

Time-Varying mHAP-III Is the Most Accurate Predictor of Survival in Patients with Hepatocellular Carcinoma Undergoing Transarterial Chemoembolization

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Keywords

Barcelona Clinic Liver Cancer · ITALICA staging system · ALBI grade · MESIAH · Cancer of the Liver Italian Program

Abstract

Introduction: The prognosis of patients undergoing transarterial chemoembolization (TACE) is extremely variable, and a confounding factor is that TACE is often repeated several times. We retrospectively evaluated the accuracy of different prognostic scores and staging systems in estimating overall survival (OS) in patients with hepatocellular carcinoma (HCC). **Methods:** An analysis considering prognostic models as time-varying variables was performed, calculating OS from the time of TACE to the time of the subsequent treatment. Total follow-up time for each patient was therefore split into several observation times accounting for each TACE procedure. Values of the likelihood ratio test (LRT) and Akaike information criterion (AIC) were used to compare different systems. Univariable and multivariable analyses were conducted to identify additional factors predictive of OS. We analyzed 1,610 TACE performed in 1,058 patients recorded in the Italian Liver Cancer database from 2008 through 2016. **Results:** The median OS of the enrolled patients was 41 months. According to LRT χ^2 and AIC values based on the time-varying analysis, mHAP-III achieved the best values (41.72 and 4,625.49, respectively, $p < 0.0001$), indicating the highest predictive performance compared with all other scores (HAP, mHAP-II, ALBI, and pALBI) and staging systems (MELD, ITALICA, CLIP, MESH, MESIAH, JIS, HKLC, and BCLC). In the multivariable Cox proportional hazards model, mHAP-III maintained an independent effect on OS (hazard ratio 1.31, 95% CI: 1.10–1.55, $p < 0.0001$). Time-varying age, alcoholic etiology, radiologic response to TACE, and performing ablation or surgery after TACE were additional significant variables resulting from the multivariable model. **Conclusion:** An innovative time-varying analysis revealed that mHAP-III was the most accurate model in predicting OS in patients with HCC undergoing TACE. Other clinical pre- and post-TACE variables were also found to be relevant for this prediction.

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Introduction

Primary liver cancer is the fifth most common cancer worldwide and one of the most frequent causes of cancer-related death [1]. Hepatocellular carcinoma (HCC) accounts for about 90% of these tumors [1]. As for any tu-

mor, staging plays an important role to prognosticate and manage HCC [2]. However, because >80% of HCC develops in patients with cirrhosis, prognosis and management of this cancer must take into account the severity of the underlying liver dysfunction. Over the years, various staging systems have been proposed to overcome the limitations affecting the tumor-node-metastasis (TNM) system, which only consider tumor burden [3]. One of the most widely used staging systems for HCC is the Barcelona Clinic Liver Classification (BCLC), which includes variables related to tumor status, liver function, and performance status, also indicating a specific treatment modality for each stage of the disease [4]. In particular, patients belonging to the BCLC-B (intermediate) stage are recommended to be treated with transarterial chemoembolization (TACE). However, the intermediate stage includes a remarkably heterogeneous population, and several recent efforts to subclassify this group of patients according to tumor burden and liver function have been proposed, in an attempt to optimize their management and individuate the actual treatment benefit [5–8]. Moreover, common clinical practice in both the Western and Eastern world shows that HCC may be frequently treated with TACE even when a patient belongs to a BCLC stage other than the intermediate one [9–11], and particularly BCLC-A patients not amenable to curative treatments. Indeed, the latest version of the EASL guidelines accepts the strategy called “therapeutic stage migration” recommending that whenever a patient cannot be treated with the stage-specific treatment (e.g., surgery or thermal ablation for stage A), or when this fails, he/she should undergo the treatment recommended for the subsequent stage [2]. Additionally, the approach to HCC patients based on the concept of “therapeutic hierarchy” expands the use of TACE to well-selected patients belonging to stage C [10–12]. As a result, in clinical practice, patients undergoing TACE form a very heterogeneous population, belonging to different cancer stages. This scenario, together with the variable response to TACE, makes their prognosis extremely dissimilar. Therefore, various pre- and posttreatment prognostic models, aimed at identifying patients who are more likely to have a valuable survival benefit from TACE, have been generated. Some of them, like the albumin-bilirubin (ALBI) grade, only take into account liver dysfunction, excluding any tumor features [13, 14], and other models are specifically dedicated to the TACE setting [15–21]. In spite of considerable efforts, several questions concerning the management of patients with TACE remain open. Specifically, no studies have compared the performance of the ALBI model with that of

“TACE-dedicated” systems in a large group of patients, and the possible additional information over the one conveyed by the available prognostic models is lacking. Moreover, in all published studies, survival was evaluated without taking into account the impact of post-TACE treatments which, in some cases, could be also hierarchically superior to TACE (e.g., liver transplantation, resection, or ablation), leading to a great overestimation of the benefit of TACE. In this study, we carried out a novel analysis considering prognostic scores as time-varying variables and calculated overall survival (OS) from the time of each TACE to the time of the subsequent treatment (additional TACE or alternative therapies) with the addition of the conclusive period on best supportive care, to compare the accuracy of the available scoring/staging systems in predicting OS in a large group of patients undergoing TACE.

Materials and Methods

Patients and Methods

We conducted a retrospective analysis of the Italian Liver Cancer (ITA.LI.CA) database, which collects data from patients with HCC enrolled consecutively in 24 Italian centers. The inclusion criterion was to have undergone at least one TACE procedure between January 2008 and December 2016 (the date of the last database update) and the report of the related radiologic response. We excluded patients undergoing TACE before 2008, considering the improved results observed in TACE-treated patients over time [22]. Exclusion criteria were age <18 years, Child-Pugh score >7, diuretic-resistant ascites, tumor invasion of main portal branches or biliary tree, hepatofugal portal blood flow, and extrahepatic tumor spread. Nevertheless, 31 (out of 1,058) patients were found to have distant metastases, misinterpreted or missed at the pre-TACE evaluation. HCC was diagnosed histologically or through imaging techniques (magnetic resonance imaging and/or triphasic computed tomography) according to the version of EASL guidelines available at the time of diagnosis. Age, blood chemistries, tumor characteristics (tumor size and number, macrovascular invasion, and extrahepatic spread), and Eastern Cooperative Oncology Group performance status (ECOG PS) were evaluated before each TACE procedure. At the same time points, the following prognostic classes were calculated: hepatoma arterial embolization (HAP) [15], modified hepatoma arterial embolization II (mHAP-II) [16], modified hepatoma arterial embolization III (mHAP-III) [17], ALBI [13], platelet-albumin-bilirubin (pALBI) [23], model for end-stage liver disease (MELD) [24], and Child-Turcotte-Pugh (CPT) [25]. Moreover, patients were allocated to the pertinent stages of the following staging systems: BCLC [4], ITA.LI.CA [26], Cancer of the Liver Italian Program (CLIP) [27], MESH [28], Model to Estimate Survival In Ambulatory HCC patients (MESIAH) [29], Japan Integrated Staging system (JIS) [30], and Hong Kong Liver Cancer (HKCL) [31]. Decision to perform a TACE was taken by the local multidisciplinary team of each center, and a selective or superselective procedure was used whenever feasible. We in-

cluded both conventional, lipiodol-based, TACE and TACE with drug-eluting beads. Patients undergoing transarterial embolization were excluded. The outcome of TACE was categorized as follows: complete response, partial response, stable disease, or progressive disease [32]. Procedures different from TACE were analyzed considering both their timing (before or after TACE) and whether they were considered hierarchically superior (transplant, resection, and ablation), equal (radioembolization), or inferior (systemic treatment and best supportive care) to TACE [10].

Statistical Analysis

Continuous variables are presented as median and interquartile ranges and categorical variables as number and percentages. The missing data for the study covariates, which can comprise up to 10% of patients, were estimated using the maximum likelihood estimation method [33]. The OS was calculated from the date of initial TACE procedure to the date of death or last follow-up visit, and patients were censored at December 31, 2016. Follow-up was censored at the time of liver transplant. Since only a small portion of patients (71, 6.7%) underwent transplant after TACE, this limited number of censored patients had a minimal effect on survival analysis. Kaplan-Meier estimator survival curves and log-rank tests were used to evaluate and compare OS. We carried out a time-varying survival analysis to compare the prognostic accuracy of the different scores and staging systems in predicting OS. In the time-varying analysis, we accounted for each distinct TACE treatment the patient received. In this way, the total follow-up time for each patient was split into several observation periods accounting for each TACE treatment. Before each TACE, patients were restaged so that all reassessed variables (i.e., liver function, tumor characteristics, and therapy) were studied as time-varying parameters. This methodology allows us to test the accuracy of prognostic models not only at the start of TACE treatment but also at any time of the patient history. An example of the time-varying survival analysis is shown in online suppl. Fig. 1; for all online suppl. material, see www.karger.com/doi/10.1159/000513404. Likelihood ratio test (LRT) and Akaike information criterion (AIC) values were used to compare different scoring and staging systems. Lower AIC and higher likelihood ratio values indicate better prognostic discrimination ability of a given staging/scoring system. We also performed univariable and multivariable survival analyses using a time-varying Cox proportional hazards model. Covariates for time-varying models were chosen if the *p* value was <0.15 in univariable analyses. A 2-sided *p* value of <0.05 was defined to be considered statistically significant. All analyses were performed in JMP® 9.0.1 package (1989–2010 SAS Institute Inc.), STATA 13.0 (Copyright 1985–2013 StataCorp LP), and R.app GUI 1.51 (S. Urbanek & H.-J. Bibiko, © R Foundation for Statistical Computing, 2012).

Results

Patient Characteristics

We analyzed 1,610 TACE procedures performed in 1,058 patients. Age at HCC diagnosis, gender, and clinical characteristics of patients are shown in Table 1. Most of the patients were in the seventh or eighth decade of life,

Table 1. Characteristics of the 1,058 patients enrolled in the study

Variable	Median (IQR) or <i>n</i> (%)
Age at first TACE procedure, years	71 (12)
Age at diagnosis, years	69 (13)
Gender (male)	809 (76)
Etiology of chronic liver disease	
HBV	123 (11.6)
HCV	655 (61.9)
Alcohol	312 (29.4)
NASH	47 (4.4)
Bilirubin, mg/dL	1.1 (0.66)
Albumin, g/dL	3.58 (0.55)
Platelet count, ×10 ⁹ /L	113 (48)
Alpha-fetoprotein, ng/mL	62.8 (527.3)
Patients with encephalopathy	12 (1.1)
Diameter of the largest tumor, mm	32.4 (20)
Tumor characteristics	
Monofocal	444 (42.0)
Bifocal	341 (32.2)
Multifocal	273 (25.8)
Portal vein thrombosis	
Present	57 (5.3)
Absent	1,001 (94.7)
Extrahepatic spread	
Present	20 (1.9)
Absent	1,038 (98.1)
Performance status	
Grade 0	858 (81.1)
Grade 1	173 (16.3)
Grade 2	24 (2.3)
Grade 3	3 (0.3)
Child-Turcotte-Pugh class	
A	965 (91.2)
B	93 (8.8)
MELD score	9 (2)
TACE, <i>n</i>	
1 procedure	697 (66.0)
2 procedures	232 (21.9)
3 procedures	91 (8.6)
4 procedures	21 (2.0)
5 procedures	12 (1.1)
6 procedures	3 (0.2)
7 procedures	2 (0.2)

Some of the patients had >1 etiologic factor. HBV, hepatitis B virus; HCV, hepatitis C virus; NASH, nonalcoholic steatohepatitis; TACE, transarterial chemoembolization; MELD, model for end-stage liver disease.

and 1,367 procedures were performed in patients aged over 60. The median age was 71 years, and more than two-thirds of patients were male. The most common cause of liver disease was hepatitis virus C (HCV) infection, followed by alcohol use disorder, hepatitis virus B (HBV)

Table 2. Characteristics of the study group

Variable	Median (IQR) or <i>n</i> (%)
Bilirubin, mg/dL	1.03 (0.71)
Albumin, g/dL	3.57 (0.55)
Platelet count, ×10 ⁹ /L	113 (48)
Alpha-fetoprotein, ng/mL	64.6 (527.4)
Presence of encephalopathy	18 (1.1)
Maximum tumor diameter, cm	2.9 (1.5)
Tumor characteristics	
Monofocal	643 (39.9)
Bifocal	543 (33.7)
Multifocal	424 (26.4)
Portal vein thrombosis	
Present	122 (7.6)
Absent	1,488 (92.4)
Extrahepatic spread	
Present	31 (1.9)
Absent	1,579 (98.1)
Performance status	
0	1,271 (78.9)
1	300 (18.6)
2	35 (2.2)
3	4 (0.3)
Child-Turcotte-Pugh class	
A	1,475 (91.6)
B	135 (8.4)
MELD score	9 (2)
BCLC stage	
0	178 (11.1)
A	569 (35.3)
B	716 (44.5)
C	147 (9.1)

1,610 procedures were performed in 1,058 patients. The table reports the characteristics of the patients at the time of each procedure. Therefore, data from the same patient may have been included >1 time. BCLC, Barcelona Clinic Liver Cancer; MELD, model for end-stage liver disease.

infection, and NASH. Because the time-varying analysis relies on data collected before each TACE procedure, we reported tumor characteristics and biochemical parameters related to all the 1610 TACE procedures (shown in Table 2). At the time of each procedure, >90% of patients belonged to Child-Pugh class A, and the MELD score was ≤10 in >80% of cases. Nearly all patients (98%) had a preserved ECOG PS, being 0 in most cases and 1 in approximately one-fifth of patients. According to the Italian guidelines for HCC management, a PS = 1 was not considered per se sufficient to allocate the patient to BCLC stage C [12, 34]. The distribution across BCLC stages showed that the intermediate stage (BCLC-B) was the most represented. Nevertheless, the antecedent stages ac-

Table 3. Distribution of patients in the different prognostic models at the time of each procedure

ALBI grade, <i>n</i> (%)	
Grade 1	295 (18.3)
Grade 2	1,272 (79)
Grade 3	43 (2.7)
pALBI grade, <i>n</i> (%)	
Grade 1	446 (27.7)
Grade 2	956 (59.4)
Grade 3	208 (12.9)
HAP class, <i>n</i> (%)	
Class A	680 (42.2)
Class B	561 (34.8)
Class C	341 (21.2)
Class D	28 (1.8)
mHAP-II class, <i>n</i> (%)	
Class A	394 (24.5)
Class B	632 (39.2)
Class C	430 (26.7)
Class D	154 (9.6)
mHAP-III	
Minimum value	-1.5478
1st quartile	-0.3438
Median	0.0294
3rd quartile	0.4444
Maximum value	6.9163

1,610 procedures were performed in 1,058 patients. The table reports the distribution of patients at the time of each procedure. Therefore, data from the same patient may have been included >1 time. ALBI, albumin-bilirubin; pALBI, platelet-albumin-bilirubin; HAP, hepatoma arterial embolization; mHAP-II, modified hepatoma arterial embolization II; mHAP-III, modified hepatoma arterial embolization III.

counted for a large proportion of cases, and TACE was sometimes utilized even in the advanced stage. For the purpose of analysis, the 3 patients with a PS = 3 were considered belonging to the BCLC-C group. Alpha-fetoprotein (AFP) values were highly variable, with values >400 ng/mL in one-third of patients and >1,000 ng/mL in 15% of cases. Regarding tumor features, patients were almost equally distributed among the subsets we created according to the number of lesions (single, double, and multifocal).

Distribution across Categories for Prognostic Scoring and Staging Systems

Approximately 80% of patients belonged to the ALBI grade 2, while almost all the remainders were ALBI grade 1 (shown in Table 3). Similarly, most patients were in grade 2 of pALBI. Conversely, patients were more homo-

Table 4. Distribution of patients in the different staging systems at the time of each procedure

MELD	Points
Minimum value	6
1st quartile	8
Median	9
3rd quartile	10
Maximum value	29
ITA.LI.CA, <i>n</i> (%)	
0-1	307 (19.1)
2	395 (24.5)
3	433 (26.9)
≥4	475 (29.5)
CLIP, <i>n</i> (%)	
0	470 (29.2)
1	633 (39.3)
2	436 (27.1)
3	65 (4.1)
4	6 (0.3)
5	0 (0)
MESH, <i>n</i> (%)	
0	394 (24.5)
1	682 (42.4)
2	396 (24.6)
3	122 (7.5)
4	15 (0.9)
5	1 (0.1)
MESIAH	
Minimum value	3.759
1st quartile	5.222
Median	5.641
3rd quartile	6.032
Maximum value	8.876
JIS, <i>n</i> (%)	
0	155 (9.6)
1	603 (37.5)
2	743 (46.2)
3	105 (6.5)
4	4 (0.2)
5	0 (0)
HKCL, <i>n</i> (%)	
I	888 (55.2)
IIa	281 (17.4)
IIb	243 (15.1)
IIIa	29 (1.8)
IIIb	65 (4.1)
IVa	56 (3.5)
IVb	8 (0.5)
Va	30 (1.8)
Vb	10 (0.6)

1,610 procedures were performed in 1,058 patients. The table reports the distribution of patients at the time of each procedure. Therefore, data from the same patient may have been included >1 time. ITA.LI.CA, Italian Liver Cancer; CLIP, Cancer of the Liver Italian Program; MESH, model to estimate survival for hepatocellular carcinoma; MESIAH, Model to Estimate Survival In Ambulatory HCC patients; JIS, Japan Integrated Staging system; HKLC, Hong Kong Liver Cancer.

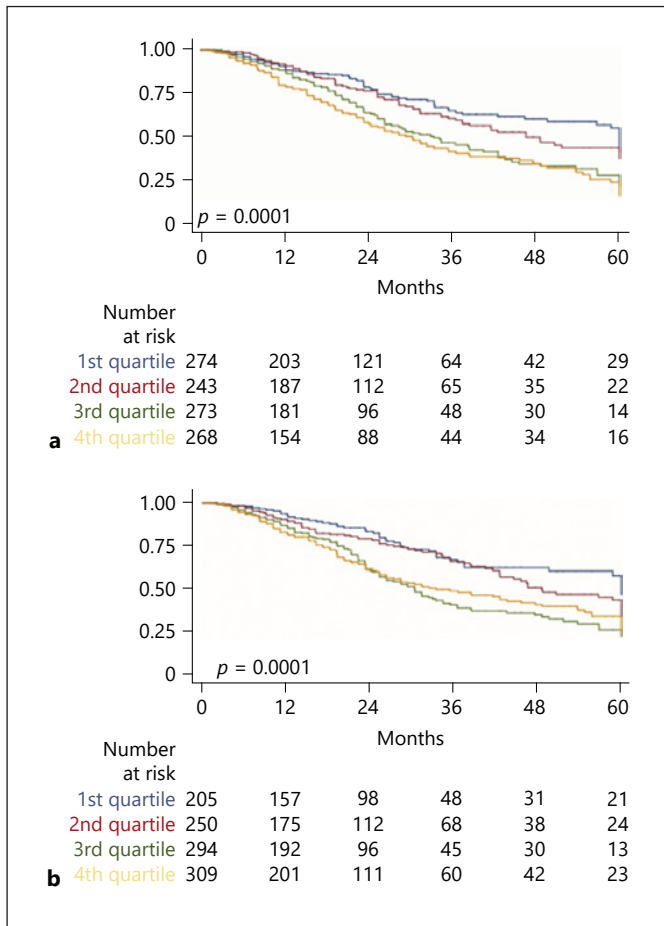


Fig. 1. Kaplan-Meier survival curve representing the discrimination ability of mHAP-III (a) or the ITALICA staging system (b) on survival of 1,058 patients subjected to TACE for hepatocellular carcinoma 141 × 202 mm (96 × 96 DPI). TACE, transarterial chemoembolization; mHAP-III, modified hepatoma arterial embolization III.

generously distributed across the different HAP and mHAP-II categories. Because the mHAP-III score is continuous, we reported the distribution of patients in quartiles, providing the relative intervals (shown in Table 3). The distribution of patients according to the HCC staging systems is shown in Table 4. Patients were homogeneously distributed in all 4 groups of the ITALICA staging system, while >90% belonged to the classes 0–2 for the CLIP and MESH systems. MESIAH and MELD distribution was also divided in quartiles with relative intervals (shown in Table 4). Approximately 90% of patients were concentrated in stages 0–2 for JIS and in classes I–II for HKCL.

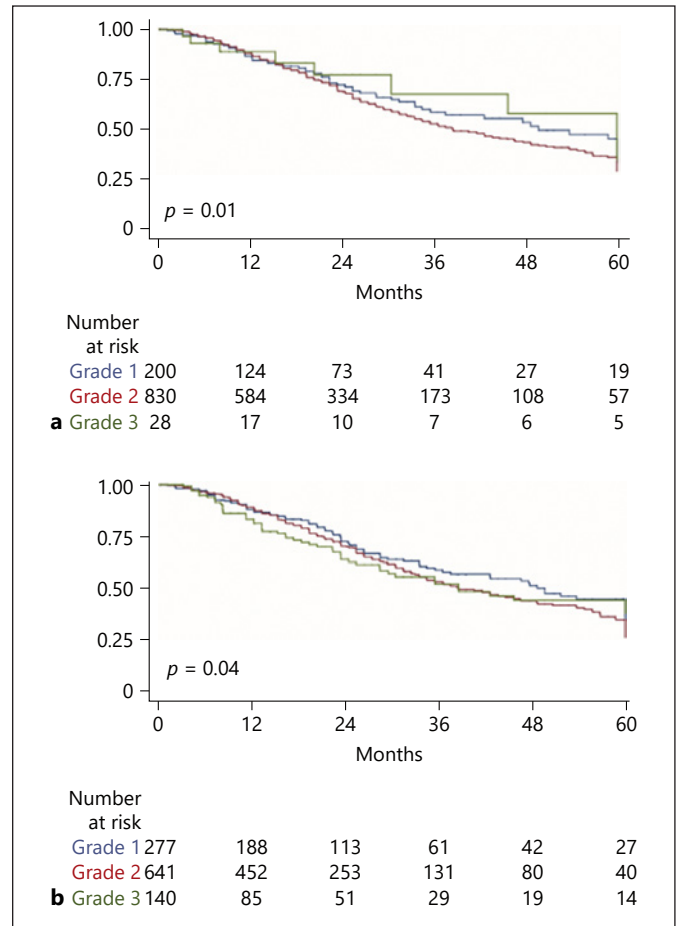


Fig. 2. Kaplan-Meier survival curve representing the discrimination ability of the ALBI grade (a) or pALBI (b) on survival of 1,058 patients subjected to TACE for hepatocellular carcinoma 138 × 201 mm (96 × 96 DPI). TACE, transarterial chemoembolization; ALBI, albumin-bilirubin; pALBI, platelet-albumin-bilirubin.

Treatments after TACE

Four hundred and sixty-four of the 1,058 patients included in the study had further treatments after TACE. Considering treatments hierarchically superior to TACE [10], 40 patients (3.8%) underwent percutaneous ethanol injection, 2 patients (0.2%) surgical resection, and 40 patients (3.8%) ablative therapies (comprising radiofrequency, laser, or microwave ablation). Seventy-one patients (6.7%) received TACE as a bridge to liver transplantation. As far as treatments hierarchically inferior to TACE are concerned, 248 (23.4%) of the 1,058 patients received a systemic treatment after TACE, 16 patients (1.5%) were treated with external radiation therapy, 13 (1.2%) with radioembolization, and 88 (8.3%) with other treatments not specified in the database.

Table 5. Performance of each score in the prediction of survival after TACE

Model	LRT χ^2	AIC
mHAP-III	41.72	4,625.49
ITA.LI.CA	40.39	4,642.82
JIS	33.48	4,639.73
MESIAH	33.41	4,633.80
CLIP	30.89	4,642.32
MESH	22.35	4,646.86
mHAP-II	22.15	4,649.06
HAP	20.57	4,650.64
HKLC	20.21	4,660.99
BCLC	18.72	4,652.49
MELD	5.52	4,661.69
pALBI	4.42	4,664.79
ALBI	1.95	4,667.26
CTP	1.39	4,667.82

LRT χ^2 and AIC values were used to compare different scoring and staging systems. Lower AIC and higher likelihood ratio values indicate better prognostic discrimination ability of a given staging/scoring system. TACE, transarterial chemoembolization; LRT χ^2 , likelihood ratio test; AIC, Akaike information criterion; mHAP-III, modified hepatoma arterial embolization III; ITA.LI.CA, Italian Liver Cancer; MESIAH, Model to Estimate Survival In Ambulatory HCC patients; JIS, Japan Integrated Staging system; CLIP, Cancer of the Liver Italian Program; BCLC, Barcelona Clinic Liver Cancer; MESH, model to estimate survival for hepatocellular carcinoma; mHAP-II, modified hepatoma arterial embolization II; HKLC, Hong Kong Liver Cancer; HAP, hepatoma arterial embolization; MELD, model for end-stage liver disease; PALBI, platelet-albumin-bilirubin; ALBI, albumin-bilirubin; CTP, Child-Turcotte-Pugh.

Prediction of Survival

Considering the 1,058 patients, the median OS was 41 months (IQR 26–109). Pretreatment scores and staging systems were tested applying a time-varying survival analysis accounting for each TACE procedure (see Experimental Procedures). Survival according to the stages was significantly different for mHAP-III (shown in Fig. 1a), ITALICA (shown in Fig. 1b), ALBI (shown in Fig. 2a), pALBI (shown in Fig. 2b), and HAP and mHAP-II (not shown). Similarly, the different classes of Child-Pugh score, MELD, ITA.LI.CA, CLIP, MESH, MESIAH, JIS, and HKCL were associated with different survival times.

Comparison between Pretreatment Prognostic Models

The prognostic performance of each pretreatment score or system was evaluated with the LRT χ^2 and AIC values (shown in Table 5). The mHAP-III achieved the

Table 6. Independent predictors of survival after TACE as evaluated by multivariable analysis using the Cox proportional hazards model

	HR	95% CI	<i>p</i> value
mHAP-III (per class)	1.31	1.10–1.55	0.000
Age-tv (per 1 year)	1.02	1.01–1.03	0.003
Alcoholic etiology	1.26	1.02–1.57	0.037
Radiologic response			
Complete response	Ref.		
Partial response	1.87	1.45–2.41	0.000
Stable disease	1.99	1.29–3.07	0.002
Progressive disease	2.81	2.09–3.78	0.000
Main post-TACE treatment (curative)	0.44	0.33–0.59	0.000

TACE, transarterial chemoembolization; mHAP-III, modified hepatoma arterial embolization III; HR, hazard ratio; CI, confidence interval; tv, time-varying variable; main post-TACE treatment, patients who underwent liver transplantation, ablation, or surgery after TACE.

highest χ^2 and lowest AIC values, testifying the best predictive performance among all models. Good performance values were also obtained analyzing complex staging systems not dedicated to TACE, such as ITA.LI.CA, CLIP, JIS, MESIAH, and MESH. In contrast, most prognostic scores did not show good performances. The time-varying univariable analysis showed an association between OS and the following variables not included in the prognostic model: age ($p = 0.041$), alcoholic etiology ($p = 0.099$), platelet count ($p = 0.072$), radiologic response ($p = 0.000$), and main post-TACE treatment ($p = 0.000$). The solidity of each prognostic model was tested by including these variables and the model in the time-varying multivariable Cox proportional hazards model. While mHAP-III maintained an independent effect on OS (shown in Table 6), all other prognostic models were not independently associated with the OS adjusted for the above mentioned pre- and post-TACE variables. Other independent prognosticators were age, alcoholic etiology, radiologic response to TACE, and performing curative treatments (liver transplantation, surgery, or ablation) after TACE.

Discussion

TACE is the most commonly used modality for the treatment of HCC [35]. Guidelines recommend this treatment for the intermediate (BCLC-B) stage, but its

wide application across nearly all HCC stages and the heterogeneity of BCLC-B patients make it difficult to identify the patients who more likely benefit from the procedure, and to estimate the possible survival advantage afforded by TACE. Over the last few years, this has stimulated the creation of a number of prognostic scores for patients undergoing this therapy. However, they all derive from analyses which consider survival after the first TACE procedure, regardless of post-TACE treatments that patients may have received. Indeed, important confounding factors derive from the fact that TACE is often repeated several times and the patient may have subsequently received treatments which are hierarchically superior to TACE. For example, this is the case of a patient undergoing thermal ablation after cancer debulking with TACE or, even more dramatically, if he/she undergoes transplantation or resection after downstaging with TACE. No available studies have been designed to capture these peculiarities related to sequential HCC management. In the present study, we used, for the first time in the setting of TACE for HCC, a time-varying analysis that takes into account the prognostic score/stage recalculated before each procedure and considers the sum of these survival intervals to determine the benefit of TACE. Time-varying analysis appears to be more appropriate to assess the OS in a disease like HCC, where the sequential use of different treatment modalities is very common. As most HCCs develop in a cirrhotic liver, cirrhosis itself is a major hurdle in the prognostic assessment and management of patients because both the therapeutic decision and its outcome are conditioned by the residual liver function. For these reasons, the TNM classification, the main staging system used in oncology, is not appropriate for HCC. Thus, we evaluated the prognostic performances not only of systems that take into account tumor characteristics together with indicators of liver function but also of systems that uniquely assess liver function, such as the ALBI grade. Using the time-varying approach, the TACE-dedicated mHAP-III score emerged as the best predictor of survival after this procedure. Such a model is the latest modification of the original HAP score, which classifies patients in 4 groups based on albumin, bilirubin, AFP, and tumor size [15]. Patients in groups C and D had a poor prognosis, and, importantly, they showed a higher benefit from systemic therapy or supportive care than from TACE. In 2015, Park developed the mHAP-II score, adding to the same variables the number of tumors. Even mHAP-II identifies 4 risk groups (A-D)

with different prognosis after TACE [16]. The last modification of the HAP score, named mHAP-III, was proposed by Cappelli et al. [17] in 2016 and relies on the same parameters of mHAP-II, but managed as continuous variables except for the number of tumors, which is analyzed as dichotomic variable. The reasons for the highest accuracy of mHAP-III could rely on the fact that this model includes parameters related to liver function, tumor burden, tumor aggressiveness (AFP), and a calibration of its components as continuous, instead of dichotomized, variables. This advantage can be exemplified considering that using mHAP-II, a patient with an HCC of 20 cm and albumin 2.1 mg/dL would have received the same points as a patient with a tumor of 6 cm and 3.5 mg/dL albumin. ALBI and pALBI are 2 scores proposed to overcome the limitations of the CPT score in assessing liver function [13, 14, 23]. In CPT class A, ALBI succeeded in distinguishing 2 prognostic subgroups, ALBI grade 1 and ALBI grade 2, with a 10-month difference in survival. More recently, Pinato et al. [18] validated the prognostic role of the ALBI grade across all BCLC stages. In pALBI, platelet count was added to include a surrogate marker of portal hypertension, and this prognostic model was proposed for CPT-A patients undergoing TACE [23]. However, these 2 scores did not perform very well in our TACE series, and the reason for this poor performance could rely on the fact that most (nearly 80%) of our patients belonged to ALBI grade 2. The same considerations apply to pALBI, the performance of which was nearly identical to the one of ALBI. Therefore, despite the promising results reported by other groups, ALBI and pALBI do not appear to be suitable tools to stratify candidates to TACE. We also evaluated the predictive ability of non-TACE-dedicated staging systems containing indicators of liver function. As already mentioned, the BCLC system indicates TACE as front-line treatment for BCLC-B patients. However, the BCLC-B box contains a considerably heterogeneous population in terms of liver function and tumor burden, making it possibly a highly variable outcome of this therapy. Indeed, the prognostic performance of BCLC was fairly poor in our study. Another pertinent consideration is that in clinical practice, TACE is performed in patients belonging to different BCLC stages, either as a result of a treatment stage migration (e.g., for a patient in whom resection or thermal ablation is unfeasible) or because of the preference/availability of the center. This habit was once more testified by our study, where the number of patients belonging to the BCLC-A and BCLC-B stages

was comparable, yielding a stage distribution that can explain the excellent median OS of our patients. Similarly, in a large group of patients who received care through the Veterans Administration, TACE was the preferred treatment modality for BCLC-A patients [9]. Other staging systems, such as MESIAH (partitioned in quartiles) and ITA.LI.CA, performed better than BCLC, possibly because they offer a more complete assessment of the patient, including an indicator of tumor aggressiveness, like AFP. Moreover, the ITA.LI.CA staging system had the second highest χ^2 and a low AIC value, confirming the excellent prognostic accuracy of this model. In 2014, Hucke et al. [20] proposed the “START strategy” to identify the best candidates for multiple TACE sessions. However, the accuracy of START model could not be examined in our study, since it includes serial measurements of C-reactive protein, not available in a sufficient proportion of our patients. Also, we could not consider the recent SNAVCORN score [21] because it includes the neutrophil-to-lymphocyte ratio, not systematically recorded in the ITA.LI.CA database. To our knowledge, the comparison of the performances of prognostic models in the setting of TACE is still largely unexplored. In fact, this topic has been addressed by a single-center study, including a small number of patients, that demonstrated the superiority of MESH over HAP, mHAP, JIS, and TNM [36]. Moreover, great caution should be applied in generalizing its results because only patients with HBV-related cirrhosis were enrolled and most of them belonged to the BCLC-C stage. In a multicenter study, Park et al. [37] evaluated the prognostic value of 3 HAP-related scores (HAP, mHAP, and mHAP-II) before the first and second round of TACE in 619 patients, showing that mHAP-II had the greatest accuracy in predicting OS. However, also this study included Asian patients, two-thirds of whom had chronic HBV infection. Remarkably, our multicentric study included >1,000 patients with different cancer stages and different forms of chronic liver disease, among which HCV infection prevailed. The identification of mHAP-III as the best predictor of the outcome of TACE suggests that the good performance of this score should be validated by prospective studies recruiting an appropriate number of patients. Moreover, the identification of other independent prognosticators beside mHAP-III, such as age, alcoholic etiology, radiologic response to TACE, and post-TACE curative treatments, could be the background for the development of a novel and more efficient score starting from the mHAP-III one.

Some limitations of this study need to be acknowledged. As for all studies based on the ITA.LI.CA database, the present one is retrospective, making it impossible to exclude that unintended biases affected its results. Additionally, the patient management was not a priori standardized among participating centers and it is plausible that it was not uniform across them. However, this element, together with the high number of enrolled patients, implies that the observed performances of prognostic models may be confidently considered valid for our nationwide clinical practice. Conversely, as the number of non-Caucasian patients was extremely low, the validity of our results cannot be extrapolated to different ethnic populations. In conclusion, among the large number of tested models, mHAP-III showed the best accuracy in predicting OS of HCC patients undergoing TACE. Other clinical features were also found to be relevant for this prediction. These data emphasize the importance of including not only tumor characteristics and liver function tests but also age, response to treatment, and potential amenability to post-TACE curative therapies in order to assemble an optimal model measuring the survival benefit provided by TACE.

Appendix

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Statement of Ethics

The ITA.LI.CA database management conforms to the current Italian legislation on patient confidentiality, and its assembly and related retrospective studies were approved by all participating institutions (approval of the permanent ITA.LI.CA registry released on May 15, 2012, Protocol No. 99/2012/O/Oss; local study approval ref. CEAVC 14555). This study conforms to the ethics guidelines of the Declaration of Helsinki. All patients or their guardians provided written informed consent to having their data recorded in an anonymous way in the ITA.LI.CA database.

Conflict of Interest Statement

The authors report the following conflicts of interest related to the present work: Francesco Tovoli: consultant for Bayer and La-Force Guerbet. Fabio Marra: consultant for Bayer, Ipsen, and Eisai and received travel support from Bayer.

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C.C. and A.V. equally contributed to the present study and are joint first authors. F.T. and F.M. equally contributed to the present study and are joint senior authors. G.D., U.A., G.L., U.C., E.G.G., F.T., G.L.R., M.D.M., E.C., M.Z., R.S., G.C., A.M., M.G., A.G., G.S.B., F.G.F., G.M., A.M., G.N., G.R., F.A., G.V., M.R.B., and F.F. managed patients in each center, contributed the data, and revised and approved the manuscript.

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