals as being either affected or not affected with metabolic disorders with reasonable accuracy. The PLS-DA models are routinely used in chemometric studies to classify samples based on multivariate data. It follows, therefore, that these models may be useful for identifying cows with metabolic disorders based on their milk MIR spectra. Several authors have converted MIR predictions of continuous traits, such as BHB concentration, into binary traits based on metabolic profile thresholds (Gelé et al., 2015; Pralle et al., 2018). As far as we know, ours is the first report using PLS-DA models to classify animals directly using milk MIR spectral data. Given the poor accuracy of MIR predictions of serum Ca, Mg, albumin, and globulin concentrations, only BHB, fatty acids, and urea PLS-DA models will be discussed beyond this point.

Cows that experience a serum fatty acid concentration greater than 0.7 mmol/L in the immediate postpartum period are more likely to develop clinical ketosis, metritis, or a displaced abomasum, and are more likely to be culled early than cows with normal fatty acid concentrations (McArt et al., 2013). At herd level, several authors have demonstrated that an increase in the prevalence of cows with elevated postpartum fatty acid concentrations is associated with reduced milk production and poorer fertility (Ospina et al., 2010a; Chapinal et al., 2012; McArt et al., 2013). The same authors demonstrated similar results for elevated postpartum BHB concentrations. When applied to the random validation data set, our PLS-DA model was able to predict elevated serum fatty acid concentrations (>0.7) $\mathrm{mmol/L}$) with a sensitivity of 73% and a specificity of 81%. Our findings are reasonably consistent with those of Gelé et al. (2015), who used a combination of BHB and fatty acid concentrations to identify animals at risk of subclinical ketosis with a sensitivity of 81% and a specificity of 69%. The true prevalence of elevated fatty acid concentrations in our data set was 29% (95% CI = 22.5–35.2). The MIR-predicted prevalence of elevated serum fatty acid concentrations was 35%, within the 95% confidence interval of the true prevalence. When applied to the farm E validation set, the sensitivity of our fatty acids prediction decreased significantly to only 25%. The MIR-predicted prevalence of elevated fatty acid concentrations in this data set was 11%, which was significantly higher than the true prevalence of 4%(95% CI = 0.1-7.5). This highlights the need for larger and more diverse calibration data sets to improve the accuracy of predictions before they can be used for onfarm management purposes.

Butler et al. (1996) reported that animals with a plasma urea concentration of greater than 6.8 mmol/L had lower pregnancy rates than animals with normal plasma urea concentrations. Similarly, Raboisson et al. (2017) showed a 43% lower odds of pregnancy when serum urea concentrations were above 7 mmol/L, especially before AI. This may be highly relevant for the largely pasture-based Australian dairy industry, as most cows are mated in late spring at a time when they are grazing pasture high in RDP. When applied to the random validation data set, PLS-DA models could identify animals with elevated serum urea concentrations with good sensitivity (90%) and specificity (98%). The predicted prevalence of elevated urea concentrations was 33%, close to the true prevalence of 36% (95%) CI = 28.8-42.3). When applied to the independent farm E validation data set, the sensitivity of the urea prediction increased to 100% and the specificity decreased to 89%. We believe these results are misleading and are artifacts of the independent validation data set being (1) relatively small, (2) having a different distribution and narrower range than the calibration data set, and (3) containing no positive results (urea concentrations >6.8 mmol/L). Given that the $R_{\rm IV}^2$ of the PLS prediction of serum urea concentration was consider-ably lower than the R_{RV}^2 (0.35 and 0.90, respectively), we would expect the accuracy of PLS-DA predictions to be similarly lower. The MIR-predicted prevalence of elevated urea concentrations was 11%, significantly higher than the true prevalence of 0%. This is further evidence that a larger, more varied calibration data set is required to improve the accuracy of predictions.

The results of our PLS-DA model to predict elevated serum BHB concentrations were not significant (P > 0.05) based on pairwise Wilcoxon rank testing of permuted samples. This was likely due to the low number of hyperketonemic samples in the data set. Lowering the threshold of BHB concentration to 1.0 mmol/L increased the statistical significance of the model. Given that the accuracy of BHB and fatty acids PLS models were similar, we would expect that the addition of more hyperketonemic samples to our data set will yield a statistically significant PLS-DA model for estimating the prevalence of subclinical ketosis.

If their accuracy can be improved, PLS-DA predictions offer a potentially useful tool to monitor the prevalence of elevated serum fatty acids, BHB, and urea concentrations. This could provide dairy producers with a valuable early warning tool that would allow them to address dietary imbalances, and thereby optimize animal health, production, and fertility.

CONCLUSIONS

We assessed the accuracy of MIR spectroscopy, performed as part of routine milk recording, for predicting the metabolic health and nutritional status of early-lactation dairy cows. We found that MIR spectroscopy of milk provided a potentially useful prediction of energy balance by reasonable estimation of serum BHB and fatty acid concentrations. The accuracy of MIR prediction of serum urea concentration was good when the validation data set had a similar range and distribution to the calibration data set. However, when the model was applied to an independent data set taken from cows of differing breeds that were managed differently, the accuracy of the prediction dropped significantly. The accuracy of MIR predictions of serum Ca, Mg, albumin, and globulin concentrations were poor. Our results demonstrate that MIR PLS-DA models may be a useful tool for estimating the prevalence of metabolic disorders in early lactation, but more data are required to improve the accuracy of prediction equations. The MIR PLS models offer potential for large-scale phenotyping that can be employed in breeding programs to breed more resilient animals with smaller environmental footprints. We aim to improve the accuracy of our prediction models by sampling more animals, particularly in the first 2 wk of lactation, thereby increasing the size and variation of our data set.

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