# **IFCC Paper**

Laura Sciacovelli, Giuseppe Lippi, Zorica Sumarac, Jamie West, Isabel Garcia del Pino Castro, Keila Furtado Vieira, Agnes Ivanov and Mario Plebani\*, on behalf of the Working Group "Laboratory Errors and Patient Safety" of International Federation of Clinical Chemistry and Laboratory Medicine (IFCC)

# Quality Indicators in Laboratory Medicine: the status of the progress of IFCC Working Group "Laboratory Errors and Patient Safety" project

DOI 10.1515/cclm-2016-0929

Received October 13, 2016; accepted November 1, 2016; previously published online December 19, 2016

**Abstract:** The knowledge of error rates is essential in all clinical laboratories as it enables them to accurately identify their risk level, and compare it with those of other laboratories in order to evaluate their performance in relation to the State-of-the-Art (i.e. benchmarking) and define priorities for improvement actions. Although no activity is risk free, it is widely accepted that the risk of error is minimized by the use of Quality Indicators (QIs) managed as a part of laboratory improvement strategy and proven to be suitable monitoring and improvement tools. The purpose of QIs is to keep the error risk at a level that minimizes the likelihood of patients. However, identifying a suitable State-of-the-Art is challenging, because it calls for the knowledge of error rates measured in a variety of laboratories throughout world that differ in their organization and management, context,

ontext,

\*Corresponding author: Mario Plebani, Department of Laboratory Medicine, University Hospital, Padova, Italy,

E-mail: mario.plebani@unipd.it.

http://orcid.org/0000-0002-0270-1711

Laura Sciacovelli: Department of Laboratory Medicine, University Hospital, Padova, Italy

**Giuseppe Lippi:** Laboratory of Clinical Chemistry and Hematology, University Hospital, Verona, Italy

**Zorica Sumarac:** Centre for Medical Biochemistry, Clinical Centre of Serbia, Belgrade, Serbia

Jamie West: Department of Clinical Biochemistry and Immunology, Peterborough and Stamford Hospitals NHS Foundation Trust, Peterborough, UK

Isabel Garcia del Pino Castro: Area Laboratory, Complexo Hospitalario, Universitario A Coruña, A Coruña, Spain

Keila Furtado Vieira: Pontificia Universidade Católica de São Paulo,

Sorocaba, São Paulo, Brazil

Agnes Ivanov: Tartu University Hospital, Tartu, Estonia

and the population they serve. Moreover, it also depends on the choice of the events to keep under control and the individual procedure for measurement. Although many laboratory professionals believe that the systemic use of QIs in Laboratory Medicine may be effective in decreasing errors occurring throughout the total testing process (TTP), to improve patient safety as well as to satisfy the requirements of International Standard ISO 15189, they find it difficult to maintain standardized and systematic data collection, and to promote continued high level of interest, commitment and dedication in the entire staff. Although many laboratories worldwide express a willingness to participate to the Model of QIs (MQI) project of IFCC Working Group "Laboratory Errors and Patient Safety", few systematically enter/record their own results and/or use a number of QIs designed to cover all phases of the TTP. Many laboratories justify their inadequate participation in data collection of QIs by claiming that the number of QIs included in the MQI is excessive. However, an analysis of results suggests that QIs need to be split into further measurements. As the International Standard on Laboratory Accreditation and approved guidelines do not specify the appropriate number of QIs to be used in the laboratory, and the MQI project does not compel laboratories to use all the QIs proposed, it appears appropriate to include in the MQI all the indicators of apparent utility in monitoring critical activities. The individual laboratory should also be able to decide how many and which QIs can be adopted. In conclusion, the MQI project is proving to be an important tool that, besides providing the TTP error rate and spreading the importance of the use of QIs in enhancing patient safety, highlights critical aspects compromising the widespread and appropriate use of QIs.

**Keywords:** extra-analytical phases; laboratory error; quality indicators; total testing process.

# Introduction

The growing awareness of the importance of the extraanalytical phases in generating reliable laboratory information has prompted clinical laboratories to closely observe all activities in the total testing process (TTP) in order to identify all possible error risks. According to the ISO Guide 73:2009 "Risk is often expressed in terms of a combination of the consequences of an event (including changes in circumstances) and the associated likelihood of occurrence" [1]. Frequently occurring events of low level severity are associated with higher risks, but high severity events, even if isolated/rare can incur even higher risks [2]. It is therefore mandatory for the identification and monitoring of activities with a high risk of error to become a modus operandi in all laboratory procedures. According to the ISO 15189:2012, clinical laboratories should identify critical TTP activities and implement Quality Indicators (QIs) in order to highlight and monitor errors when they occur. QIs, managed as a part of laboratory improvement strategy have proven to be a suitable tool in monitoring and achieving improvement [3], their ultimate purpose being to keep the error risk at a level that minimizes the likelihood of patient harm, given that no activity is completely risk-free. Data available in the literature demonstrate that the effectiveness of this tool is closely linked to the list of QIs chosen, and to: a) data collection method, b) data processing procedure in use, c) appropriate analysis of results, and d) an understanding of the priorities for corrective actions according to performance of the various QIs [4-7].

The knowledge of error rates is essential for any clinical laboratory as it enables the service to correctly identify of its own risk level, and to compare it with those of other laboratories in order to evaluate its performance in relation to the State-of the-Art (i.e. benchmarking) and identify the priorities for improvement actions. Nevertheless, identifying a suitable State-of-the-Art is a challenging issue, calling for the knowledge of error rates measured worldwide in laboratories that have different organizational and management aspects and contexts, and serve different populations. Moreover, it also depends on the choice of events to keep under control and the procedure that an individual laboratory uses for measurement.

Although many laboratory professionals believe that the systemic use of QIs in Laboratory Medicine may be effective in decreasing errors occurring throughout TTP with a view to enhancing patient safety and meeting the requirements of International Standard ISO 15189 [8], they find it difficult to maintain standardized and

systematic data collection along with a high level of interest, commitment and dedication from the entire staff. In order to overcome these problems and identify the State-of-the-Art concerning errors occurring in the TTP, the Working Group "Laboratory Errors and Patient Safety" (WG-LEPS) of the International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) has, since 2008, implemented a project aimed at defining a common Model of QIs (MQI), a harmonized method for data collection, managed as an External Quality Assurance Program (EQAP) in which confidentiality is guaranteed [9-11].

An MQI issued by a Consensus Conference held in Padova in 2013 and used since 2014. involves a priority score being assigned to each indicator, or assisting laboratories to gradually introduce QIs into routine practice. A criterion to identify Quality Specifications (QSs) for assessing laboratory performance has also been proposed [12, 13].

# **Aim**

This article describes the state of progress of the MQI project. The results reported for each indicator are as follows:

- statistical data of OIs data collected in the 2014, 2015 and 2016 (6 months):
- statistical data of sigma values for data collected in the years 2014, 2015 and 2016 (first 6 months);
- criteria used to define QSs.

The critical aspects highlighted by participants and emerging during the use of QIs use are also described, and future trends considered.

# Methodology

All laboratory data collected in 2014, 2015 and in the first 6 months of 2016, were processed on a yearly basis, and the values of the 25th, 50th and 75th percentiles calculated.

A similar procedure was used to estimate sigma values, the short-term formula being applied for the QIs expressed in percentages [14].

The criterion used to define QSs is based on percentile values estimated according to laboratory results. Three levels of performance were identified:

high, 25th percentile value, representing the best performance;

- medium, 50th percentile value, representing the more frequent/common performance;
- low. 75th percentile representing the worst performance.

When the QIs results measured the desirable events (Post-Comm, Supp-Train, Supp-Cred, Supp-Phys, Supp-Pat), the high level of performance corresponded to the 75th percentile and the **low**, to the 25th percentile. When the percentile values coincided, it was possible to use a single value.

#### Results

Table 1 shows all the findings for QIs (in particular, 25th, 50th and 75th percentiles), obtained from data collected by laboratories that consistently participate in the MQI project. Overall, data were received from 59 laboratories in: Argentina, 2; Austria, 1; Brazil, 1; Estonia, 2; Germany, 1; Great Britain, 2; India, 2; Italy, 16; Republic of China, 2; Republic of Croatia, 6; Spain, 2; Switzerland, 2; Serbia, 19; Uruguay, 1.

The short-term sigma for QIs, expressed as a percentage, was estimated in order to identify the quality level of the processes monitored (Table 1). The sigma quality level provides information on the frequency of the occurrence/ risk of the various defects. A higher sigma quality level indicates that a process is less likely to generate problems, thus also indicating that the need for checking and inspection is reduced, costs are lower, and customer satisfaction enhanced. The estimation of sigma value is not applicable to QIs results that cannot be expressed in percentages (i.e. minutes or numbers).

The criterion adopted to identify the QSs for each indicator includes the definition of three different performance goals (low, medium, high) according to laboratory results, thus highlighting the most recent error rates collected at a particular time. Information on a performance level based on measures allows each laboratory to establish and compare its placing with that of other laboratories, thus making it possible to plan improvement actions. The use of the 75th percentile as the lower limit seems to be a more practical approach, indicating that performance was poor in less than 25% of participating laboratories. In fact, a high percentage of unsatisfactory performances may discourage some laboratories from attempting to improve quality. On the other hand, if laboratories see they have achieved a higher goal, they are not motivated to undertake improvement actions. Since the improvement actions implemented by the different laboratories are expected

to improve over time, the performance goals need to be regularly reviewed (e.g. annually) by analysing the error rate recorded. The knowledge of QSs enables clinical laboratories to identify the most suitable corrective/improvement actions and the relative priorities, whereas it may be excessively challenging to focus improvement projects on all the activities being monitored.

# Discussion

Although many laboratories worldwide expressed their willingness to participate in the MQI project, only a few of them systematically entered their own results or used a number of QIs designed to cover all phases of the TTP.

The main QIs used, classified according to the phase of the TTP, are as follows:

- pre-analytical phase: a) unsuitable samples (haemolysed, clotted, inappropriate sample-anticoagulant volume ratio, insufficient volume, wrong container, unlabelled, inappropriate type, not received) and b) misidentified errors (requests and samples);
- intra-analytical phase: a) unacceptable performance in EQAs-PT and b) tests with inappropriate internal quality control (IQC) performance;
- post-analytical phase: a) incorrect reports issued and b) inappropriate TAT (reports delivered outside the specified time, critical values notified after a consensually agreed time, Potassium TAT).

For QIs of Outcome Measures and Support Processes, all indicators proposed in MQI appear to be used in a similar fashion, but only by a small number of laboratories.

Many laboratories justify their inadequate participation in QI data collection by citing the 'excessive' number of QIs included in the MQI. However, it is important to bear in mind that:

- QIs should monitor all critical aspects of the TTP, as stated by ISO 15189:2012, and therefore several QIs need to be decided upon;
- to obviate any confusion between indicator and measurements, different measures are often required to ensure that an indicator is appropriately monitored. It is advisable to split an indicator into different measures in order to consider all the events causing a specific error, and to benchmark data entered by different laboratories. Some laboratories, for example, offer their service to outpatients and inpatients, while others perform analyses for only one of these two patient groups. Any comparison of data entered

**Table 1:** Model of quality indicators: results from 2014 to 2016 (6 months).

Indicator						R	Note	
		Percentile						
Priority – measure		25th	50th	75th	25th	50th	75th	
Key-processes: Pre-Anaytical phase								
Misidentification errors								
1 – Percentage of: Number of misidentified requests/	2014	0.005	0.0345	0.2857	4.2	4.6	5.0	
Total number of: requests. (Pre-MisR)	2015	0	0.016	0.154	4.3	4.7	5.1	
	2016	0.0015	0.0365	0.1595	4.4	4.7	5.0	
1 – Percentage of: Number of misidentified samples/	2014	0	0.013	0.039	4.7	4.9	5.1	
Total number of samples. (Pre-MisS)	2015	0.001	0.0195	0.063	4.7	4.9	5.1	
	2016	0	0.031	0.056	4.5	4.8	4.9	
1 - Percentage of: Number of samples with fewer than 2	2014	0.0012	0.06	0.294	4.1	4.5	4.9	
identifiers initially supplied/Total number of samples.	2015	0	0.01	0.1685	4.1	4.4	4.7	
(Pre-Iden)	2016	0	0.0985	0.2825	3.0	4.4	4.6	
<ul> <li>Percentage of: Number of unlabelled samples/Total</li> </ul>	2014	0	0.01	0.0355	4.7	4.9	5.2	
number of samples. (Pre-UnlS)	2015	0	0.007	0.0252	4.7	5.0	5.2	
	2016	0	0.03	0.012	4.7	5.2	5.2	
Test transcription errors								
1 — Percentage of: Number of outpatients requests with	2014	0	0.118	0.654	3.8	4.1	4.5	
erroneous data entry (test name)/Total number of	2015	0		0.5267	4.0	4.2	4.4	
outpatients requests. (Pre-OutpTN)	2016	0		0.5482	3.8	4.1	4.4	
- Percentage of: Number of outpatients requests with	2014	0.0175	0.2995	0.8912	3.8	4.0	4.4	
erroneous data entry (missed test)/Total number of	2015	0.0173	0.2515	0.76	3.8	4.0	4.2	
outpatients requests. (Pre-OutpMT)	2016	0	0.118	0.693	3.8	4.1	4.3	
Percentage of: Number of outpatients requests with	2014	0	0.044		4.0	4.3	4.6	
erroneous data entry (added test)/Total number of	2015	0	0.044	0.1132	4.3	4.5	4.8	
outpatients requests. (Pre-OutpAT)	2016	0	0	0.0935	4.6	4.6	4.7	
Percentage of: Number of inpatients requests with	2014	0	0.07	0.567	3.9	4.2	4.6	
erroneous data entry (test name)/Total number of	2015	0	0.07	0.135	4.1	4.4	4.7	
inpatients requests. (Pre-InpTN)	2016	0	0	0.066	4.4	4.6	4.9	
Percentage of: Number of inpatients requests with	2014	0	0.1205	0.504	3.9	4.2	4.6	
erroneous data entry (missed test)/Total number of	2015	0	0.013	0.1055	4.2	4.6	4.8	
inpatients requests. (Pre-InpMT)	2016	0	0.012	0.114	3.7	4.6	4.6	
- Percentage of: Number of inpatients requests with	2014	0	0.224	0.671	3.8	4.1	4.4	
erroneous data entry (added test)/Total number of	2015	0	0.013	0.681	3.9	4.2	5.0	
inpatients requests. (Pre-InpAT)	2016	0	0.0305		3.8	3.9	4.6	
		3						
ncorrect sample type	2011	_	0.001	0.00=	, ,	, ^		
Percentage of: Number of samples of wrong or     Percentage of: Number of samples of wrong or	2014	0	0.004	0.027	4.8	4.9	5.2	
inappropriate type (i.e. whole blood instead of	2015	0	0.002	0.034	4.7	4.9	5.2	
plasma)/Total number of samples. (Pre-WroTy)	2016	0	0.002	0.02	4.6	4.9	5.2	
- Percentage of: Number of samples collected in wrong	2014	0.002	0.013		4.8	5.0	5.2	
container/Total number of samples. (Pre-WroCo)	2015	0.004	0.012	0.029	4.9	5.0	5.2	
	2016	0.004	0.014	0.0295	4.9	4.9	5.2	
ncorrect fill level								
– Percentage of: Number of samples with insufficient	2014	0.012	0.032	0.0885	4.6	4.8	5.0	
sample volume/Total number of samples. (Pre-InsV)	2015	0.012	0.027	0.07	4.6	4.9	5.0	
	2016	0.018	0.041	0.109	4.5	4.7	5.0	
<ul> <li>Percentage of: Number of samples with inappropriate</li> </ul>	2014	0.064	0.267	0.589	4.0	4.2	4.6	
sample-anticoagulant volume ratio/Total number of	2015	0.1192	0.342	0.6047	4.0	4.2	4.5	
samples with anticoagulant. (Pre-SaAnt)	2016	0.0845	0.2365	0.5885	4.0	4.3	4.6	
Unsuitable samples for transportation and storage problems								
Percentage of: Number of samples not received/Total	2014	0.06	0.261	1.123	3.8	4.3	4.6	
number of samples. (Pre-NotRec)	2014	0.0875	0.493	1.089	3.8	4.0	4.6	
						4.0		

Table 1 (continued)

Indicator	Year					R	esults	Note
		Percentile					Sigma	
Priority – measure	1	25th	50th	75th	25th	50th	75th	
1 - Percentage of: Number of samples not properly stored	2014	0	0	0.027	4.8	4.9	5.0	
before analysis/Total number of samples. (Pre-NotSt)	2015	0	0	0.008	4.9	5.0	5.4	
	2016	0	0	0.009	4.9	5.1	5.2	
1 - Percentage of: Number of samples damaged during	2014	0	0	0.002	4.9	5.2	5.2	
transportation/Total number of samples. (Pre-DamS)	2015	0	0	0.003	5.2	5.2	5.5	
4 . 6	2016	0	0	0.001	5.2	5.4	5.5	
1 - Percentage of: Number of samples transported at	2014	0	0.002	0.431	3.7	4.1	4.9	
inappropriate temperature/Total number of samples. (Pre-InTem)	2015 2016	0	0.001 0.002	0.5305 0.584	3.6 3.7	3.9 3.9	5.2 5.3	
1 - Percentage of: Number of samples with excessive	2016	0	0.002	0.564	3.7	4.1	4.9	
transportation time/Total number of samples.	2014	0	0.013	0.181	4.0	4.4	4.9	
(Pre-ExcTim)	2016	0	0.001	0.129	3.9	4.4	4.7	
	2010	· ·	0.002	0.127	3.7	7.7	7.,	
Contaminated samples								
1 - Percentage of: Number of contaminated samples	2014	0.048		1.897	3.4	3.8	4.5	
rejected/Total number of microbiological samples.	2015	0.163	1.481	3.847	3.3	3.6	4.2	
(Pre-MicCon)	2016	0.1457	1.095	5.405	3.1	3.7	4.4	
Sample haemolysed								
<ul> <li>Percentage of: Number of samples with free</li> </ul>	2014	0.437	0.866	1.548	3.7	3.9	4.1	
Hb>0.5 g/L (clinical chemistry)/Total number of	2015	0.492	1.059	1.854	3.6	3.8	4.1	
samples (clinical chemistry) · (Pre-Hem)	2016	0.555	1.405	2.567	3.4	3.7	4.0	
Samples clotted								
Percentage of: Number of samples clotted/Total	2014	0.11	0.317	0.611	4.0	4.2	4.5	
number of samples with an anticoagulant. (Pre-Clot)	2015	0.165	0.98	0.5205	4.1	4.2	4.4	
	2016	0.108	0.299	0.459	4.1	4.2	4.6	
Inappropriate test requests								
Inappropriate test requests  2 - Percentage of: Number of requests without clinical	2014	0.750	7.436	59.03	1.0	2.7	3.4	
question (outpatients)/Total number of requests	2014	1.183	2.598	18.06	2.3	3.3	3.7	
(outpatients). (Pre-Quest)	2016	1.105	2.370	10.00	2.5	ر.ر	5.1	Not available due
(outputients). (The Questy	2010							to poor results
								to poor results
Inappropriate time in sample collection	2047		0.075	0.0100				
2 - Percentage of: Number of samples collected at	2014	0	0.075	0.0432	4.6	4.9	5.2	
inappropriate time of sample collection/Total number	2015 2016	0	0	0.346	4.0	4.1	4.2	Not available due
of samples. (Pre-InTime)	2016							Not available due to poor results
								to poor results
Unintelligible requests								
3 - Percentage of: Number of unintelligible outpatients	2014	0	0.363	1.137	3.6	3.8	4.2	
requests/Total number of outpatients requests.	2015	0	0	0.47	3.7	4.0	4.2	
(Pre-OutUn)	2016	0	0	0.104	3.9	4.3	4.6	
3 - Percentage of: Number of unintelligible inpatients	2014	0	0.069	0.406	4.0	4.2		
requests/Total number of inpatients requests.	2015	0	0	0.012	4.0	4.3	4.5	
(Pre-InpUn)	2016							Not available due
								to poor results
Inappropriate requests								
4 - Percentage of: Number of inappropriate requests, with	2014	0.0457	0.757	2.163	3.5	3.6	4.3	
respect to clinical question (outpatients)/Number of	2015	1.489	1.601	2.93	3.4	3.6	3.7	
requests reporting clinical question (outpatients).	2016							Not available due
(Pre-OutReq)								to poor results
4 - Percentage of: Number of inappropriate requests, with	2014	0	0.292	4.79	2.4	3.4	4.0	
respect to clinical question (inpatients)/Number of	2015	0	1.842	5.457	2.8	3.1	3.2	
requests reporting clinical question (inpatients).	2016							Not available due
(Pre-InReq)								to poor results

Table 1 (continued)

Indicator	Year					R	esults	Note
Priority – measure		Percentile			Sigma			
		25th	50th	75th	25th	50th	75th	
Key-processes: Intra-Anaytical phase								
Test with inappropriate ICQ performances								
<ul> <li>Percentage of: Number of tests with CV% higher</li> </ul>	2014	0	0.005	15.71	2.1	2.5	2.7	
than selected target, per year/Total number of tests with CV% known for at least: Glucose; Creatinine; Potassium; C-Reactive Protein; Troponin I or Troponin T; TSH; CEA; PT (INR); Haemoglobin (HB). (Intra-Var)	2015	0	2.26	12.5	2.7	2.7	3.4	
Tests not covered by an EQA-PT control  — Percentage of: Number of tests without EQA-PT	2014	14.82	31.82	47.31	1.6	2.0	2.5	
control/Total number of tests in the menu. (Intra-EQA)	2014	15.28	24.93	34.4	1.9	2.2	2.5	
Unacceptable performances in EQA-PT schemes								
<ul> <li>Percentage of: Number of unacceptable performances</li> </ul>	2014	0.769	2.541	4.615	3.0	3.3	3.5	
in EQAS-PT Schemes, per year/Total number of performances in EQA Schemes, per year. (Intra-Unac)	2015	1.89	2.4	3.134	3.3	3.4	3.6	
3 - Percentage of: Number of unacceptable performances	2014	0	0	10.36	2.0	2.3	2.6	
in EQAS-PT Schemes per year occurring to previously treated cause/Total number of unacceptable performances. (Intra-PPP)	2015	0	0	3.17	3.0	3.1	3.2	
Data transcription errors								
<ul> <li>Percentage of: Number of incorrect results for</li> </ul>	2014	0	0	0.036	4.6	4.9	5.0	
erroneous manual transcription/Total number of	2015	0	0	0.003	4.5	5.1	5.2	
results requiring manual transcription. (Intra-ErrTran)	2016	0	0	0	5.2	5.4	5.5	
1 - Percentage of: Number of incorrect results for	2014	0	0	0	5.0	5.0	5.0	
information system problems-failures/Total number of results. (Intra-FailLIS)	2015 2016	0	0	0	4.9 5.2	4.9 5.2	4.9 5.2	
Key-processes: Post-Anaytical phase Inappropriate turnaround times								
1 - Percentage of: Number of reports delivered outside the	2014	0	0.035	0.554	3.6	4.3	4.7	
specified time/Total number of reports. (Post-OutTime)	2015	0	0.224	1.95	3.3	4.2	4.4	
	2016	0	0.21	1.79	2.8	3.9	4.4	
<ul> <li>Turn Around Time (minutes) of Potassium (K) at 90th percentile (STAT). (Post-PotTAT)</li> </ul>	2014	48	49.6	60				Estimate of sigma value not applicable
	2015	56.5	73	89.34				
	2016							Not available due to poor results
1 – Turn Around Time (minutes) of International	2014	42	45	49.5				
Normalized Ratio (INR) value at 90th percentile (STAT).	2015	46	48.97	59.5				
(Post-INRTAT)	2016							Not available due
<ul> <li>Turn Around Time (minutes) of White Blood Cell Count (WBC) at 90th percentile (STAT). (Post-WBCTAT)</li> </ul>	2014	17.5	23	26				to poor results  Not available due to poor results
	2015							Not available due to poor results
	2016							Not available due to poor results
<ul> <li>Turn Around Time (minutes) of Troponin I (TnI) or Troponin T (TnT) at 90th percentile (STAT). (Post-TnTAT)</li> </ul>	2014 2015	51 47.5	53 51	71.5 62.93				

Table 1 (continued)

Indicator			Note					
Priority – measure	-	Percentile			Sigma			
		25th	50th	75th	25th	50th	75th	
Incorrect laboratory reports								
1 - Percentage of: Number of incorrect reports issued by	2014	0	0	0.041	4.7	4.8	4.9	
the laboratory/Total number of reports issued by the	2015	0	0.01	0.03	4.8	4.9	5.0	
laboratory. (Post-IncRep)	2016	0	0.006	0.017	4.9	5.0	5.2	
Notification of critical values								
- Percentage of: Number of critical values of inpatients	2014	0	1.12	8.333	2.1	3.0	3.4	
notified after a consensually agreed time (from result	2015	0	0.765	6.989	1.8	3.1	3.5	
validation to result communication to the clinician)/	2016							Not available due
Total number of critical values of inpatients to								to poor results
communicate.								
(Post-InpCV)	2047		•	22.504	4.0	2.2	2.7	
1 - Percentage of: Number of critical values of outpatients	2014	0	0	22.596	1.3	2.2	2.7	Net coelleble doe
notified after a consensually agreed time (from result validation to result communication to the clinician)/	2015							Not available due to poor results
Total number of critical values of outpatients to	2016							Not available due
communicate. (Post-OutCV)	2010							to poor results
								to poor results
Interpretative comments	2047	0.457	27.40	(0.625	4 7	4.0	2.0	D
4 - Percentage of: Number of reports with interpretative	2014	0.156	34.19	60.625	1.7	1.9	3.9	Best performance:
comments, provided in medical report, impacting	2015							75th percentile Not available due
positively on patient's outcome/Total number of reports with interpretative comments. (Post-Comm)	2015							to poor results
reports with interpretative comments. (Fost-comm)	2016							Not available due
	2010							to poor results
Results notification (TAT)								•
4 — Time (from result validation to result communication								Not available due
to the clinician) to communicate critical values of								to poor results
inpatients (minutes). (Post-InCVT)								
4 - Time (from result validation to result communication								Not available due
to the clinician) to communicate critical values of								to poor results
outpatient (minutes). (Post-OutCVT)								
Outcome measures								
Sample recollection								
1 — Percentage of: Number of outpatients with recollected	2014	0		0.0495	4.4	4.7	4.9	
samples for laboratory errors/Total number of	2015	0	0.046	0.399	4.1	4.7	4.9	
outpatients. (Out-RecOutp)	2016	0	0.046	0.369	4.1	4.3	4.8	
1 - Percentage of: Number of inpatients with recollected	2014	0	0	0	4.5	4.6	4.9	
samples for laboratory errors/Total number of	2015	0	0	0.038	4.2	4.7	4.9	
inpatients. (Out-RecInp)	2016	0	0	0.106	4.2	4.5	4.7	
Inaccurate results								
1 - Percentage of: Number of inaccurate results released/	2014	0	0	0	4.5	4.9	5.0	
Total number of results released. (Out-InacR)	2015	0	0	0	5.0	5.0	5.0	
	2016	0	0	0	5.0	5.0	5.0	
Support processes								
Employee competence								
<ul><li>2 - Number of training events organized for all staff,</li></ul>								
per year (Supp-Train)								
2 - Percentage of: Number of credits obtained by	2014	88.08	100	100				Best performance:
employee, per year/Total number of credits to be								75th percentile
obtained, per year. (Supp-Cred)	2015	31.46	64.06	94.231				Best performance:
								75th percentile

Table 1 (continued)

Priority – measure	Year				esults	Note		
	_	Percentile			Sigma			
		25th	50th	75th	25th	50th	75th	
Client relationships								
<ul> <li>Percentage of: Sum of point given in the enquiry to the question of global satisfaction of the physician/ Multiplication of the maximum point defined in the</li> </ul>	2014	80	90	96				Better performance: 75th percentile
enquiries by the number of enquiries. (Supp-Phys)	2015							Not available due to poor results
2 - Percentage of: Sum of point given in the enquiry to the question of global satisfaction of the patient/	2014	80	90	98				Best performance: 75th percentile
Multiplication of the maximum point defined in the enquiries by the number of enquiries. (Supp-Pat)	2015							Not available due to poor results
Efficiency of Laboratory Information System								
2 - Number of Laboratory Information System downtime episodes, per year. (Supp-FailLIS)	2014							Not available due to poor results

by laboratories that process samples from different patient populations might generate misleading conclusions. This applies in particular to some indicators showing wrong procedures performed by different personnel as the leading cause of the error. Sample collection is a paradigmatic example, wherein the error is typically attributable to clinical ward staff for inpatient samples and to the laboratory and peripheral drawing centre personnel for specimens collected from outpatients.

Rather than deleting some QIs, it might be preferable to revise MQI in order to identify the QIs that should be split into further measurements. For example, in the case of haemolysed samples, the error rate of 1.06 estimated in 2015 included laboratories that used to detect haemolysis by means of serum indices, visual inspection or other unspecified procedures. An error rate of 1.18 was calculated for laboratories using serum indices, but only 0.63 for other facilities using visual inspection. This clearly indicates that it may be advisable to split this indicator into two different measures to prevent misleading conclusions concerning the real burden of haemolysis. The lesson learnt with this QI implies that it might be better to differentiate the various QSs according to the specific detection procedure used in the different laboratories. A similar consideration can be made for indicators used for tests with a CV% higher than the set target (Intra-Var).

As the International Standard on Laboratory Accreditation and approved guidelines do not specify the appropriate number of QIs to be used in the laboratory, and the MQI project does not oblige laboratories to use all QIs proposed, it seems appropriate to include in the MQI all the indicators that appear useful in monitoring critical activities. The individual laboratory should be able to decide how many, and which, QIs are to be adopted.

Another aspect biasing the participation in the project is related to difficulties in data collection, especially when automated collection is unavailable. The laboratory staff may be discouraged and dissatisfied from manual collection of data, since this activity takes time and dedication. The design of dedicated software for automated data collection could hence stimulate a major involvement of the laboratory staff in the project [15].

However, several real difficulties have been acknowledged in the collection of data with some postanalytical QIs. In many cases, identification errors call for enquiry and the active involvement of clinicians/ nurses, a challenging requirement in from the viewpoint of time and frequency. In other circumstances, it seems necessary to better specify which events need to measured and how this can be done (i.e. Post-Comm, interpretative comments impacting positively on patient's outcome).

The laboratories also experienced difficulty in meeting the deadline for collecting and entering data in the MQI-dedicated website. Laboratories are more inclined undertake the retrospective collection of data, with transmission delayed by months or, in extreme cases, a year. As shown in Table 1, the results of some indicators obtained in 2016 have been excluded due to the low number of responses. The failure to comply with these deadlines, in turn, further delays the provision of reports to the clinical laboratories participating the MQI project.

As regards the sigma values estimate for the QIs of intra-analytical phase, significant improvements have been achieved in the last few decades, whereas fewer improvements have been made to the extra-analytical phases (Table 1). The accurate interpretation of the significance of intra-analytical QIs is of crucial importance, as these QIs are not intended to monitor the performance of the analytical procedures, but to reflect the management of unsatisfactory analytical performances. This observation highlights the need for a greater focus on this issue, which is often overlooked. Some laboratories manage (or correct) an error at the same time as its occurrence (i.e. unsatisfactory performance in EQA or IQC), thus overriding the underlying cause(s) or disregarding appropriate improvement actions (risk management).

Due to the type of results and to the lower number of responses, a significant sigma value could not be calculated for the support processes.

In order reduce the error rates in critical TTP procedures, the following some initiatives must be undertaken:

- involving Scientific Societies of different countries to promote participation of laboratories in the MQI project:
- involving Accreditation Bodies, so that the MQI may be identified as a suitable tool complying with the ISO 15189:2012 requirements;
- 3) selecting and nominating a National Leader to coordinate and manage the MQI project;
- defining guidelines supporting the use of QIs and implementation of improvement actions in clinical laboratories:
- establishing criteria to ensure that an appropriate list of QIs (number, typology, and frequency of collection of data) is included in the MQI, procedures are processed and laboratory performance evaluated;
- 6) sharing QIs with other inter-laboratory quality management providers.

# **Conclusions**

The increased value of laboratory information as well as its impact on clinical outcomes is a catalyst in assuring the reliability of test results. The inter-laboratory comparison of QIs is an important component of quality management, since it enables a direct comparison with both other laboratories and established performance specifications (benchmark). The QIs system, which

should be part of a coherent and coordinated quality improvement strategy, should be constantly reviewed and updated, comply with accreditation requirements and scientific recommendations, support efforts to continuously improving laboratory performances, enhance the value of both TTP and clinical practice, and be effective in evaluating patient's outcome. Additional efforts should be made to ensure the effective the use of QIs in clinical laboratories. The MQI project is proving to be an important tool that not only provides the TTP error rate and divulges awareness of the value of QIs in enhancing patient safety, but also highlights the more critical aspects interfering with the widespread and appropriate use of QIs themselves. The dedicated website (www. ifcc-mqi.com), already useful for sharing the list of QIs, showing the frequency of data collection, and providing valuable information, could be further improved as it is of promise as a tool for connecting participating laboratories and stakeholders.

**Author contributions:** All the authors have accepted responsibility for the entire content of this submitted manuscript and approved submission.

**Research funding:** None declared.

**Employment or leadership:** None declared.

Honorarium: None declared.

**Competing interests:** The funding organization(s) played no role in the study design; in the collection, analysis, and interpretation of data; in the writing of the report; or in the decision to submit the report for publication.

# References

- 1. ISO Guide 73:2009. Risk management Vocabulary. Geneva, Switzerland: International Organization for Standardization,
- 2. Remona Eliza D, Monodora D. Risk management in laboratory medicine: from theory to practice. Acta Medica Marisiensis 2015;61:327-7.
- 3. Sciacovelli L, Sonntag O, Padoan A, Zambon CF, Carraro P, Plebani M. Monitoring quality indicators in laboratory medicine does not automatically result in quality improvement. Clin Chem Lab Med 2011;50:463-9.
- 4. Shcolnik W, de Oliveira CA, de Sao Josè AS, de Oliveira Galoro CA, Plebani M, Burnett D. Brazilian laboratory indicators program. Clin Chem Lab Med 2012;50:1923-34.
- 5. Kirchner MJ, Funes VA, Adzet CB, Clar MV, Escuer MI, Girona JM, et al. Quality indicators and specifications for key processes in clinical laboratories: a preliminary experience. Clin Chem Lab Med 2007:45:672-7.
- 6. Barth JH. Clinical quality indicators in laboratory medicine. Ann Clin Biochem 2012;49:9-16.

- 7. Plebani M, Astion ML, Barth JH, Chen W, de Oliveira Galoro CA, Escuer MI, et al. Harmonization of quality indicators in laboratory medicine. A preliminary consensus. Clin Chem Lab Med 2014;52:951-8.
- 8. ISO 15189:2012. Medical laboratories requirements for quality and competence. Geneva, Switzerland: International Organization for Standardization, 2012.
- 9. Sciacovelli L, Plebani M. The IFCC Working Group on laboratory errors and patient safety. Clin Chim Acta 2009;404:79-85.
- 10. Sciacovelli L, O'Kane M, Skaik YA, Caciagli P, Pellegrini C, Da Rin G, et al. Quality indicators in laboratory medicine: from theory to practice. Preliminary data from the IFCC Working Group Project "Laboratory Errors and Patient Safety". Clin Chem Lab Med 2011;49:835-44.
- 11. Plebani M, Sciacovelli L, Lippi G. Quality indicators for laboratory diagnostics: consensus is needed. Ann Clin Biochem 2011;48:479.
- 12. Sciacovelli L, Aita A, Padoan A, Pelloso M, Antonelli G, Piva E, et al. Performance criteria and quality indicators for the post-analytical phase. Clin Chem Lab Med. 2016;54:1169-76.
- 13. Plebani M, Sciacovelli L, Aita A, Pelloso M, Chiozza ML. Performance criteria and quality indicators for the pre-analytical phase. Clin Chem Lab Med 2015;53:943-8. Erratum in: Clin Chem Lab Med 2015:53:1653.
- 14. Westgard JO. Six sigma quality, design and control. Madison, WI: Westgard QC, 2006.
- 15. Lippi G, Bonelli P, Rossi R, Bardi M, Aloe R, Caleffi A, et al. Development of a preanalytical errors recording software. Biochem Med 2010;20:90-5.