

Article **Computational Design Optimization for S-Ducts**

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- Abstract: In this work, we investigate the computational design of a typical S-duct that is found in
- ² the literature. We model the design problem as a shape optimization study. The design parameters
- ³ describe the 3D geometrical changes to the shape of the S-duct and we assess the improvements
- to the aerodynamic behavior by considering two objective functions: the pressure losses and the
- swirl. The geometry management is controlled with the Free-Form Deformation (FFD) technique, the
- analysis of the flow is performed using steady-state computational fluid dynamics (CFD), and the
- 7 exploration of the design space is achieved using the heuristic optimization algorithm Tabu Search
- 8 (MOTS). The results reveal potential improvements by 14% with respect to the pressure losses and by
- 71% with respect to the swirl of the flow. These findings exceed by a large margin the optimality level
- that was achieved by other approaches in the literature. Further investigation of a range of optimum
- ¹¹ geometries is performed and reported with a detailed discussion.

¹² Keywords: S-duct design; computational design; stochastic optimization; Tabu Search; Free Form

13 Deformation

14 1. Introduction

S-shaped ducts of rectangular or circular cross-section have been widely investigated to better
 understand and characterize the flow field inside them at different inlet condition. In particular, for
 their potential contribution in noise and drag reduction, S-Ducts as aero-engine intakes are of great
 interest in the propulsion field.

Liebeck [1] widely illustrated how a blended wing body configuration can be a potential 19 breakthrough in subsonic transport efficiency. However, propulsion systems highly integrated with 20 the air-frame, employing an S-Duct as intake diffuser, are affected by high levels of flow unsteadiness 21 and distortion. This is driven by secondary flow and local flow separation due to the adverse pressure 22 gradient typical of curved intakes. The main consequence of this characteristic is swirl and not uniform 23 distribution of total pressure at the inlet of the compressor. This could potentially lead to unexpected 24 stall and mechanical vibrations which can compromise the operational life of the entire propulsive 25 system [2], [3]. Encouraging solution to this problem are mechanical vortex generators as proposed by 26 Delot *et al.* [4] and active jets as proposed by Gissen *et al.* [5]. 27 Multi objective optimization is another interesting method employed to this purpose. Nowadays 28

²⁹ CFD solver has allowed to study the flow field behavior throughout a duct with accuracy in a relatively
 ³⁰ short time. Thanks to this, an optimization algorithm can be employed to deform the geometry of an
 ⁶ Duct. In this way, it is possible to search for the best configuration which reduce flow unsteadinges

S-Duct. In this way, it is possible to search for the best configuration which reduce flow unsteadiness
 and distortion.

33 2. State of the art

In recent works [6,13] free-form deformation method coupled with multi-objective genetic algorithm was employed to improve aerodynamic characteristic in a diffusing S-Duct. The aim ³⁶ of this work was the reduction of flow distortion and pressure losses through optimization of the

intake shape by means of CFD. In Fig. 1 it is explained the optimization loop implemented in these

38 works.



Figure 1. Optimization loop.

As baseline was chosen a known geometry experimentally [4,7,14,15] and numerically [16,17]

⁴⁰ investigated in previous works. The deformation of the geometry was performed implementing the

⁴¹ FFD method. This provides for the creation of a parallelepipedic lattice which enclose the entire duct.

⁴² The lattice nodes are named control points since their movement in space lead to deformations in the

duct shape. Both in [6] and [13] were employed the same parameterization with a total of 80 control

⁴⁴ points and 240 degrees of freedom.

The rapid increase in computer computational speed makes these kind of studies possible. However to obtain good results from a CFD simulation of a 3D S-Duct, the computational time

⁴⁶ However to obtain good results from a CFD sinulation of a 5D 5-Duct, the computational in

required is still relatively high and perform an optimization process with 240 degrees of freedom is
 impractical. For that reason, in addition to geometrical and manufacturing constraint, some other

precautions has been taken into account and the number of degrees of freedom reduced to 36.

To evaluate the duct aerodynamic characteristic, total pressure leakage—described by the pressure coefficient (*CP*)—and swirl angle (α) at the aerodynamic interface plane (AIP) where taken into account. This two parameters are considered the ones that most influence the flow uniformity at the AIP.

This two parameters are considered the ones that most influence the flow uniformity at the AIP. The optimization algorithm adopted is the brain of this loop. Its task consist to evaluate the

¹objective functions obtained from CFD simulations and change the position of control points leading

to a new configuration of the duct. The modalities and the extent of these movements is what distinguishes the quality of a given optimization algorithm.

There are several different type of optimization algorithm. In [6] the Non-dominated Sorted

⁵⁸ Genetic Algorithm [18] was employed. The Pareto front obtained after 360 evaluations shows a

maximum total pressure losses reduction of 20% and a maximum swirl reduction of 10% (Tab. 1). In

⁶⁰ [13] the Genetic Diversity Evolutionary Algorithm [19,20] was employed. The Pareto front obtained

after 348 evaluations shows a maximum total pressure losses reduction of 24% and a maximum swirl

reduction of 19% (Tab. 2). Both of this works employ an S-Duct of circular shape.

			Indivi	dual CP	$\alpha \ [de]$
Individual	СР	α [deg]	Baselir	ne 0.0315	3.410
Baseline	0.0310	3.3978	Best sv	virl 0.0302	2.750
Best CP	0.0251	3.3657	Trade-	off 0.0239	2.820
Best swirl	0.0267	2.9764	Trade-	off 0.0288	2.770
Trade-off	0.0251	3.2827	Best C	P 0.0237	3.420

Table 1. Pareto front obtained with NSGA-II after 360 evaluations.

64	In order to compare the results obtained from different algorithm, in this work we implemented
65	the same optimization loop employing the Multi Objective Tabu Search algorithm [12]. Same baseline
66	geometry was adopted and shape deformations were still performed with FFD method. However,
67	differently from the works above mentioned, a new duct parameterization was implemented allowing
68	a more intuitive and accurate deformation, employing the same number of control points. For CFD
69	simulations RANS equation with $k - \omega$ SST turbulence model were adopted to simulate flow field.
70	Performance of the S-Duct were evaluated in terms of pressure losses and stream-wise vorticity.

3. Methods 71

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3.1. Baseline geometry configuration 72

The geometrical model implemented as baseline configuration was designed as defined in 73 Wellborn et al [7]. The duct centerline is defined by two planar circular arcs with same radii, R, 74

and subtended angles, $\theta_{max}/2$. Its coordinates are defined by the following equations: 75

For $0 \le \theta \le \theta_{max}/2$

$$x_{cl} = R\sin\theta \tag{1}$$

$$y_{cl} = R\cos\theta - R \tag{2}$$

$$z_{cl} = 0 \tag{3}$$

For $\theta_{max}/2 \le \theta \le \theta_{max}$

$$x_{cl} = 2R\sin\theta - R\sin\theta_{max} - \theta \tag{4}$$

$$y_{cl} = 2R\cos\theta - R - R\cos\theta_{max} - \theta \tag{5}$$

$$z_{cl} = 0 \tag{6}$$

All cross-section perpendicular to the centerline were circular with radius defined as follow:

$$\frac{r}{r_1} = 1 + 3\left(\frac{r_2}{r_1} - 1\right)\left(\frac{\theta}{\theta_{max}}\right)^2 - 2\left(\frac{r_2}{r_1} - 1\right)\left(\frac{\theta}{\theta_{max}}\right)^3 \tag{7}$$

where r_1 and r_2 are the inlet and the outlet radius respectively. Both centerline and radius distribution 76 are a function of the arc angle θ . 77

In order to validate our flow simulation result, we chose the same parameters adopted by Delot 78

[9] as described in Tab. 3. 79

Table 2. Pareto front obtained with

GEDEA-II after 348 evaluations.

3 of 23

Parameter	Value
θ_{max}	60°
R	0.6650m
r_1	0.0665 <i>m</i>
r_2	0.0820 <i>m</i>

Table 3. S-Duct baseline geometry parameters

Fig. 2 represent a section of the overall baseline geometry in the x - y plane which is a symmetry plane for the duct. To obtain more accurate results, we introduced two additional parts:

• at the inlet, a cylindrical duct eight times longer than the inlet radius. Its purpose is to ensure uniform inlet conditions;

• at the outlet, a cylindrical duct six times longer than the outlet radius. Its purpose is to guarantee

that the outlet conditions do not have any influence on the upstream flow.

All the flow distortion parameters are evaluated at a cross-sectional plane, the AIP, located downstream the outlet as explained in Fig. 2 and Tab. 4.



Table 4. Overall geometry parameters

Figure 2. S-Duct scheme.

88 3.2. Geometry parameterization

Since the main target of this work is the optimization of an S-Duct, the description of the entire geometry with a flexible and simple method becomes of crucial importance. Purpose of parameterization is reduce the number of geometric parameters (decision variables) necessary to draw the geometry, which translates into a reduction of the overall optimization computational cost. Furthermore, parameterization should also allows an efficient modification of the shape of the S-Duct.

FFD [10] is the method employed to parameterize and deform the baseline geometry. It consists 94 of embedding the considered geometry into a 3D parallelepipedic lattice regularly subdivided which 95 nodes are called control point. The position of each point in the considered geometry is described by a 96 weighted sum of the control points position. We implemented this method adopting the following 97 simplification: 98

- since our S-Duct is symmetric with respect to the x y plane, we decided to design and simulate 99 only half of the duct in order to reduce the computational cost; 100
- 101 102

103

• we consider the cylindrical ducts added after and before the S-Duct of fixed geometry, as manufacturing constraints. This means that the only part that have to be parameterized is the S-Duct itself.

Since there is nothing inside the duct (the mesh will be created in a later time), the entire geometry 104 can be described only by the external surface. Therefore a 2D lattice can be adopted. For that reason, 105 the best position for the control point would be on the surface of the S-Duct. Following that reasoning, 106 *l* equally spaced semicircular cross-section perpendicular to the centerline can be defined. On each of 107 them we can define *m* equally spaced control point. 108

However this solution does not represent a parallelepipedic lattice, or rather, it represents it 109 but only in a local system of reference integral with the surface. A possible solution can be define a 110 transformation of coordinate from the Cartesian system of reference to the new one. Here perform 111 the FFD and in the end define a second transformation of coordinate that bring back to the Cartesian 112 reference the deformed geometry. 113

This method is accurate and precise, but complex to the point of increasing the overall computational cost. To overcome this problem, we implemented a similar and simpler solution. 115 We considered a planar rectangular surface, as in Fig. 3, on which we could easily defined a 116 parallelepipedic lattice. 117



Figure 3. Parallelepipedic lattice defined on a plane surface in the symmetry plane (plane x - y) of the S-Duct. Dotted lines represent the duct projection on this plane: that courves define the main lattice dimensions

In this case the FFD is mathematically described by the following equation:

$$X_{ffd} = \sum_{i,j=0}^{l,m} B_i(s) B_j(t) P_{ij}$$
(8)

where: 118

- X_{ffd} is a vector containing the Cartesian coordinates of the displaced point;
- *l*, *m* are the number of control point in *S* and *T* direction respectively;
- $B_k(u)$ are the degree 3 Bernstein polynomials;
- *s*, *t* are the generic point coordinate in the *S T* system of reference ($0 \le s \le 1, 0 \le t \le 1$);
- P_{ij} is a vector containing the Cartesian coordinates of the control point.

If now we move every control points of a fixed *S* to a cross-section, perpendicular to the centerline, in the baseline geometry as described above (see Fig. 4) and then we perform the FFD on the plane surface, what we obtain is a result similar to baseline geometry.



Figure 4. Generic-cross section. Black semicircular line represent the baseline geometry. The blue line represent the deformed geometry when the control points $P_1 - P_6$ are equally spaced on the baseline geometry section.

The main problem of this solution is that the control points do not interpolate the surface, but they are only close to it. To obtain a closer result, we modified the control points position as follow:

1. In every cross-section, the deformed geometry is described by a Bezier curve, that is a 1D formulation of the 2D initial FFD problem:

$$X_{ffd} = \sum_{i=0}^{m} B_j(t) P_i \tag{9}$$

Fixed m = 6, we inverted this equation in order to find the control points position that correctly interpolate a semicircle. To do this, we imposed the following constraints:

where r is the semicircle radius in the particular cross-section. After some calculations we obtained:

$$z_{P_2} = z_{P_5} = r \frac{4(8\sqrt{2} - 9)}{15} \tag{10}$$

$$z_{P_3} = z_{P_4} = r \frac{2(21 - 8\sqrt{2})}{15} \tag{11}$$

$$y'_{P_3} = -y'_{P_4} = r \frac{2(64\sqrt{2} - 79)}{45} \tag{12}$$

In order to guarantee tangential condition at the inlet and at the outlet, the control points in the inlet section are copied and translated shortly after. The control points in the outlet section are copied and translated shortly before.

In previous works [6] the parameterization of the same baseline geometry were performed with a 3D parallelepipedic lattice. This solution allows to recreate a precise baseline geometry. However, since our final purpose is to deform the S-Duct geometry, starting from a slightly different shape wont affect the final result. Furthermore our solution allowed us to modify the duct geometry more efficiently and accurately since all the control points lie near the duct surface.

The degrees of freedom (dof) of our new parameterization can be defined as follow:

- The control point in the first two cross-section from the S-Duct inlet and the last two before the outlet are fixed. This is due to manufacturing constraints.
- Referring to Fig. 4, in every other cross-section we have:
- Point on the symmetry plane (P_1, P_6) can only move on the symmetry plane $(dof_{P_1} = 2, dof_{P_2} = 2)$. - To maintain tangency condition, point P_2 and P_5 have the same x and y coordinates as P_1
- and P_6 respectively. They can move in z direction ($dof_{P_2} = 1$, $dof_{P_5} = 1$).

- point P_4 and P_5 can move in the space $(dof_{P_3} = 3, dof_{P_4} = 3)$.

This means that every cross-section have 12 *dof*. In previous work [6], 36 *dof* were imposed. Therefore to maintain the same number, three cross-section between the two fixed section at the inlet and outlet were imposed in our parameterization (l = 7).

158 3.3. S-Duct Performance metrics for the optimization

In this work a multi-objective optimization was performed. Two objective functions were considered to quantify the S-Duct performance during the optimization process:

1.

$$f_1 = 1 - \overline{PR} \tag{14}$$

which describe the pressure coefficient *CP* while *PR* represent the non dimensional area-averaged total pressure recovery:

$$PR = \frac{p_{0,AIP}}{p_{0,inlet}} \tag{15}$$

2.

$$f_2 = |\overline{\alpha}| \tag{16}$$

where α represent the swirl angle i.e. the ratio between the tangential and the axial components of the velocity vector. It is defined as follow:

$$\alpha = \arctan\left(\frac{V_{\theta,AIP}}{V_{x,AIP}}\right) \tag{17}$$

Tangential velocity has been calculated as:

$$V_{\theta} = \sqrt{V_y^2 + V_z^2} \tag{18}$$

Even if arctan is an odd function, in this case we can neglect the sign of its arguments—i.e. the sign of V_{θ} —since we are only interested in the swirl angle absolute value.

Both objective functions were evaluated in the barycenter of each mesh cells at the AIP. Then the global value was defined through an area-average integral.

165 3.4. Optimization method

To minimize pressure losses and swirl angle we interfaced our parameterization with *Nimrod/O*, an open source tool for distributed optimization [11]. This tool gave us the possibility to choose among different optimization methods and in particular we chose the Multi-Objective Tabu Search-2 (MOTS2) based on the MOTS algorithm described in Jaeggi *et al.* [12].

All the 36 parameters described above are free to move inside a parallelepipedic box that enclose the S-Duct:

- *x*-direction: between S-Duct inlet and outlet
- *y*-direction: $[-10.5r_1, 9r_1]$
- *z*-direction: $[-4.5r_1, 9r_1]$

In addition to the parameterization constraints, the following were defined in order to avoid unfeasiblegeometry during optimization:

• for line upper (UP) and lower (DW) curves in the symmetry plane:

$$y_{UP}(x) > y_{DW}(x) \tag{19}$$

• Referring for simplicity to the generic cross-section in Fig. 4, if $y_{P_4} < y_{P_3}$:

$$y_{P_4} - y_{P_3} < r1 \tag{20}$$

• with $X_{P_i}[i]$ we indicate the *j* control point *x*-range in the generic *i* cross-section:

$$X_{P_i}[i-2] \le X_{P_i}[i] \le X_{P_i}[i+2]$$
(21)

177 3.5. Computational method

178 3.5.1. Flow simulation

The objective functions of every deformed ducts were evaluated from the result of a 179 pressure-based steady-state RANS simulation. In [8] the performance of different turbulence models 180 for the RANS simulation of the flow in the same S-Duct studied by Wellborn et al. [8] are compared. The four equation transition SST model provided the best match with the experimental data. However 182 due to the high computational cost associated with this model, the $K - \omega$ SST model was adopted for 183 further investigations since it provided similar results at a reasonable computational cost. Therefore, 184 for our work $K - \omega$ SST model was set up. As explained in next sections, simulation results has been 185 validated comparing them with experimental results showed in [9]. 186 During optimization, simulations were carried out running the first 200 iterations with the first 187

order of solution accuracy for all the flow parameters. For the other 500 iteration all the parameters were set to the second order. A total of 700 iterations was performed in order to have every residual around 10^{-5} .

¹⁹¹ 3.5.2. Mesh generation

To chose the appropriate mesh for our simulations, we created a series of different meshes for the same topology used in [9]. The differences among this meshes are in terms of number of mesh element, first layer thickness and growing rate. In this way we wanted to find the best mesh parameters combination which reproduces experimental result in [9].

In Fig. 5a is represented this comparison: as we increase the number of mesh cells, *PR* is getting closer and closer to the experimental result. For a number of mesh elements higher than 1.7 million the numerical results seem to start to oscillate around an average value. Similar behavior can be find in Fig. 5b for the swirl.

Thanks to these results, we chose the mesh showing the closer behavior to the experimental results. For every new geometry a structured mesh of around $1.8 \cdot 10^6$ nodes was generated. Every mesh shares the same general properties in order to guarantee comparable results. An H-grid structure was imposed in the center of the duct section and an O-grid structure around the walls (Fig. 6).



Figure 5. Cross-section mesh topology.

The first layer thickness on the wall was imposed to ensure that the y^+ would be smaller than 1 over the full domain: with a first layer thickness of $2 \cdot 10^{-6}$ we obtained a maximum y^+ of about 0.8. The expansion ratio from the wall was set equal to 1.05. The number of nodes in each cross-section is approximately 6000, while the number of cross-section is 360.

208 3.5.3. Boundary conditions

Boundary conditions were applied to match the experimental condition described in [9] and collected in Tab. 5.

Table 5. Boundar	y conditions f	for the simulations
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Parameter	Value
Inlet total pressure	88744Pa
Inlet static pressure	69575Pa
Outlet static pressure	78982Pa
Total temperature	286.2K



(a)



Figure 6. (a) *PR* and (b) *swirl* angle as a function of the number of mesh elements. The red solid line in (a) represent the experimental result form [9].

211 4. Results

212 4.1. Baseline analysis

In the previous section we used experimental results and in particular the area averaged pressure recovery at AIP as a reference point in order to choose the mesh with the most appropriate characteristics. In this subsection we will investigate these results more in depth in order to validate the baseline geometry used as optimization starting point. In Fig. 7 it is shown the AIP pressure recovery in three different situations. In (**a**) we have the experiment carried out by Delot [9]. In (**b**) we have our numerical results obtained for an exact copy of the geometry used by Delot. In (**c**) we have our numerical results obtained reproducing the baseline geometry employing our new parameterization.



Figure 7. Baseline pressure recovery comparison: (**a**) Delot Experiment [9], (**b**) CFD simulation with same geometry, (**c**) CFD simulation with geometry obtained from our new parameterization.

Similar behavior can be detected in all the three images which confirm a coherent behavior 220 between simulations and experiment. In Tab. 6 we can compare the area averaged pressure recovery 221 in the three cases. As expected, we obtained almost the same PR in all the three cases and in particular 222 the percentage error between baseline (a) and (b) is only 0.0515%. It is interesting to note how the 223 baseline (c) shows an increase of about 0.1% in PR and a reduction of about 11.1% in Swirl with respect 224 to baseline (b). This means that the baseline geometry obtained with our new parameterization is 225 already itself an optimized solution. For that reason, to compare our final optimized results, we will 226 use baseline (b) since it is the closest result to Delot experiment in terms of geometry. 227

	PR	Swirl [deg]
Baseline (a)	0.9711	
Baseline (b)	0.9706	4.8511
Baseline (c)	0.9715	4.3540

Table 6. S-Duct performance in baselines geometry : (**a**) Delot Experiment [9], (**b**) CFD simulation with same geometry, (**c**) CFD simulation with geometry obtained from our new parameterization.



Figure 8. The Pareto front and the history of the optimization study.

The final results of our optimization are outlined in Fig. 8. The baseline objective functions are indicated with a violet diamond. As already said, this is not the optimization starting point which instead is represented by a red dot. This point represent the value of the objective function of the geometry obtained employing our new parameterization. We performed a total of 1300 evaluations which produced the Pareto front highlighted by the green dots. This result however shows some discontinuity in the Pareto front which means that not all the design space has been explored and more evaluations are needed.

Despite this, our optimization already shows remarkable results as enlighten in Tab. 7 in which 236 there are collected the objective functions value for the two extreme point and some trade-off solutions 237 on the Pareto front. The solution with minimal total pressure losses is named opt_{CP} and shows a 238 reduction of about 14.3% compared to the baseline geometry. The solution with minimal swirl is 239 named opt_{α} and shows a reduction of about 70.9% compared to the baseline geometry. The trade off 240 solution are named opt_1 , opt_2 and opt_3 and they are the point on the border of the main discontinuity 241 in the Pareto front. opt_1 and opt_2 have similar CP but different swirl angle; opt_2 and opt_3 instead has 242 similar swirl angle and different CP. 243

	СР	Improvement	Swirl [deg]	Improvement
Baseline (b)	0.0294		4.8511	
opt _{CP}	0.0252	14.3%	3.2560	32.9%
opt ₁	0.0261	11.2%	2.5216	48.0%
opt ₂	0.0262	10.9%	1.9972	58.8%
opt ₃	0.0264	10.2%	1.9713	59.4%
opt_{α}	0.0275	6.5%	1.4109	70.9%

Table 7. Objective functions comparison between the Baseline geometry, the extreme point and three trade-off solutions in the Pareto front.

Analyzing the AIP distribution of total pressure we can see how in opt_{CP} (Fig. 9a) the low total pressure area near the lower duct portion has almost the same dimensions as the baseline, while the mean total pressure value has increased. However, a second and smaller low total pressure area appeared: its dimension is still small **and** its extension is confined near the external surface. Furthermore, we can see a general reduction in pressure losses near the external duct surface. If we consider now opt_{α} (Fig. 9b) we can see how this second area increase in dimension to the point of equaling the main area. The latter has considerably diminished its dimensions compared to the baseline, however the presence of this second low total pressure area frustrates any improvements.

To understand this behavior we have to compare the geometry of the optimized ducts. In Fig. 252 10 there are a series of cross-section perpendicular to x-direction from opt_{CP} and opt_{α} showing total 253 pressure contours. The appearance of the second low total pressure region we discuss earlier occurs in the second half part of the duct and its presence is relative to the particular shape that the duct 255 assumes in the first half part: here opt_{CP} approaches a rectangular shape while opt_{α} approaches a 256 triangular shape. From Fig. 11 we can see that in the first half part of the duct both opt_{CP} and opt_{α} 257 has the same cross-section area, however its distribution is completely different. In opt_{CP} the area 258 distribution is almost symmetrical with respect to xz-plane instead in opt_{α} , since the triangular shape, the area distribution is mainly concentrated in the upper half part of the duct. To satisfy the constraint 260 of circular cross-section at the outlet, each ducts undergo a deformation in their second half part. In 261 correspondence of this enlargement occurs a second boundary-layer separation (Fig. 12) which leads 262 to the creation of the secondary lower total pressure region. This behavior is much more evident in 263 opt_{α} since the transformation from triangular to circular shape in the lower part of the duct is much deeper and sudden. 265

In [21] a similar optimization was performed on a S-Duct with rectangular cross-section. The best solutions in terms of CP reduction show values smaller than 0.05. Even if our best CP is several times greater than this result, it is interesting to note how our optimization lead to find a best solution in terms of CP reduction characterized by a rectangular cross-section.

Fig. 13 illustrates the axial velocity distribution on the symmetry plane: here we can observe a significant shrinking of the separation bubble for all the optimal solutions compared to the baseline. Also in this case opt_{CP} and opt_{α} show two different behavior: while in the first case the separation region is restricted just after the first duct bent, in the second case we can see a long separation area which runs for all the S-Duct length. Despite this, it remains very narrow and adjacent to the wall. Same behavior is shown by opt_2 and opt_3 , while opt_1 is much similar to opt_{CP} .

The size of the separation bubble is quantified from the distribution of the *x*-component of the wall shear stress on the duct wall. The length of the recirculation region is calculated as the axial length for which we detected a negative shear stress, as outlined in Fig. 14. The baseline geometry shows a wide recirculation area located in the second half part of the duct. In opt_{CP} and opt_1 , instead, we can observe a reduction on the axial velocity in the lower part of the duct: opt_{CP} shows only a small and very weak recirculation region in the first half part of the duct while opt_1 shows no recirculation at all. High flow distortions are responsible for the low total pressure area in a S-Duct. However, even if opt_1 doesn't show any recirculation area it isn't the best solution in terms of pressure losses reduction. This is due to its cross-section shape that, like opt_{α} has, is triangular which means the presence of a second low total pressure region.

For opt_2 , opt_3 and opt_{α} , instead, the recirculation area is clearly evident and it occurs in the initial/central part of the duct upstream of where it occurs in the baseline. Furthermore opt_3 and opt_{α} 287 show a secondary and weaker recirculation region towards the end of the S-Duct. Despite this, the 288 separation region remains always very narrow and close to the duct lower wall. This behavior comes 289 from two different geometric factors. The first is the ducts profile on the symmetry plane: the lower 290 curve starts with a strong and fast downward bent followed by a constant slope section that ends at the outlet fitting (Fig. 15). This are the reason of the early separation in comparison with the baseline. The 292 second is the cross-section area distribution: unlike the baseline and opt_{CP} , all the other ducts present 293 a first fast increase in the cross-section area followed by a local minimum and a second fast increase 294 (Fig. 11) characterized by a similar slope as the first part. This means that at about three quarter of 295 these ducts there is a gauge as highlighted also by the upper line in the symmetry plane section (Fig. 15). This gauge force the flow to increase its velocity and, in particular, to decrease its static pressure 29 through it. 298

To better understand this last statement we have to consider Fig. 16 in which are represented the 299 static pressure profile in different cross-section of opt_{CP} and opt_{α} . As already experimentally observed 300 in Wellborn *et al* [3,7], *opt_{CP}* shows an inversion in the pressure gradient direction about halfway along 301 the length of the duct. This explain why in this geometry (together with the baseline and opt_1) the 302 separation region is pushed towards the upper wall continuing to increase its size. In opt_{α} instead, 303 static pressure is almost constant in the first half part of the duct and a weaker pressure gradient with 304 respect to opt_{CP} appears only in the second half part. Same behavior is shown by opt_2 and opt_3 . This 305 explains both the long narrow separation region and the second separation region in opt_3 and opt_{α} . 306

The swirl reduction is the main achievement of this numerical simulation. In fact, in opt_{α} we 30 obtained a impressive reduction of mean swirl angle of about 70% at the AIP. Here the swirl angle has 308 a maximum value of 7.9[deg], almost one third with respect to the baseline (24.3[deg]). If we consider 309 the contour plot at the AIP (Fig. 17) we can see how α differs from zero only in the lower part of the 310 duct. Furthermore opt_{CP} , even if it represent the worst solution in terms of swirl angle reduction, 311 shows a substantial improvement of about 30%. Remembering the definition of swirl angle (Eq. 17), to 312 explain this achievement we have to analyze the axial and tangential velocity distribution: all depends 313 on the ratio of this two quantities at the AIP. In Fig. 13 it is represented the axial velocity profile in 314 different cross-section of opt_{PC} and opt_{α} . At the AIP we can observe a similar velocity distribution 315 with the exception of presence of the second low total pressure area in the first half part of opt_{α} . For 316 that reason swirl angle is strongly linked to tangential velocity distribution. 317

In Fig. 18 it is represented the absolute value of tangential velocity profile in different cross-section of opt_{PC} and opt_{α} . At the AIP the tangential velocity is close to zero in the upper part of both duct. 310 The lower part instead, is characterized by higher values due to the separation region. Here we can in 320 fact distinguish two regions of high tangential velocity just in correspondence to the two separation 321 region, one on the symmetry plane and one near the external wall. The extreme low tangential velocity 322 value in opt_{α} is, also in this case, linked to the particular triangular shape of this duct. As already said, 323 the strong area increase in the ending and lower part of the duct due to the transition from triangular 324 to circular cross-section implement the diffusing duct characteristic leading to an increase in static 325 pressure and a strong reduction in tangential velocity. 326



Figure 9. Total pressure distribution at AIP. (a) Comparison between Baseline and opt_{CP} . (b) Comparison between Baseline and opt_{α} .



Figure 10. Total pressure distribution in different cross-section: comparison between opt_{CP} (left) and opt_{α} (right). Every cross-section is perpendicular to *x*-direction and situated at $x = 2.5r_1$, $x = 4r_1$, $x = 5.5r_1$, $x = 7r_1$, $x = 8.5r_1$, $x = 10r_1$ (S-Duct outlet) and $x = 11r_1$ (AIP) from S-Duct inlet.



Figure 11. S-Duct cross-sections area in optimized solutions compared with Baseline. *y*-axis represent the ration between the area of the generic cross-section perpendicular to *x*-direction and inlet area.



Figure 12. Axial velocity distribution in different cross-section: comparison between opt_{CP} (left) and opt_{α} (right). Every cross-section is perpendicular to *x*-direction and situated at $x = 2.5r_1$, $x = 4r_1$, $x = 5.5r_1$, $x = 7r_1$, $x = 8.5r_1$, $x = 10r_1$ (S-Duct outlet) and $x = 11r_1$ (AIP) from S-Duct inlet.



Figure 13. Axial velocity distribution on symmetry plane in optimized solutions compared with Baseline.



Figure 14. Recirculation region in optimized solutions compared with Baseline. Blue line represent the total S-Duct axial length. Black lines represent the axial length of recirculation region. Numbers over each lines states the minimum values of *x*-wall shear stress. Black dot in correspondence of opt_1 indicates the position of the minimum (positive) value of *x*-wall shear stress for that geometry.



Figure 15. S-Duct geometry on symmetry plane in optimized solutions compared with Baseline.



Figure 16. Static pressure distribution in different cross-section: comparison between opt_{CP} (left) and opt_{α} (right). Every cross-section is perpendicular to *x*-direction and situated at $x = 2.5r_1$, $x = 4r_1$, $x = 5.5r_1$, $x = 7r_1$, $x = 8.5r_1$, $x = 10r_1$ (S-Duct outlet) and $x = 11r_1$ (AIP) from S-Duct inlet.



Figure 17. Swirl angle distribution at AIP. (a) Comparison between Baseline and opt_{CP} . (b) Comparison between Baseline and opt_{α} .



Figure 18. Absolute value of tangential velocity distribution in different cross-section: comparison between opt_{CP} (left) and opt_{α} (right). Every cross-section is perpendicular to *x*-direction and situated at $x = 2.5r_1$, $x = 4r_1$, $x = 5.5r_1$, $x = 7r_1$, $x = 8.5r_1$, $x = 10r_1$ (S-Duct outlet) and $x = 11r_1$ (AIP) from S-Duct inlet.

4.3. Multidimensional data analysis of the optimization process

In addition to the flow analyses we performed an analysis of the whole design space of the optimization problem. This requires the simultaneous visualization of the design parameters and objective functions, which results in 38 dimensions. We use Parallel Coordinates [22] for the visualization of the multidimensional space, and the interactive approach as initially proposed in [23] and expanded in [24] for computational engineering design data.

In Fig. 19 is presented the visualization of the whole history of the optimization study and a region close to the Pareto front is selected. The design parameter x23 exhibit a particular characteristic; high values reflect the compromise region, a specific value reflects the region for the lowest swirl, and lower value reflect the region for the lowest pressure losses. Fig. 20 shows the three different selections for x23 values.



Figure 19. The complete dataset is represented in Parallel Coordinates and the two objective functions in the Scatter plot. A selection of the Pareto front is highlighted in blue.



Figure 20. Three interval selections expressed for design parameter x23 and the reflection to the regions of optimality close to the Pareto front.



Figure 21. Comparison between the groups of solutions in the compromise region and extreme optimality for the swirl objective function (highlighted in blue).

If we interactively exploit in more detail the multidimensional dataset we can identify from Fig. 21 that two of the three selected optimum regions exhibit very few differences, which can be analyzed in three group of parameters, x12 and x13, x23 and x24, and x10 (highlighted in red circles). These are when we select the compromise region and the one that exhibit the lowest swirl, flow distortion. In contrast, the region that exhibits the lowest pressure losses is expressed with considerable different ³⁴³ combination of the design parameters, when compared to the other two regions of the Pareto front. In
 ³⁴⁴ Fig. 22 the differences between these sets of solutions are exposed and highlighted with red circles.



Figure 22. Comparison between the groups of solutions in the compromise region (highlighted in blue) and extreme optimality for the pressure loss objective function.

Hence, we can argue that two families of solutions were identified that cover the whole spectrum of optimality when we consider the flow distortion and the pressure losses.

347 5. Conclusions

This paper presents the computational method implemented to reduce pressure losses and flow 348 distortion in a S-Duct. The design problem was modeled as a shape optimization study which 349 means that the behavior of different S-Duct shapes is evaluated and compared to another considering 350 important flow characteristics. In this case two main objective functions, that have to be minimized, 351 were chosen: the pressure losses and the swirl. Starting from a typical S-Duct, to manage the geometry 352 the FFD technique was employed adopting a new simple and flexible parameterization which allowed 353 to reproduce the entire 3D duct shape with 36 decision variables. The objective functions of every 354 deformed duct were evaluated from the result of a pressure-based steady-state RANS simulation, 355 while the exploration of the design space was achieved using the heuristic optimization algorithm 356 Tabu Search. 357

Results of this optimization are remarkable showing a reduction of about 14% with respect 358 to the pressure losses and 71% with respect to the swirl angle. Compared to previous works, an 359 extremely high improvement was achieved in terms of swirl angle, while in terms of pressure losses 360 improvements are slightly lower. This fact is mainly due to the different parameterization approaches. 361 The number of design variables employed in this paper are the same as in previous work, however 362 their initial position with respect to the duct is completely different. In previous works a 3D FFD was 363 employed embedding the duct geometry in a 3D parallelepipedic lattice. This kind of parameterization allows to exactly reproduce the original geometry, however several control points are far from the 365 duct therefore their contribution to the duct deformation is very weak. In this paper instead the entire 366 geometry was described using only the external duct surface, which allowed to describe a 3D geometry 367 with a 2D formulation. In this way all the control points are almost on the duct external surface leading 368 to a deeper and more flexible deformation.

Considering the optimized geometry it is possible to distinguish two main different shapes. Geometries that show best behavior in terms of pressure losses reduction, the duct cross-section shape resembles that of a rectangle. Previous works employing duct with rectangular cross-section led to extremely low values of pressure losses, therefore it is interesting to note how the optimization described in this paper lead to find a best solution in terms of CP reduction characterized by a rectangular cross-section. Instead, the extremely high reduction in terms of swirl, which was never verified in previous works, is closely linked to a triangular duct cross-section shape. Similar geometrical characteristics describe the compromise optimum region as well. In this particular geometry the strong
area increase which occurs in the ending and lower part of the duct, due to the transition from
triangular to circular cross-section, exhibits the diffusing duct characteristic which leads to an overall
flow slowdown.

In this paper a total of 1300 evaluations have been performed however not all the design space has 381 been explored since the resulting Pareto front is characterized by some discontinuity. More evaluations 382 are needed to investigate more thoroughly the design space and obtain a more homogeneous Pareto 383 front. Since two main cross-section shapes have emerged from this work it could be interesting in future works to study this behavior in more depth increasing the parameterization precision, i.e. the 385 numbers of design variables. This can be achieved by introducing new cross-sections or by increasing 386 the number of free control points in every cross section. Finally, further higher fidelity CFD simulations 387 could be performed in order to understand the physical behavior of such S-duct design configurations. 388 The computational design methodology is well defined and flexible enough in order to consider 389 additional or different models of objective function criteria. Hence, uncertainty quantification with 390 respect to the incoming operating conditions, but also with respect to the conditions of the exit flow 301 could be considered in future studies. 392

393 Abbreviations

³⁹⁴ The following abbreviations are used in this manuscript:

- 395 AIP Aerodynamic Interface Plane
 - FFD Free-Form Deformation
 - dof Degree of freedom

MOTS Multi-Objective Tabu Search

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